

living planet symposium | BONN

23-27 May
2022

TAKING THE PULSE
OF OUR PLANET FROM SPACE



EO data driven workflows for calculating SDG 11 indicators at an intra-urban scale

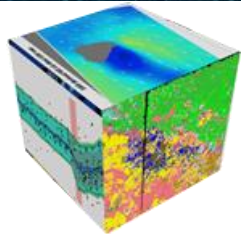
Mariella Aquilino, Mattia Santoro, Maria Adamo, Paolo Mazzetti, Cristina Tarantino
Institute of Atmospheric Pollution Research of National Research Council of Italy

May 25th, 2022



Institute of Atmospheric Pollution Research
National Research Council of Italy

Introduction to our organization



CNR-IAA Earth Observation team

Our skills range from calibration, analysis, and publication of data, products, and models (algorithms) in the GEO and EuroGEOSS international frameworks to facing the upcoming Sustainable Development Goals (SDGs) challenges.



<http://geoitaly.iaa.cnr.it/>

2 Societal Benefit Areas (SBAs) framed by the «UN 2030 Agenda for Sustainable Development» GEO engagement priority strategy:



Sustainable Urban Development



Biodiversity and Ecosystem Sustainability



Welcome to

GEO ITALY

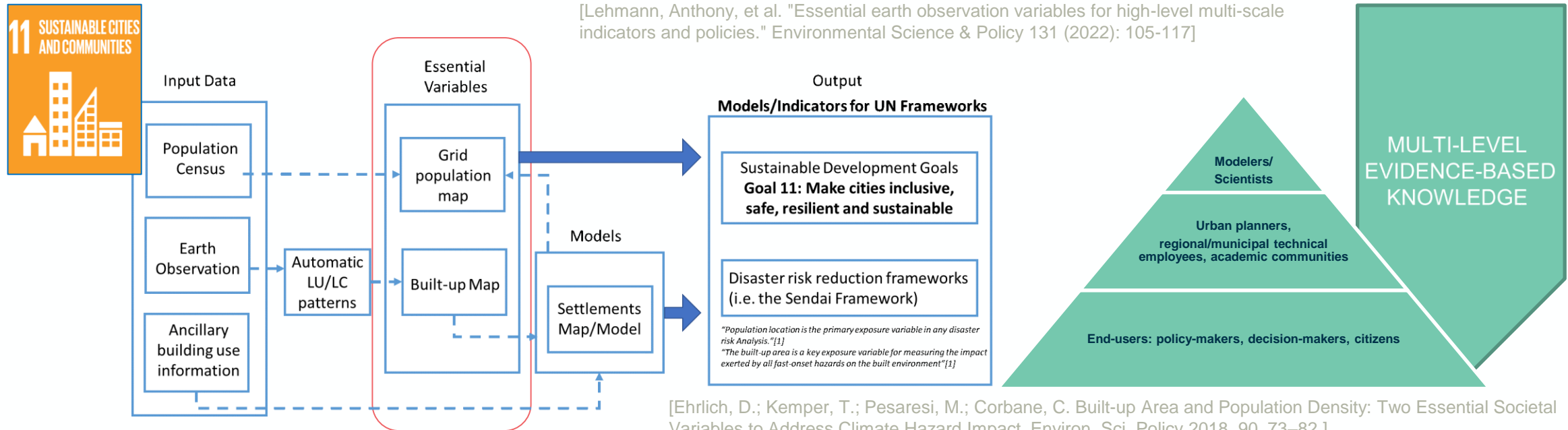
The Italian Group on Earth Observations



→ THE EUROPEAN SPACE AGENCY



[Lehmann, Anthony, et al. "Essential earth observation variables for high-level multi-scale indicators and policies." Environmental Science & Policy 131 (2022): 105-117]



[Ehrlich, D.; Kemper, T.; Pesaresi, M.; Corbane, C. Built-up Area and Population Density: Two Essential Societal Variables to Address Climate Hazard Impact. Environ. Sci. Policy 2018, 90, 73–82.]



Institute of Atmospheric Pollution Research
National Research Council of Italy

Potential stake-holders

Modelers/
Scientists

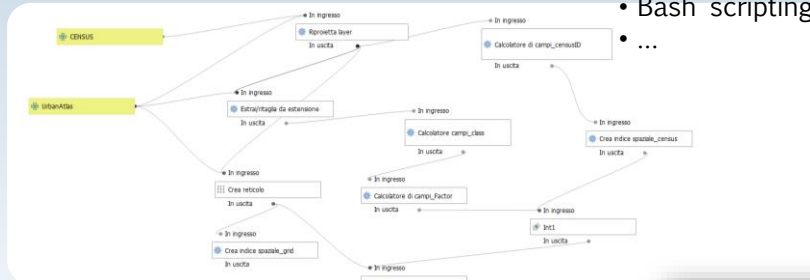
Urban planners,
regional/municipal
technical employees,
academic
communities

End-users: policy-makers,
decision-makers, citizens

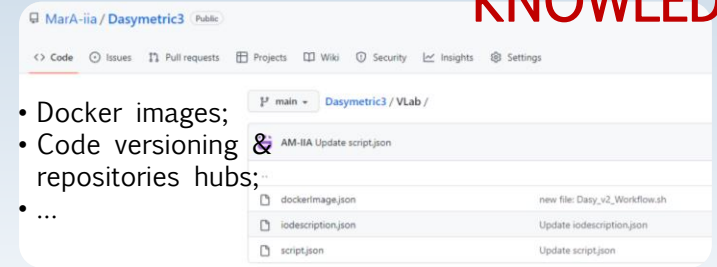
Expertise level in EO domain

Developer/Modelers ENVIRONMENT

- Graph processing modeler;
- Python scripts;
- Bash scripting;
- ...



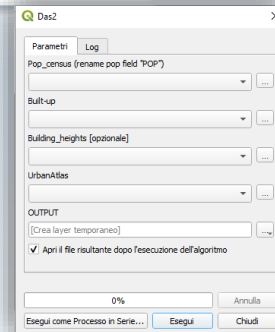
Platforms/Interfaces to share WORKFLOWS and generate KNOWLEDGE



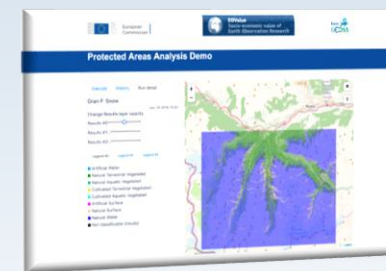
- Docker images;
- Code versioning & repositories hubs;
- ...



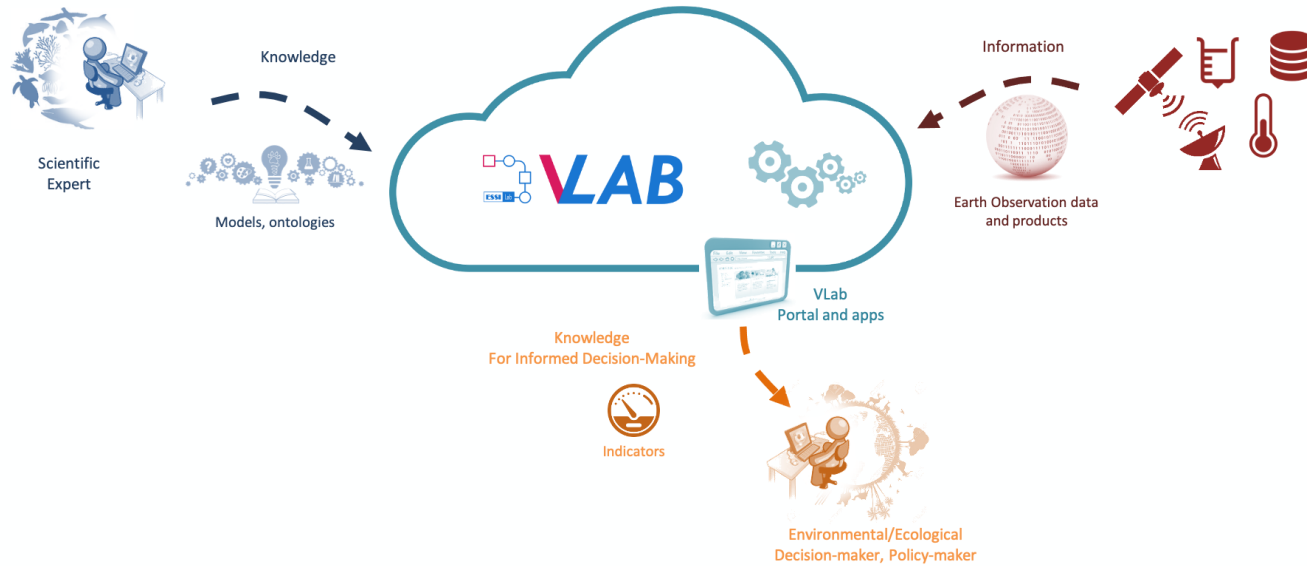
<https://plugins.qgis.org/plugins/dasy/>



