

NOC and cone analyses

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KNMI

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Outline

- NOC on test datasets
- NOC open issues
- Cone analyses: status

NOC on test DATASETS

Comparing ocean calibration results from:

- 1) ASCAT A over 2014
- 2) ASCAT B over 2014
- 3) ERS2 from ASP2.0 over tandem phase (part of 1997)
- 4) ERS2 from KNMI reprocessed ERA40 over 1997

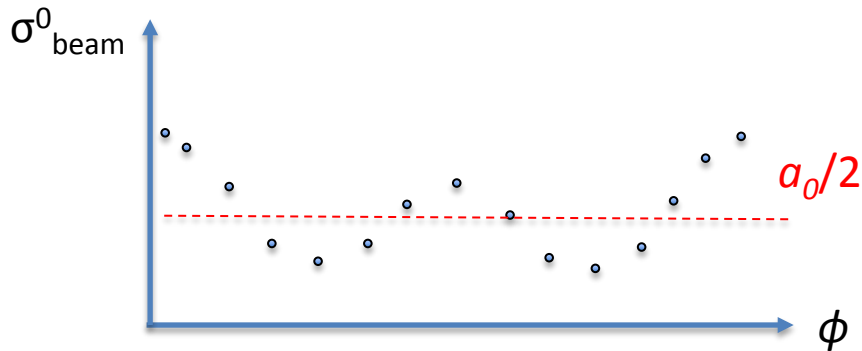
Motivation: To test local installation of AWDP OC, and obtain preliminary ocean calibration coeffs for reprocessed ASP2.0 files – in order to confirm RMA's results.

NOC at a glance

$$\sigma^0(\nu, \phi) = B_0(\nu) [1 + B_1(\nu) \cos \phi + B_2(\nu) \cos 2\phi]^{1.6}$$

Statistical distribution of backscatter (sigma_naught, z-space) for fixed wind speed

[fixed beam, BINNING as a function of (NWP) azimuth]



$B_{0,\text{beam}}^{\text{SIM}}(\nu, \text{WVC})$

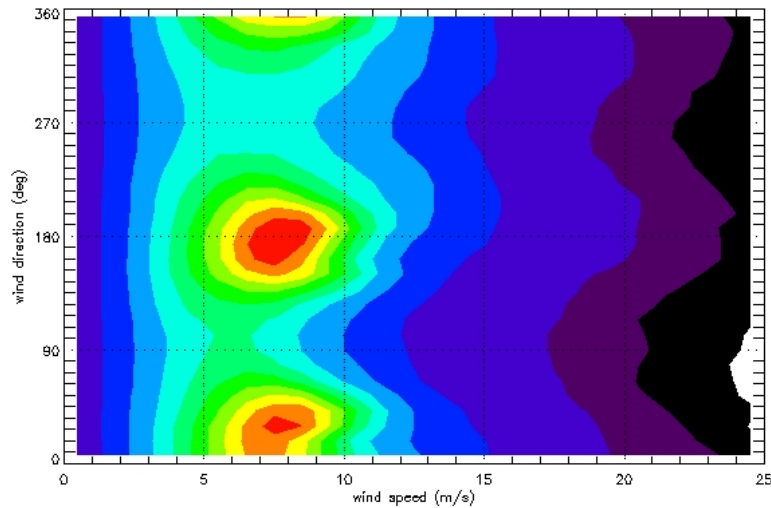
$B_{0,\text{beam}}^{\text{OBS}}(\nu, \text{WVC})$

Mean isotropic
backscatter

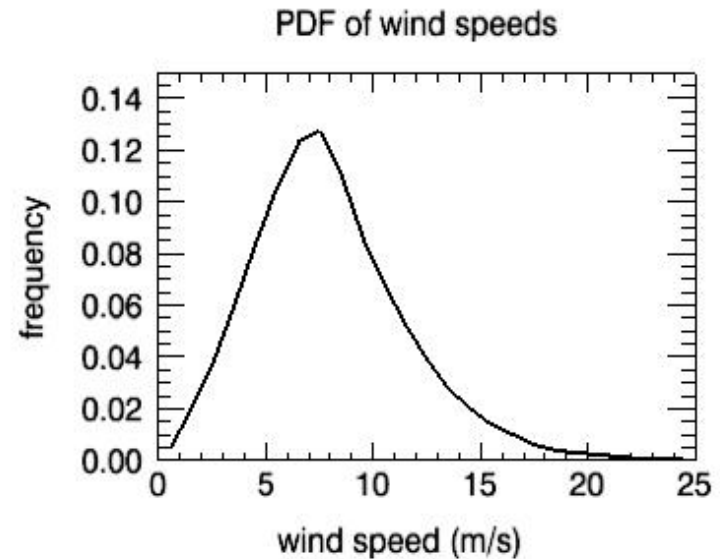
$$z(\nu, \phi) = \frac{1}{2} a_0(\nu) + a_1(\nu) \cos \phi + a_2(\nu) \cos 2\phi$$

Weighted averages

$$\langle w(v, \phi) \cdot z(v, \phi) \rangle_{\phi} = \int w(v, \phi) \cdot N(\phi|v) \cdot z(v, \phi) d\phi = \frac{1}{2} a_0(v)$$



Joint PDF of ocean winds $N(v, \phi)$

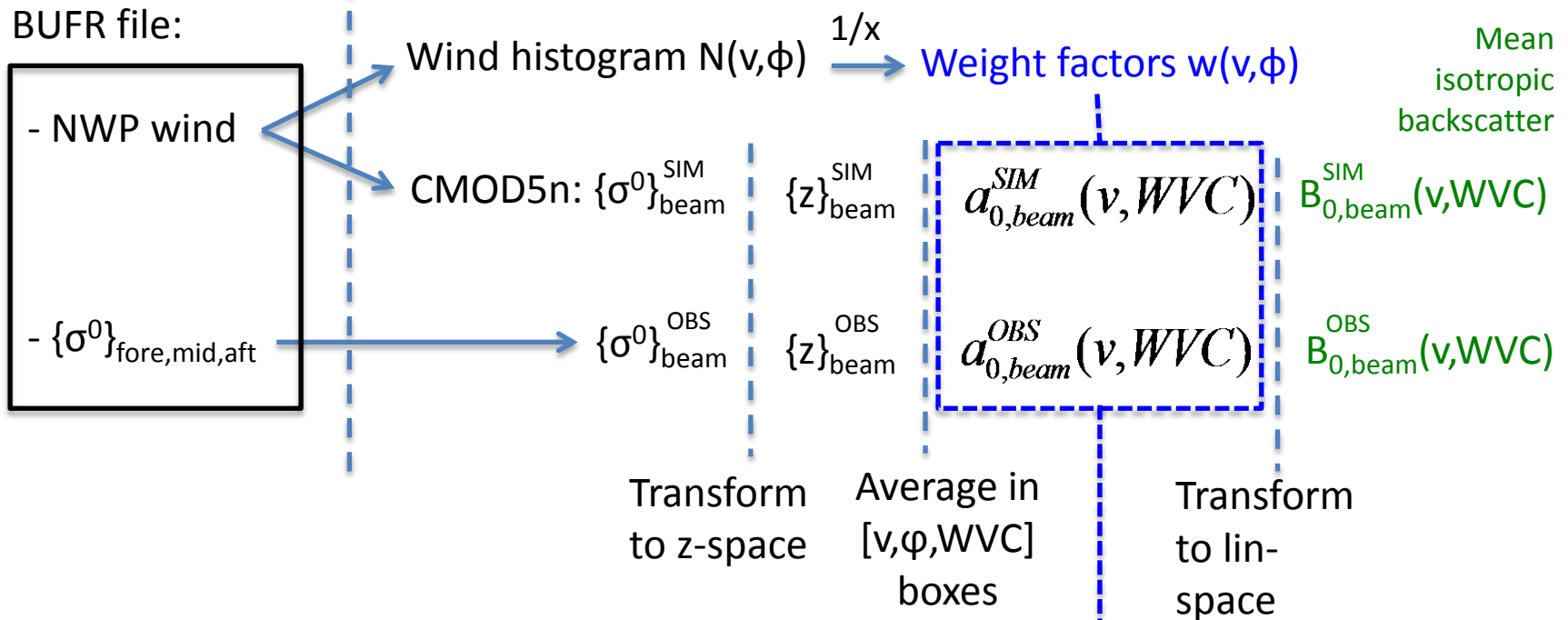


PDF of ocean wind speeds $N(v)$

$$a_0 = \int N(v) \cdot a_0(v) dv$$

NWP OCEAN calibration

- OC filter:**
- good $\{\sigma^0\}$
 - good NWP wind
 - no land
 - no ice (if ice flag is present)
 - latitude within 55S-65N (no big lakes)



