

MIPAS Phase F: WPs# 5240, 3600 and 8000

Updates of Tvibs (v3) and non-LTE improvements

M. López-Puertas, Bernd Funke, Maya García-Comas,
Aythami Jurado-Navarro, Angela Gardini
(Instituto de Astrofísica de Andalucía, Granada, Spain)

M. Kiefer
(KIT, Karlsruhe)

Anu Dudhia
(Oxford University, UK)

P. Raspollini
(IFAC, Firenze)

Martin Kaufmann
(FZ Jülich, Jülich, Germany)

Issue 1.0
October 2019

Summary and Major conclusions

We report here on the update of the vibrational temperatures (version 3) of previous version (Technical reports: TN_IAA_VTs1_IGext_VTs_v2_5ref_Nov2009 and TN_IAA_VTs2_IGext_VTs_v2_Addendum_5ref_Nov2009). The reference atmospheres have not been updated. The corresponding changes in the NLTE errors have also been estimated.

The major changes can be summarized as:

- General updates as described in Funke et al., JQSRT, 2012.
- Overall update of spectroscopic and energy levels
- Absorption of upwelling flux (clouds, surface albedo, etc.)
- More energy levels included
- CO₂ NLTE updates as described in Jurado-Navarro et al., JGR, 2015. They include:
- Inversion of collisional rates, including the temperature dependence and CO₂ vmr from band D spectra. It resulted in improved NLTE CO₂ populations.
- Other “minor” non-LTE updates were included
- O₃ NLTE updates (see López-Puertas et al., AMT, 2018):
 - Revision of kvt: O₃(v3) + M(N₂, O₂) => O₃(v3-1): Small effect
 - Revision of k1: O+O₂+M: Small effect
 - Neglect the removal of O₃(v3) by O chemical loss => larger (significant) O₃ Tvibs (2-6 K) in the mesosphere

In general, most of these changes affect only to the non-LTE retrievals of species but marginally at the spectral regions/bands where the retrieval is performed under LTE, as in ORM.

The major resulting changes in the vibrational temperatures are:

- H₂O-CH₄ daytime VTs are smaller (~1-2 K) at z>60 km
- CO₂: Small changes (1-2 K larger) in the Tvibs of the 4.3 and 10 μm bands above around 60 km.
- O₃: larger O₃ Tvibs (2-5 K) in the mesosphere, z>60km
- CO: No effect in main isotope; smaller VTs for the minor isotopes.
- OH(v): Smaller VTs because of rotational NLTE included (adjusted to MIPAS band A OH emission): Impact on CO₂ 4.3 μm at night-time
- No significant changes for the rest of species: N₂O, NO₂, NO, HCN, HNO₃.

1 The H₂O and CH₄ NLTE models

The major changes can be summarized as:

- New rate for quenching of O₂(1) by O, a factor of $\sim(3-5)$ larger (Kalogerakis et al., 2006)
- New rate for H₂O-O₂ VV rate $k_{vv} = 1.0e-12 \text{ cm}^3\text{s}^{-1}$ (smaller, MIPAS)
- A new model for O₂(v). Provides a larger excitation of O₂(1) from O₃ photolysis (Previous $\epsilon=4$; now: z-dependent, $\epsilon=7-8$ in the lower mesosphere)
- Minor change: the temperature dependency of $\text{CO}_2(020) + \text{O}_2 \rightleftharpoons \text{CO}_2 + \text{O}_2(1)$ at $T < 300 \text{ K}$: \sqrt{T}
- New rate for CH₄-O₂ VV exchange (Boursier et al., 2007).
- Revision of the O₂(v) model and O₂(1) + O rate

See the detailed changes in the vibrational temperatures in the attached Annex.

2 CO₂ NLTE model

The major changes are described in Jurado-Navarro et al., JGR, 2015, and are summarized below:

- Inversion of K_{vv} and K_{vt} collisional rates, including their temperature dependence
- Near-IR solar flux of the $2.7 \mu\text{m}$ bands increased in 1.7%.; and that at $4.3 \mu\text{m}$ bands reduced in 0.2%
- Further V-V coupling ($v_1+v_2=1,2$) for isos=2-6: $\text{CO}_2(\text{main})(001) + \text{CO}_2(\text{iso})(v_1,v_2,0) \rightleftharpoons \text{CO}_2(\text{main}) + \text{CO}_2(\text{iso})(v_1,v_2,1)$
- Fixed bug in the collisional rate $\text{N}_2(1) + \text{O} \rightleftharpoons \text{N}_2 + \text{O}$. It was a factor of 5 too large
- Fixed error affecting the population of the CO₂ 628 (010) and (020) ($15 \mu\text{m}$) levels
- Line-by-line calculation of the CMs of the 3 $2.7 \mu\text{m}$ levels
- Angular integration increased from 4 to 8 points in the bands affecting the $2.7 \mu\text{m}$ levels
- Included HITRAN 2012 CO₂ spectroscopy

See the detailed changes in the vibrational temperatures in the attached Annex.

Summary of CO₂ results:

- Many changes done in the CO₂ non-LTE modelling.
- New set of collisional rates derived from MIPAS spectra
- Small changes (1-2 K larger) in the T_{vibs} of the 4.3 and $10 \mu\text{m}$ bands of CO₂ above around 60 km.

3 O₃ NLTE model

The major changes are described in Jurado-Navarro et al., JGR, 2015, and are summarized below:

- Included the thermal relaxation by O: $O_3^*(v_1, v_3) + O \Rightarrow O_3(v_2)$
- The chemical quenching of O₃ by O: $O_3^*(v_1, v_3) + O \Rightarrow O_3 + O$ has been removed. This leads to larger O₃ Tvibs
- Total quenching by O still within measurements errors (West et al., 1976; 1978)

See the detailed changes in the vibrational temperatures in the attached Annex. In summary:

- The revision of kv1: $O_3(v_3) + M(N_2, O_2) \Rightarrow O_3(v_3-1)$ has a small effect
- The revision of k1: $O+O_2+M$ has a small effect
- The neglect of the removal of O₃(v₃) by O chemical loss leads to larger (2-5 K) O₃ Tvibs in the mesosphere.

4 NLTE model of other species

- CO: No effect in main CO(1) isotope. Smaller VTs for the minor isotopes because tropospheric upwelling flux is smaller because of inclusion of tropospheric clouds.
- OH(v): Smaller VTs because of rotational NLTE included (adjusted to MIPAS band A OH emission). It has some impact on CO₂ 4.3 μm at night-time.
- No significant changes for the rest of species: N₂O, NO₂, NO, HCN, HNO₃.

5 Revision of NLTE errors after these new VTs

The figures showing the new NLTE errors compared to previous ones are shown in the attached annex.

ANNEX I:

Detailed changes in VTs and NLTE errors as presented at
QWG #40 (Firenze, 2-4 Nov, 2015)



MIPAS Phase F: WPs# 5240 & 3600: Updates of Tvibs (v3)

Manuel López-Puertas, Bernd Funke, Maya García-Comas, Aythami Jurado-Navarro, Angela Gardini

(IAA, Granada)

The IMK MIPAS team

(KIT, Karlsruhe)

Martin Kaufmann

(Jülich Research Center)



Tvibs history

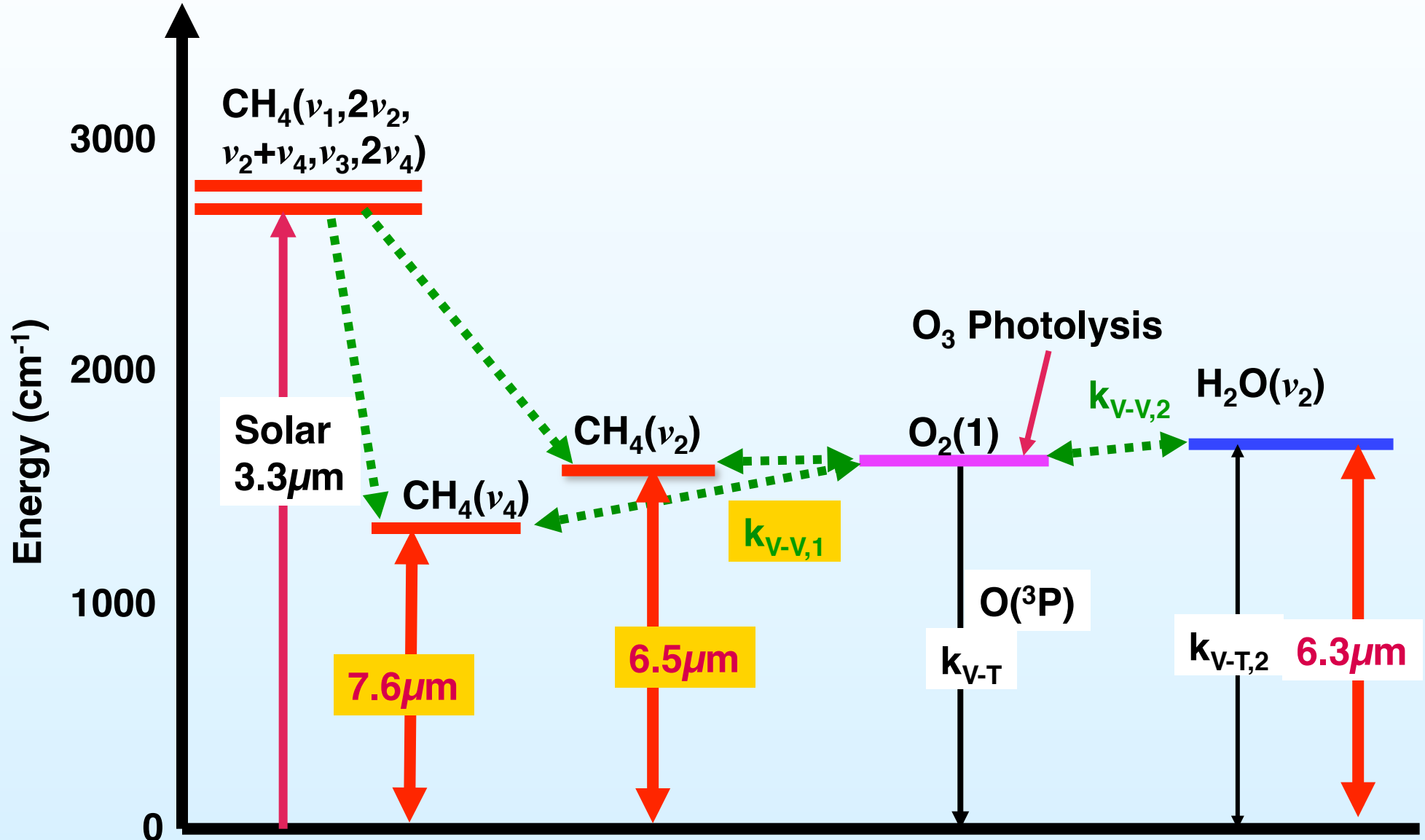
- **NLTE Study (1997) (before QWG)**
- **> 5 ref atm (Nov 2009)**
- **> ig2 (Nov 2009)**
- **> ig2_v2 (Jul 2011)** (Inconsistent ref. atm., No NLTE updates)
- **> Version 3 (5 ref. and 48 ref. atms) (Sep. 2015)**

NLTE updates for VTs v3

- General updates (Funke et al., JQSRT, 2012)
 - ◆ Overall update of spectroscopic + Energy levels
 - ◆ Absorption of upwelling flux (clouds, surface albedo, etc.)
 - ◆ More energy levels
- CO₂ NLTE updates (Jurado-Navarro et al., JGR, 2015)
 - ◆ Inversion of collisional rates, including the temperature dependence + CO₂ vmr from band D spectra => Improved NLTE
 - ◆ Other “minor” non-LTE updates
- O₃ NLTE updates (Presentation at 38th MIPAS QWG)
- Most of these changes affect only to the non-LTE retrievals of species

H₂O, CH₄

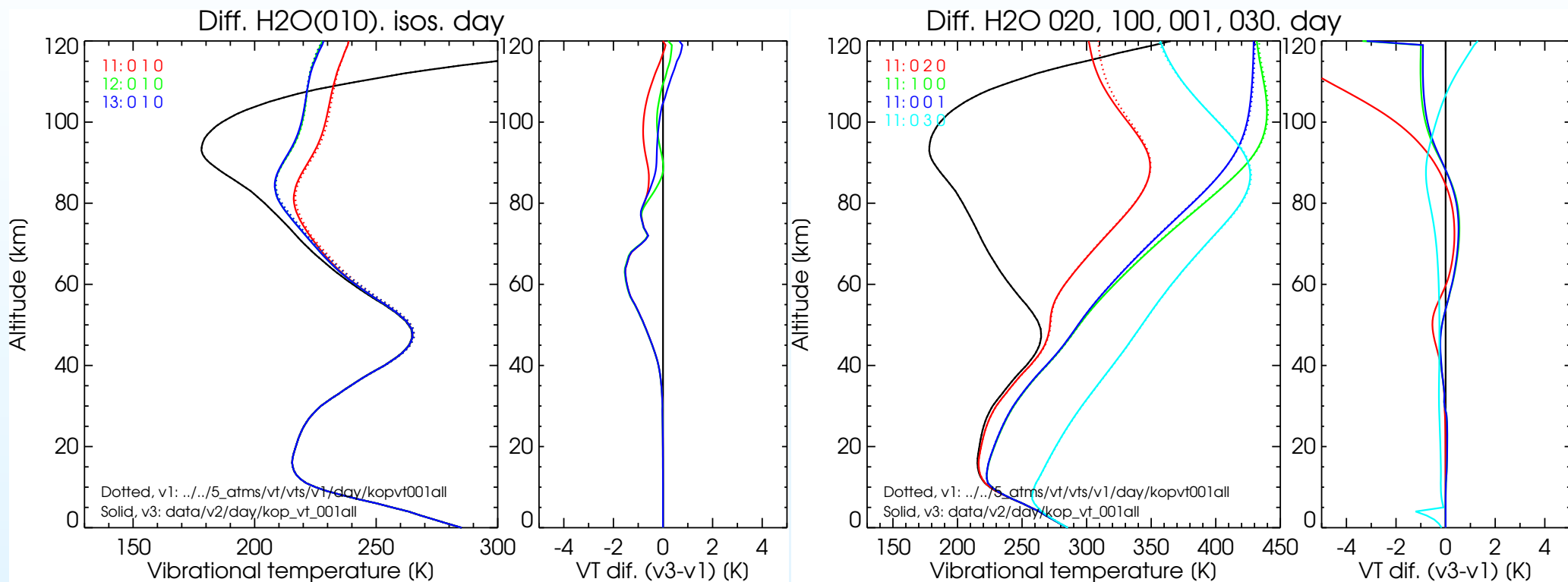
H₂O, CH₄ & O₂ collisional proc.



