

living planet symposium

BONN
23–27 May
2022

TAKING THE PULSE
OF OUR PLANET FROM SPACE



CaValFLEX Barrax-2020 Field Campaign: protocols, instrument intercomparisons, and uncertainty analysis

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According to the **FLEX Mission Requirement Document (ESA, 2016)**, to provide reliable estimates - uncertainties below 30% - on the photosynthesis efficiency:

- ❑ **Fluorescence emission** requires an **uncertainty ~ 10%**
- ❑ **Solar irradiance** requires an **uncertainty ~ 10%**
- ❑ **Apparent reflectance uncertainty ~ 1%**

Ground measurements have associated **uncertainties and variances** mainly related:

1. Instrument performance and calibration
2. Retrieval algorithm error propagation
3. Natural variability of Cal/Val site (spatial and atmospheric)

Multiple sources of error

- L ground uncertainty sources**
- Radiometric calibration
 - Lamp calibration uncertainty
 - Lamp stability
 - straylight
 - Spectral calibration
 - lamp gas /mono calibration
 - ISRF interpolation
 - Non-linearity
 - Temperature uncertainty

- F uncertainty sources**
- R interpolation
 - R function model
 - F function model
 - R and F function optimization

- Spatial representativeness**
- Sensor pixel size
 - Sensor geolocation
 - Ground sampling strategy
 - Upscaling transfer function

- L aircraft/UAV sources**
- Radiometric calibration
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$$L(\lambda) = \text{Gain} * \text{DN} + 0$$

$$L_{i,k} = \frac{(ND_{i,k} - ND_{i,k}^{\text{Dark}})}{t_{\text{int}}} \cdot \text{Scale}_{i,k} + 0$$

Point/ESU level: Tripod/Tower

$$F(\lambda) = f(R(\lambda), E(\lambda), L(\lambda)) + 0$$

Crop level: UAV/Tower/Cable

$$L(\lambda) + 0 + 0$$

Ecosystem level: Aircraft

$$L_g = \frac{L_s - L_{\text{path}}}{T_{\uparrow}}$$

- LibRadTran uncertainty sources**
- Atmospheric model choice
 - Aerosol profile
 - Temperature uncertainty
 - ISRF convolution
 - Adjacency effect

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 - Alignment

$$E(\lambda) = \text{Gain} * \text{DN} + 0$$

$$E(\lambda)_{\text{dir}} = \text{Gain} * \text{DN} + 0$$

Irradiance spectrometer

$$E_{\text{dir}}, E_{\text{dif}} = f(\text{lat}, \text{lon}, \tau_{550}, w, \dots) + 0$$

$$\tau_{550} = f(\tau_{\lambda 1}, \tau_{\lambda 2}, \tau_{\lambda 3}, \tau_{\lambda 4}, \tau_{\lambda 5}) + 0$$

$$w, \tau_{\lambda 1}, \tau_{\lambda 2}, \tau_{\lambda 3}, \tau_{\lambda 4}, \tau_{\lambda 5}, \theta_s = f(\dots) + 0$$

Sunphotometer

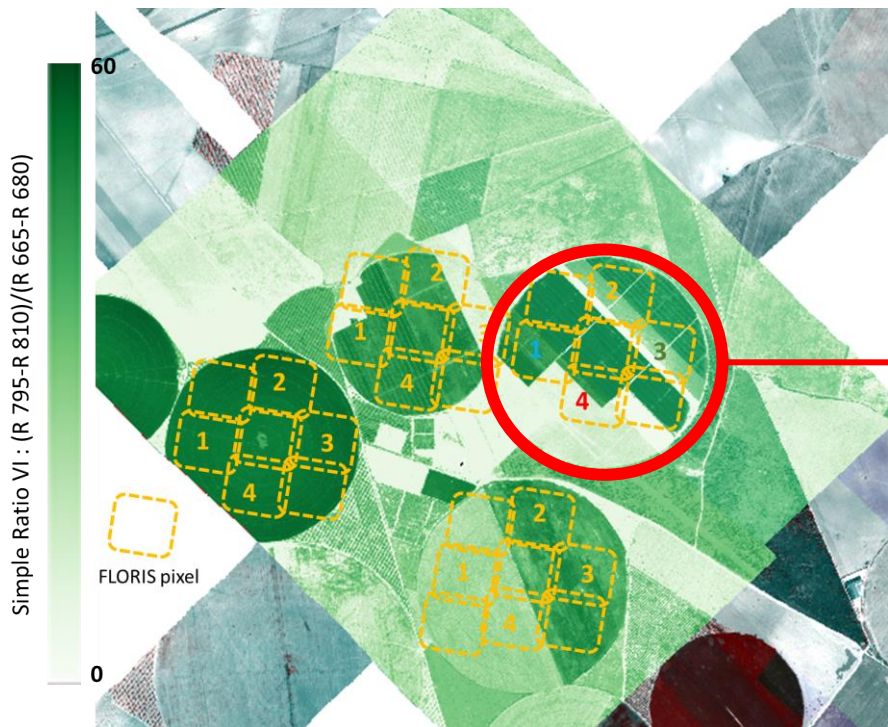
$$E_g = \frac{1}{d^2} \left[\frac{(E_{\text{dir}}(0) \cos \theta_s + E_{\text{dif}}(0))}{\pi (1 - s \rho_b)} \right]$$