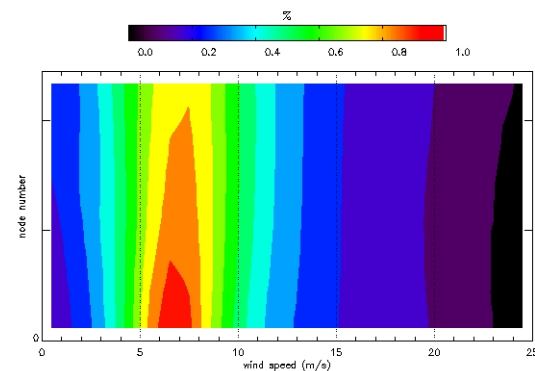
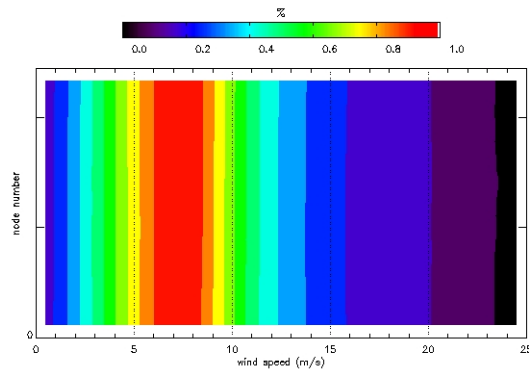
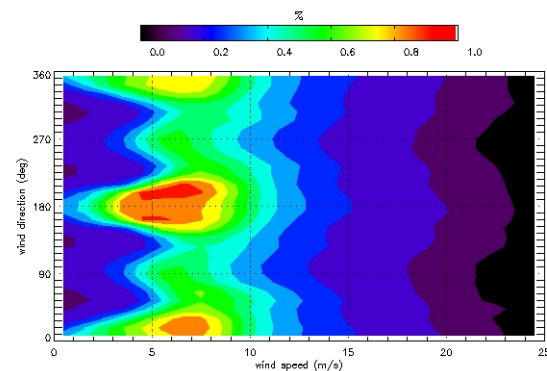
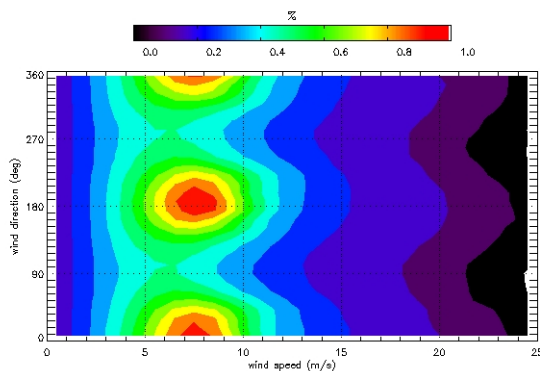
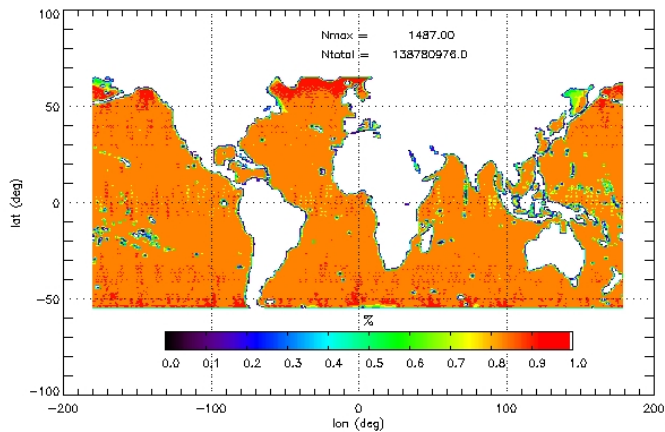
Forcing a uniform distribution in wind direction ϕ :

$$z(v, \phi) = \frac{1}{2} a_0(v) + a_1(v) \cos \phi + a_2(v) \cos 2\phi \longrightarrow a_0(v) = 2 \sum_{\phi} z(v, \phi) \cdot w_{NWP}(v, \phi)$$