H2O and CH4 VTs

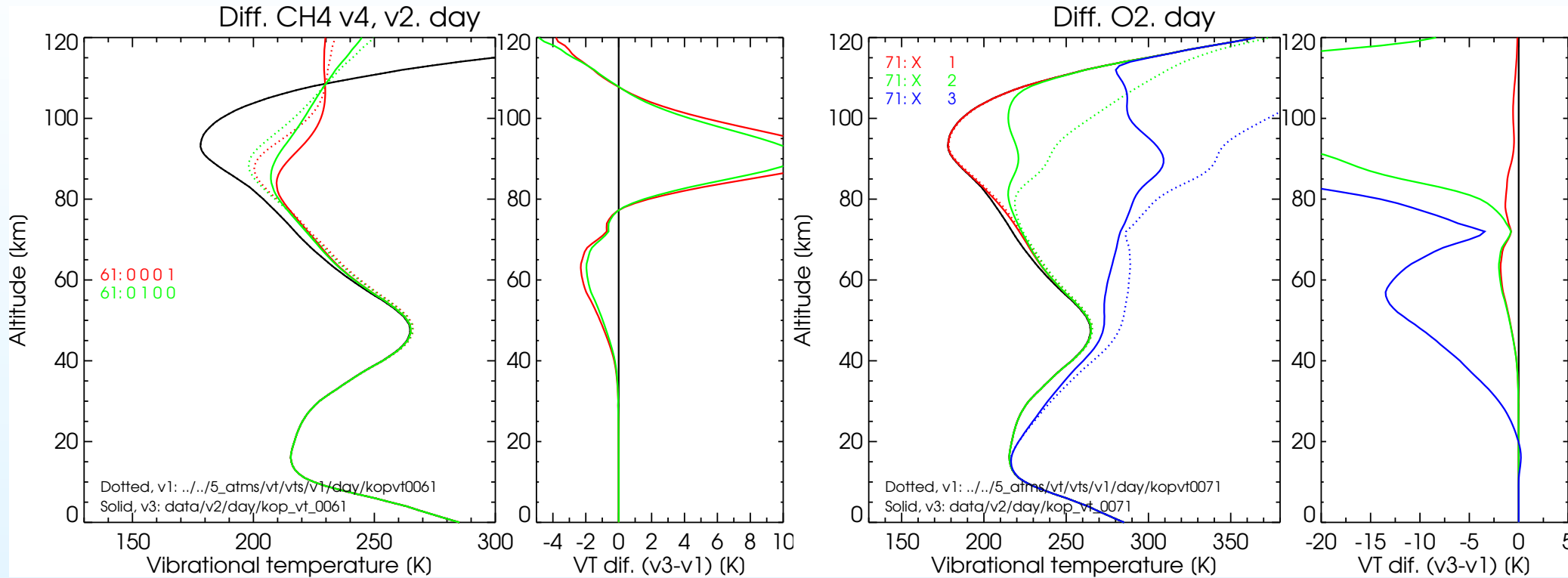
- New rate for quenching of O₂(1) by O, a factor of ~ (3-5) larger (Kalogerakis et al., 2006)
- New rate for H₂O-O₂ VV rate $k_{vv} = 1.0e-12 \text{ cm}^3\text{s}^{-1}$ (smaller, MIPAS)
- A new model for O₂(v). Provides a larger excitation of O₂(1) from O₃ photolysis (Previous $\epsilon=4$; now: z-dependent, $\epsilon=7-8$ in the lower mesosphere)
- Minor change: Temp. dependency of
 $\text{CO}_2(020) + \text{O}_2 \leftrightarrow \text{CO}_2 + \text{O}_2(1)$ at $T < 300 \text{ K}$: \sqrt{T}
- New rate for CH₄-O₂ VV exchange (Boursier et al., 2007).
- **V3: Revision of the O₂(v) model and O₂(1)+O rate**

Comparison of VTs: H2O(010,020). DAY

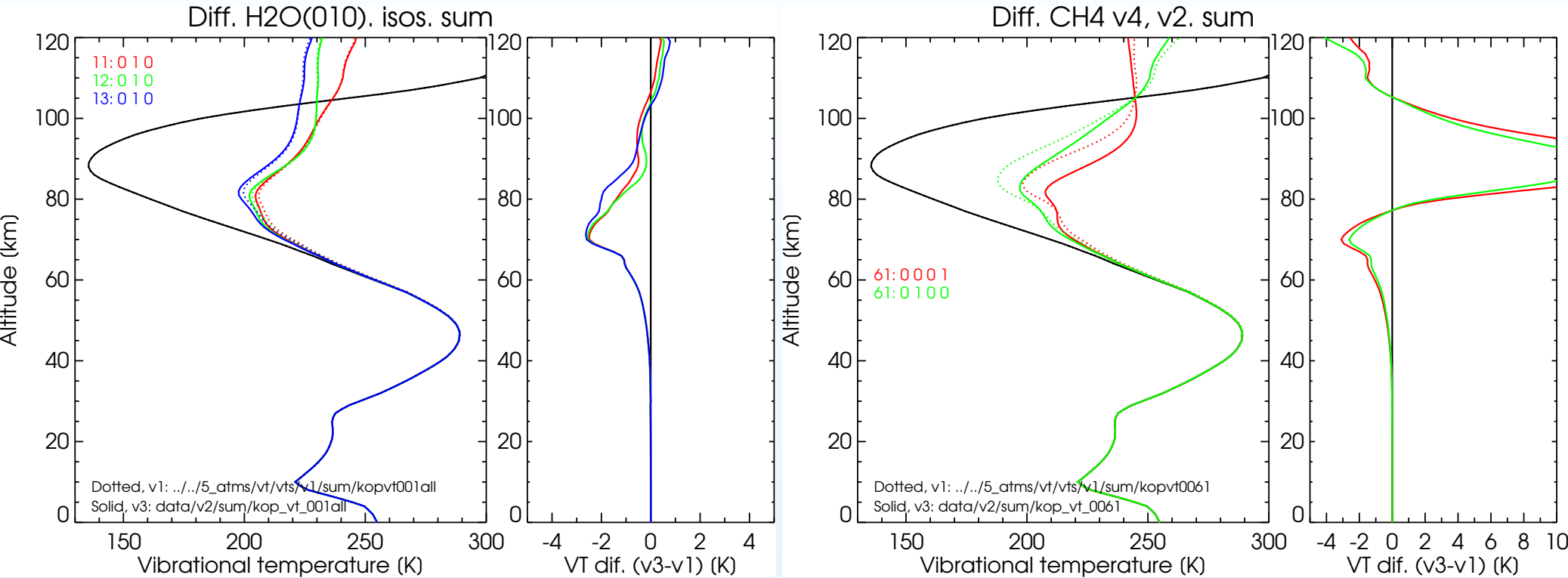


- Previous Tvibs (dotted) and current (solid). Right panels show the differences (New-Old).

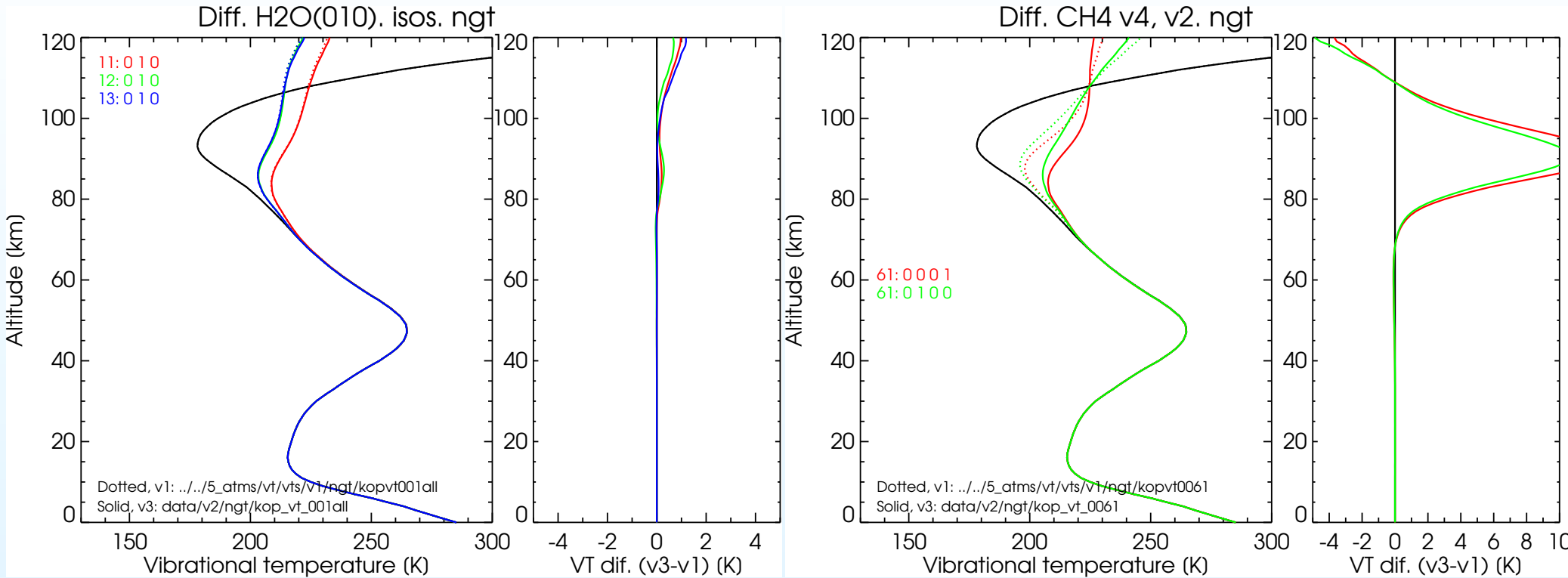
Comparison of VTs: CH4(v2,v4), O2(1). DAY



Comparison of VTs: H2O(010), CH4(v2,v4). **SUM**

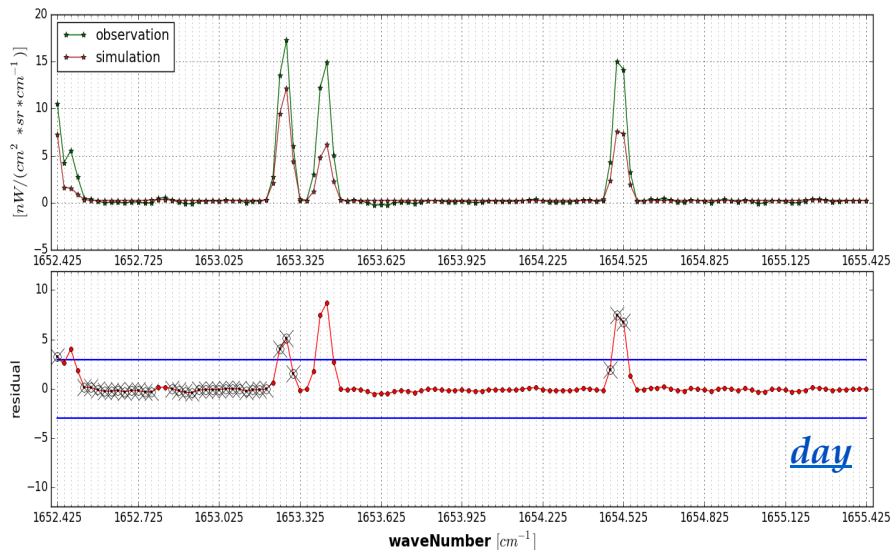


Comparison of VTs: H2O(010), CH4(v2,v4). NGT

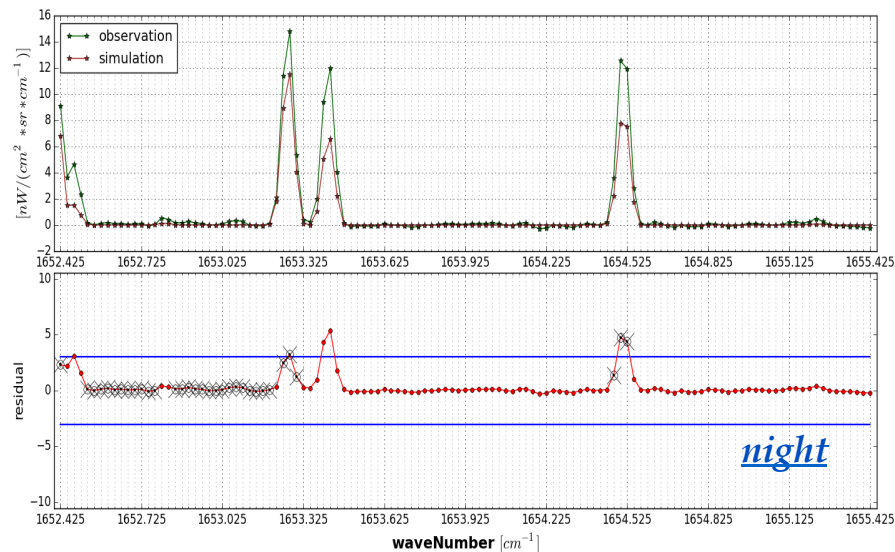


From Piera: H2O MWs at 68 km (2/2)

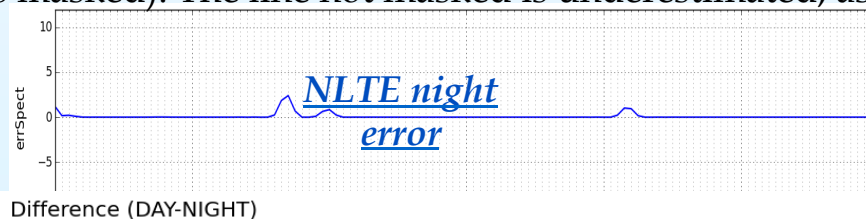
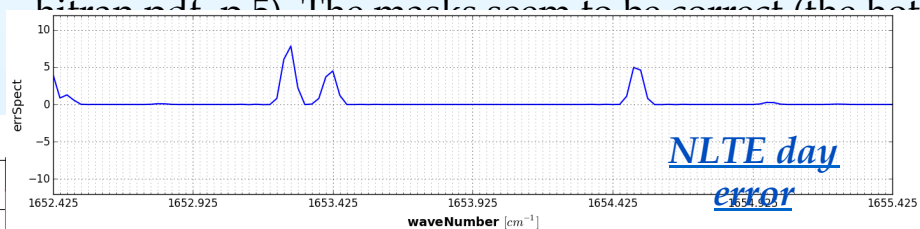
ORM v.7 REC analysis latRange=[20,65][-65,-20 [nFiles=217] [mask=Y] [daynight=D] [midlat_day]
MW:H2O_0516 Alt=68.0



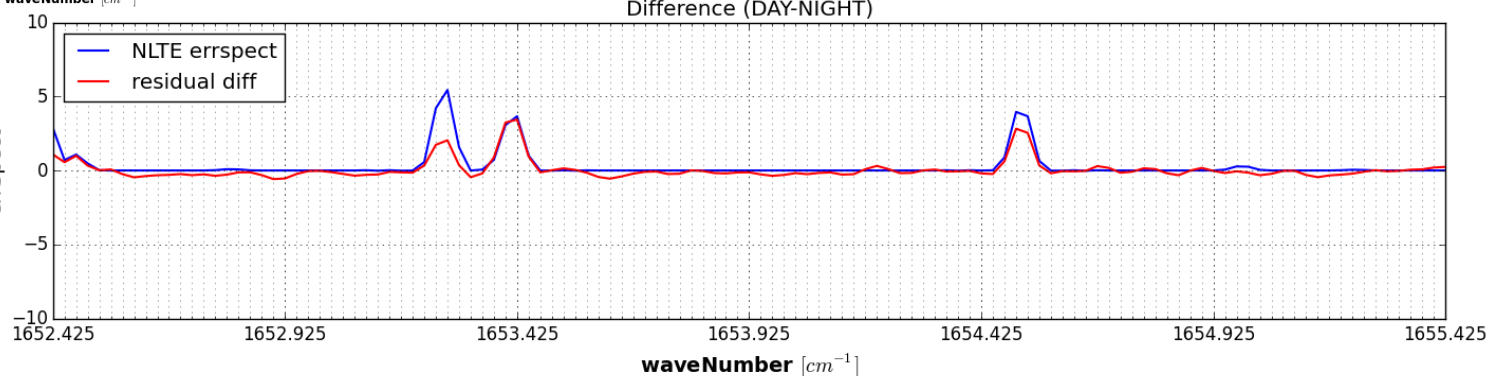
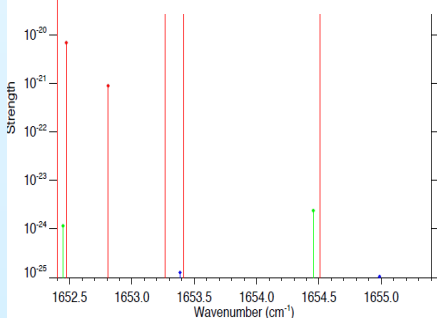
ORM v.7 REC analysis latRange=[20,65][-65,-20 [nFiles=186] [mask=Y] [daynight=N] [midlat_night]
MW:H2O_0516 Alt=68.0



Contribution from 3 fundamental lines and one hot (near 1654.45, overlapped with one fundamental) (see fig. 10 in ref. 5). The peaks seem to be correct (the hot line is masked). The line not masked is underestimated, as m.