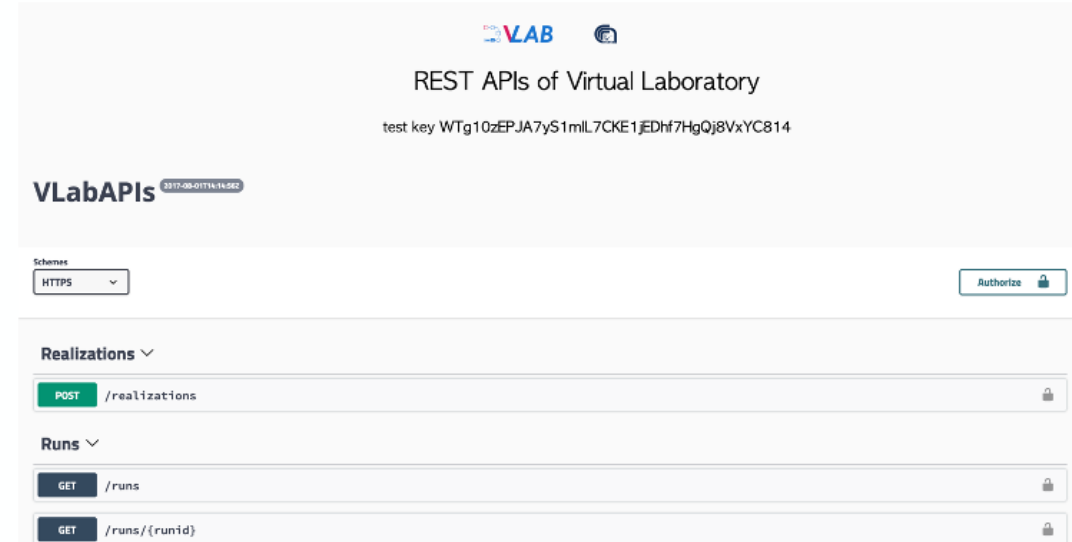
Web Application Interfaces



VLab is a software framework for the orchestration of data access and model invocation services that leverages the capabilities of existing cloud platforms to face important Big Earth data challenges. In particular, the framework addresses scientific model interoperability making them more usable with minimal agreements.



VLab was successfully experimented on different cloud platforms



VLab functionalities are published as RESTful APIs which can be used to build applications exploiting available models, e.g.:

- Dashboards
- Web Apps
- Etc.

VLab APIs are documented according to Open API specification and can be tested online at

<https://vlabapi.geodab.org/>

GAPS

Indicators

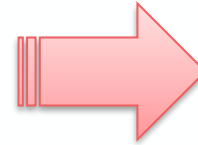
- In the framework of the United Nations (UN) 2030 Agenda for Sustainable Development and the New Urban Agenda (Habitat III), **local and regional authorities require indicators at the intra-urban scale to design adequate policies in support of the Sustainable Development Goal (SDG) 11**. However, the current literature provides mainly national, regional and city scale indicators.

EO data

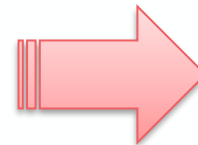
- Earth Observations (EO) data have been recently recognized as an essential source of information to achieve the SDG 11 targets and progress measurements with respect the SDG 11 indicators. However, the **complexity of EO data handling and processing in SDGs monitoring and reporting mechanisms** makes difficult a direct integration in evidence-based decision-making processes.

Statistics and ancillary data providers

- Lack of population data at sub-city scale from National Institutes of Statistics. In Italy, the last official national census collection occurred in 2011.
- Lack of standardization in the aggregation levels, geometries, and definitions of input data for indicators.



- ## PROPOSED SOLUTIONS
- ✓ **INTRA-URBAN** scale indicators' implementation.
 - ✓ **DISAGGREGATION** per population groups.

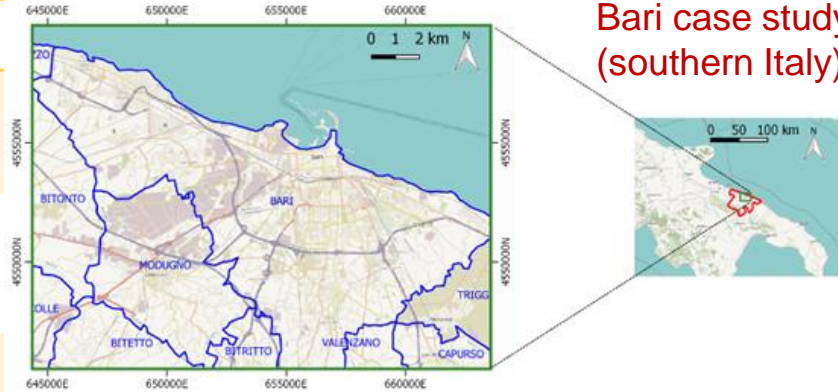


- ✓ **AUTOMATIC** workflows for those not-expert users in EO field domain.



- ✓ **FLEXIBILITY IN INPUT:** ingestion of data in a wide variety of resolutions and formats
- ✓ **OPEN DATA** demo usage

SDG 11 Targets	SDG 11 Indicators	Quantifiable Derivatives (Sub-Indicators)	Other Ancillary Data
T11.1: Safe and affordable housing transport systems	11.1.1. Proportion of urban population living in slums, informal settlements or inadequate housing.	1. Proportion of households with non-durable housing	<ul style="list-style-type: none"> Building layer with information on building use i.e., residential, commercial, industrial, decaying building
		2. Proportion of households living in housing residing on or near hazardous areas	<ul style="list-style-type: none"> Building layer/settlement map Hazard maps
		3. Proportion of households with insufficient living space	<ul style="list-style-type: none"> Building layer/settlement map Building heights layer (optional)
T11.2: Affordable and sustainable	11.2.1. Proportion of population that has convenient access to public transport by sex, age and persons with disabilities	1. Proportion of population that has convenient access to public transport	<ul style="list-style-type: none"> Street network layer Bus stop map Metro/tramway stop map
T11.3: Inclusive and sustainable urbanization	11.3.1. Ratio of land consumption rate to population growth rate	1. Ratio of land consumption rate to population growth rate (LCRPGR)	<ul style="list-style-type: none"> Settlement map
T11.6: Reduce the environmental impacts of cities	11.6.2. Annual mean levels of fine particulate matter (e.g., PM2.5 and PM10) in cities (population-weighted)	<ol style="list-style-type: none"> Annual average exposure to PM2.5 (population-weighted) Annual average exposure to PM10 (population-weighted) 	<ul style="list-style-type: none"> Annual mean levels of fine particulate matter maps. Source: Local Agency of Environmental Protection



Bari case study (southern Italy)

+ 2 city followers: Bologna and Reggio Calabria (Italy)

Open data municipality providers:

- <https://opendata.comune.bari.it/>
- <http://dati.reggiocal.it/>
- <https://opendata.comune.bologna.it/pages/home/>

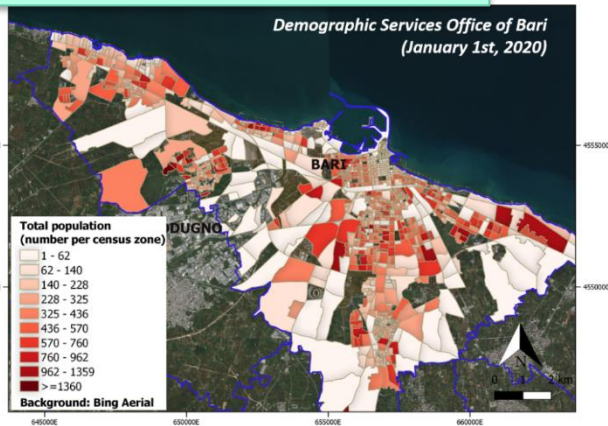
[Figueirido, L.T. Honiden and A. Schuman (2018), «Indicators for Resilient Cities», OECD Regional Development Working Papers, 2018/2, OECD Publishing, Paris].