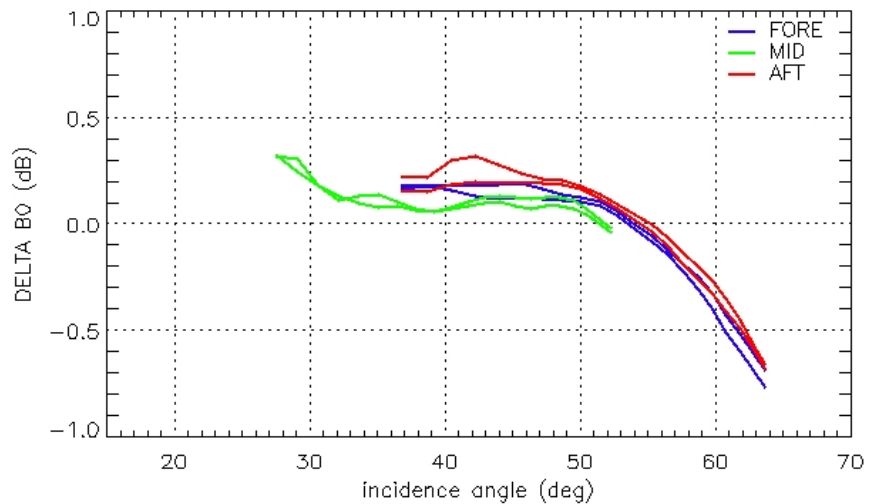
NOC results

PDF NWP

PDF scat



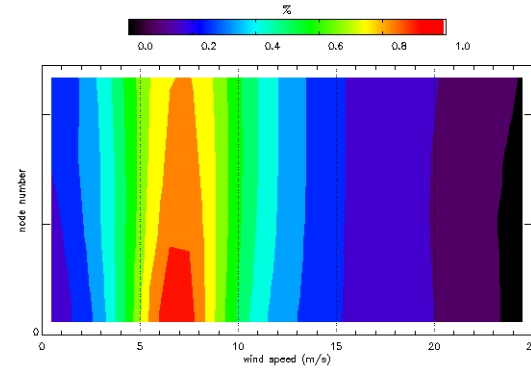
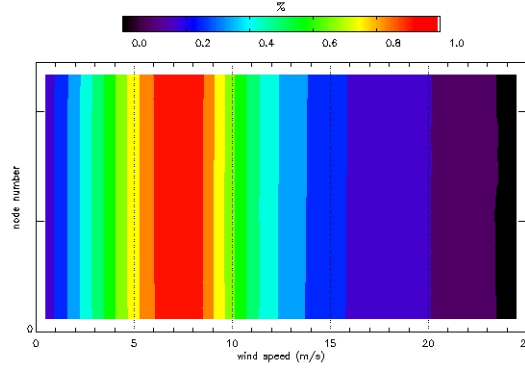
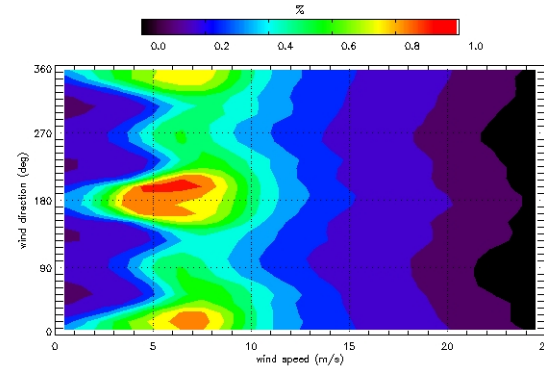
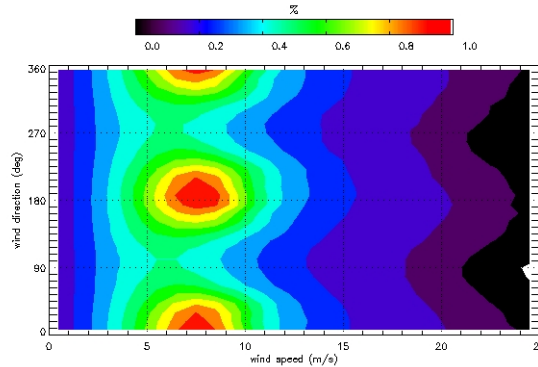
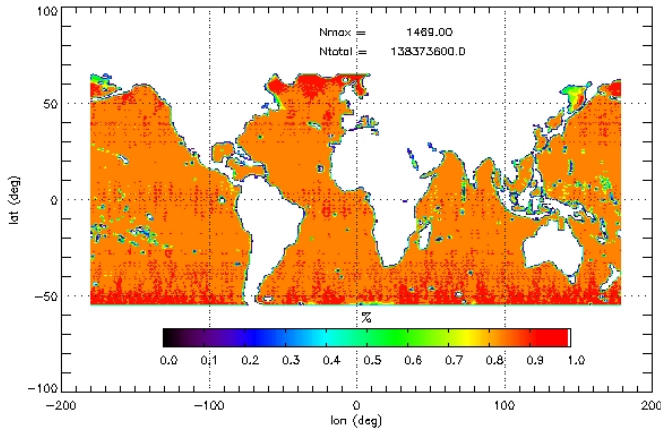
ASCAT A 2014



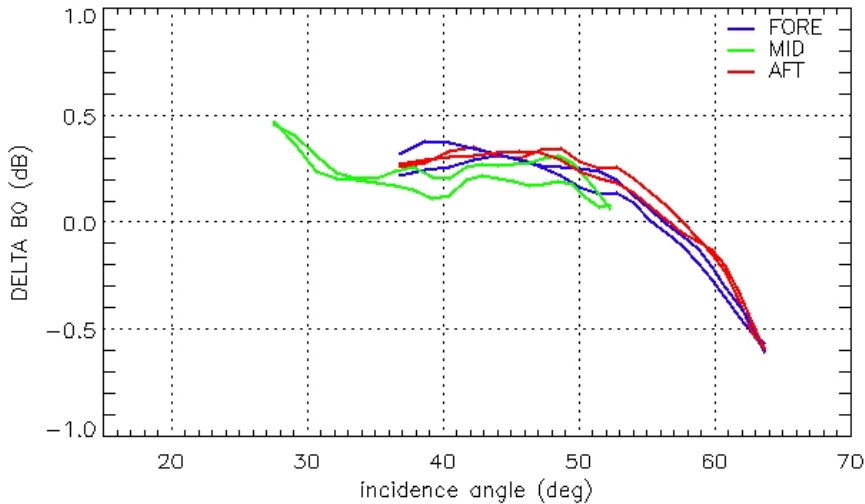
NOC results

PDF NWP

PDF scat



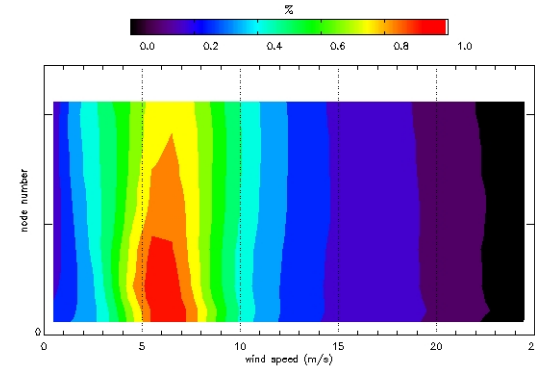
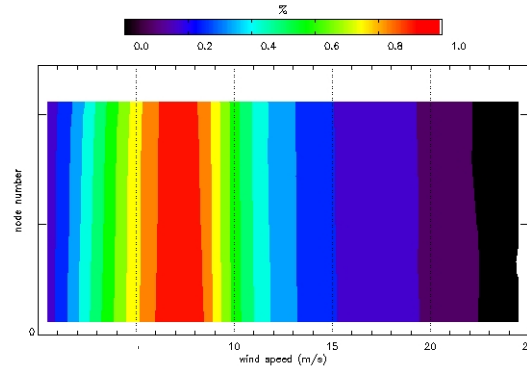
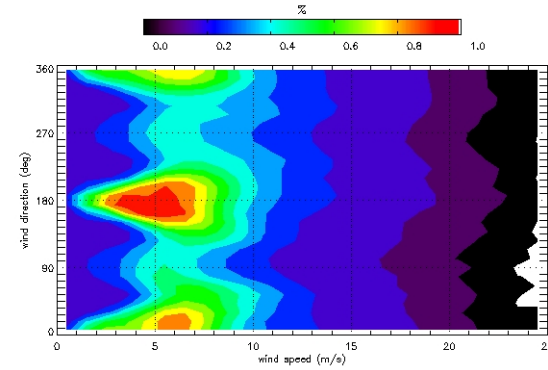
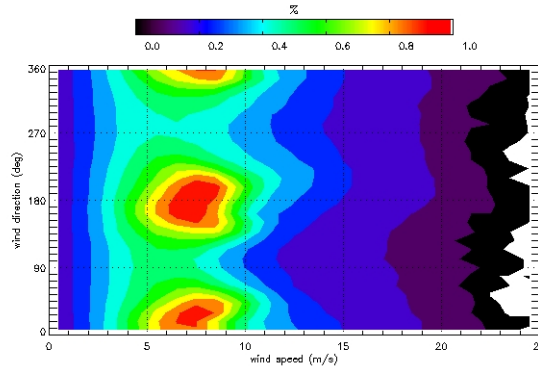
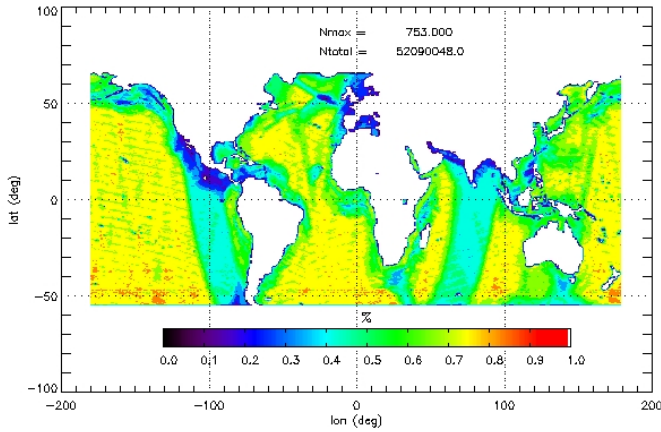
ASCAT B 2014