- E direct uncertainty sources**
- Pointing accuracy
 - Radiometric calibration
 - Spectral calibration
 - Non-Linearity
 - Temperature uncertainty

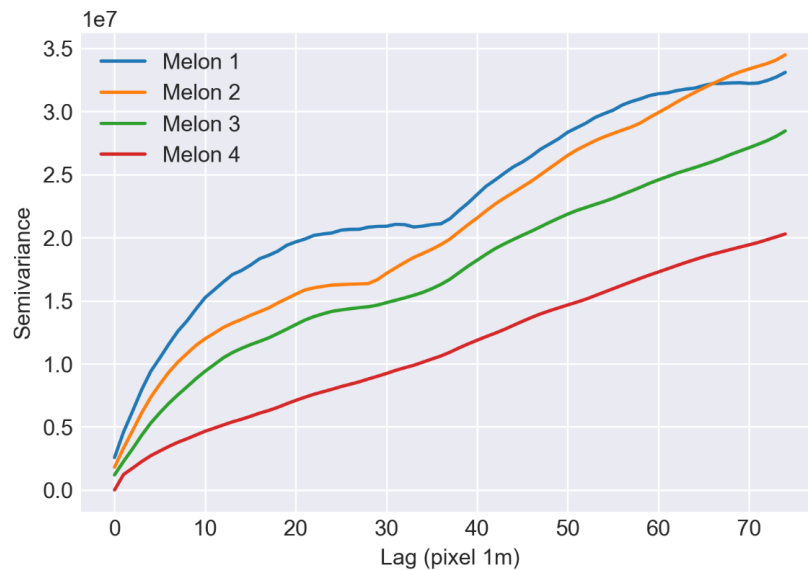
1. Cal/val site spatial variability

- ❑ Cal/Val site should be **previously well characterized** (detailed maps ~ soil type, meteorological conditions).
- ❑ An **UAV** or **aircraft system** should be used to **characterize** the **Cal/Val site spatial heterogeneity** (~actual vegetation).
- ❑ **Ground sampling strategy** should be **defined based** on the **spatial heterogeneity** analysis (number of sampling points and sampling strategy).

☐ Spatial heterogeneity characterization



Melon field Semi-Variogram

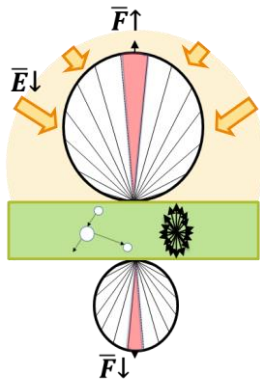


- CASI hyperspectral sensor
- **Simple ratio** vegetation index

- Should be used to determine the optimal sampling distance between observations ~ **sampling strategy**.

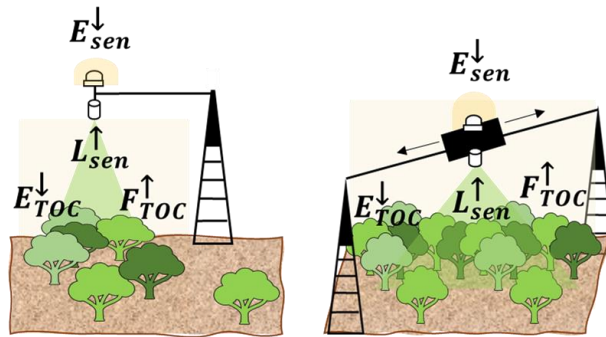
□ *Ground sampling strategy at elementary sampling unit level*