Difference (DAY-NIGHT)



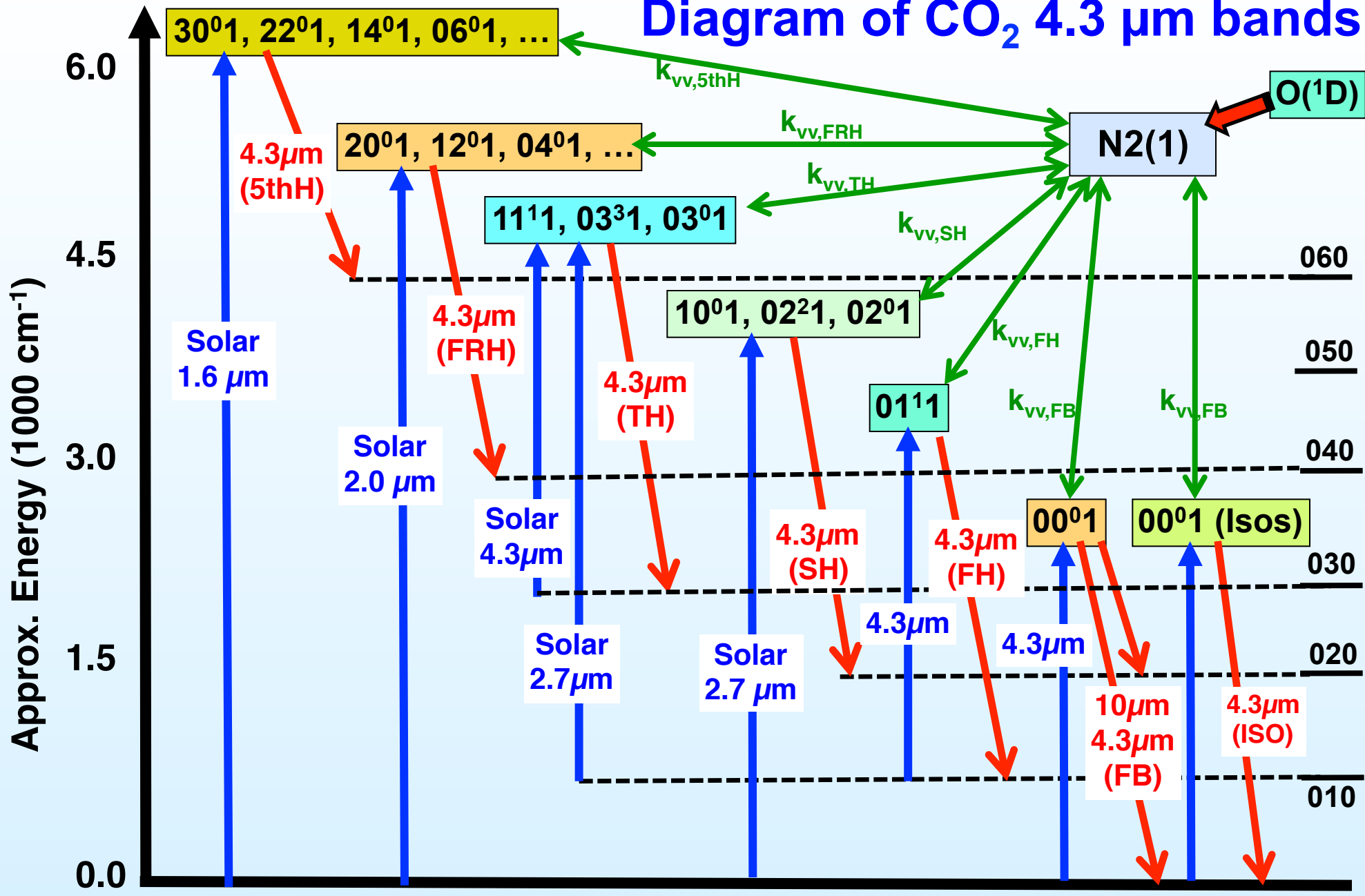
QWG #

CO2

Updates in the CO2 NLTE model

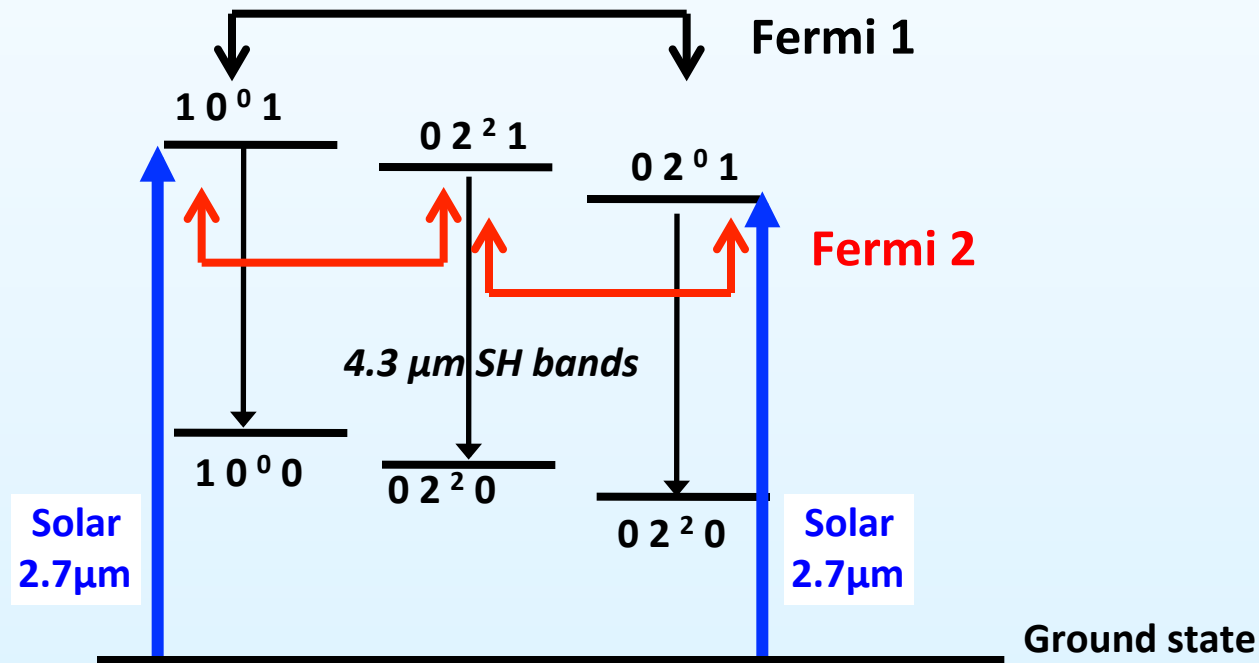
- CO2 NLTE updates (Jurado-Navarro et al., JGR, 2015)
 - ◆ Inversion of $K_{v,v}$ and $K_{v,t}$ collisional rates, including the temperature dependence
- Near-IR solar flux of the 2.7 μm bands increased in 1.7%.; & 4.3 μm bands reduced in 0.2%
- Further V-V coupling ($v_1+v_2=1,2$) for isos=2-6:
$$\text{CO}_2(\text{main})(001) + \text{CO}_2(\text{iso})(v_1,v_2,0) \rightleftharpoons \text{CO}_2(\text{main}) + \text{CO}_2(\text{iso})(v_1,v_2,1)$$
- Bug: Rate of $\text{N}_2(1) + \text{O} \rightleftharpoons \text{N}_2 + \text{O}$ was a factor of 5 too large
- Fixed error affecting the population of the CO2 628 (010) and (020) (15 μm) levels
- Line-by-line calculation of the CMs of the 3 2.7 μm levels
- Angular integration increased from 4 to 8 points in the bands affecting the 2.7 μm levels
- Included HITRAN 2012 CO2 spectroscopy

Diagram of CO₂ 4.3 μm bands



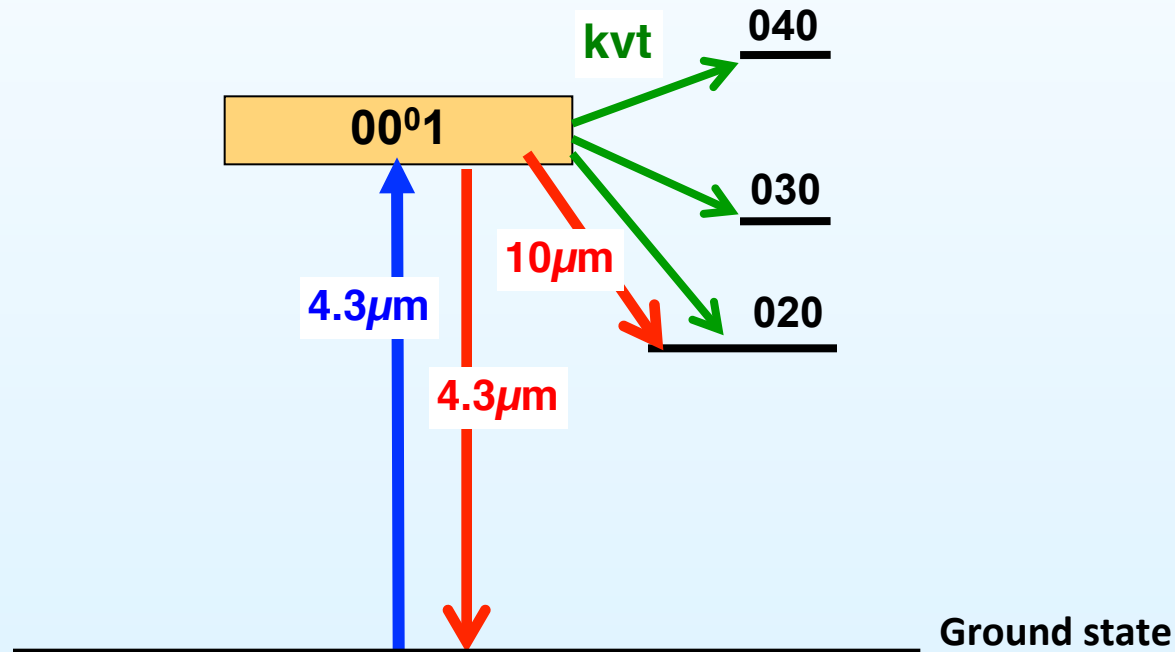
Collisional processes: Fermi coupling

- Near resonant levels (Fermi coupling). Affects mainly the SH bands.
- Kvv Fermi1; $\Delta v_d=0$; $\Delta l=0$: $\text{CO}_2(v_1, v_2, l, 1) + \text{N}_2 \rightleftharpoons \text{CO}_2(v_1', v_2', l', 1) + \text{N}_2$
- Kvv Fermi2; $\Delta v_d=0$; $\Delta l=\pm 2$: $\text{CO}_2(v_1, v_2, l, 1) + \text{N}_2 \rightleftharpoons \text{CO}_2(v_1', v_2', l', 1) + \text{N}_2$

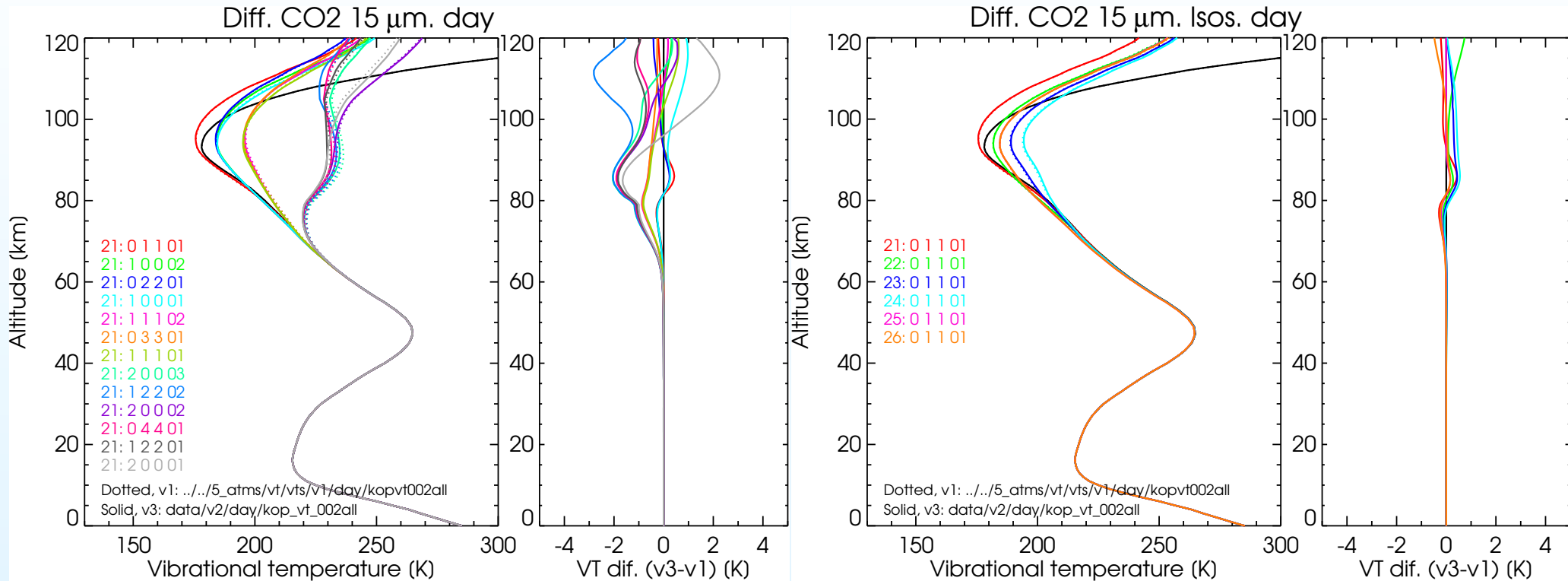


Col. processes: Deactivation of CO₂(001)

- Major channel of thermalization of solar energy
- **Kvt**: ; $\Delta v_d=0$; $\Delta l=0$: $\text{CO}_2(001)+\text{N}_2 \rightleftharpoons \text{CO}_2(nv_1+mv_2,0)+\text{N}_2$

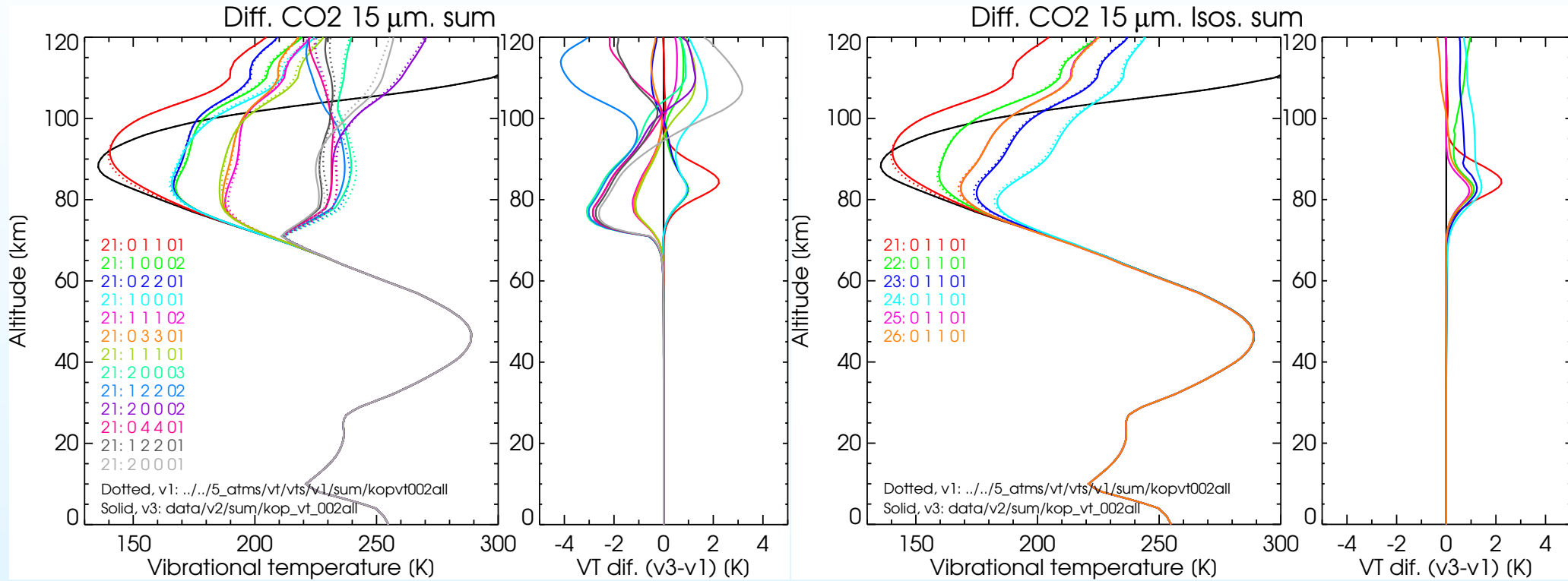


Comparison of VTs: CO₂ 15 μm levels, DAY

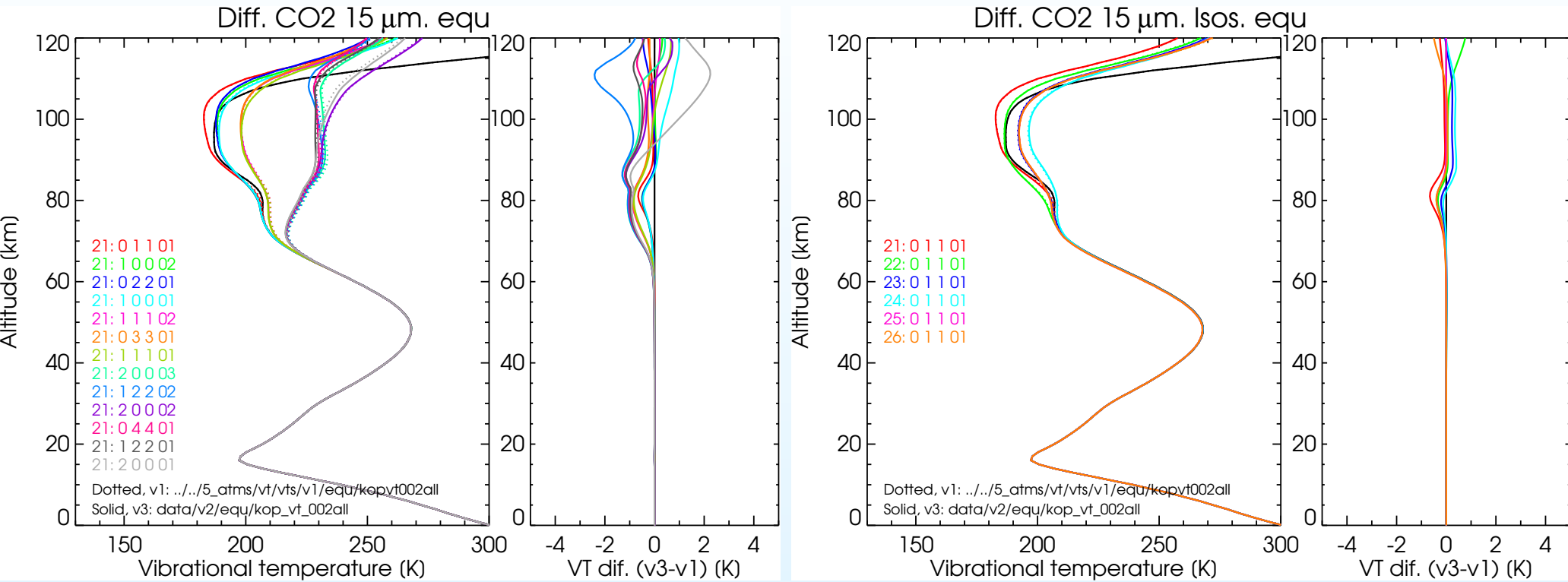


- Previous Tvibs (dotted) and current (solid). Right panels show the differences (New-Old).