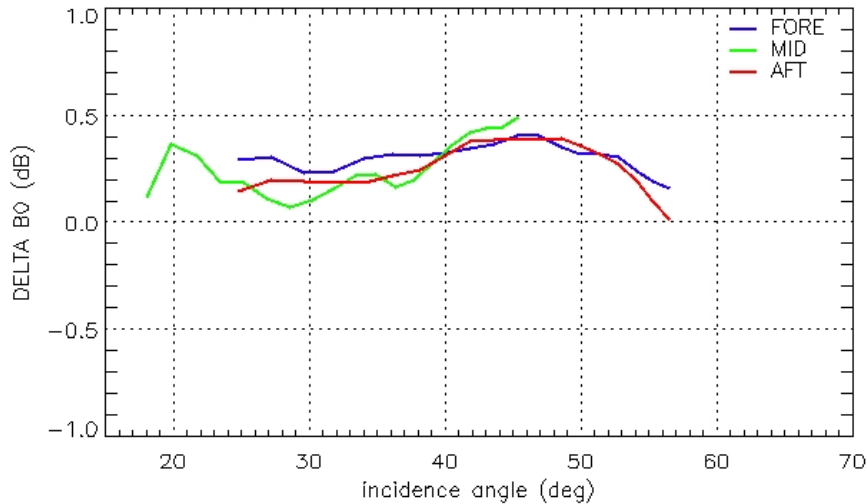
NOC results

PDF NWP

PDF scat



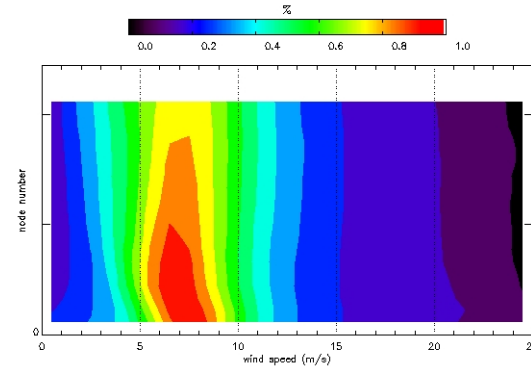
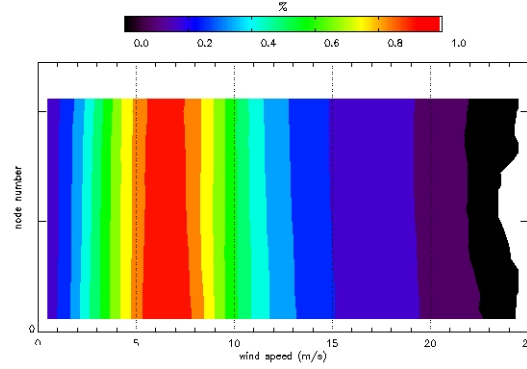
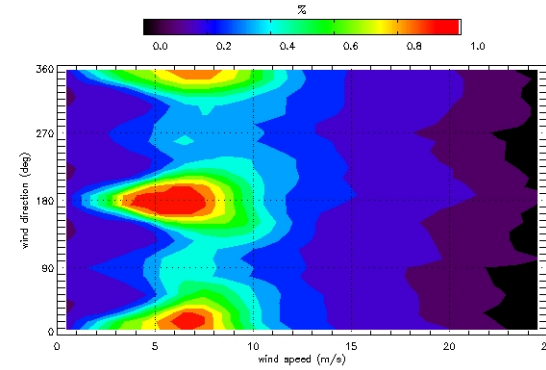
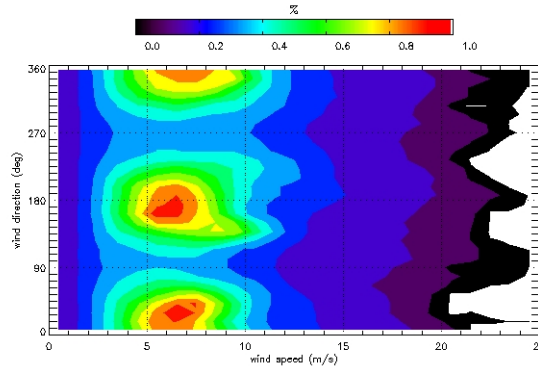
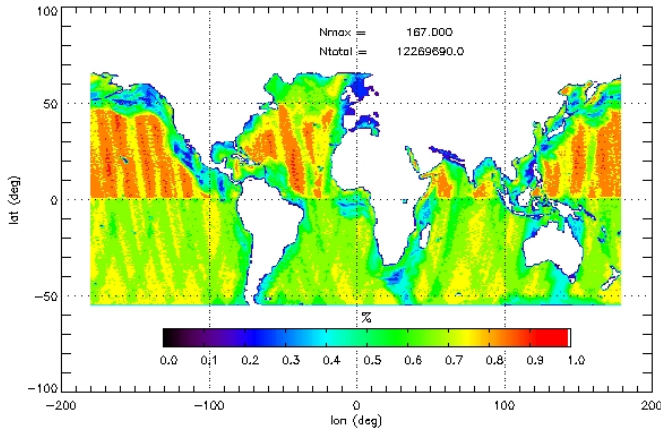
ERS2 knmi 1997