Leaf



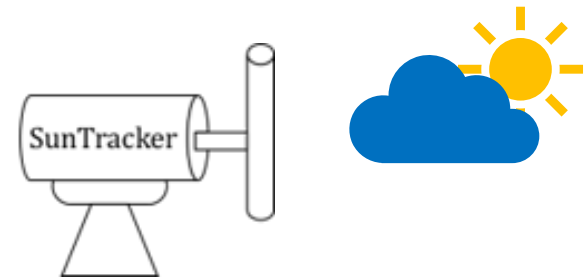
Biophysical +
Physiological

TOC



Biophysical + Physiological +
Geological

Atmospheric characterization



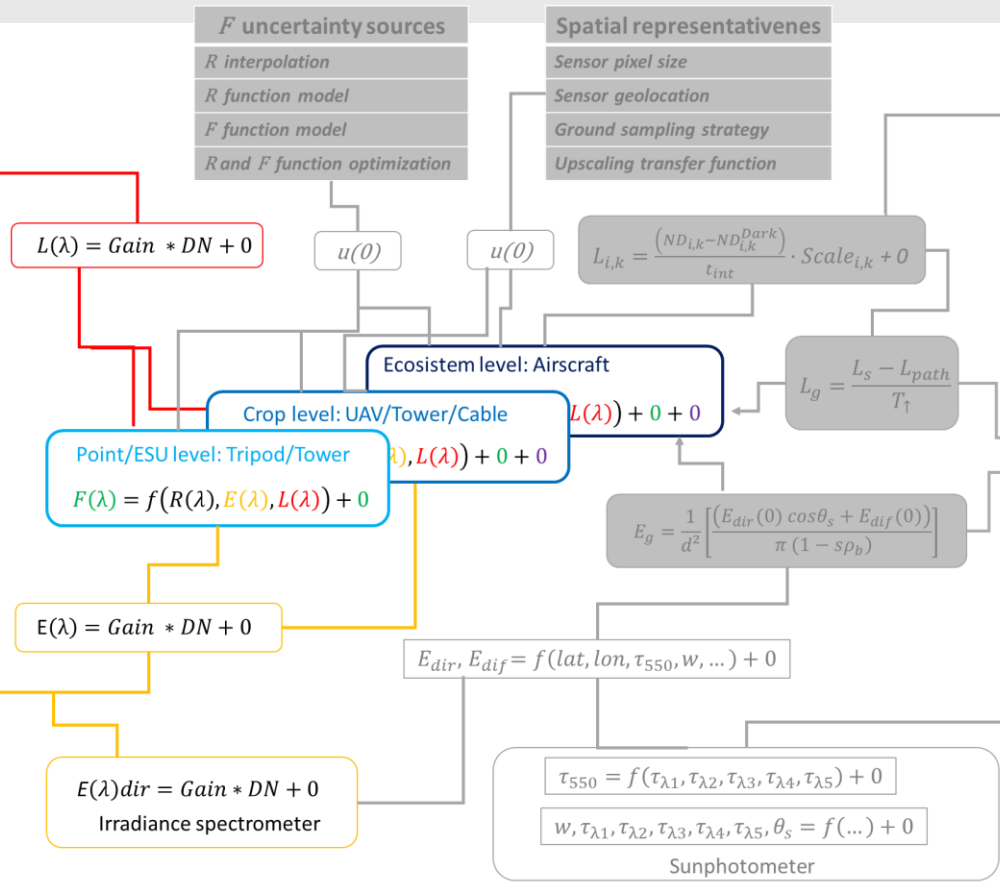
Diffuse and direct incoming radiation

- **Multisensor and measuring scale approach**
- **Objective:** combine leaf and TOC measurements to obtain an integrated value of apparent reflectance and fluorescence over a 300 x 300 area ~ FLEX pixel

2. Instrumental error

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3. Retrieval algorithm error

- ❑ **Spectral fitting ~ retrieve complete SIF spectrum**
- ❑ **Intrinsic algorithm error (minimization function)**

We should consider:

- Differentiate between **systematic** and **random errors**
 - Consider the **correlation between input parameters**
 - Covariance between the parameters and measurements errors
-
- ❑ Monte Carlo versus derivative approach

Final uncertainty (U_{final})