*Input from EO

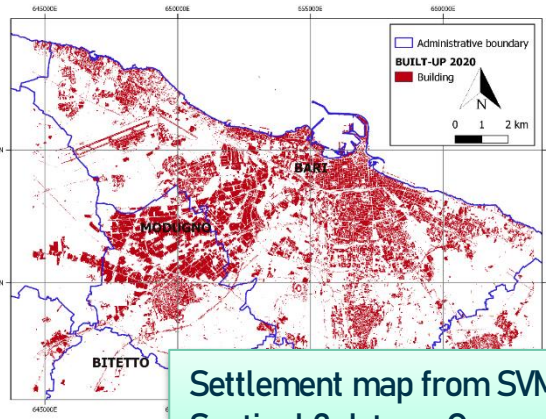
Essential variables for SDG 11 indicators: population mapping

Aquilino, M.; Adamo, M.; Blonda, P.; Barbanente, A.; Tarantino, C. Improvement of a Dasymetric Method for Implementing Sustainable Development Goal 11 Indicators at an Intra-Urban Scale. Remote Sens. 2021, 13, 2835, doi:10.3390/rs13142835.

Population data per census zone

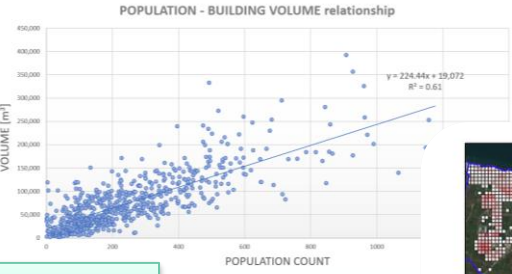


Building Use	Corr _{factor}
Residential	1
Rural	0.7
Industrial, Commercial and Leisure	0.1
Roads, Railways, Port and Airport	0.01
Other	0



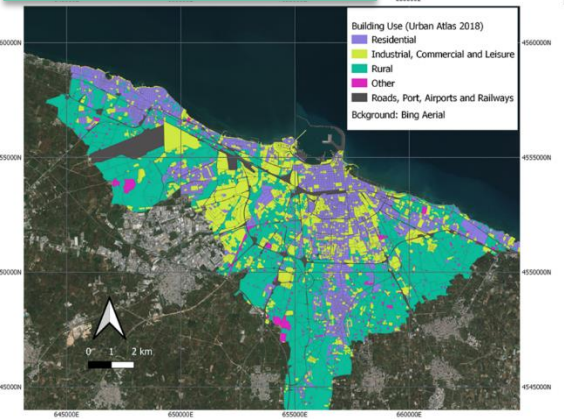
Settlement map from SVM on Sentinel 2 data or Copernicus ESM

Building heights [optional input]

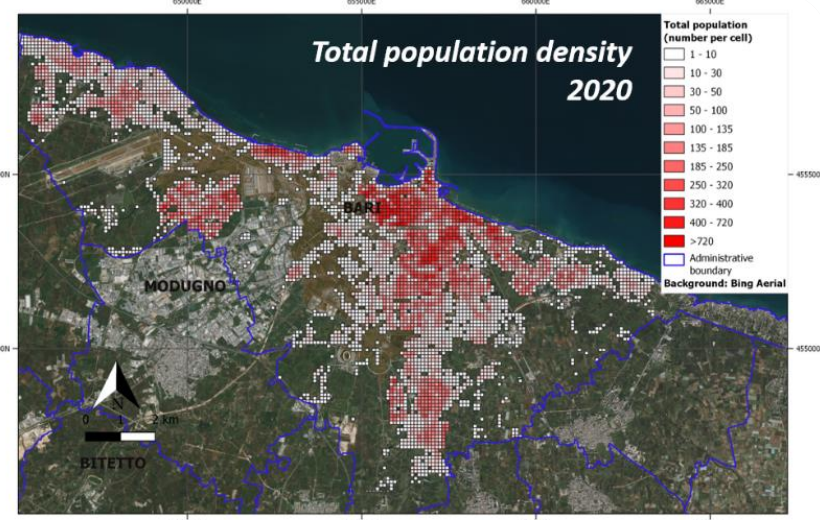


AUTOMATIC WORKFLOW

Copernicus Urban Atlas



OUTPUT:
grid population density map at 100 m x 100 m of spatial resolution



Uncertainty Estimation

	Dasymetric Version 1.0 (Based on Building Footprint Area)	Dasymetric Version 2.0 (Based on Building Volume)
MAE	6.2 (population count)	2.3 (population count)
RMSE	16.0 (population count)	4.1 (population count)
MAPE	23.0%	8.6%

$$MAE = \frac{1}{N} \sum_n |P_{n,grid} - \widehat{P}_{n,grid}|$$

$$RMSE = \sqrt{\frac{1}{N} \sum_n (P_{n,grid} - \widehat{P}_{n,grid})^2}$$

$$MAPE = \frac{100}{N} \sum_n \left| \frac{P_{n,grid} - \widehat{P}_{n,grid}}{P_{n,grid}} \right|$$

During the UN-Habitat III conference in October 2016, the European Union, the OECD and the World Bank launched a voluntary commitment to develop a global, people-based definition of cities and settlements. This commitment will support the implementation of the New Urban Agenda. It will also support the monitoring and comparison of the urban Sustainable Development goal. Several of the indicators linked to this goal are highly sensitive to such definitions [European Commission, CROS. Collaboration in Research and Methodology for Official Statistics].

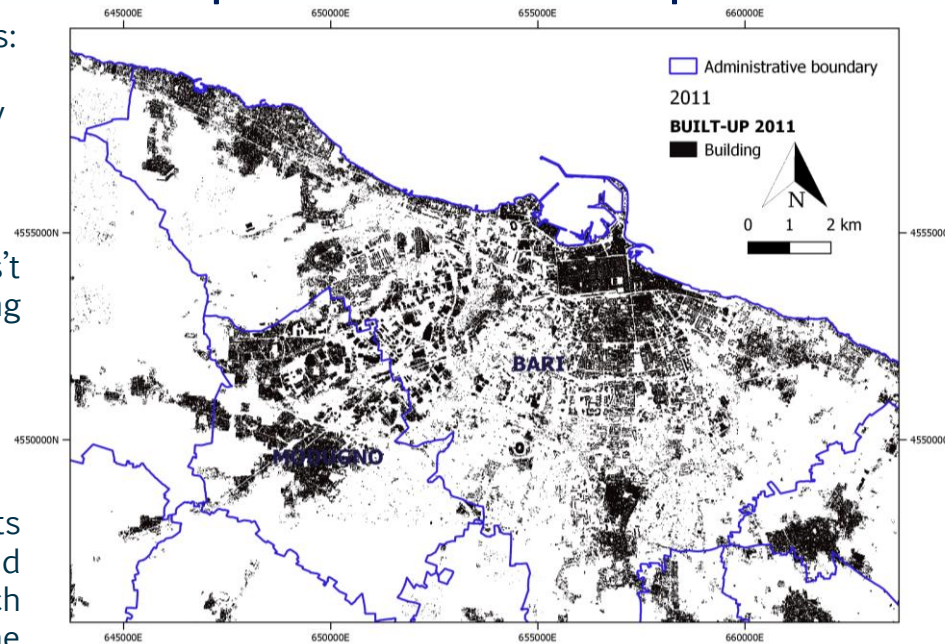
EO data scientists/ modelers

- Semi-automatic classification approaches:
 - **DATA-DRIVEN** pixel-based (applicable only if ground truths are available)
 - **KNOWLEDGE-DRIVEN** Object-based (doesn't require a training datasets).