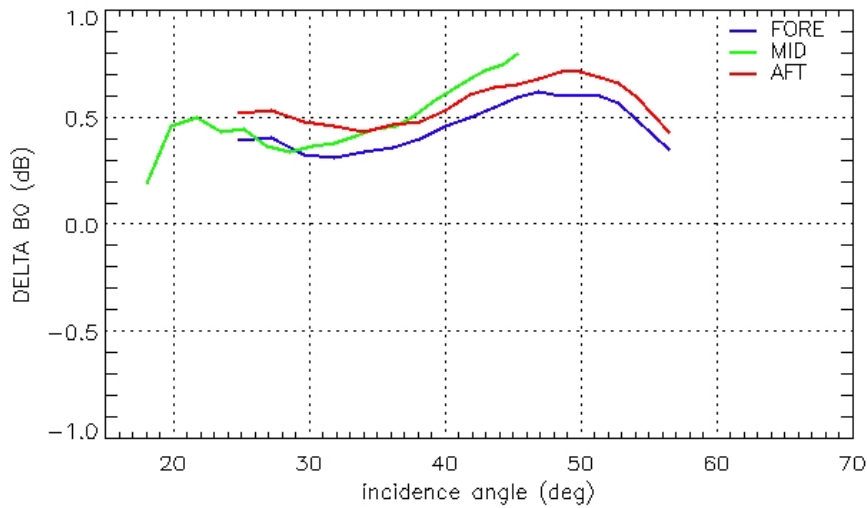
NOC results

PDF NWP

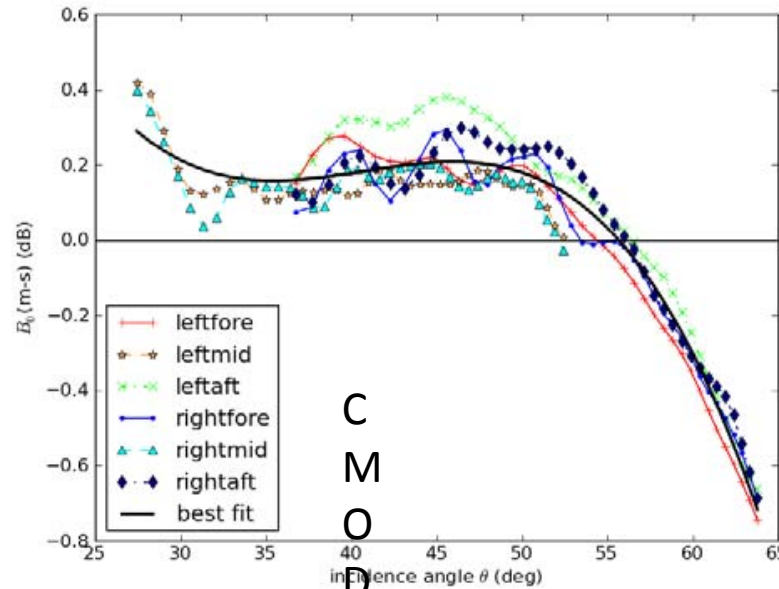
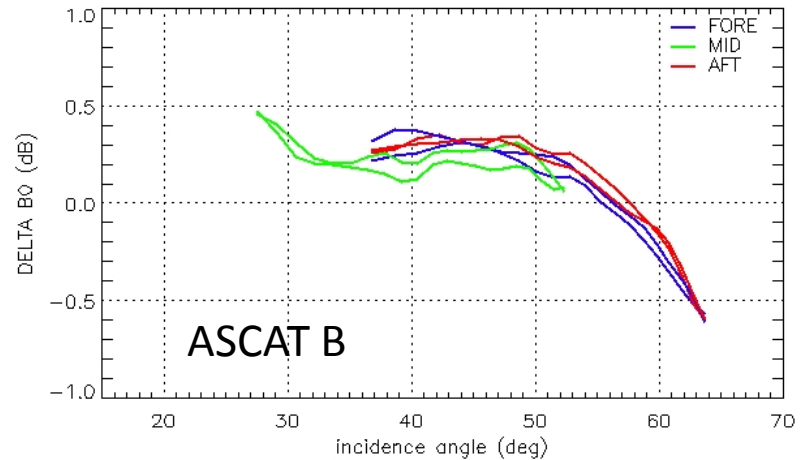
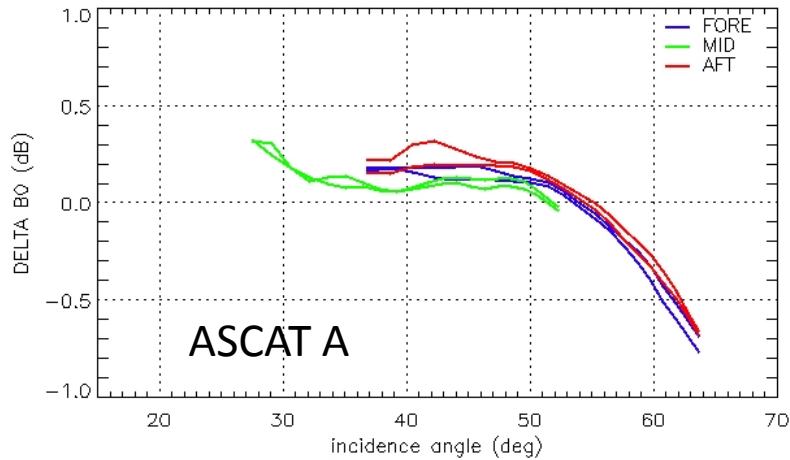
PDF scat



ERS2 ASPS tandem

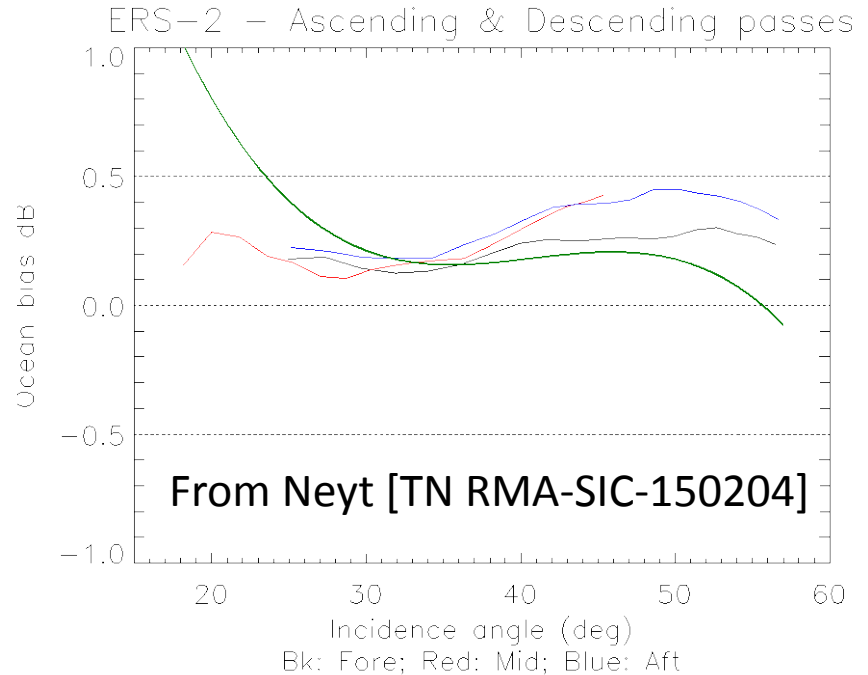
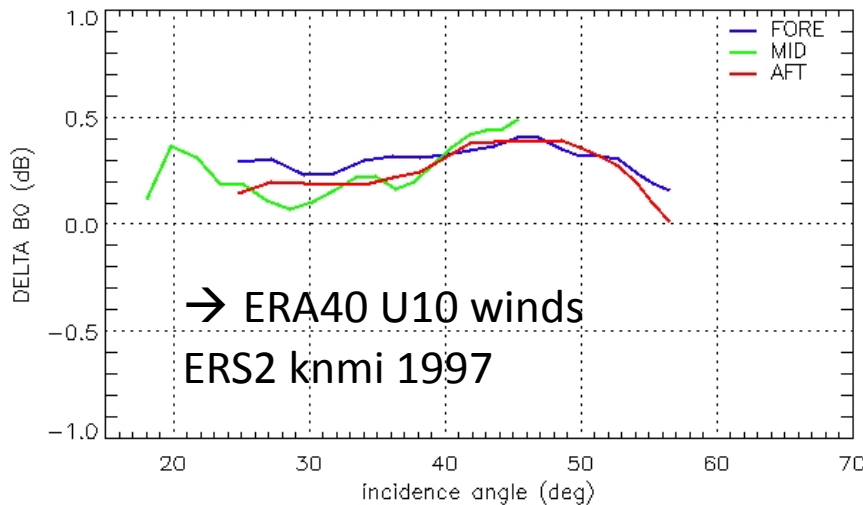
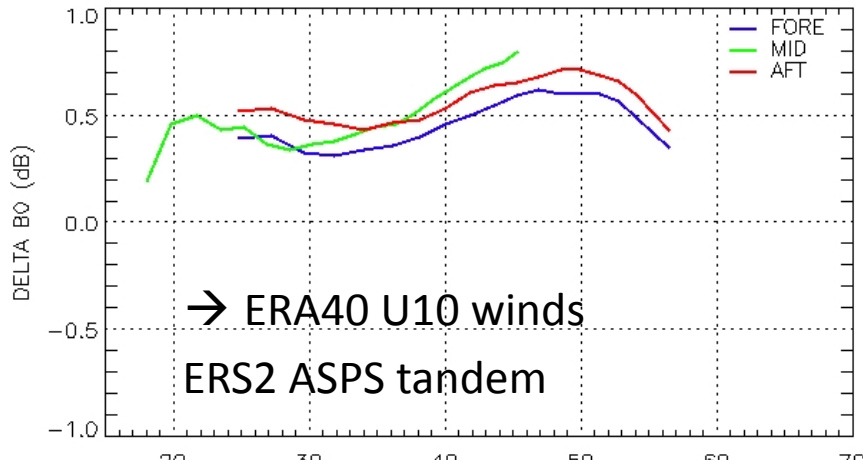


Comparison of NOC results



[Verspeek, TGRS, 2011]
 “Improved retrieval...
 using ocean calibration”