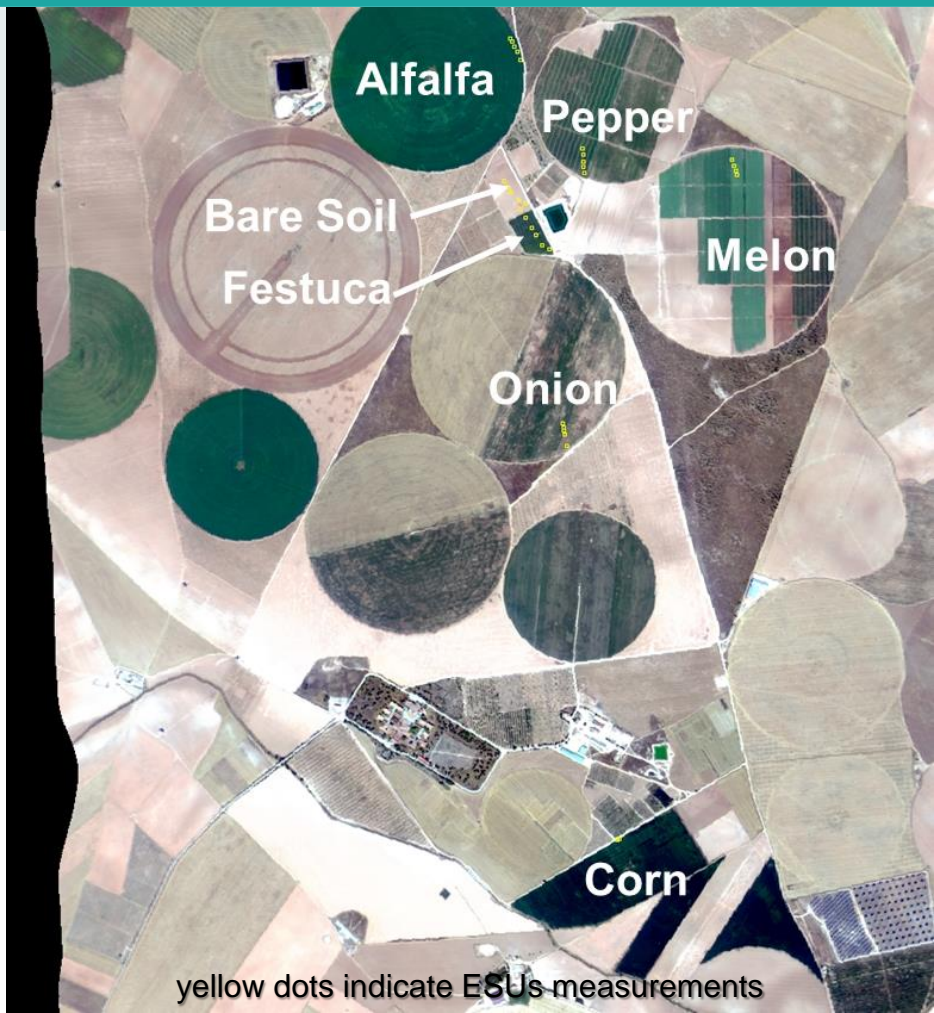
Apparent reflectance and **fluorescence** final uncertainty is defined as:

$$U_{final} = \sqrt{(u_{instruments})^2 + (u_{representativity})^2 + (u_{retrieval})^2}$$

- ❑ Summation in quadrature of **independent uncertainty error sources**
- ❑ Final uncertainty should be provided as **extended uncertainty** at **95%** confidence interval.

Las Tiesas experimental farm, Barrax (Spain)



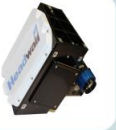
- **ESUs size:** 2m x 2m, which corresponds to the spatial resolution of the CFL airborne hyperspectral sensor.
- **Crops selected:** [Melon \(M\)](#), Pepper (P), Alfalfa (Al), Corn (C), and Onion (O).
- **Reference surfaces:** Festuca (F) and Bare Soil (BR).



yellow dots indicate ESUs measurements

UAV & Aircraft



		
AHS Airborne Hyperspectral Scanner Sensytech	CASI 1500i Compact Airborne Spectrographic Imager ITRES	CFL-005 High- Resolution Chlorophyll Fluorescence Sensor Headwall