EO-domain not-expert users

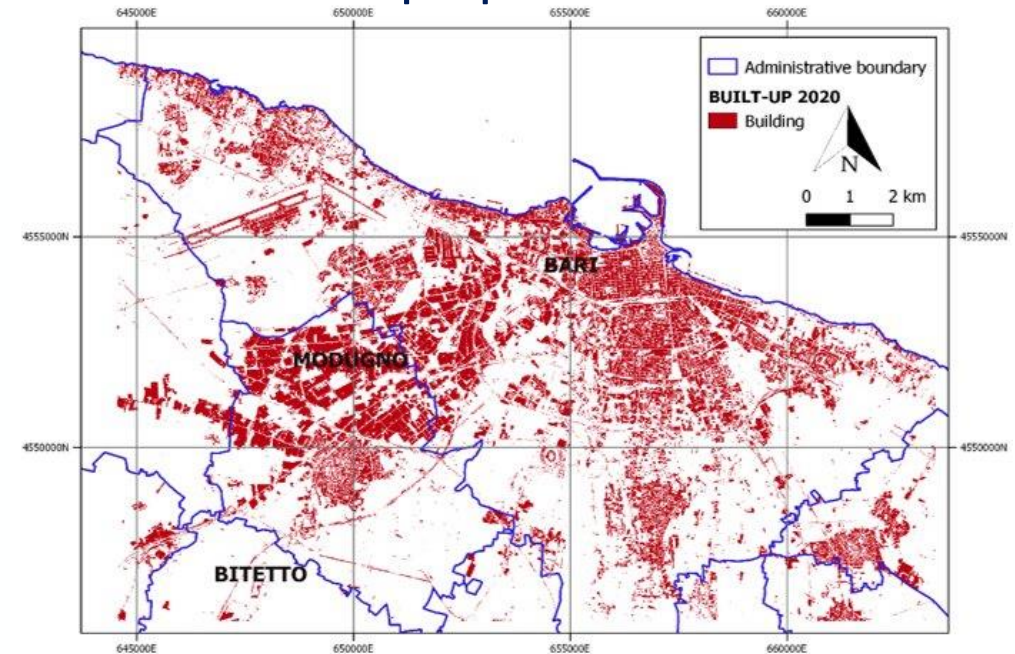
- Institutional products such as ESM provided by the Joint Research Center (JRC) of the European Commission (EC). Distributed by Copernicus Land Monitoring Service.

European Settlement Map (ESM)

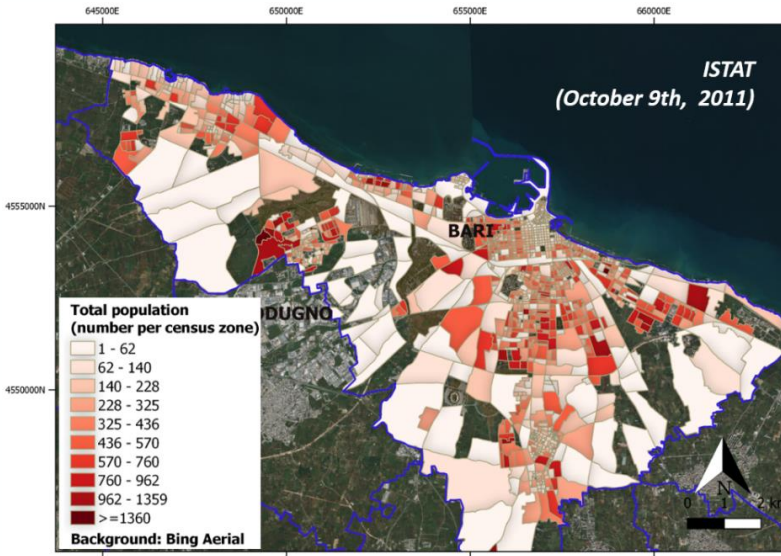


Source: European Settlement Map 2011-2012 (Release 2017, Joint Research Center)

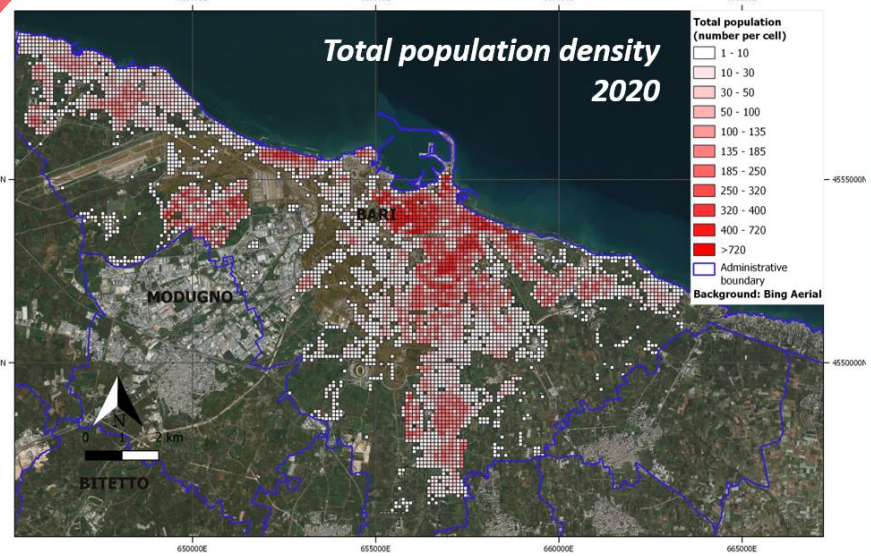
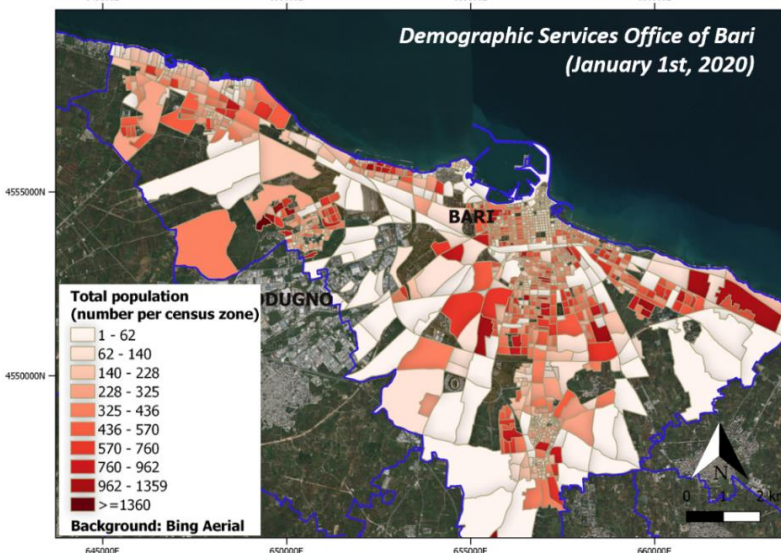
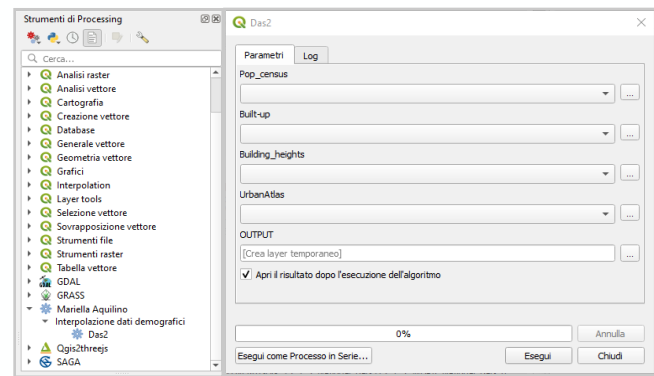
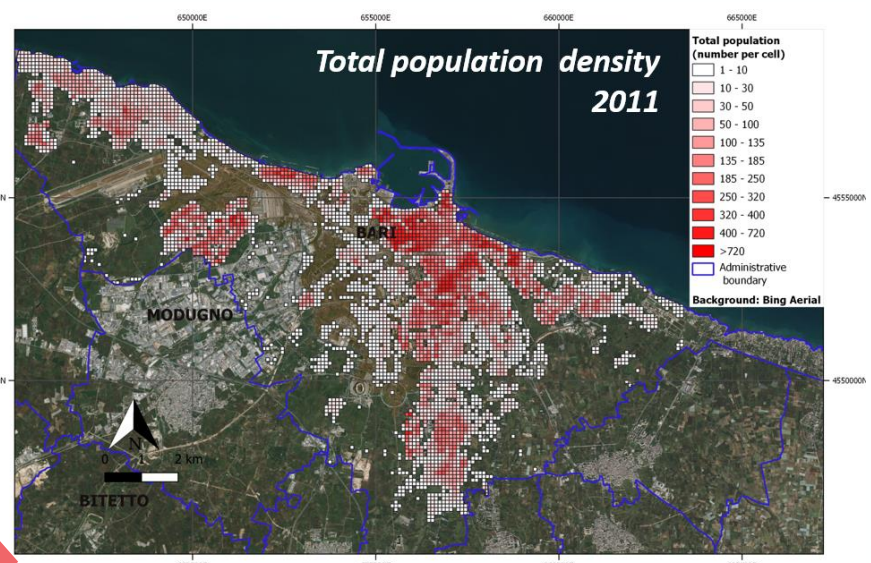
Settlement map updated from EO data