Comparison of NOC results



Model bias relative to CMOD5n - Green curve: CMOD6 model bias wrt CMOD5n

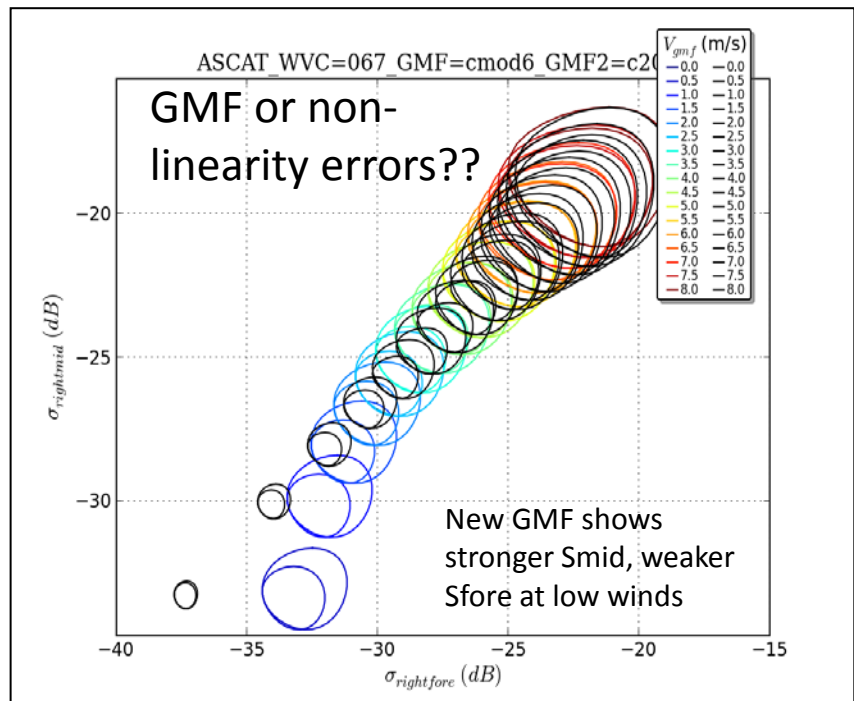
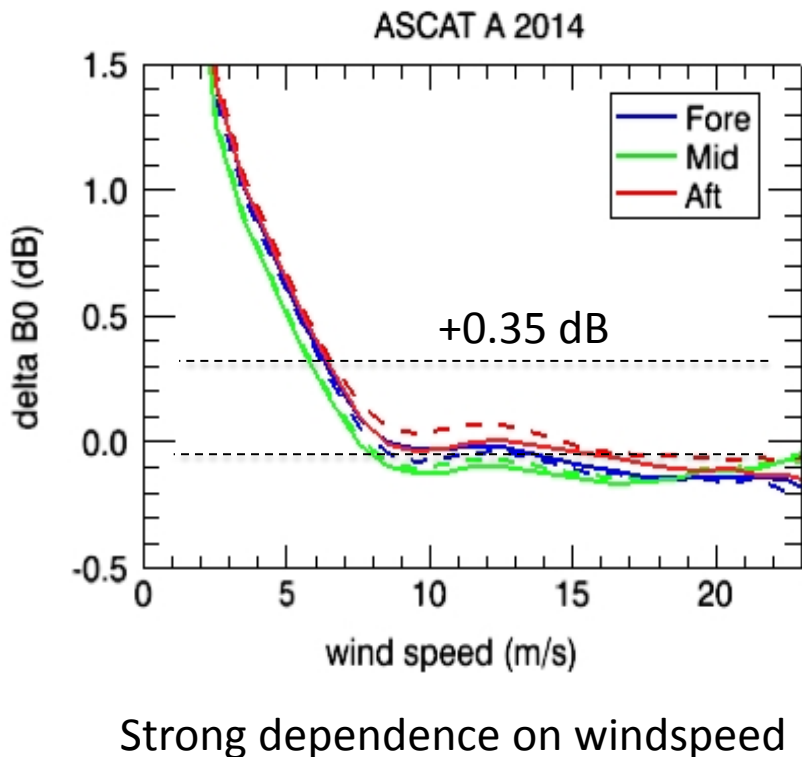
→ similar shapes but KNMI results
0.2-0.3 dB higher than RMA's ??

NOC open issues

- Sensitivity to non-linearity/GMF error
- Sensitivity to wind speed error
- Sensitivity to directional NWP wind error
- Time stability
- Relative NOC

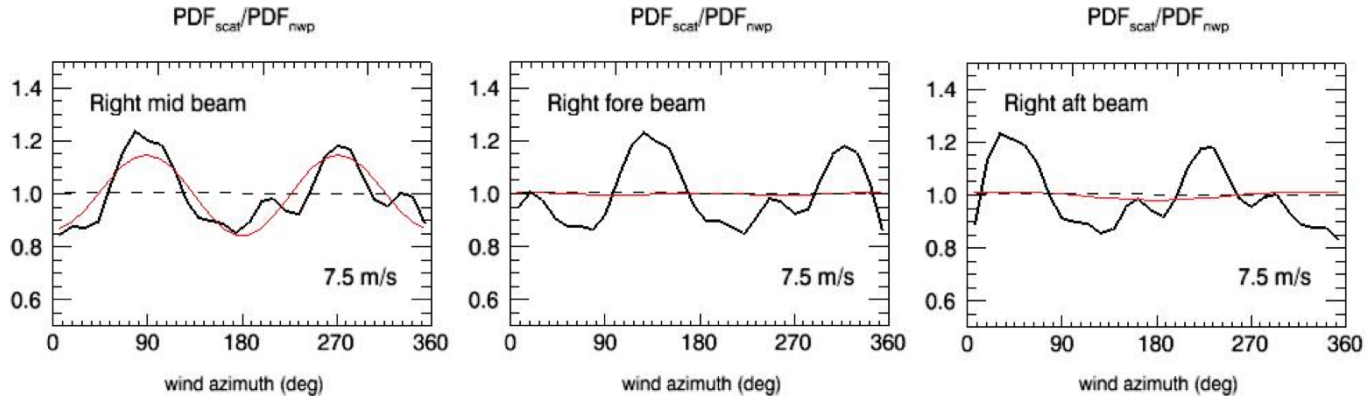
Sensitivity to non-linearity/GMF error

- NOC coefficients (B_0^{OBS} minus B_0^{SIM}) are estimated relative to a reference GMF (CMOD5n)...



→ Without dependence on windspeed there is no dependence on wind speed error

Sensitivity to directional NWP errors

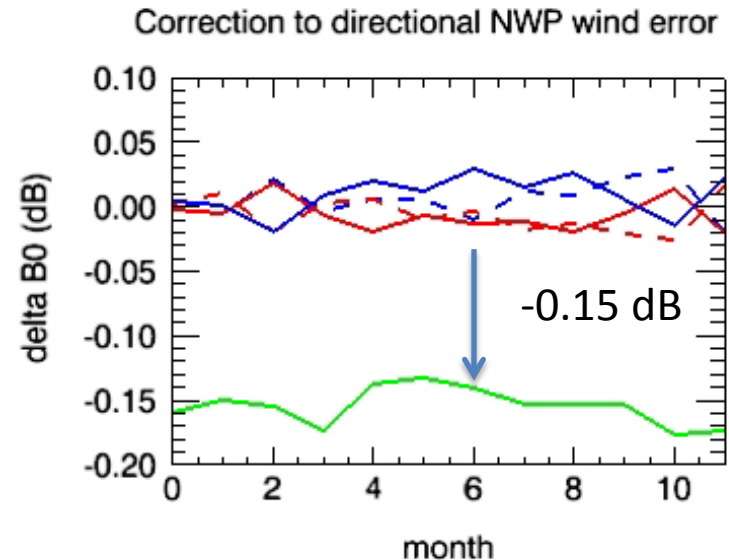


$$w(\phi_{beam}|v)N_{true}(\phi_{beam}|v) = \frac{1}{2\pi}(1 + m \cdot \cos\phi_{beam} + n \cdot \cos 2\phi_{beam})$$