Irradiance & Atmosphere



Leaf

FluoWat



Independent of retrieval methods

Top of Canopy

ASD



FLoX



Piccolo – FluoCat system



FluoCat system

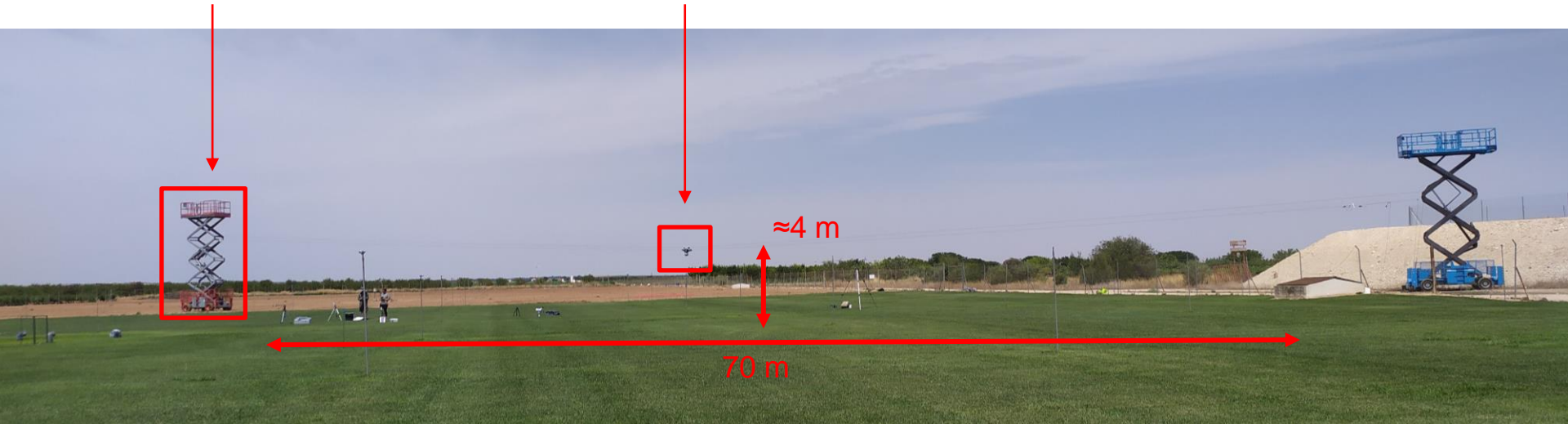
- ❑ Autonomous platform over a zip line
- ❑ Instrumentation (one trigger for all):
 - **Piccolo: Fluorescence + VNIR**
 - MAIA S2: Multispectra camera w/ Sentinel-2 bands
 - TeaX Thermal Capture Fusion: FLIR Tau 2 + Sony RGB
 - Environmental sensor: Pressure, Humidity, Temperature



Instrumentation interchangeable with the UAV system

Zip-line Tower

FluoCat



❑ **Programmable:**

- start/stop locations
- number of stops
- number of cycles
- delay between cycles
- Manual control through wireless console
- Auto recharge when battery is low.
- Automatically resumes task.

Piccolo's footprint: ~1.75 m at 4 m height

- ✓ **Spatial representativity low height**
- ✓ **No atmospheric correction needed**

FluoCat measurement protocol

Point-wise

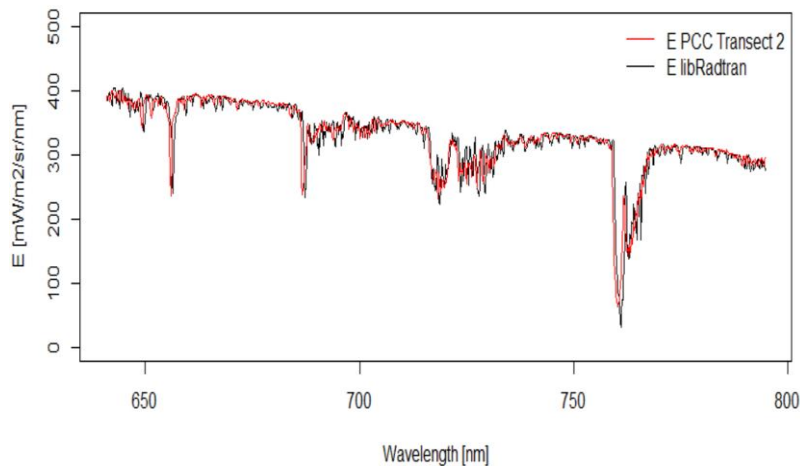


- Melon field
- 1 defined transect (70 m)
- 4 repetitions ~ rounds \Rightarrow R1, R2, R3, R4
- 8 stops points over vegetation (~15 measurements at each stop point)