Source: CNR-IIA automatic multi-purpose classification based on SVM algorithm applied to multi-temporal Sentinel 2 data.



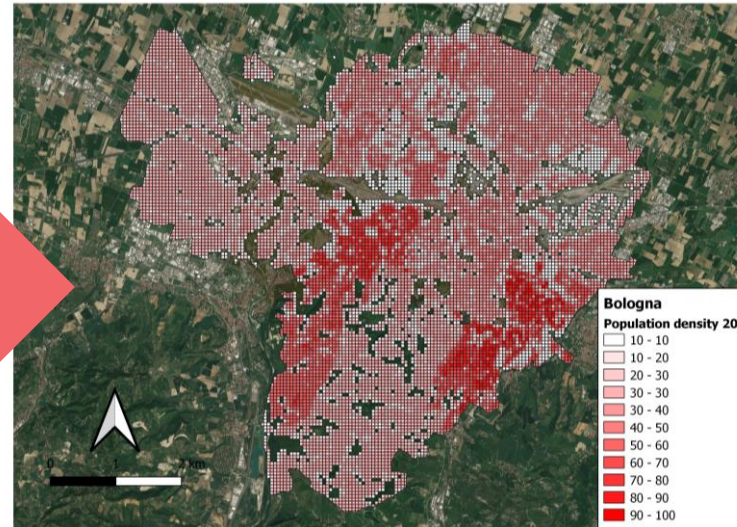
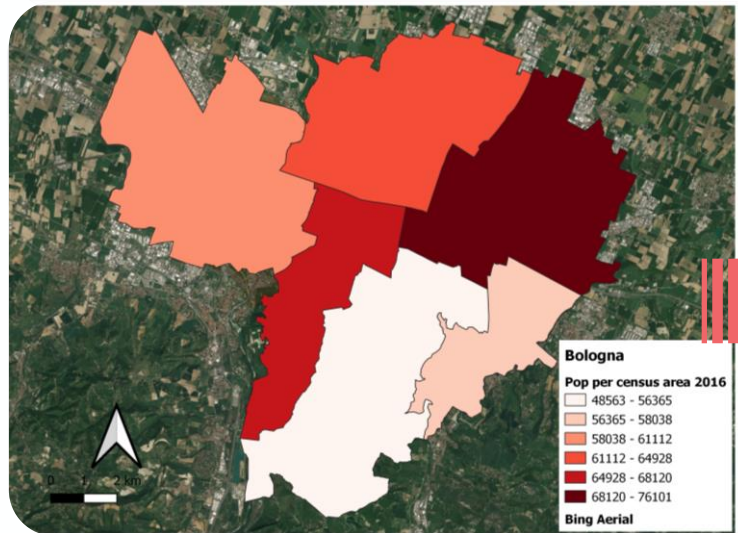
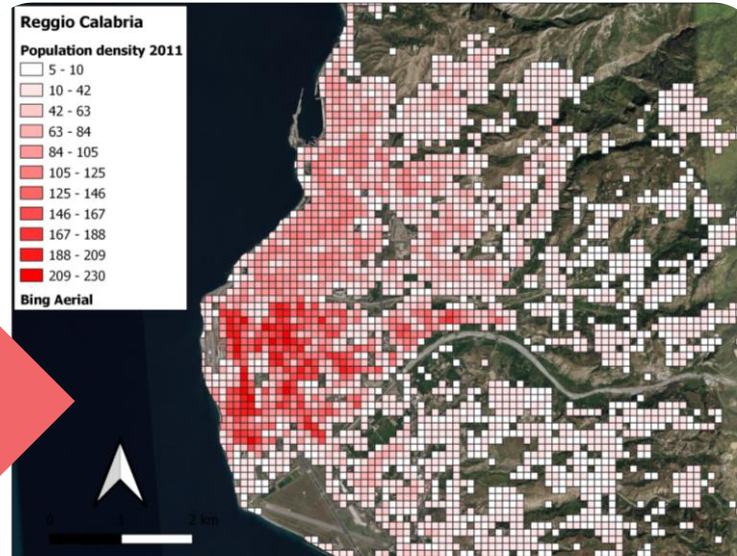
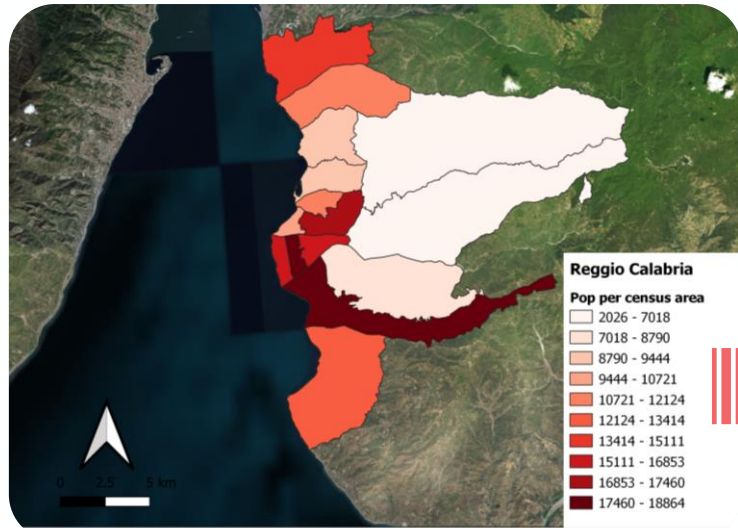
Mapping fluxes over the time



<https://plugins.qgis.org/plugins/dasy/>

FLEXIBILITY IN INPUT:
GDAL/OGR standard formats are accepted such as GeoTiff, Shapefile, Geopackage, etc.





New Experiment Wizard

Select Workflow (1) | Inputs (2) | Review (3)

* Experiment Name:

* Experiment Description:

POP vector input (file)

OPTIONAL POP field (string of attribute field name from POP vector input). Default value: 'POP'

* Urban Atlas vector input (file)

OPTIONAL Class code field (string of attribute field name from Urban Atlas input). Default value: 'code_2018'

* Buildings raster input (file)

OPTIONAL Building heights raster input

OPTIONAL Residential weight (number). Default value: 1.0

OPTIONAL Rural_weight (number). Default value: 0.7

OPTIONAL Industrial, commercial and leisure weight (number). Default value: 0.1

OPTIONAL Weight for other building use areas. Default value: 0.01

Diagram: POP_GRID and log files feed into a 'Dasy3' process block.