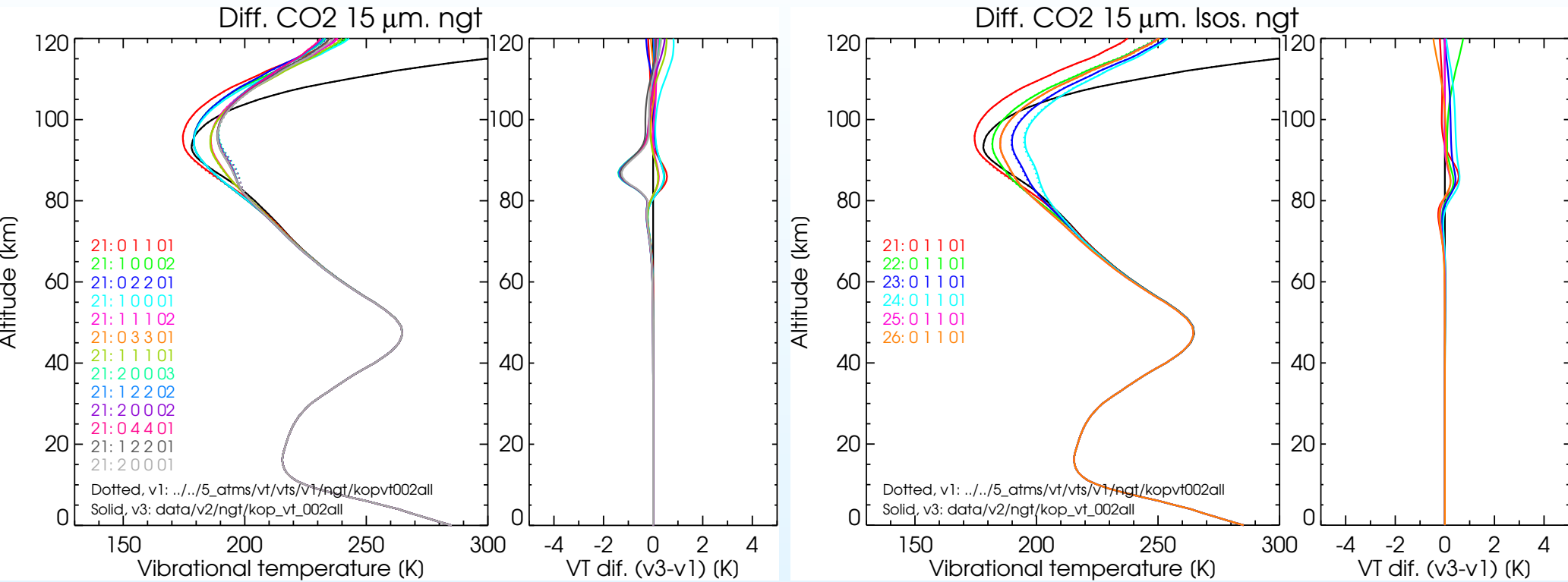
Comparison of VTs: CO2 15 μm levels, **SUM**



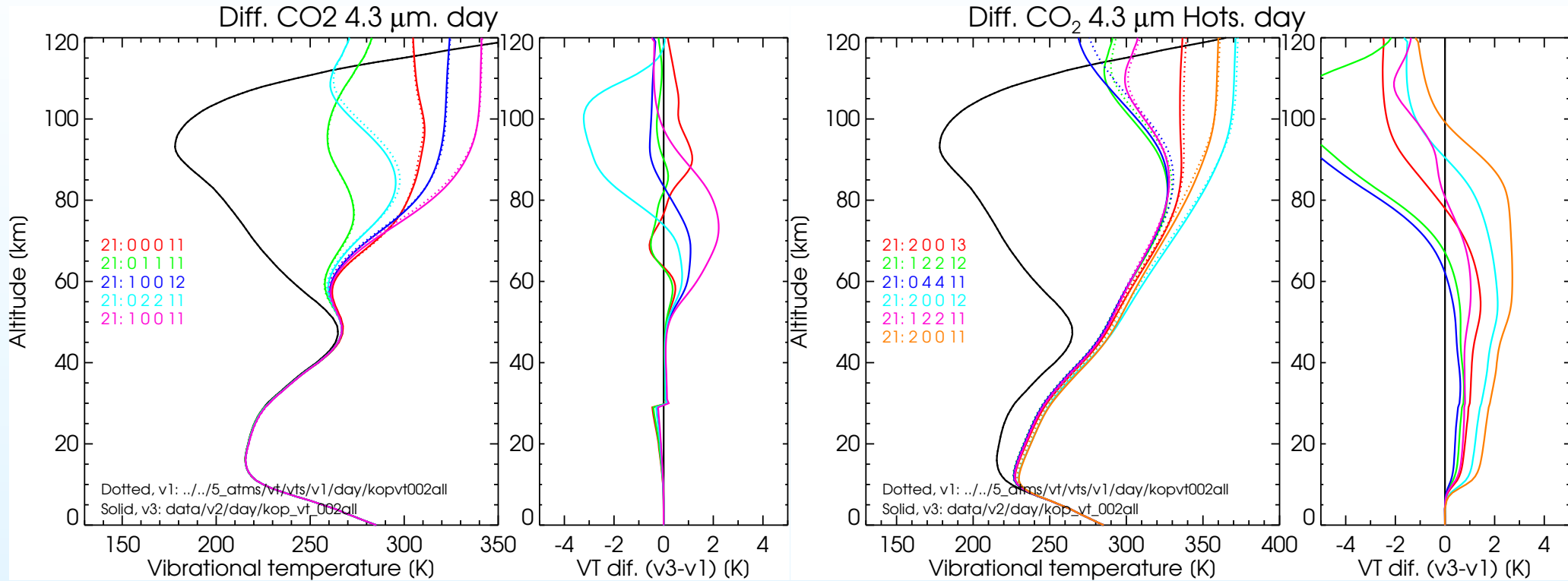
Comparison of VTs: CO2 15 levels, EQU



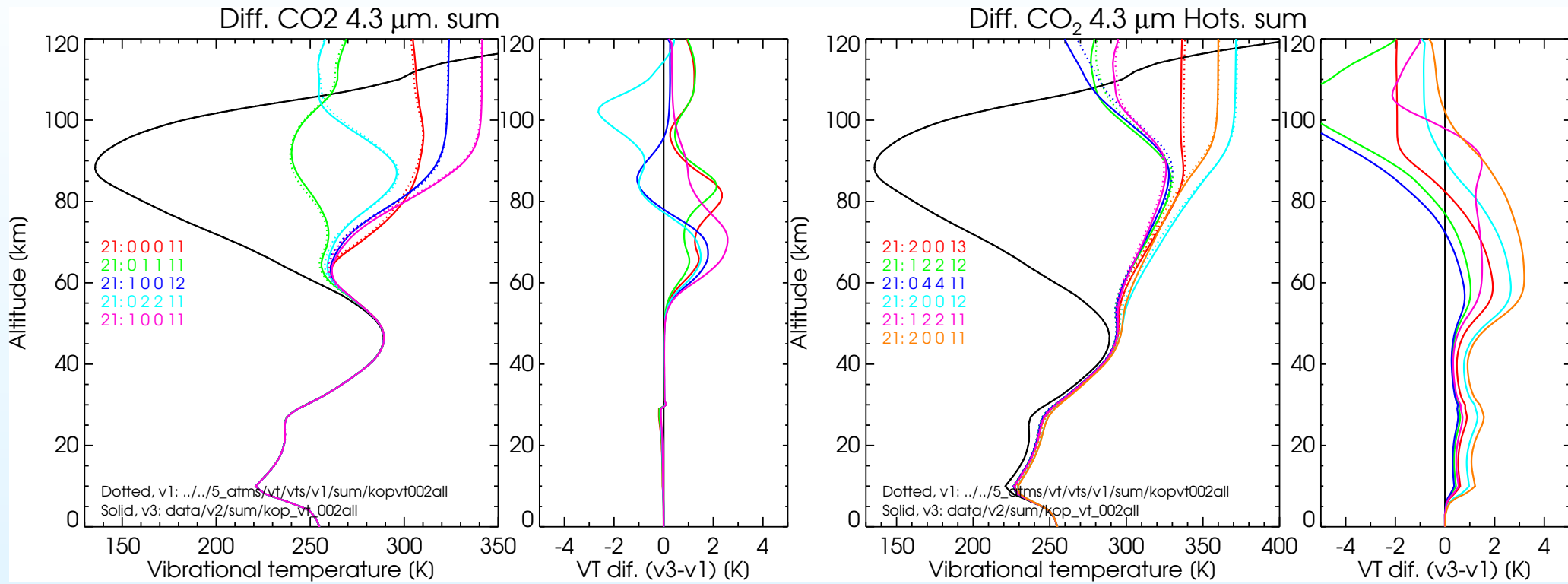
Comparison of VTs: CO2 15 μ m, NGT



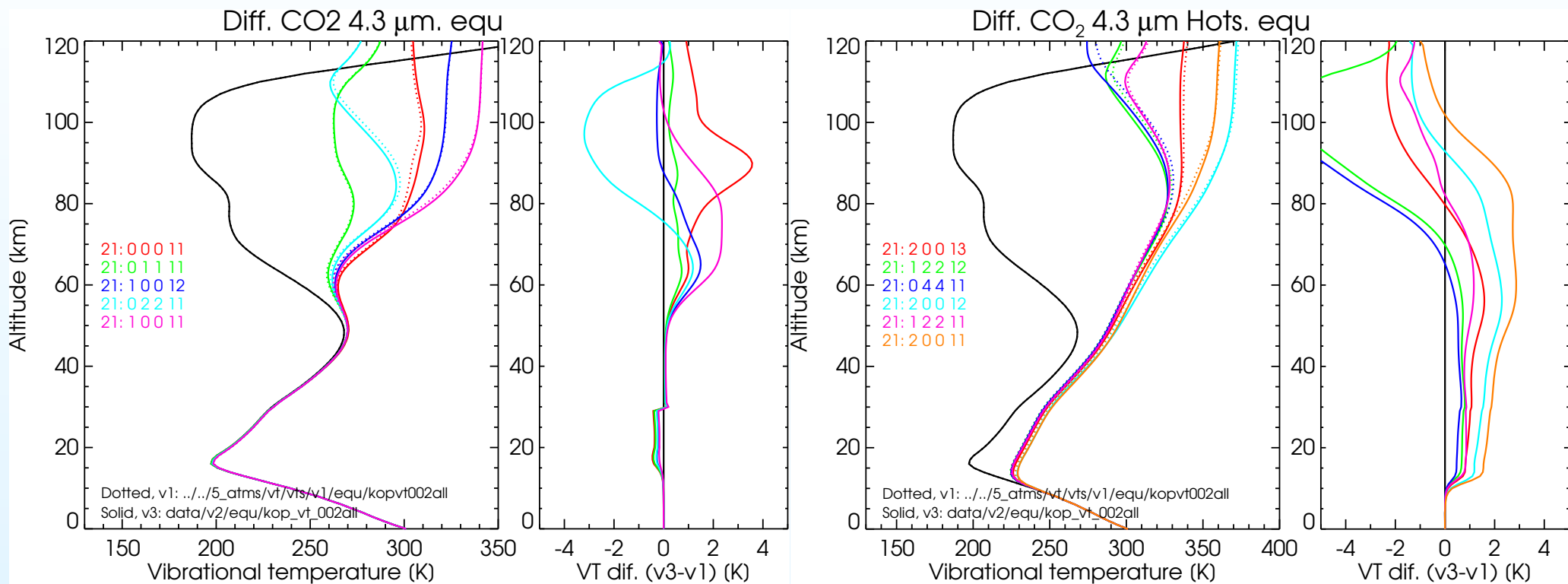
Comparison of VTs: CO₂ 4.3 μm levels, DAY



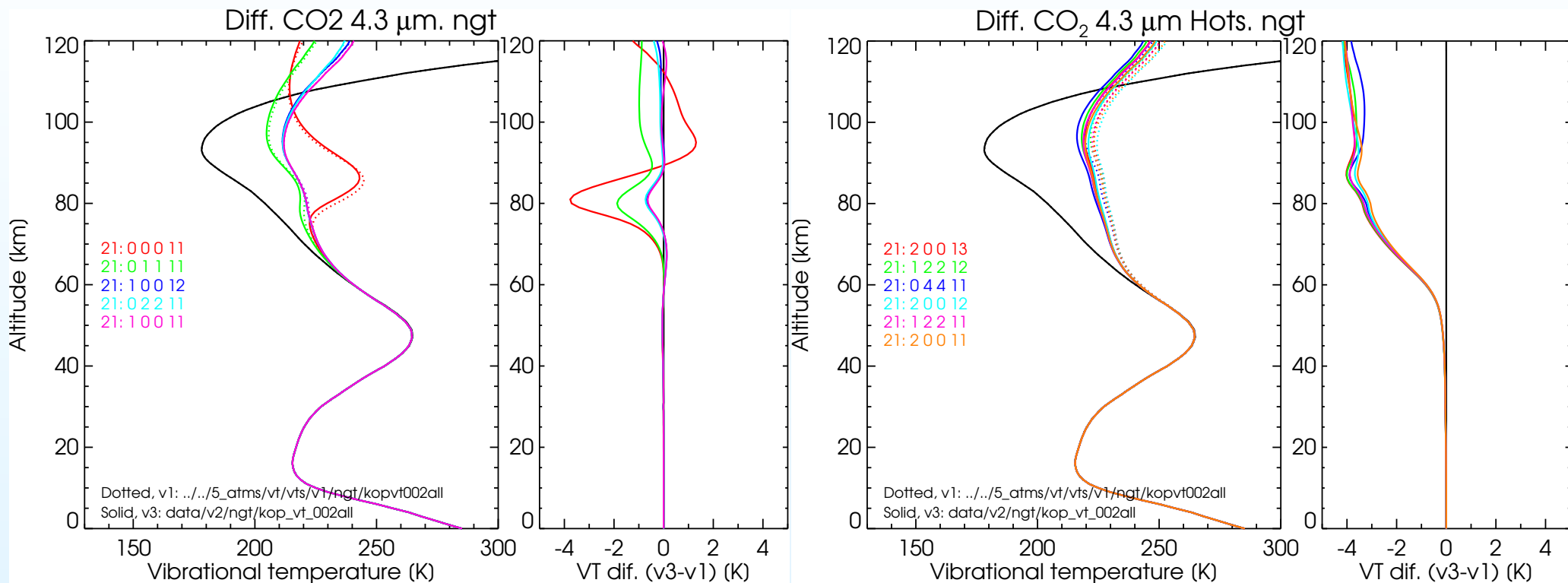
Comparison of VTs: CO₂ 4.3 μm levels, **SUM**