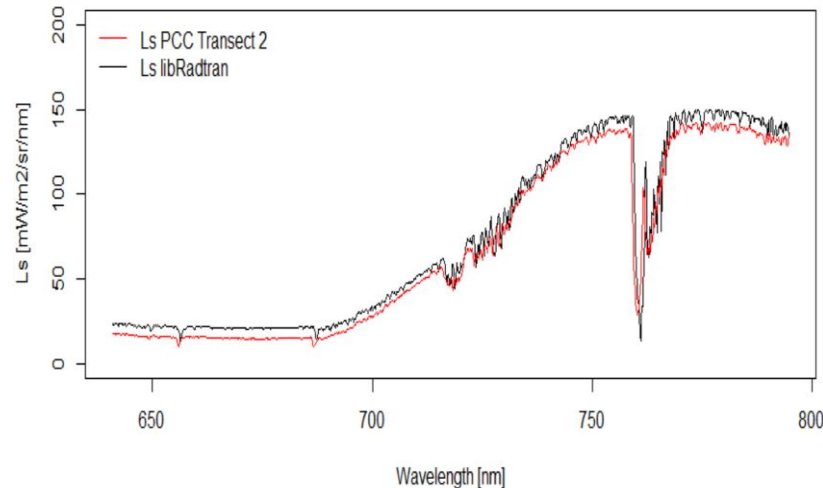
Instrument uncertainty

- ❑ Fluorescence module downwelling and upwelling radiance
- ❑ Comparison between Piccolo vs LibRadtran

Downwelling radiance



Upwelling radiance



- Consistent **radiance values** between Piccolo measurements and LibRadtran simulations
- LibRadtran simulations can be used to verify spectral calibration
- **Systematic error do not dominate Piccolo measurements**

Instrument uncertainty

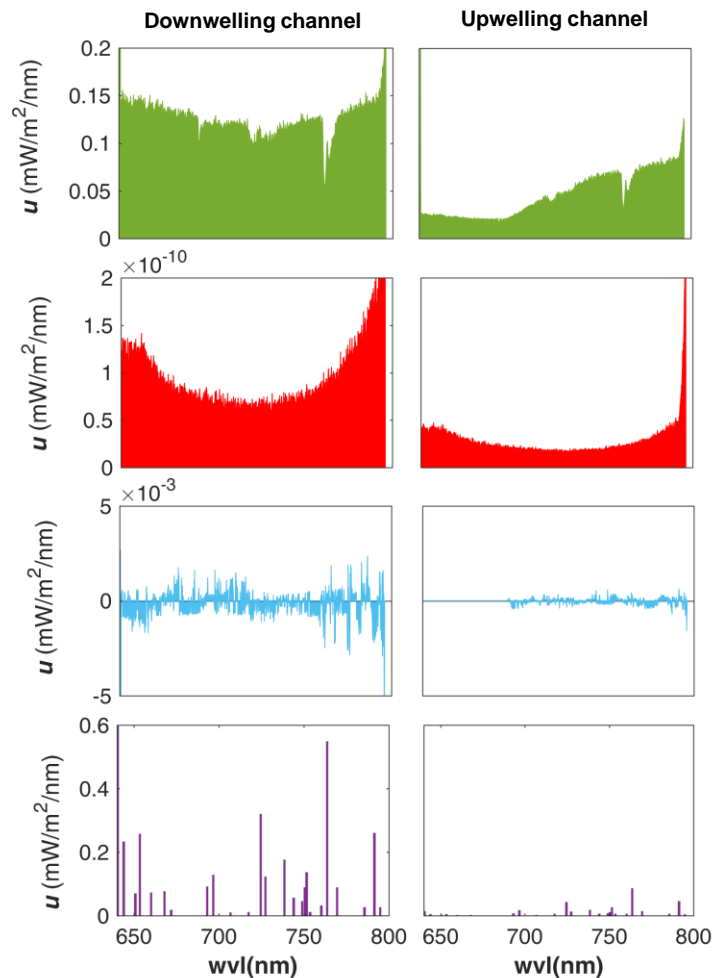
❑ Fluorescence module downwelling and upwelling radiance

- Repeatability-noise (u_{rep})
- Radiometric calibration (u_{rad})
- Non-linearity ($u_{\text{non-lin}}$)
- Spectral calibration (u_{spec})

❑ Results:

- $u_{\text{rad}} < u_{\text{non-lin}} < u_{\text{spec}} < u_{\text{rep}}$
- $u_{\text{rep}} \downarrow \text{radiance} > \uparrow \text{radiance}$

~ same stop point = captures small changes in illumination conditions but vegetation target is homogeneous.