Logo: ESSI Lab LAB

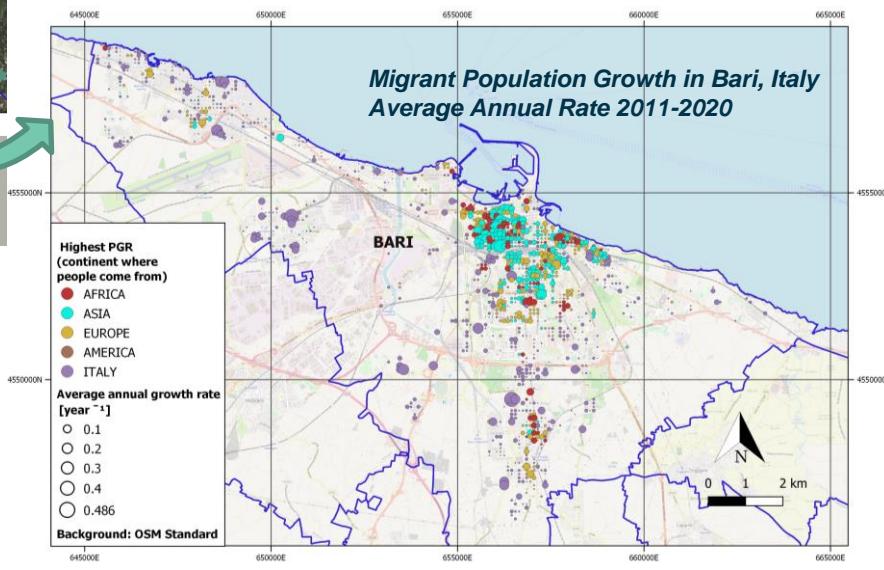
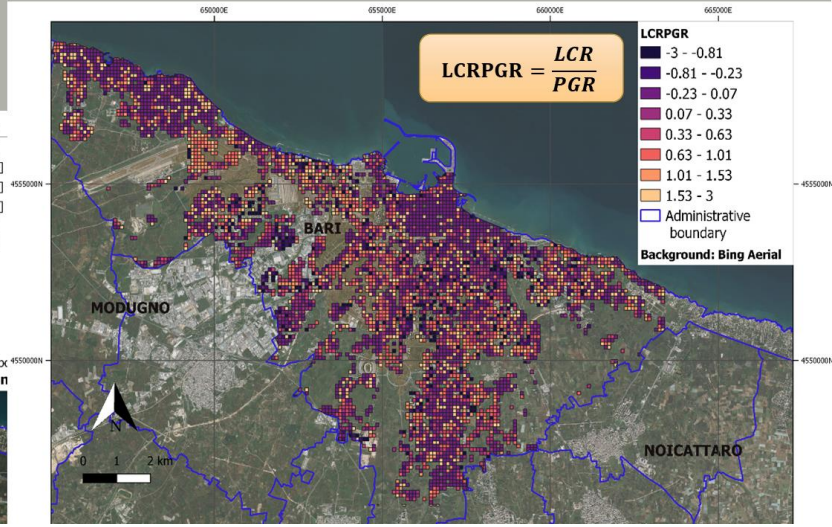
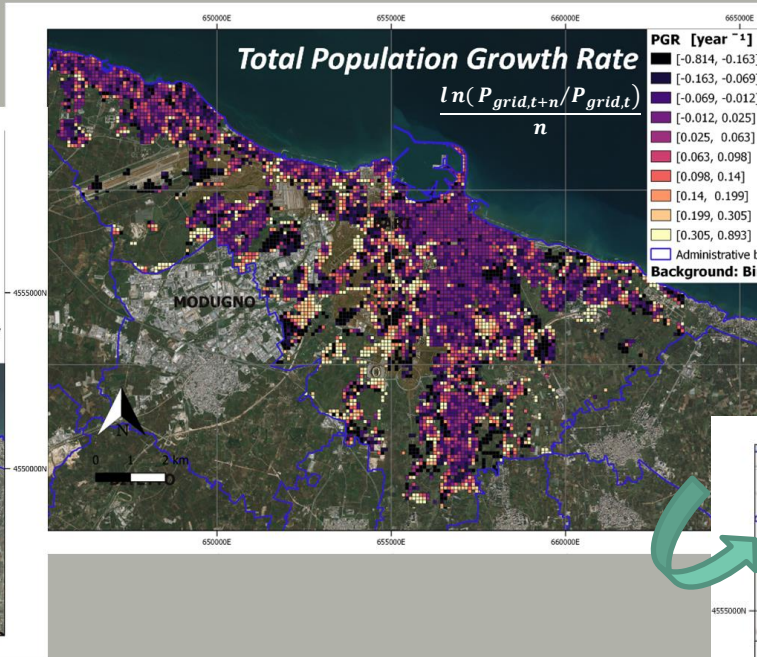
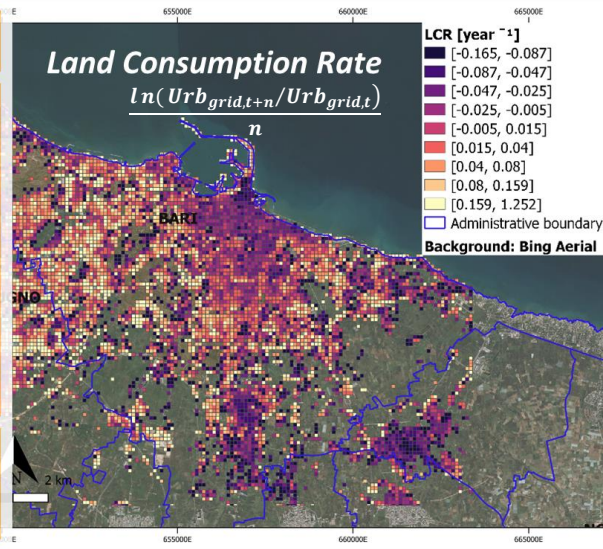
Buttons: Cancel, < Back, Next >

T
11.3

INDICATOR 11.3.1: LAND CONSUMPTION RATE TO POPULATION GROWTH RATE (LCRPGR)

TARGET 11-3

INCLUSIVE AND SUSTAINABLE URBANIZATION



Essential variables

- Grid population map
- Settlement map

City scale value in support to comparative statistics

Quantifiable Derivatives	Value at City Scale	Temporal Reference (Population Data)
11.3.1. Ratio of land consumption rate to population growth rate	0.40 (Average value)	Interval between 2011 and 2020

UN Habitat, 2018a. SDG 11.3.1. metadata (2018 release). Available from: https://unhabitat.org/sites/default/files/2020/07/metadata_on_sdg_indicator_11.3.1.pdf.