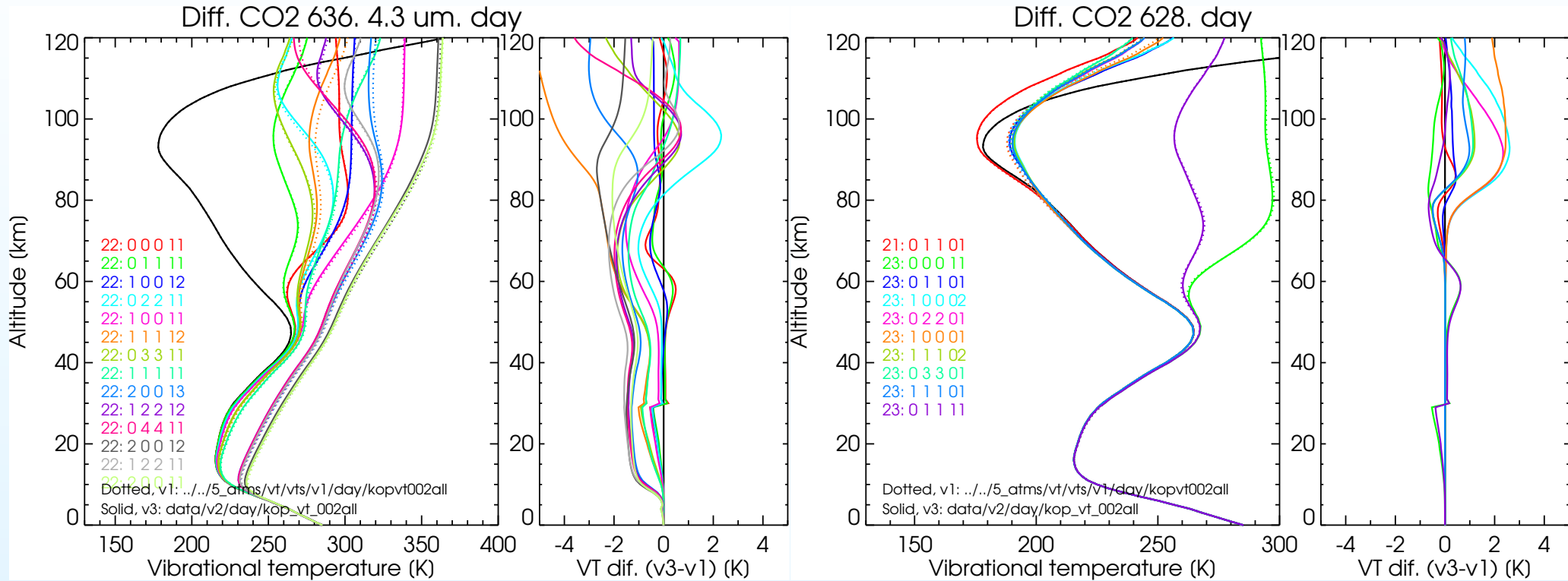
Comparison of VTs: CO₂ 4.3 μm levels, EQU



Comparison of VTs: CO₂ 4.3 μm levels, **NGT**



Comparison of VTs: CO2 4.3 μm , 636, 628, DAY



Summary of CO₂

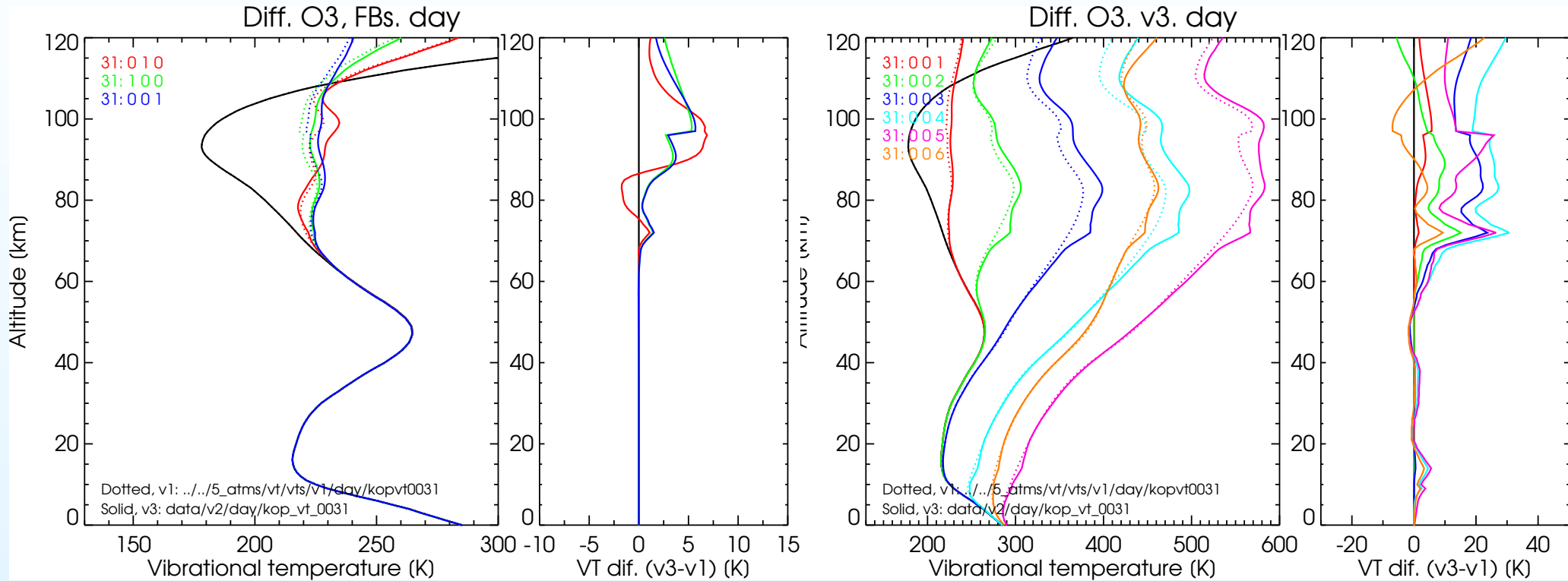
- Many changes done in the CO₂ non-LTE modelling
- New set of collisional rates derived from MIPAS spectra
- Small changes (1-2 K larger) in the Tvibs of the 4.3 and 10 μm bands of CO₂ above around 60 km.

03

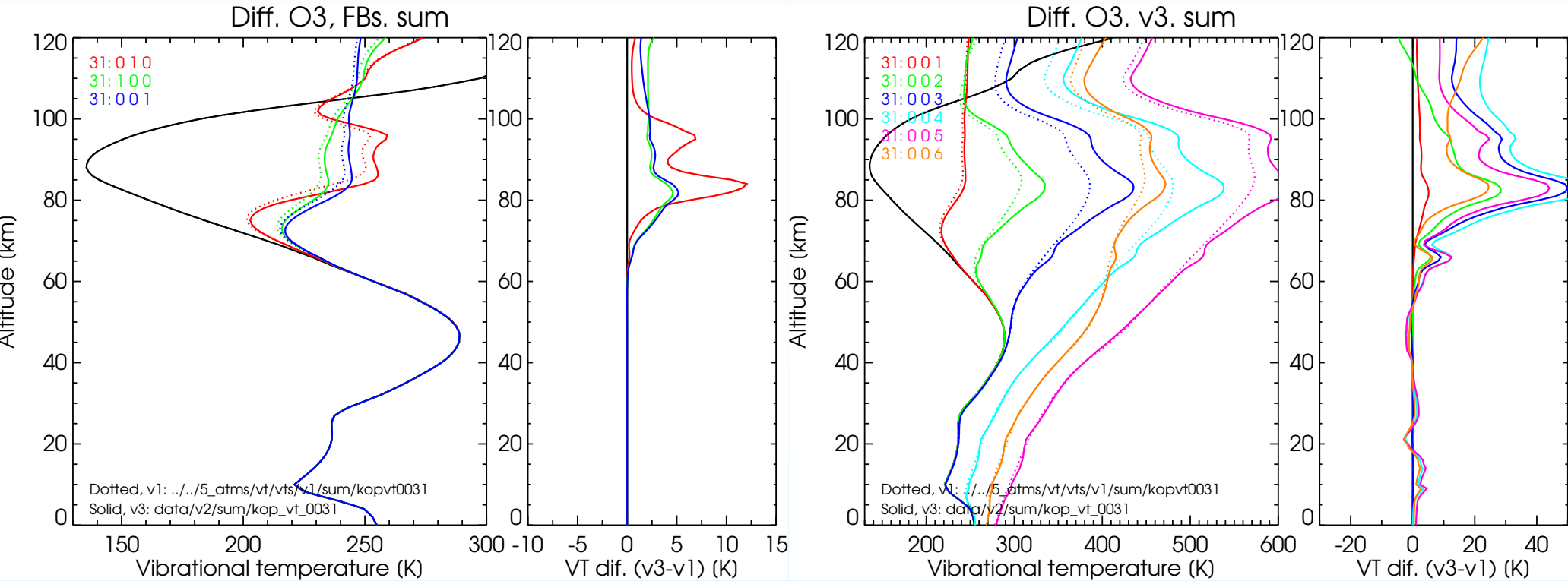
Upgrades in the O3 NLTE model

- Thermal relaxation by O: $O_3^*(v1,v3) + O \Rightarrow O_3(v2)$
 - **Chemical quenching** by O: $O_3^*(v1,v3) + O \Rightarrow O_3 + O \Rightarrow$ **Removed** \Rightarrow **larger Tvibs**
- Total quenching by O still within measurements errors (West et al., 1976; 1978)

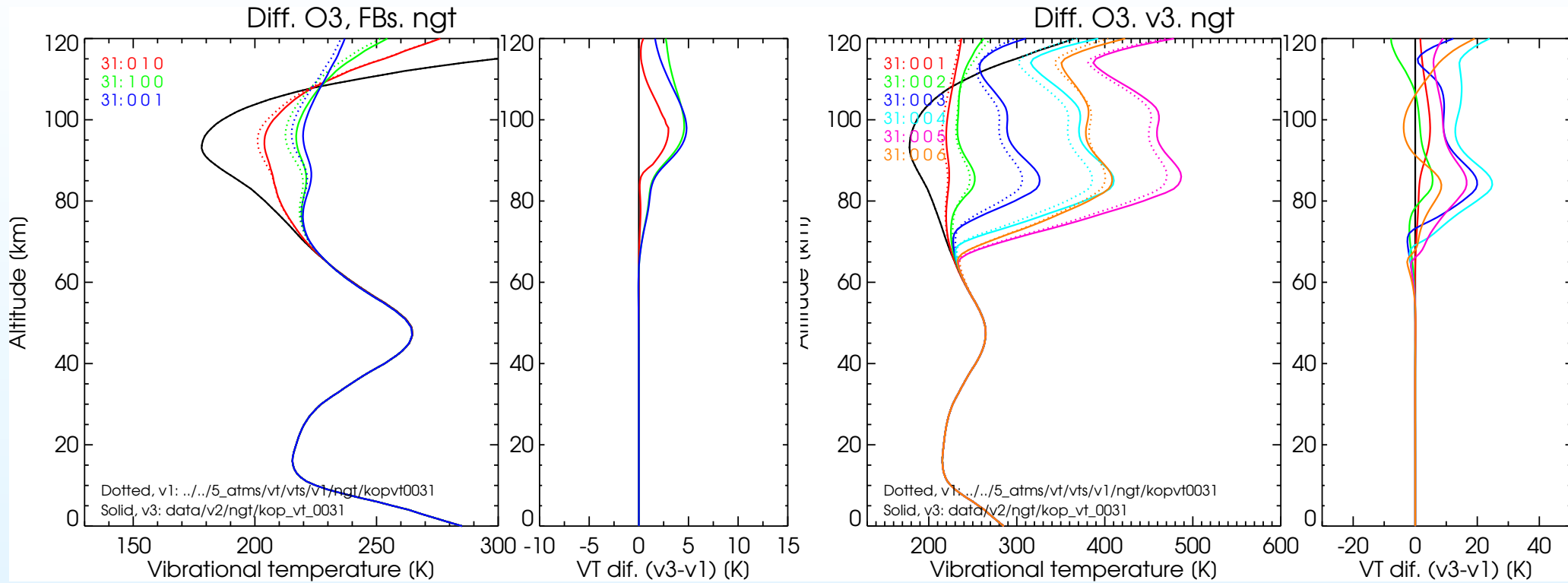
Comparison of VTs: O3 FBs +v3 hot, DAY



Comparison of VTs: O3 FBs +v3 hots, **SUM**



Comparison of VTs: O3 FBs +v3 hot, NGT

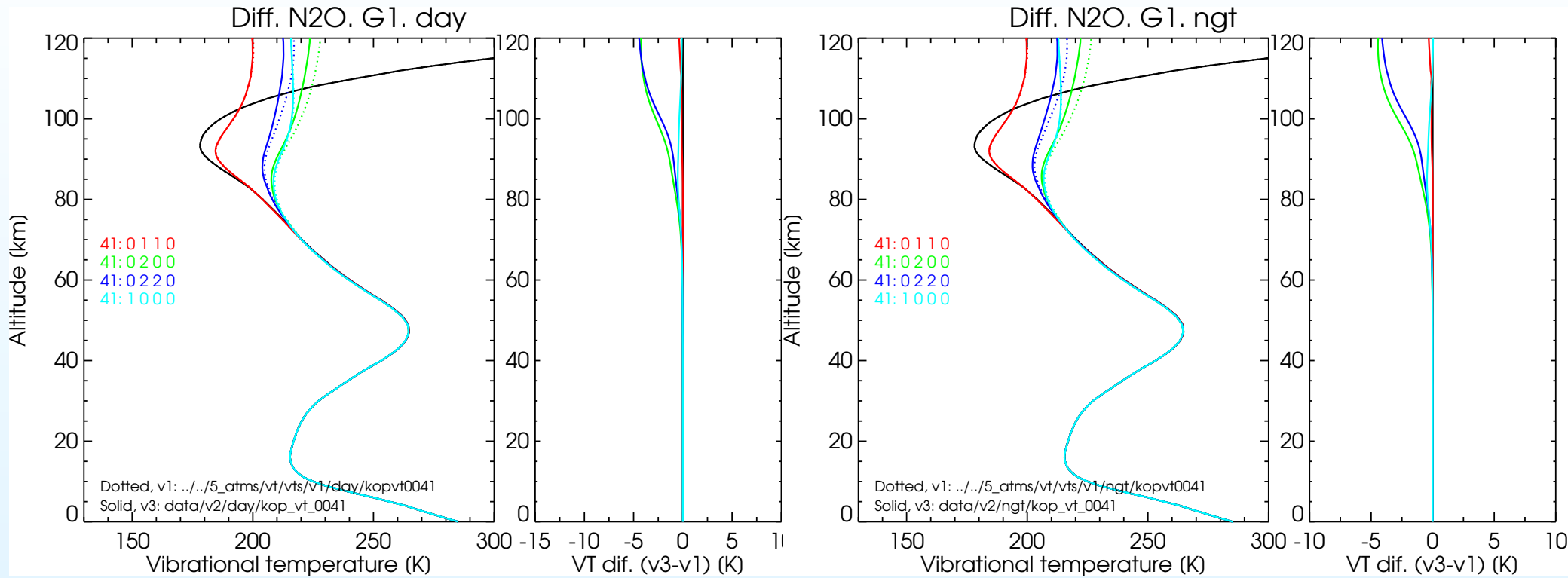


Summary O3

- Changes in NLTE:
 - ◆ Revision of k_{vt} : $O_3(v_3)+M(N_2, O_2) \Rightarrow O_3(v_3-1)$: Small effect
 - ◆ Revision of k_1 : $O+O_2+M$: Small effect
 - ◆ Neglect the removal of $O_3(v_3)$ by O chemical loss \Rightarrow **larger (significant) O3 Tvibs (2-5 K) in the mesosphere**

N20

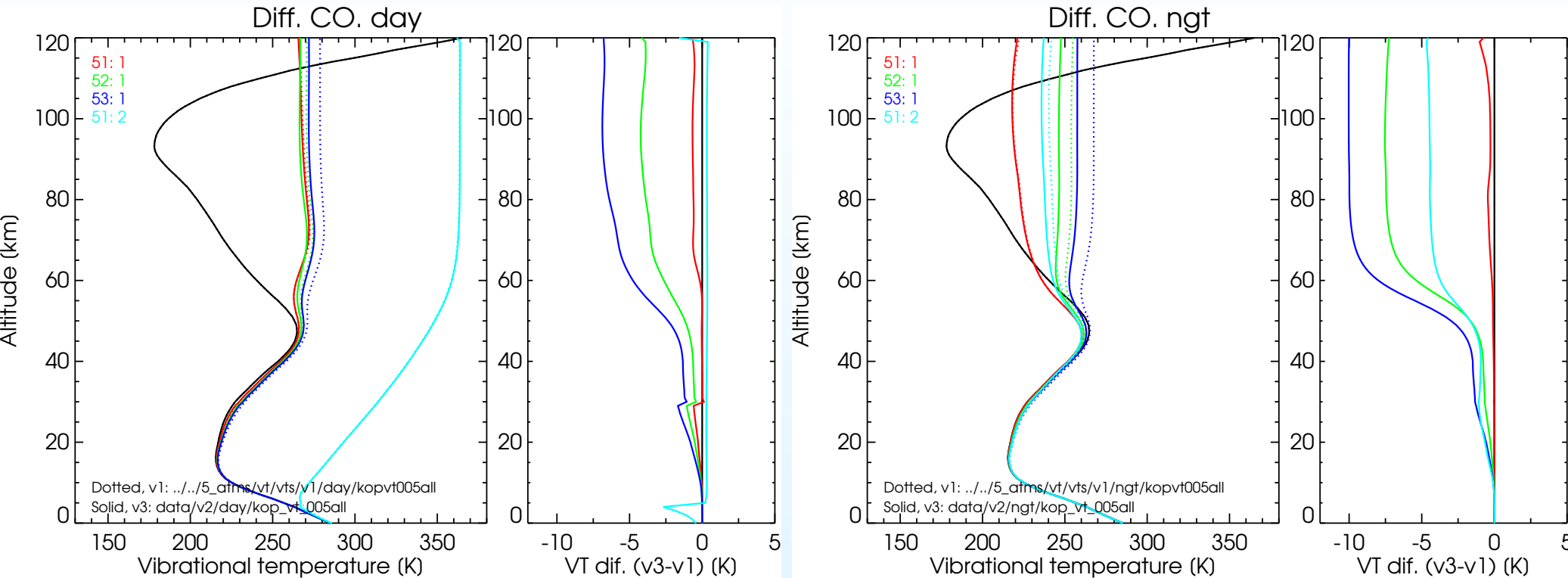
Comparison of VTs: N2O, DAY, NGT



- Negligible changes for N₂O.

