



***Sub-Canopy Topography Estimation With
Multibaseline Pol-InSAR Data:
A RELAX-Based Solution***

M. Pardini & K. Papathanassiou

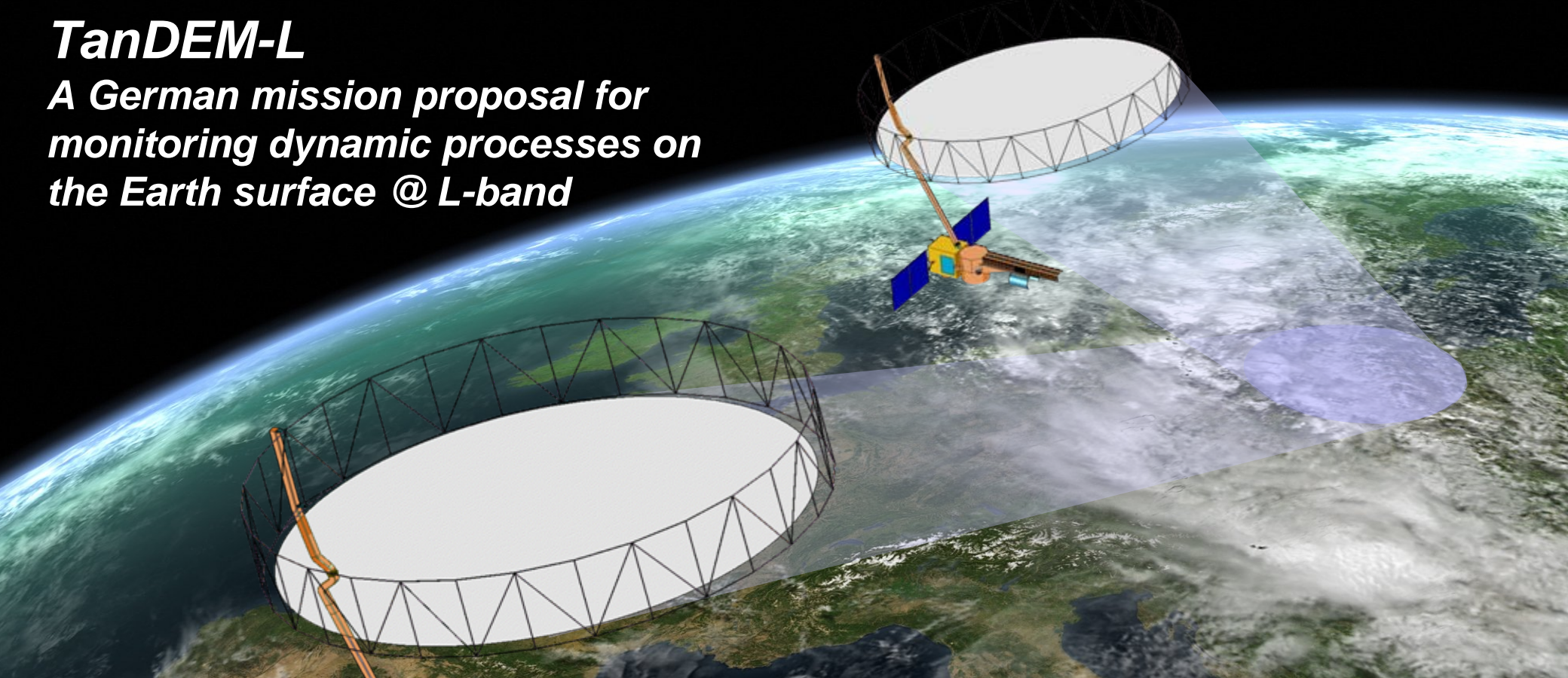
German Aerospace Center (DLR)
Microwaves and Radar Institute (DLR-HR)



- **Introduction**
- **Ground height estimation @ L-band**
- **The RELAX method**
 - How it works
 - Comparison with Maximum Likelihood
 - Performance with real data (Traunstein forest):
 - for different baseline distributions
 - for different polarization combinations
- **Conclusions & future work**