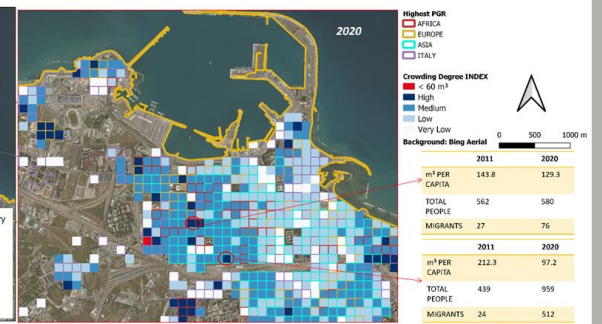
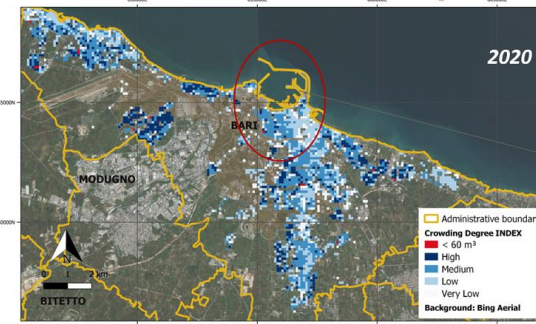
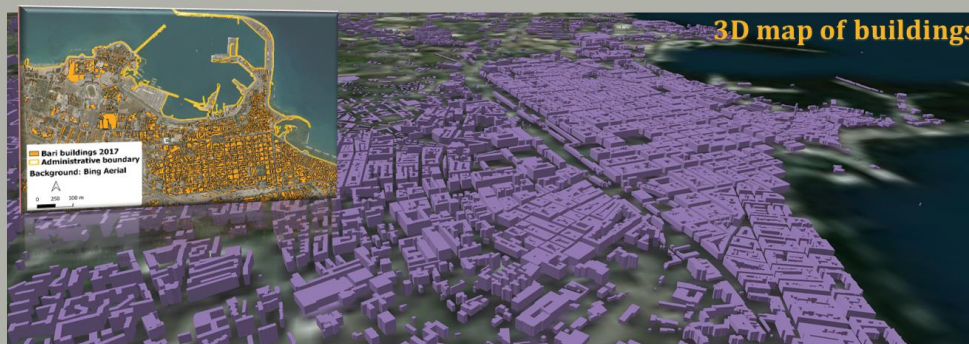
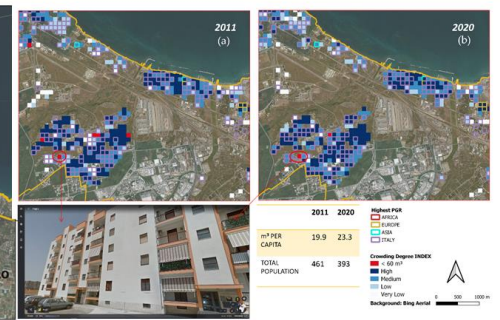
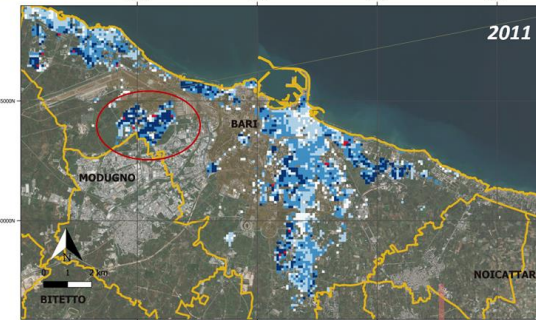
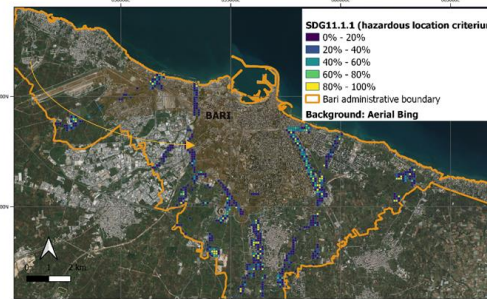
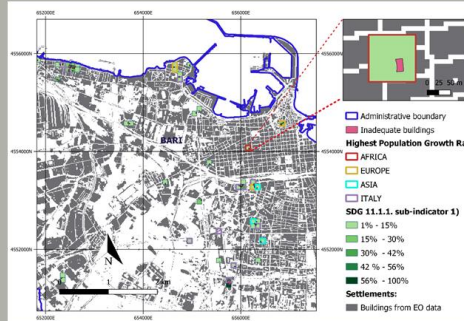
T
11.1

INDICATOR 11.1.1: PROPORTION OF URBAN POPULATION (TOTAL) LIVING IN INADEQUATE HOUSING HOUSEHOLDS

1) Proportion of households with non-durable housing

2) Proportion of households living in housing residing on or near hazardous areas

3) Proportion of households with insufficient living space



TARGET 11-1



SAFE AND AFFORDABLE HOUSING

Essential variables

- Grid population map
- Settlement map

Input from local providers

- Building layer with information on building use i.e., residential, commercial, industrial, decaying buildings
- Hazard maps
- Building heights layer (optional)

Quantifiable Derivatives	Value at City Scale	Temporal Reference (Population Data)
1. Proportion of households with non-durable housing	0.2%	2020
2. Proportion of households living in housing residing on or near hazardous areas	1%	2020
3. Proportion of households with insufficient living space	1.8% 0.88% (2848 people)	2011 2020

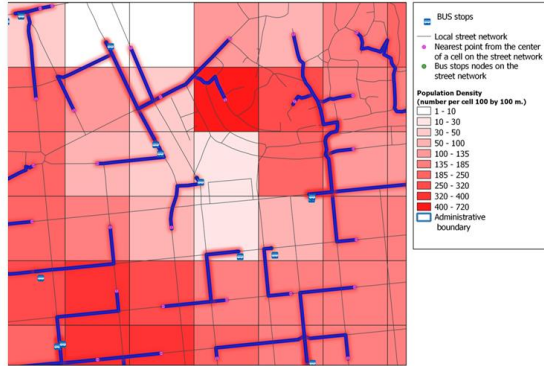
[UN Habitat, 2018b. SDG 11.1.1. metadata (2018 release). Available from: https://unhabitat.org/sites/default/files/2020/06/metadata_on_sdg_indicator_11.1.1.pdf]

T INDICATOR 11.2.1: PROPORTION OF THE (TOTAL/MIGRANT) POPULATION THAT HAS CONVENIENT ACCESS TO PUBLIC TRANSPORT

11.2

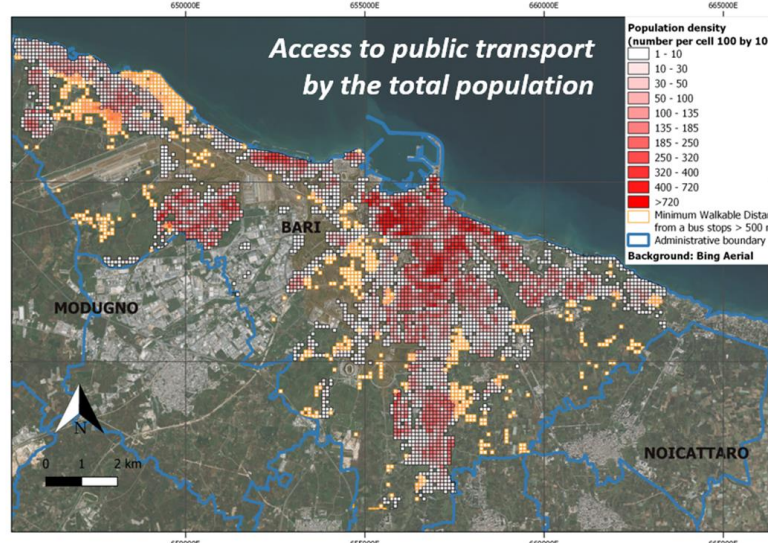
TARGET 11-2

AFFORDABLE AND SUSTAINABLE TRANSPORT SYSTEMS

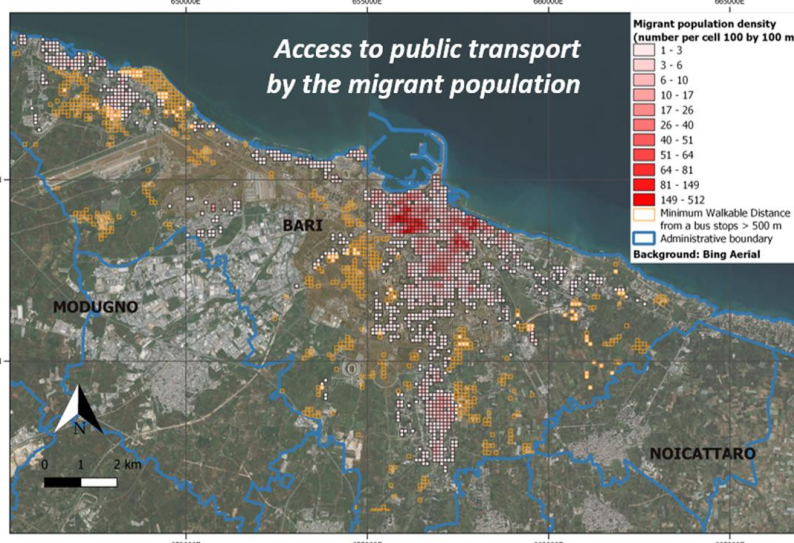


Required Input: Local road network map or OpenStreetMap; Bus stops geolocations.