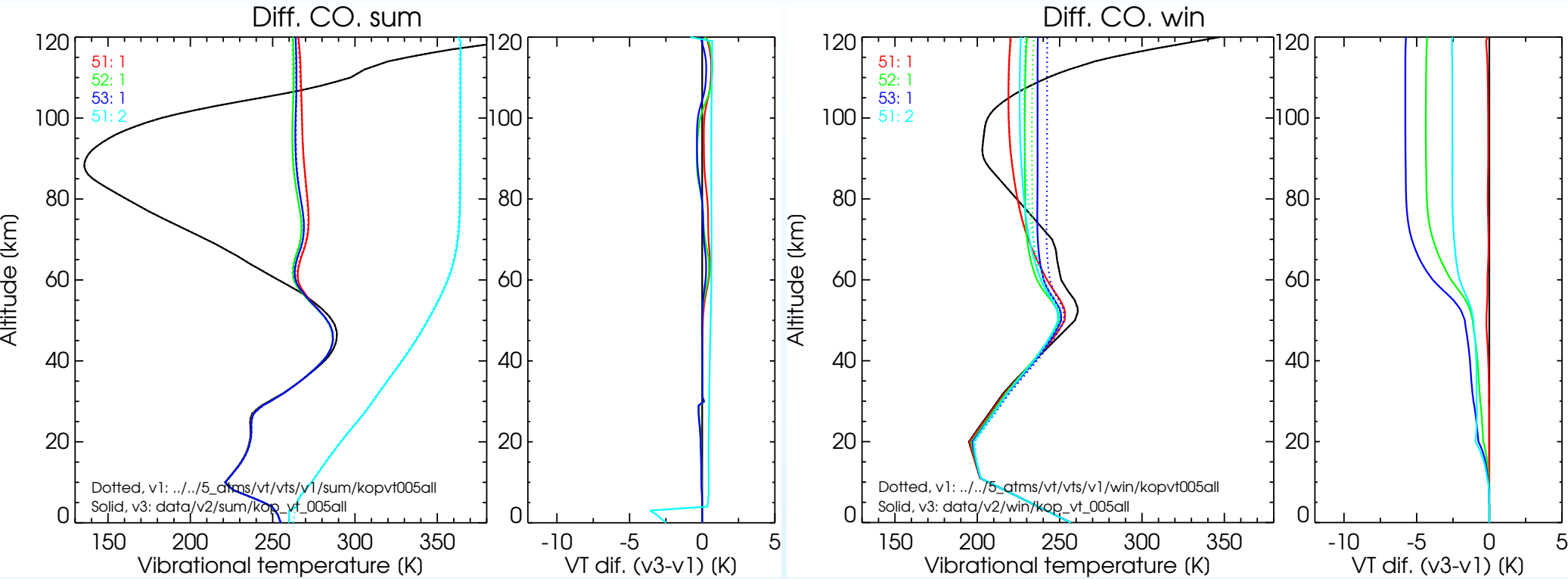
CO

Comparison of VTs: CO, DAY, NGT

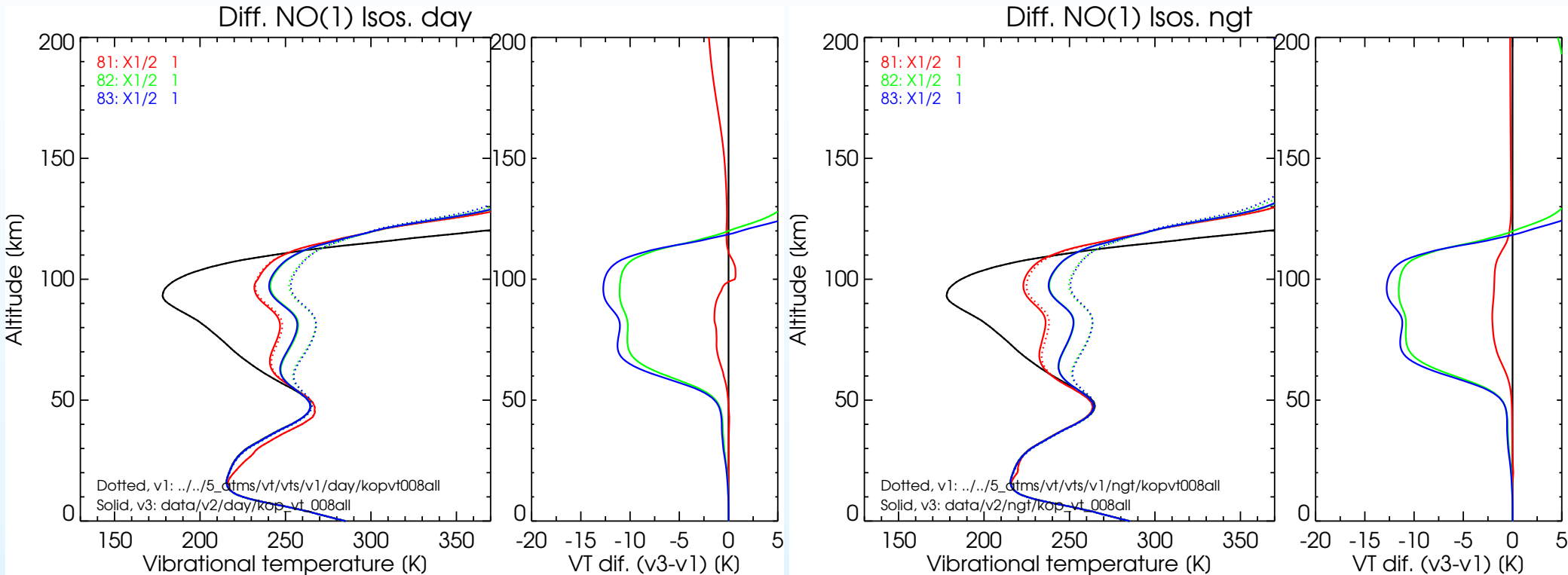


- Negligible changes for CO(1) of main isotope. Smaller VTs for the less abundant isotopes (tropospheric upwelling flux is smaller because of inclusion of tropospheric clouds)

Comparison of VTs: CO, SUM, WIN

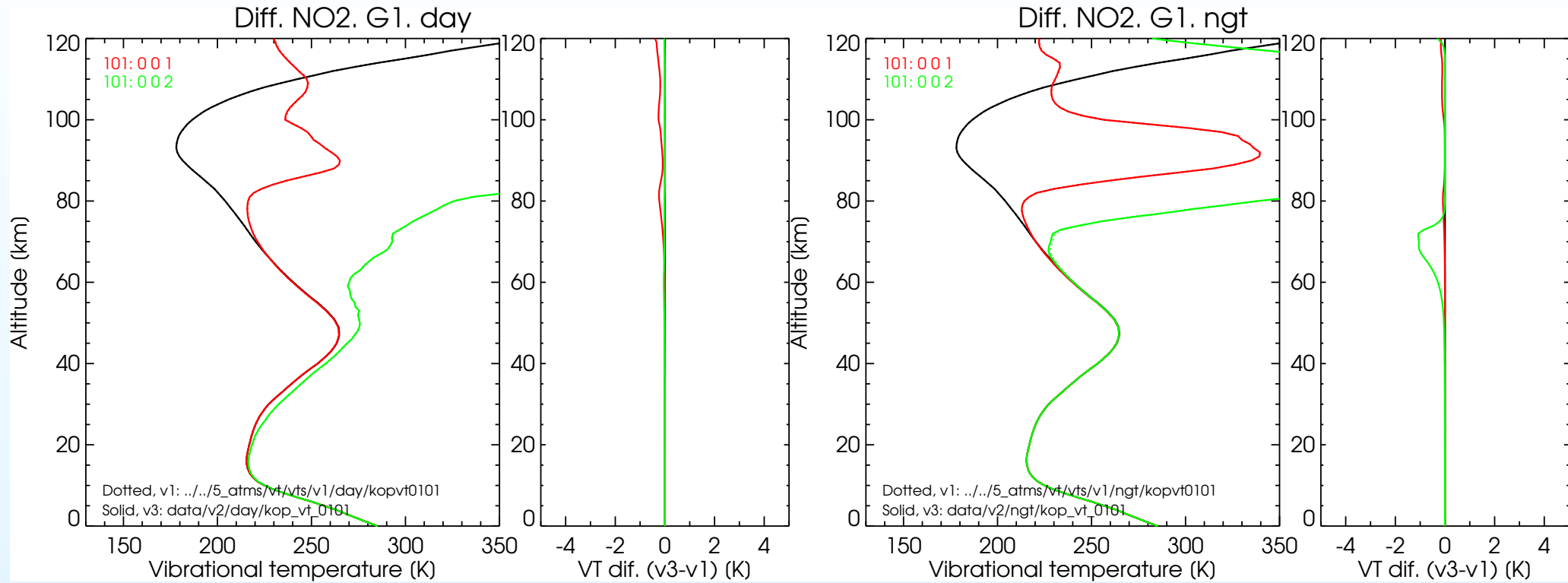


Comparison of VTs: NO, DAY, NGT



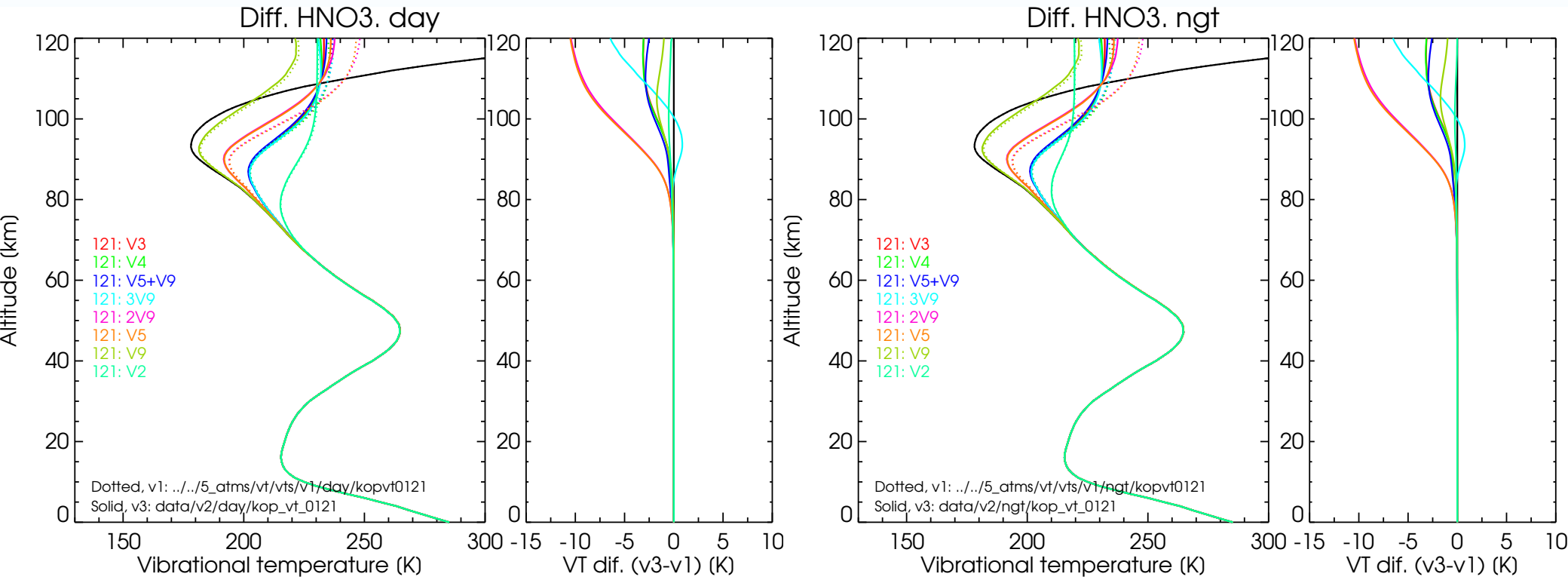
- Negligible changes for NO(1) of main isotope. Smaller VTs for the less abundant isotopes (tropospheric upwelling flux is smaller because of inclusion of tropospheric clouds)

Comparison of VTs: NO₂, DAY, NGT



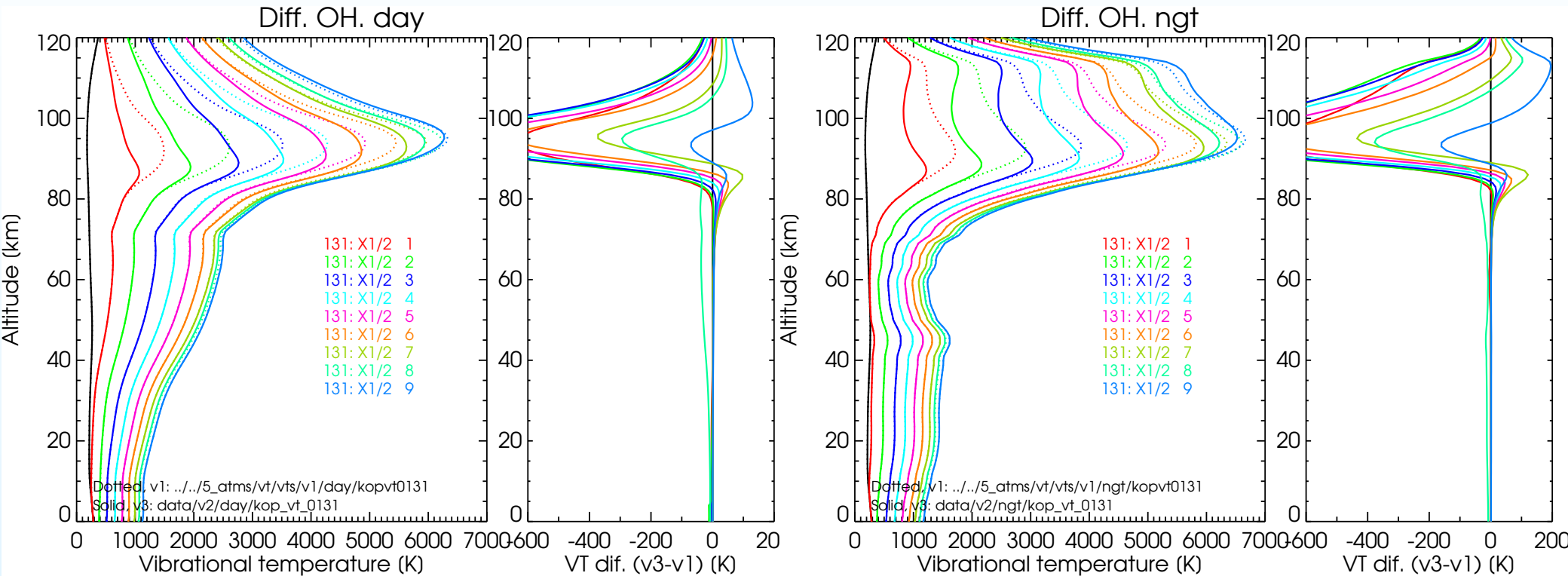
- Negligible changes for NO₂.