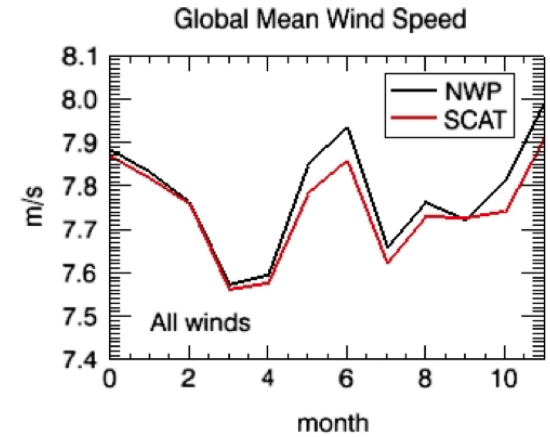
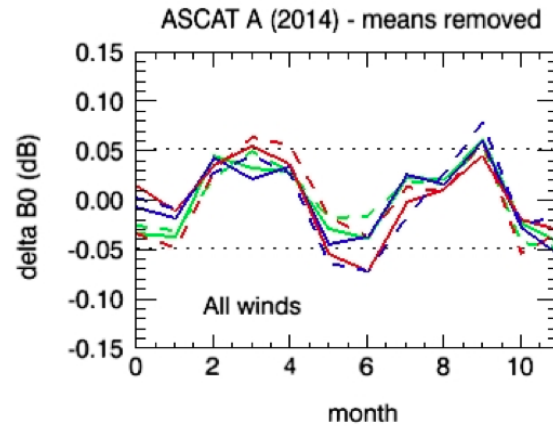
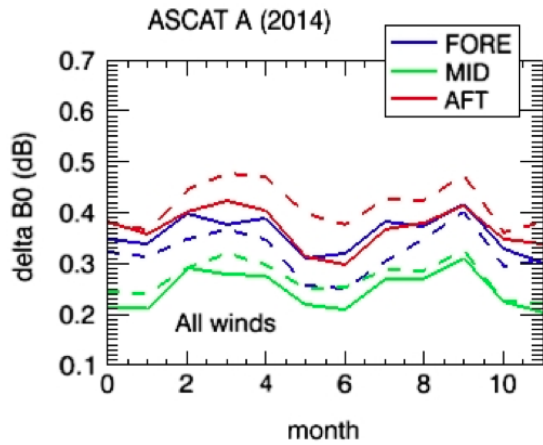
$$m = 2 \int_0^{2\pi} w(\phi|v)N_{true}(\phi|v) \cdot \cos\phi d\phi$$

$$n = 2 \int_0^{2\pi} w(\phi|v)N_{true}(\phi|v) \cdot \cos 2\phi d\phi$$

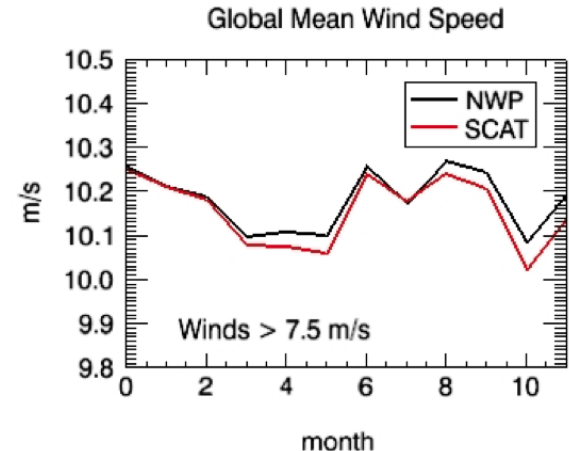
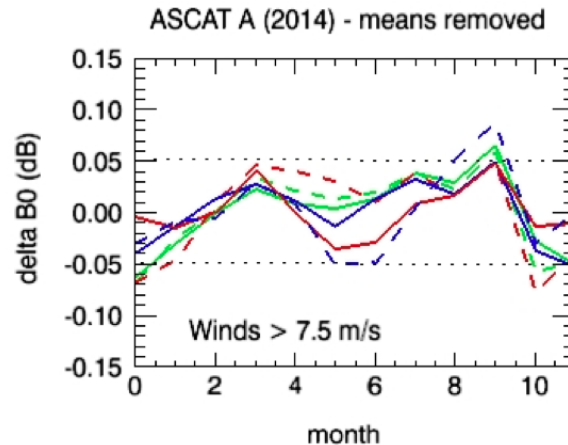
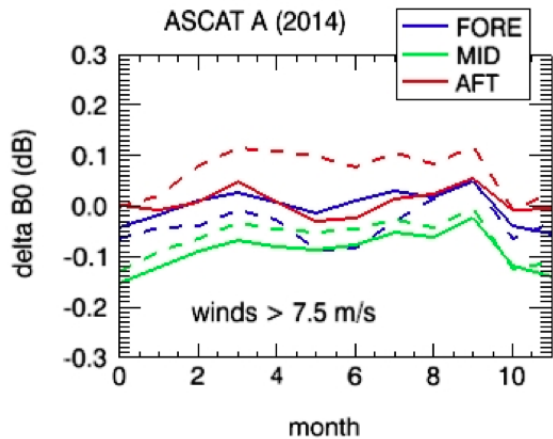
$$\delta_r(v) = \frac{\langle w(v, \phi) \cdot z(v, \phi) \rangle_\phi}{\frac{1}{2}a_0(v)} = \frac{m}{2}B_1(v) + \frac{n}{2}B_2(v)$$



Time stability



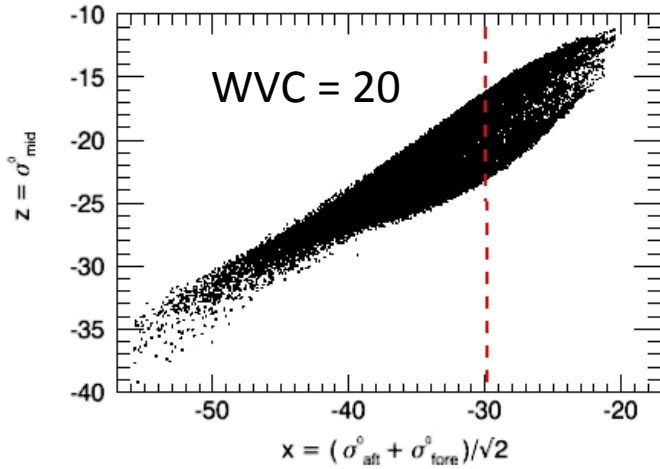
Stability in time series of NOC factors (ALL winds): 0.1 dB annual cycle



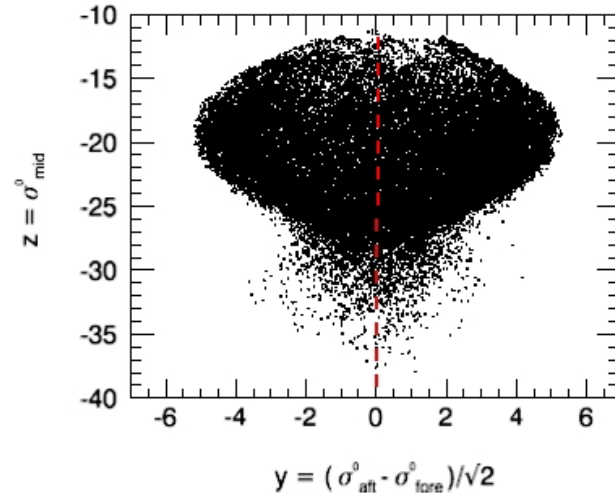
Stability in time series of NOC factors (winds > 7.5 m/s): 0.1 dB annual cycle