Representativity uncertainty

❑ Fluorescence module downwelling and upwelling reflected radiance

Point-wise

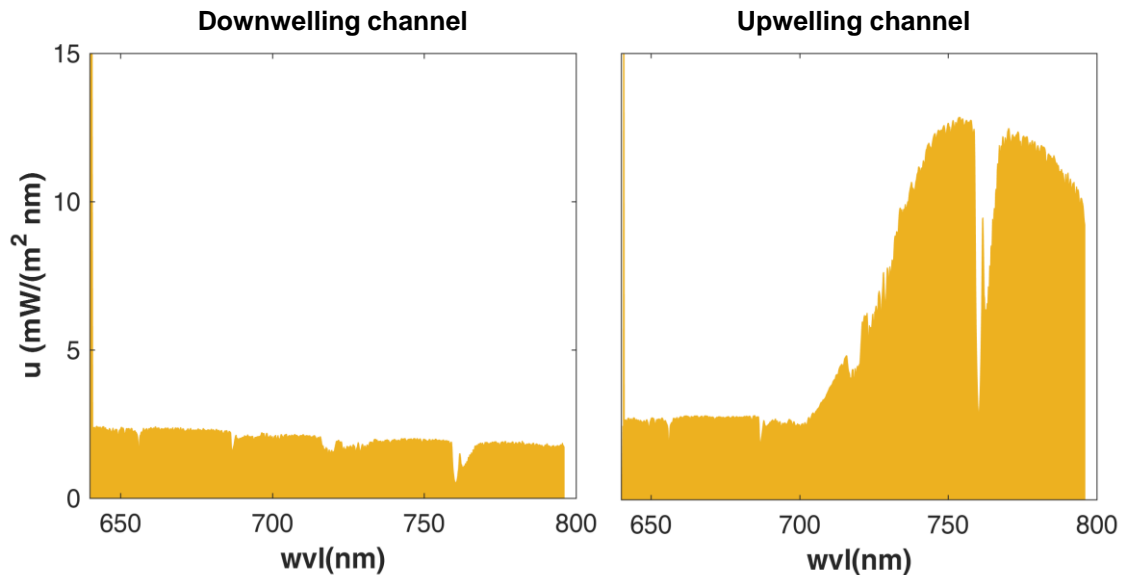


❑ Results:

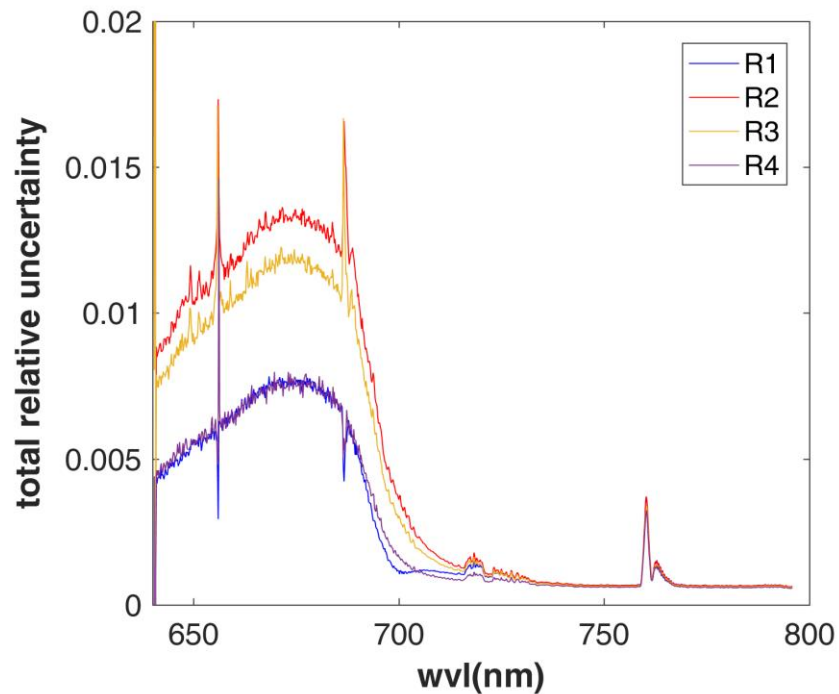
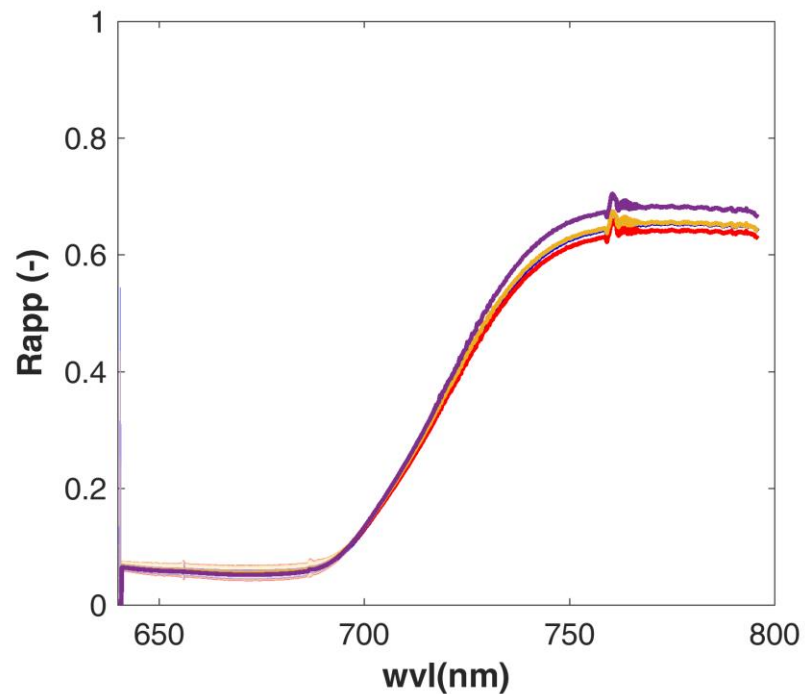
- $u_{\text{representativity}} \downarrow$ radiance < \uparrow radiance