Comparison of VTs: HNO₃, DAY, NGT



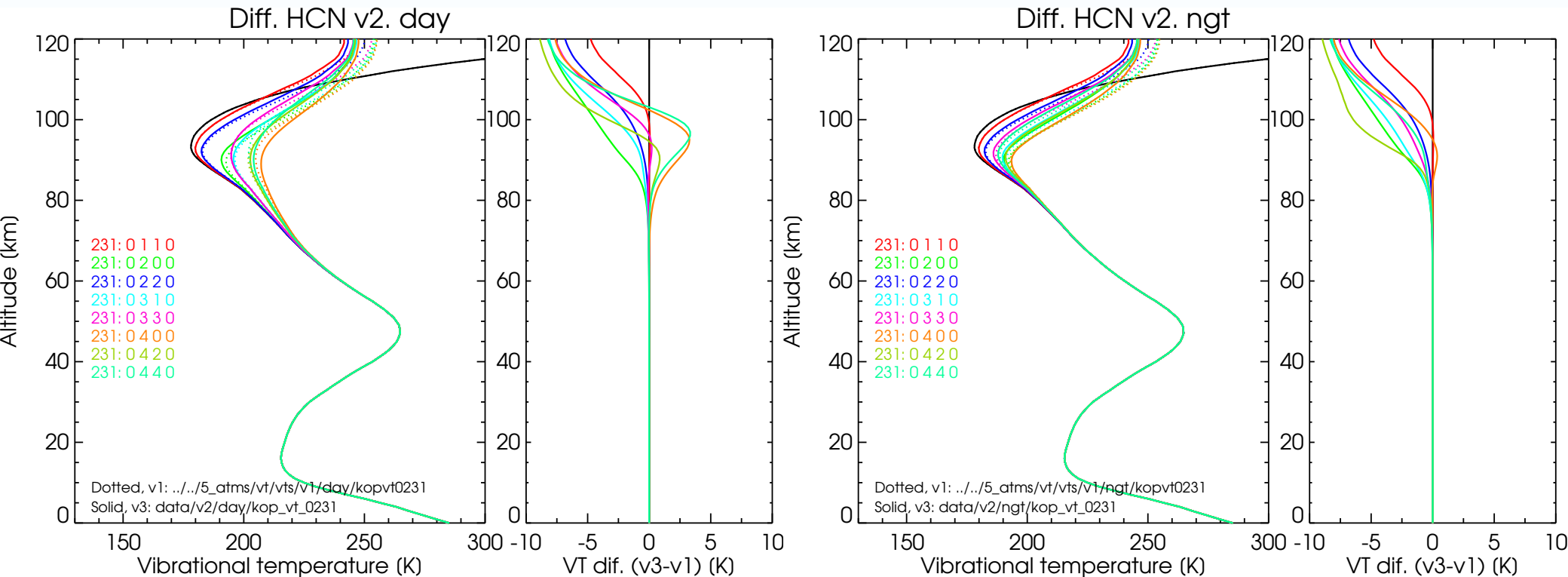
- Negligible changes for HNO₃

Comparison of VTs: OH, DAY, NGT



- Smaller VTs because of rotational NLTE included (adjusted to MIPAS band A OH emission)

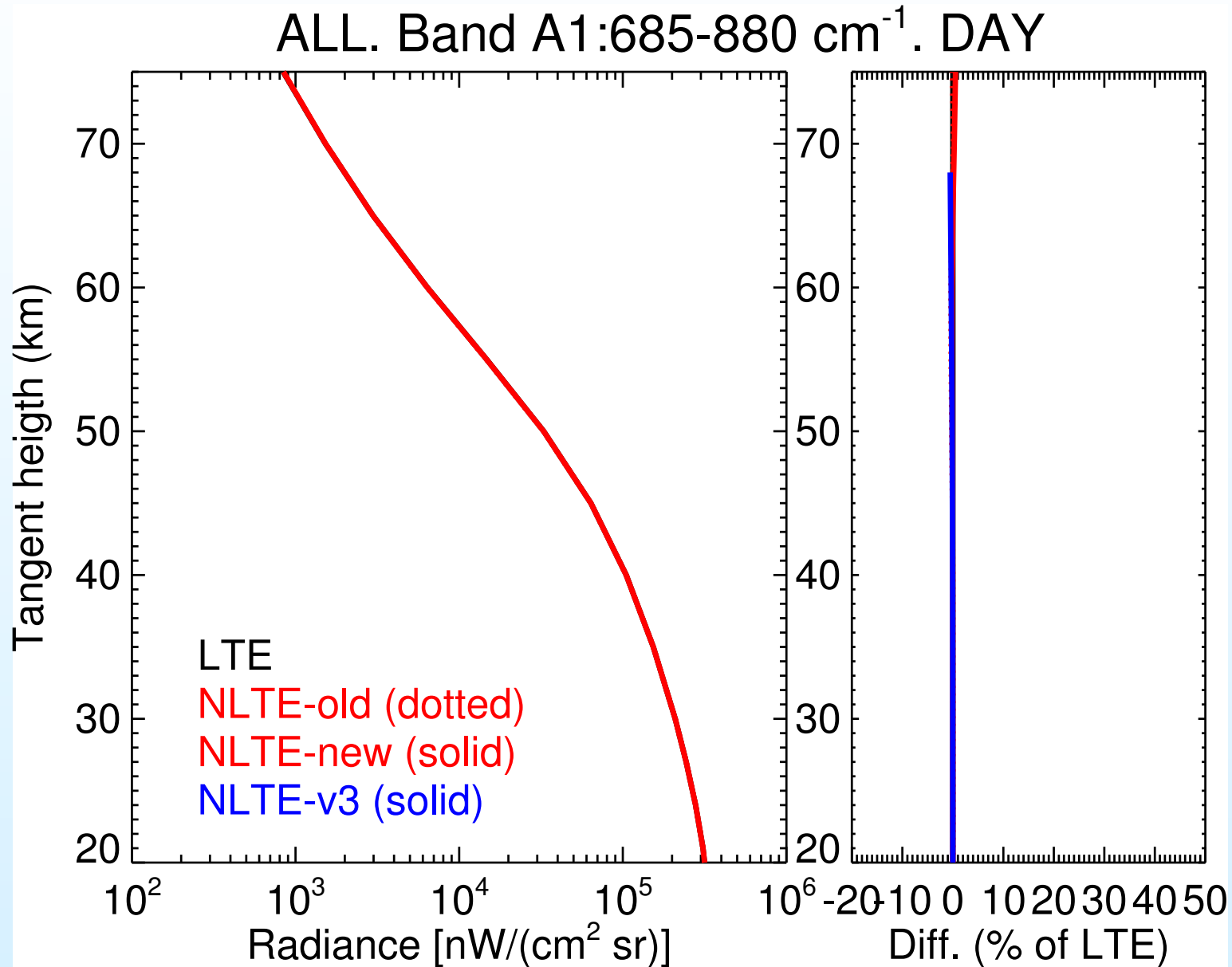
Comparison of VTs: HCN, DAY, NGT



- Negligible changes for HCN

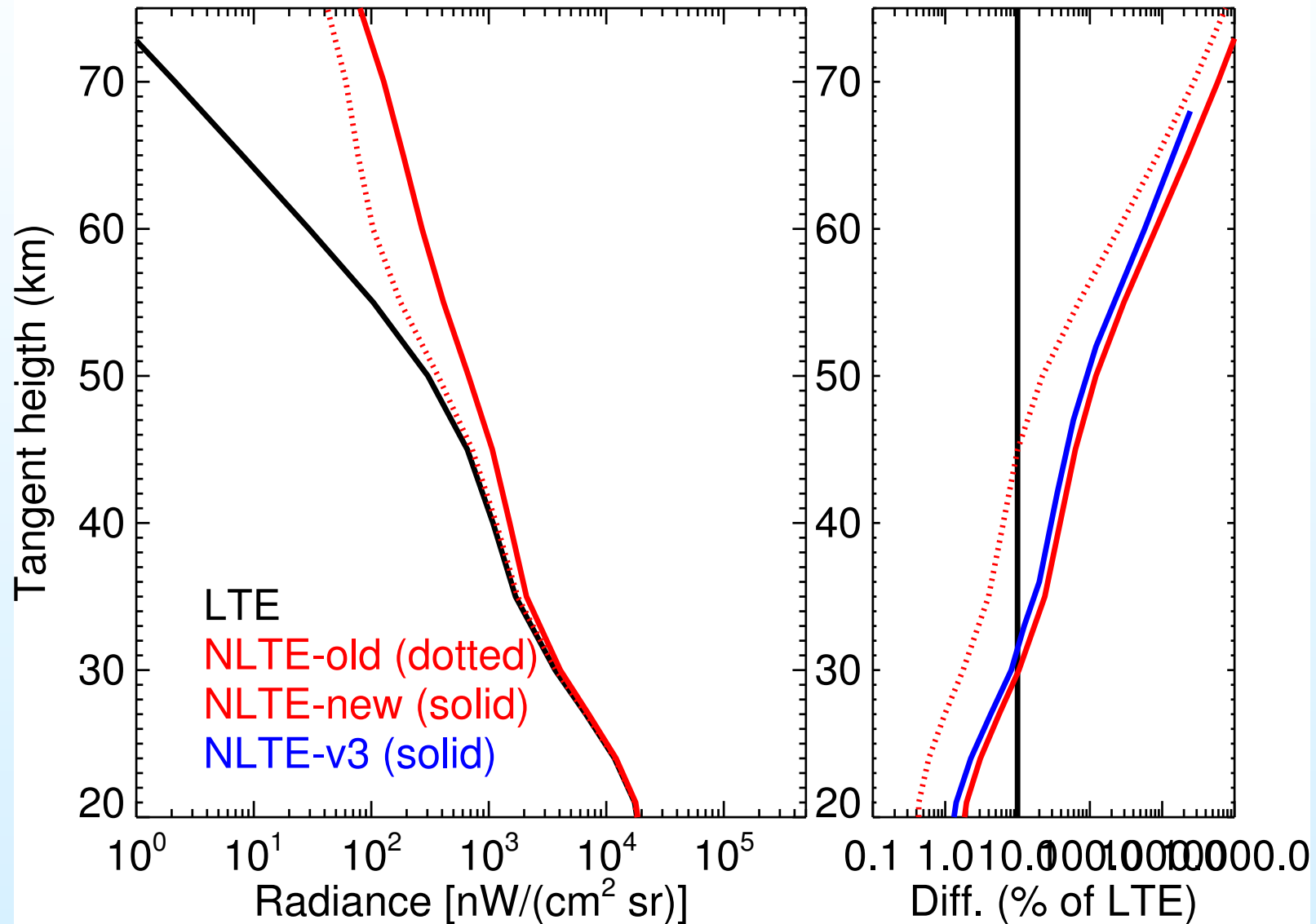
NLTE error (v3)