Cone analyses

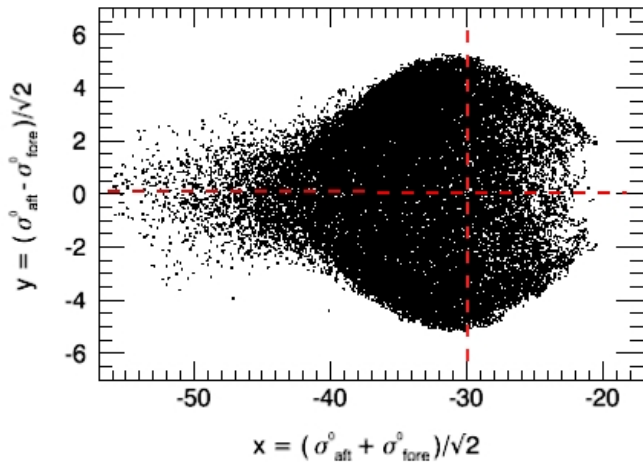
Cone analyses - Side view



Cone analyses - Front view



Cone analyses - Bottom view

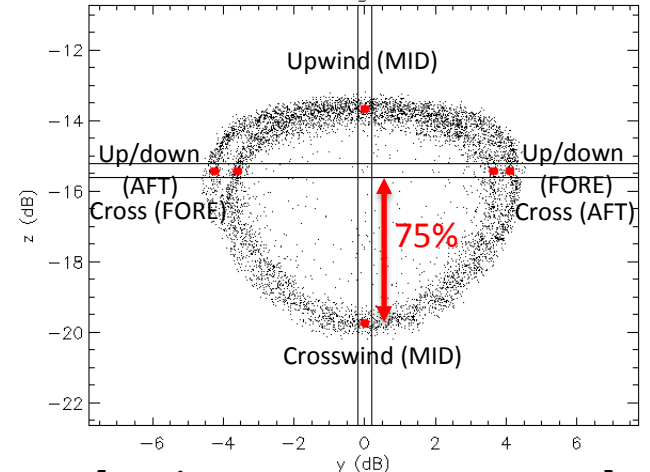


$$x = \frac{(\sigma_{fore}^0 + \sigma_{aft}^0)}{\sqrt{2}}$$

$$y = \frac{(\sigma_{fore}^0 - \sigma_{aft}^0)}{\sqrt{2}}$$

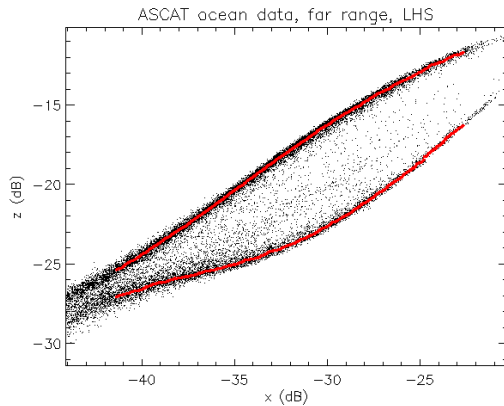
$$z = \sigma_{mid}^0$$

section through ASCAT data

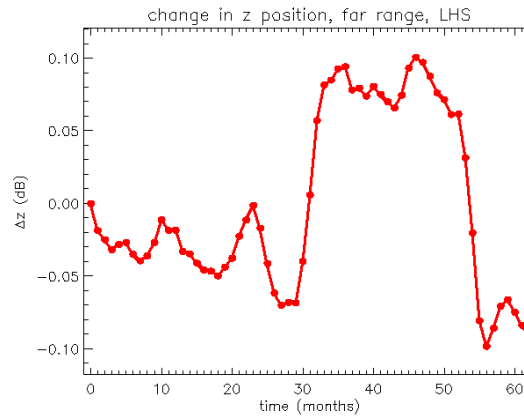
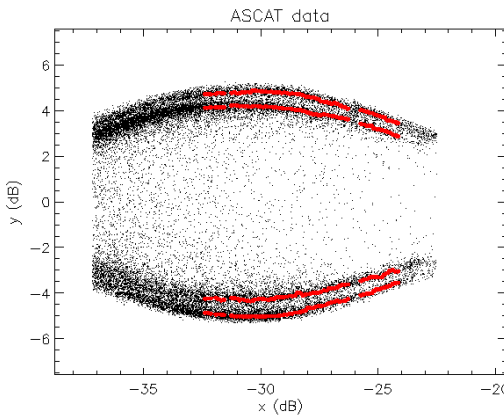


[Anderson, IOVWST, 2012]

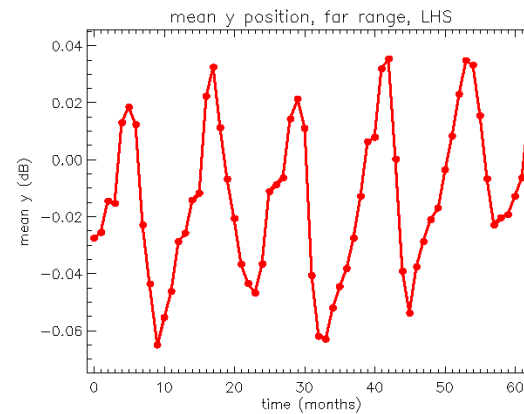
Cone analyses



[Anderson, IOVWST, 2012]



ASCAT A (2007-2012)



Changes in upper branch Z:

Evolution of MID
beam offsets

Changes in left branch Y:

Evolution of FORE/AFT
beam offsets

+ Changes in upper/lower branch X → evolution of MID, FORE and AFT beam offsets

To compare against NOC offsets

Conclusions

- Local implementation of NOC validated
- Disagreement with RMA on ERS2 ASPS NOC
- Ongoing discussion on NOC sensitivities:
 - Non-linearity/GMF error \rightarrow +0.35 dB bias ALL
 - Directional NWP wind error \rightarrow -0.15 dB bias MID
 - Time stability \rightarrow 0.1 dB annual cycle – instrumental?
 - Relative NOC: is it error-proof?
- Cone analyses: bring NOC and cone results in line for studies on calibration stability.

GMF slice fixed windspeed

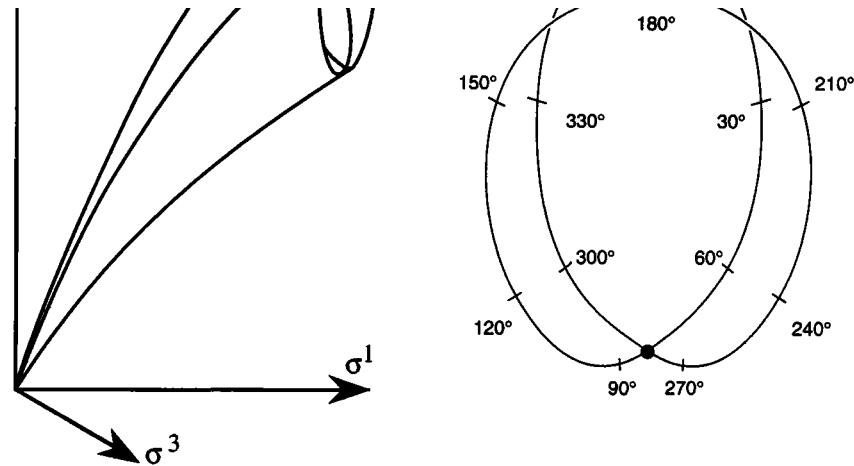


Figure 1. (a) Parametric representation of the ERS1 GMF in the sigma-0 space (3-D). When progressing along a directrix of the cone the wind speed varies; the different sheets of the manifold correspond to different wind direction. (b) Graph obtained for a section at constant wind speed showing the possibility of ambiguities in the wind directions.

shape and amplitude of the Lissajous curve giving the wind direction depends on the wind speed (Figure 1a), the knowledge of the speed improves the determination of the azimuth. On the other hand, the wind speed dependence with the azimuth seems weaker, except at very low wind speeds, which are of minor interest in meteorology and oceanography. Because the sigma-0 measurements strongly depend on the incidence angle, the tracks of the swath are inverted sequentially.

At the scatterometer scale [Thiria *et al.*, 1993], the input of the neural networks *S-NN* and *A-NN* consist of the sigma triplets corresponding to the measurements of the three antennas taken over a spatial window centered on the cell of the swath where the wind vector is determined (Figure 3); this input data set is denoted as $G(\sigma_0)$. The size and the shape of the neighborhood we deal with represents an adequate trade-off between the performance and the number of parameters.