1) Proportion of total population people that do not have convenient access to public transport



2) Proportion of migrant population people that do not have convenient access to public transport



Essential variables

- Grid population map

Input from local providers

- Local road network map
- Bus/Train/Tram/Metro stops map

City scale value in support to comparative statistics

Quantifiable Derivatives	Value at City Scale	Temporal Reference (Population Data)
1. Proportion of population that has convenient access to public transport	3% (10,135 people)	2020

[UN Habitat, 2020. SDG 11.2.1. metadata (2020). Available from: https://unhabitat.org/sites/default/files/2020/06/metadata_on_sdg_indicator_11.2.1.pdf]

T 11.6 INDICATOR 11.6.2: ANNUAL MEAN LEVELS OF FINE PARTICULATE MATTER (E.G. PM2.5 AND PM10) IN CITIES (POPULATION WEIGHTED)

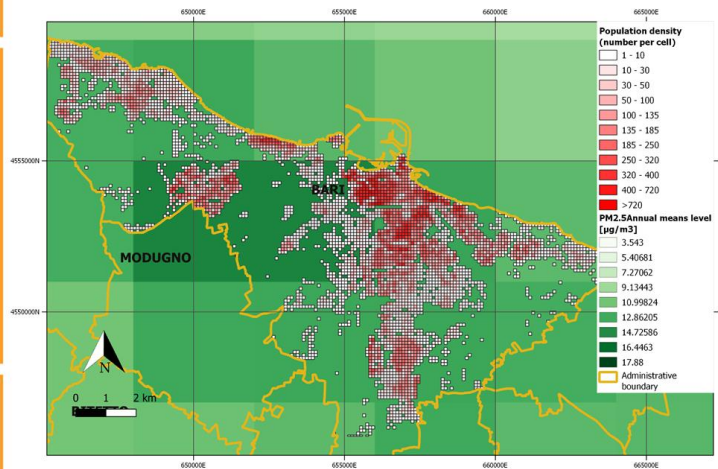
11.6

TARGET 11-6

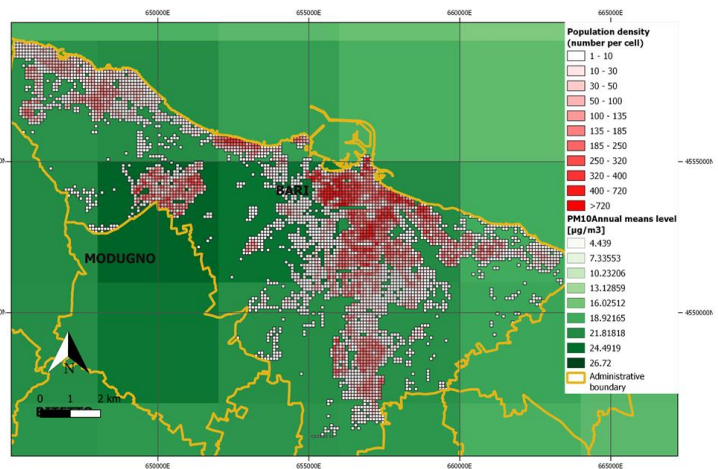


REDUCE THE ENVIRONMENTAL IMPACT OF CITIES

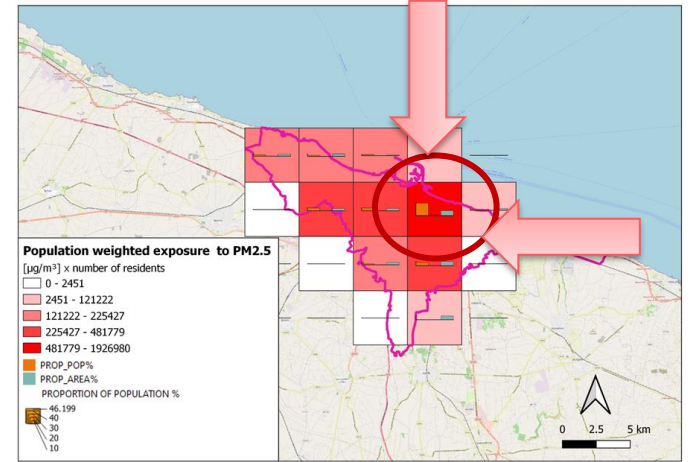
1) Annual mean levels of PM 2.5 in cities



2) Annual mean levels of PM 10 in cities



Population Weighted Exposure Levels (PWELs)



Essential variables

- Grid population map

Input from local providers

- Annual average exposure to PM2.5
- Annual average exposure to PM10

$$PWEL = \frac{\sum_{i=1}^n C_{4\text{ km} \times 4\text{ km}} * \sum_{j=1}^m P_{GRID_j}}{P_{city}}$$

	Stat [µg/m³]	PWELs [µg/m³]	Regulation limits in force in Italy [µg/m³]
PM2.5	MAX: 15.1, MEAN: 12.4, MEDIAN: 12.7, MIN: 10.2,	13.5	25
PM10	MAX: 25.1, MEAN: 20.4, MEDIAN: 20.8, MIN: 15.5,	22.1	40

[UN Habitat, 2017. SDG 11.6.2. metadata (2017). Available from: https://unhabitat.org/sites/default/files/2020/07/metadata_on_sdg_indicator_11.6.2.pdf]

This study provides methods and tools (automatic workflow, QGIS plugins, web applications) to facilitate the integration of EO data, from different observational platforms, in SDGs monitoring mechanism, with the aims:

- ❑ to generate a multi-level knowledge for a wide range of users, included local decision-makers who need to design policies for resilience cities and communities and fostering social cohesion and inclusion;
- ❑ to accelerate the progress on UN 2030 Agenda through a direct engagement of Local and Regional Authorities, also in the light of the New Urban Agenda policy framework;
- ❑ to demonstrate the feasibility of the implementation of SDG 11 indicators at the intra-urban scale through the skillful combination of EO data with open data from local providers.

The results can enable us to understand what kind of city growth/sprawl we should expect in the future and how much great could be the impact of the informed decision-making processes in driving these changes.

UN SDG 11.6.2
Population-Weighted Exposure Levels to PM for Bari city

The United Nations Agenda, under the guidance of the World Health Organization, proposed specific targets to reduce air pollution. Each target is divided into indicators providing a quantitative metric to assess air quality and relative impact on human health. Among these, the indicator 11.6.2. on annual mean levels of fine particulate matter is the most relevant for the urban environment.

The CNR-IIA approach for estimating SDG 11 indicators consists in deriving and updating, from Earth Observation data, some essential variables for the urban ecosystem: the spatial distribution and density of urban population and settlements maps. By integrating these essential variables with other domain-specific information, i.e. the particle pollutant maps, specific indicators and sub-indicators can be quantified.

For this case of study, PM pollution concentration map (by ARPA Puglia) and grid population density map (Aquilino et al., 2021) are combined to estimate population exposure to PM level at an intra-urban scale 100x100 meters. According to the UN SDG 11.6.2 metadata formula, the exposure terms from each cell can be aggregated at city scale providing a new annual mean concentration value of particulate matter: the Population Weighted Exposure Level (PWEL) to PM.

SDG 11.3.1. Indicator workflow

Parametri Log

City name
Bari

Grid POP map Time 0
...

Grid POP map Time 1
...

Settlement map Time 0
...

Settlement map Time 1
...

Time 0
2012

Time 1
2020

Time interval [year]
8

INDICATOR_GRID_MAP [opzionale]
[Crea layer temporaneo]

Apri il file risultante dopo l'esecuzione dell'algoritmo

0% Annulla

Esegui come Processo in Serie... Esegui Chiudi

Thanks for your attention!

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