NLTE error (v3): Band A1, Day



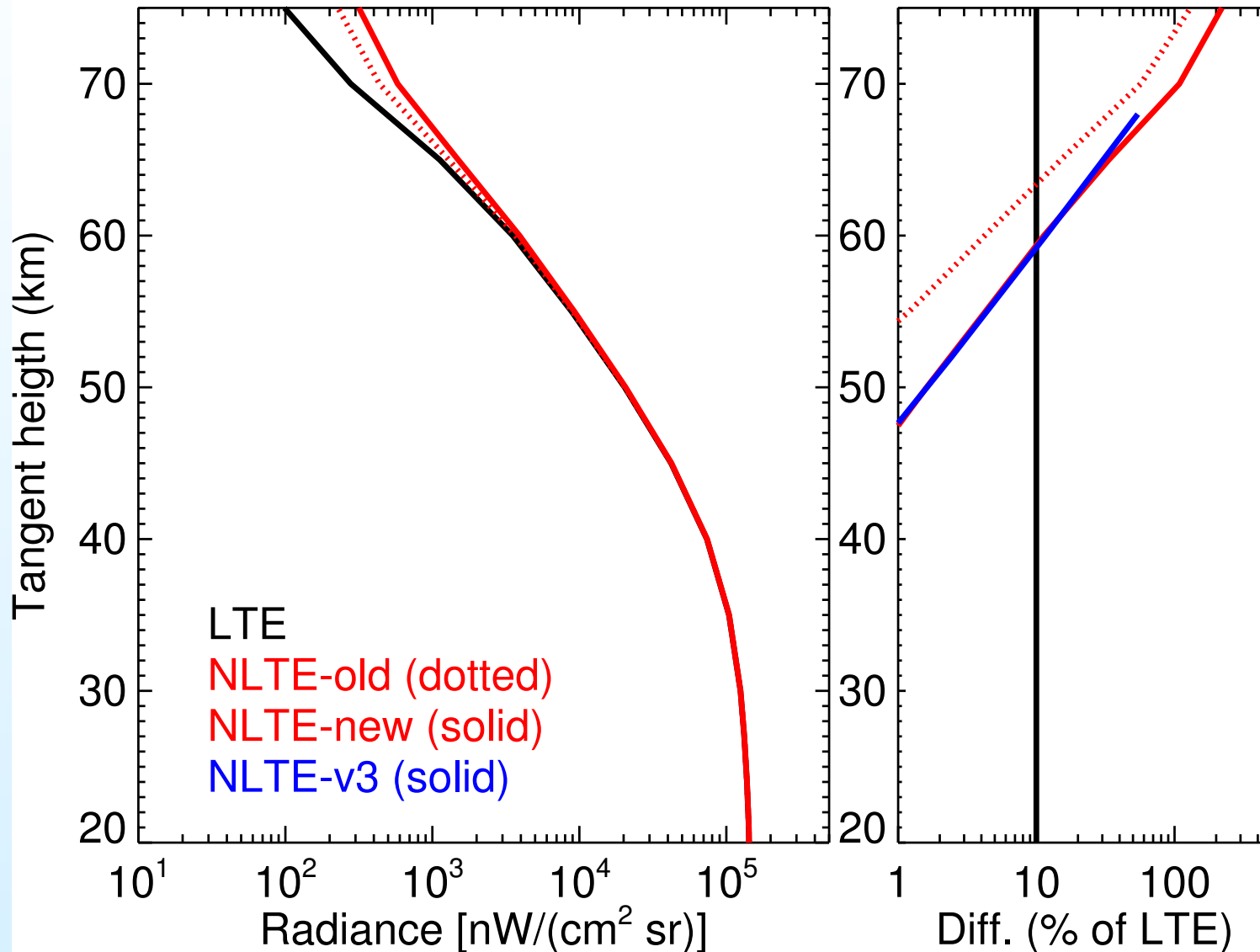
NLTE error (v3): Band A2, Day

ALL. Band A2:880-970 cm^{-1} . DAY

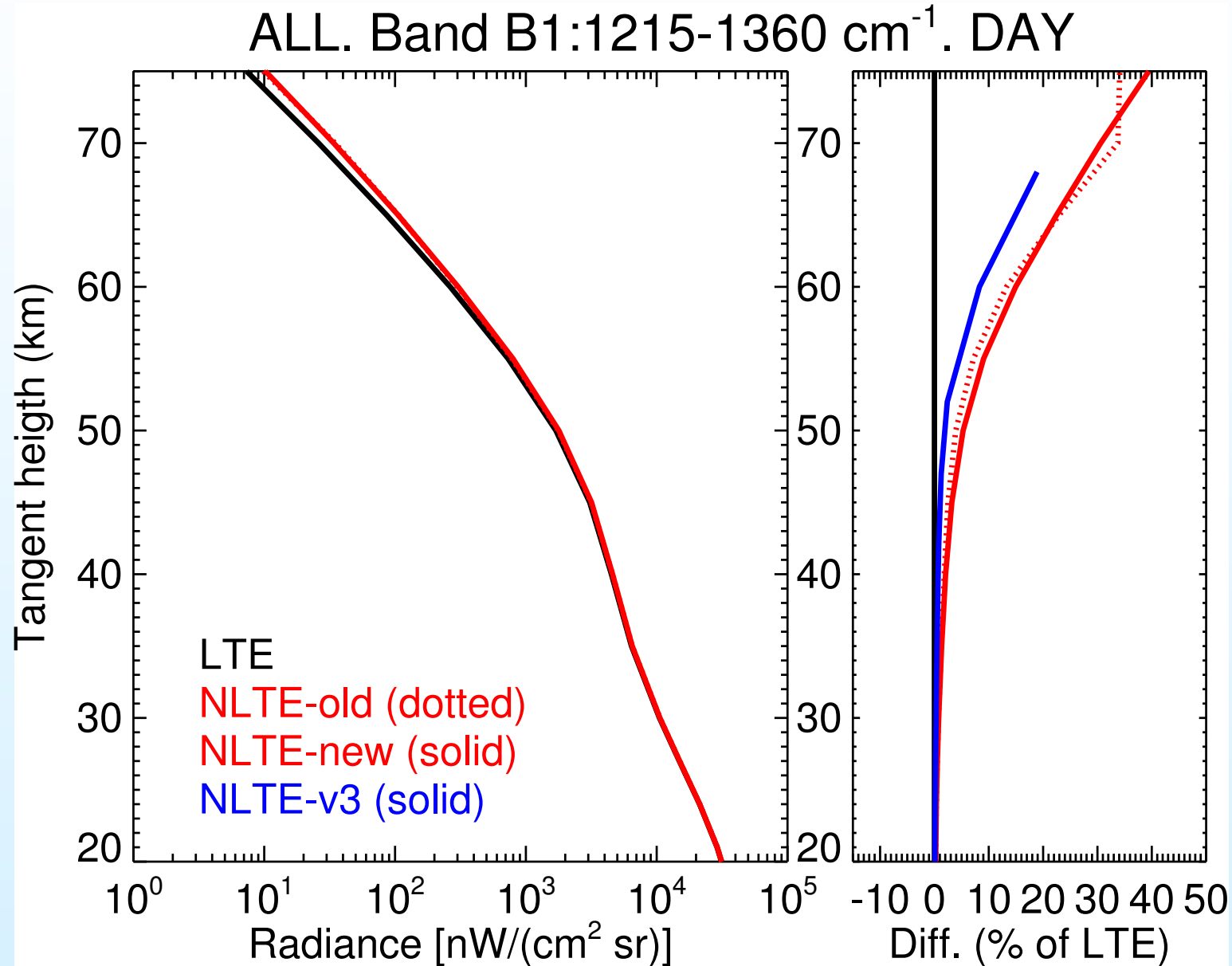


NLTE error (v3): Band AB, Day

ALL. Band AB:1020-1170 cm^{-1} . DAY

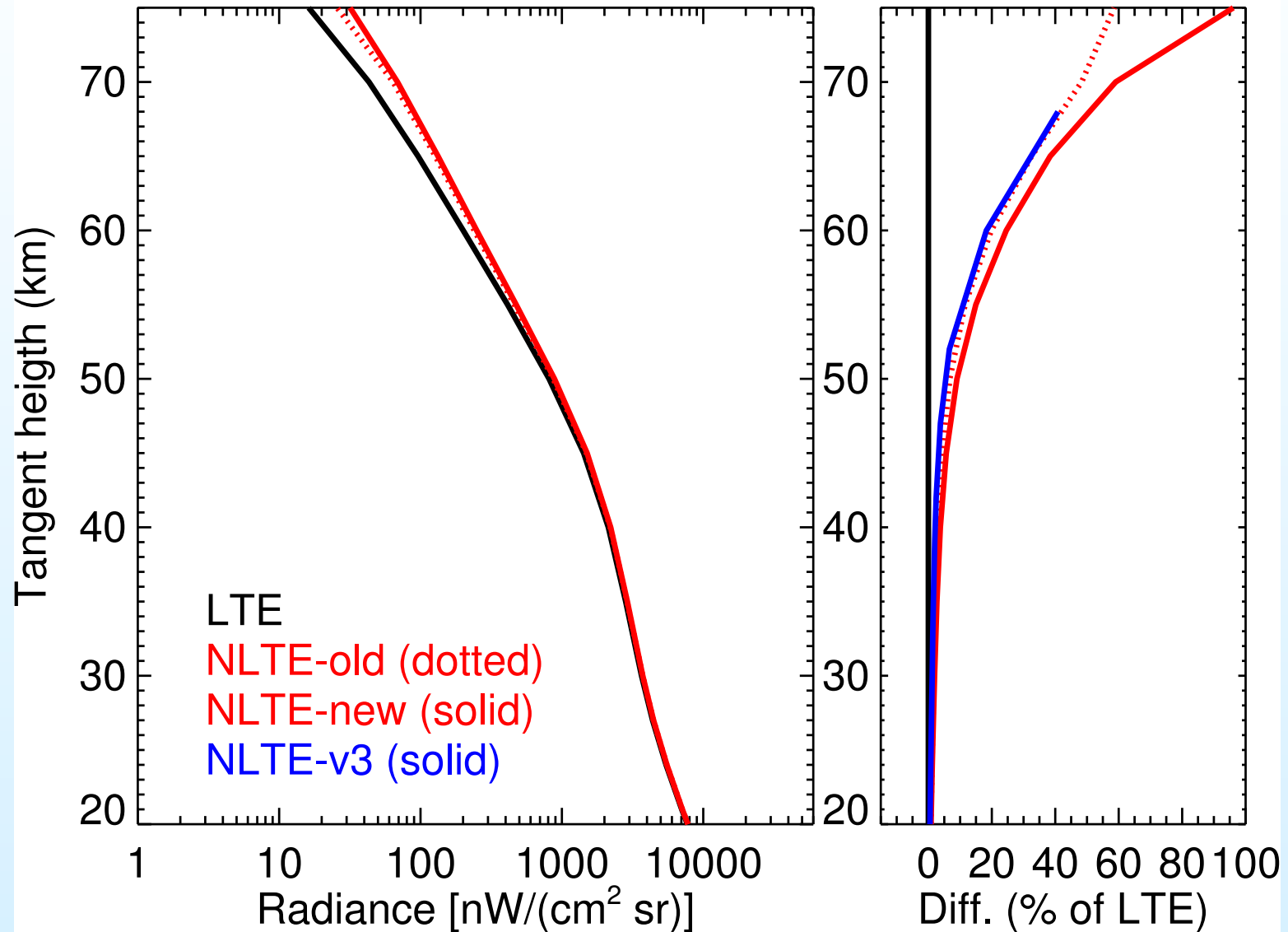


NLTE error (v3): Band B1, Day

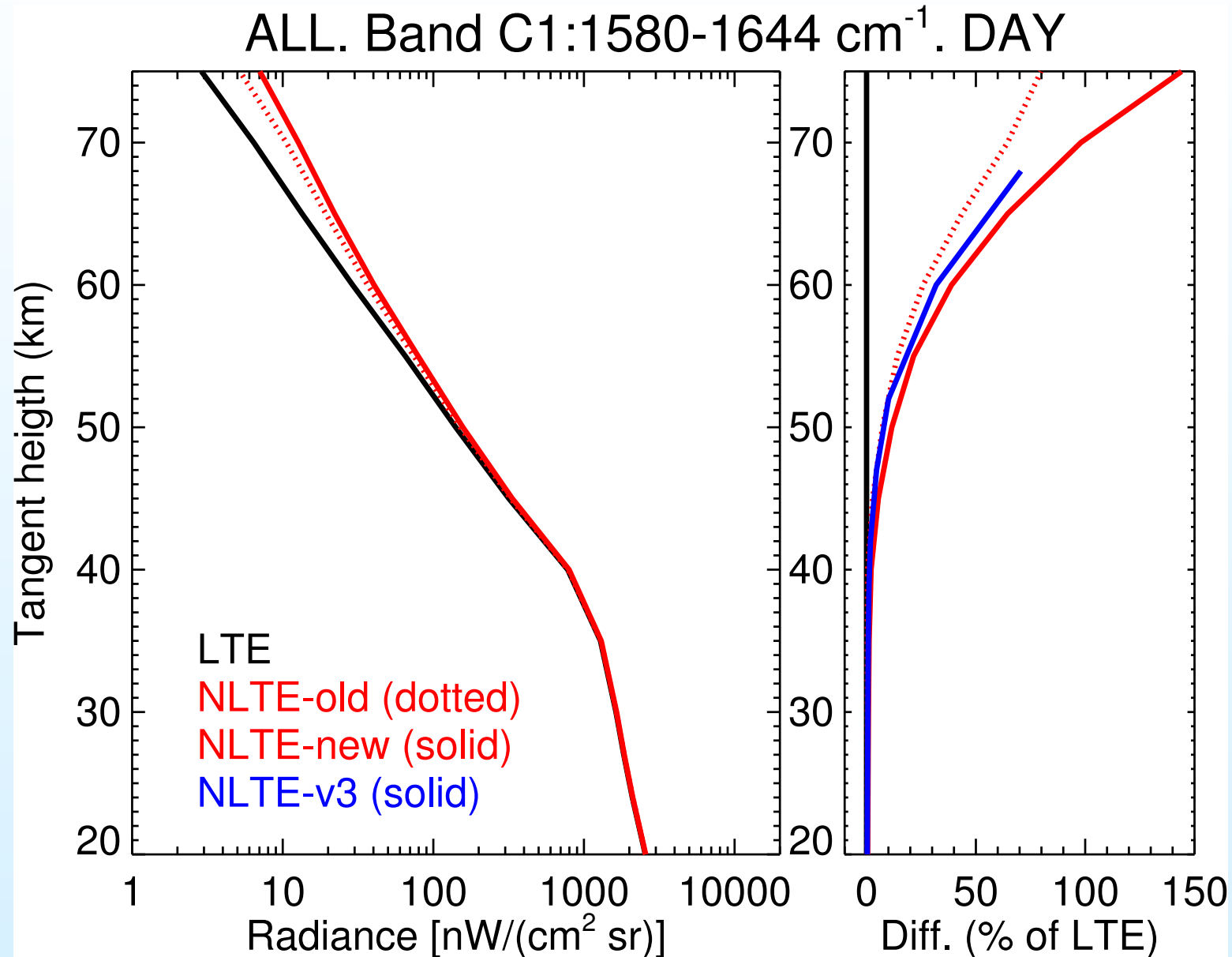


NLTE error (v3): Band B2, Day

ALL. Band B2:1360-1500 cm^{-1} . DAY

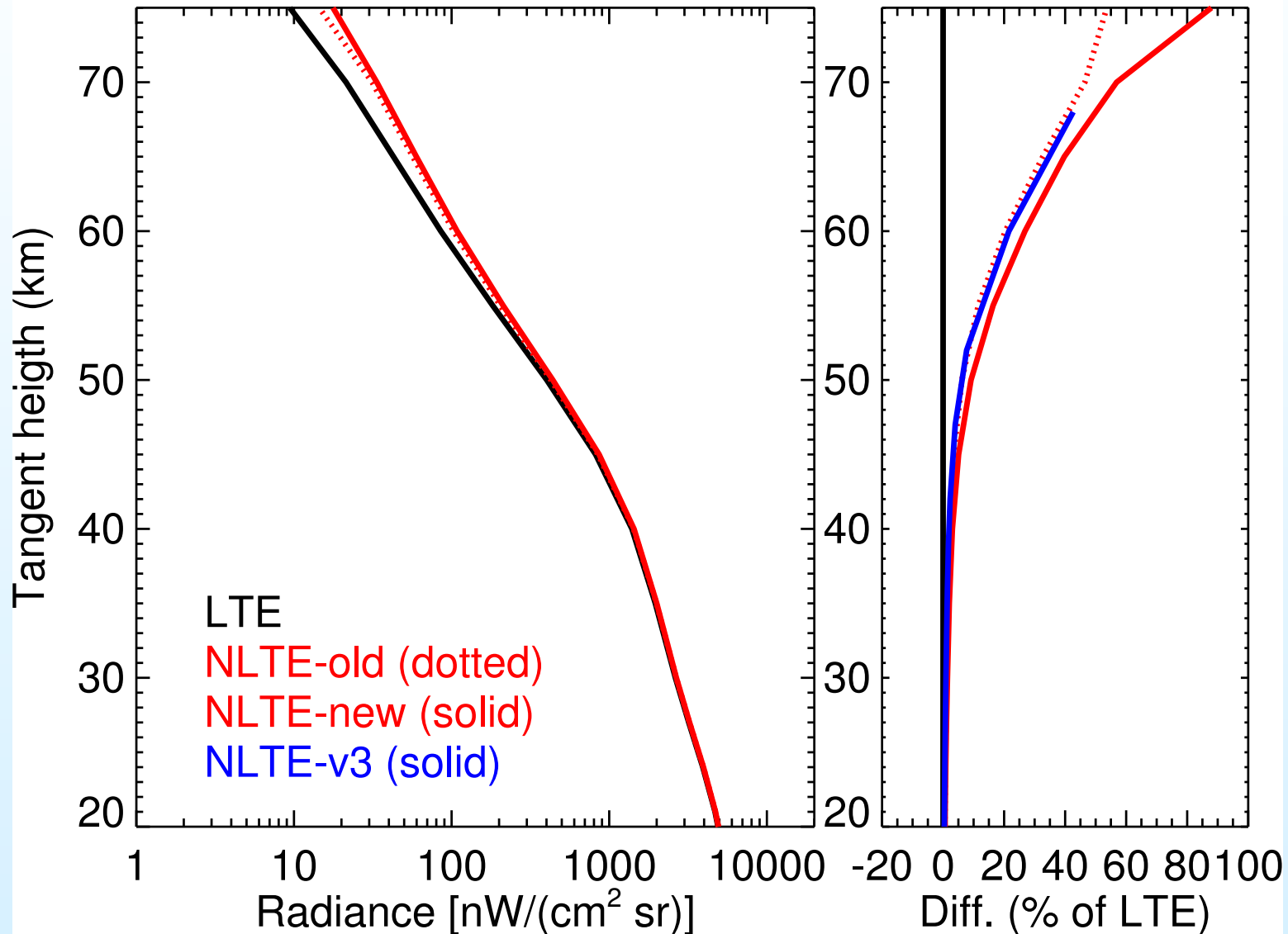


NLTE error (v3): Band C1, Day



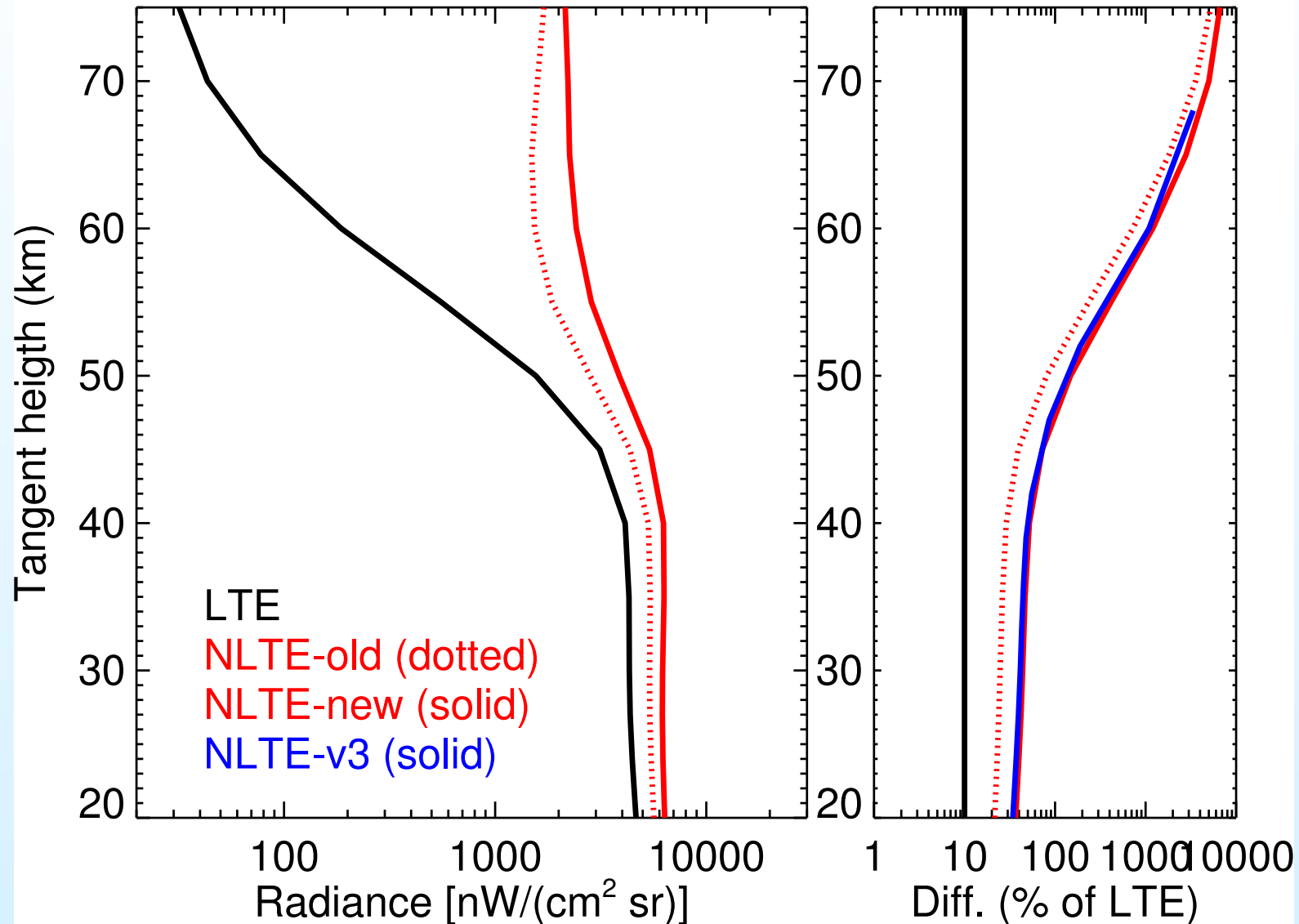
NLTE error (v3): Band C2, Day

ALL. Band C2:1644-1750 cm^{-1} . DAY



NLTE error (v3): Band D, Day

ALL. Band D:1820-2410 cm^{-1} . DAY



Summary

- H₂O-CH₄ daytime VTs are smaller ($\sim 1-2$ K) $z > 60$ km
- CO₂: Small changes (1-2 K larger) in the Tvibs of the 4.3 and 10 μm bands above around 60 km.
- O₃: larger O₃ Tvibs (2-5 K) in the mesosphere, $z > 60\text{km}$
- CO: No effect in main isotope; smaller VTs for the minor isotopes.
- OH(v): Smaller VTs because of rotational NLTE included (adjusted to MIPAS band A OH emission): Impact CO₂ 4.3 μm at night-time
- NO significant changes for the rest of species: N₂O, NO₂, NO, HCN, HNO₃.

Work to be done:

- Check if new CH₄ VTs are consistent with the MIPAS study (L-P. et al., GRL, 2005). Revise H₂O NLTE
- Revise New non-LTE errors calculated by Anu
- Write TN