~ different stop point = captures vegetation target heterogeneity and illumination conditions are stable along the transect.

- **Natural variability is of larger order than instrumental**

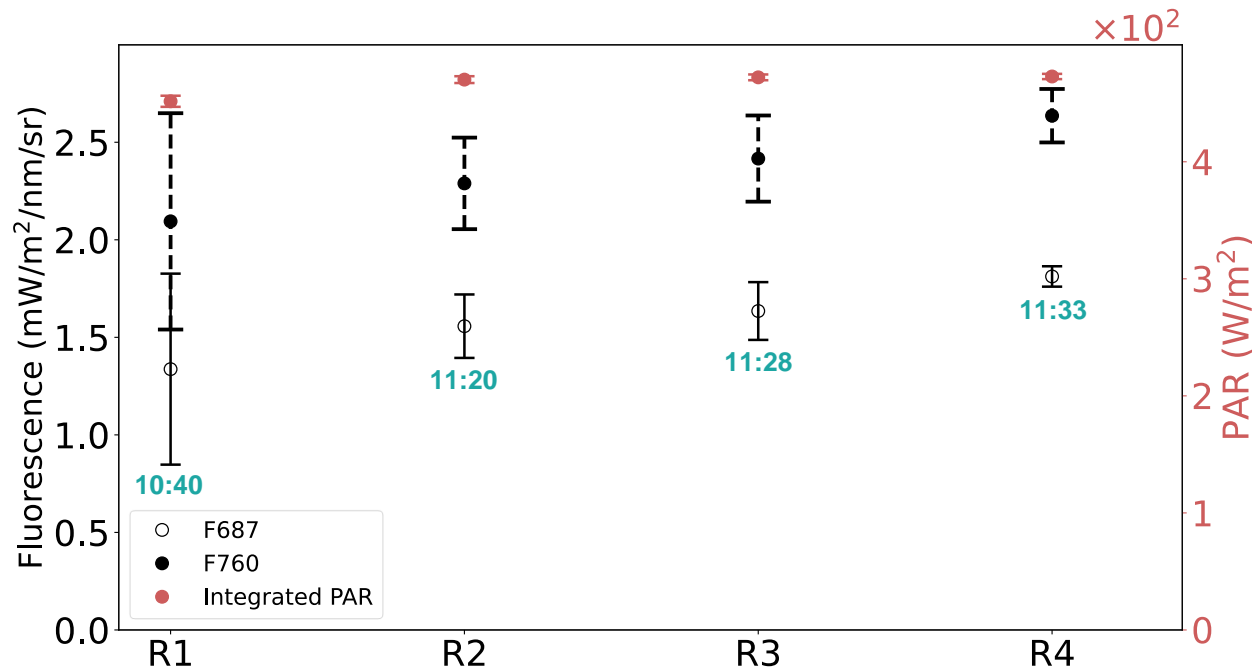


Fluo module: Apparent Reflectance (Rapp)



- ❑ Similar Rapp curves between transect rounds
- ❑ Higher uncertainty in red (low signal) wavelengths

Fluorescence



- ❑ Fluorescence uncertainty reflects the transect heterogeneity
- ❑ FluoCat is capable of capturing fluorescence 2nd order changes while adapting to PAR variation

Conclusions:

- ❑ **Multisensor** approach to tackle spatial issues.
- ❑ **Spatial heterogeneity characterization is mandatory** ($u_{\text{representativity}} > u_{\text{instrument}}$).
- ❑ **Final product** should be a **fluorescence** and **apparent reflectance** value for a **300x 300 m** pixel + **confident interval**.
- ❑ A **rigorous statistical analysis** is mandatory (error characterization, error propagation).

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