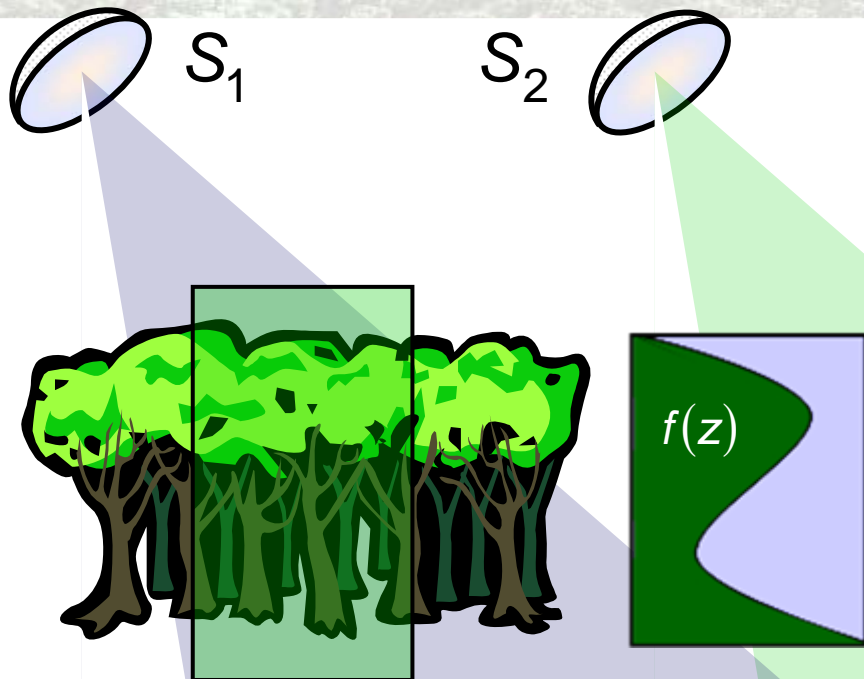
TanDEM-L

A German mission proposal for monitoring dynamic processes on the Earth surface @ L-band



	Science Product	Coverage	Product Resolution	Product Accuracy
Biosphere	Forest Height	All forest Areas (Height ≥ 8 m)	50 m (global) 20 m (local)	~ 10 %
	Above Ground Biomass		100 m (global) ≤ 50 m (regional)	~ 20 % (or 20 t/ha)
	Vertical Forest Structure		50 m (global) 20 m (local)	3 layers
	Underlying Topography		50 m	< 4 m

SAR MB-Pol-InSAR data for the vertical structure of forests



Interferometric
Coherence

$$\gamma(S_1, S_2) = \frac{E\{S_1 S_2^*\}}{E\{S_1 S_1^*\} E\{S_2 S_2^*\}}$$

$$\gamma_{VOL}[f(z)] =$$

$$\gamma = \gamma_T \gamma_{SNR} \gamma_{VOL}$$

- γ_T : temporal decorrelation
- γ_{SNR} : additive noise decorrelation
- γ_{VOL} : geometric decorrelation

$$k_z = \frac{4\pi}{\lambda} \frac{\Delta\theta}{\sin\theta_0}$$

Vertical wavenumber

Polarization diversity allows to relate coherent scattering models to the resulting Pol-InSAR coherence

Baseline diversity allows to sample the same vertical structure spectrum at different spatial frequencies

The estimated MB-Pol-InSAR coherences contain all the information necessary for the analysis of the vertical structure of forests

➤ ***Coherent combination of MB-Pol-InSAR data***

- Pol-InSAR inversion, single baseline/MB [Papathanassiou-Cloude, IEEE-TGARS '01]
[Neumann-Ferro Famil-et al., IEEE-TGARS '10]
[Lopez Martinez-Papathanassiou, subm. IEEE-TGARS '11]
- 3-D (Polarimetric) SAR Tomography, MB
 - Adaptive beamforming (ABF), model-based spectral estimation, COMET inversion, ...
[Lombardini-Pardini, ESA PolInSAR Workshop], [Tebaldini, IEEE-TGARS '10], [Huang-Ferro Famil-et al., ESA PolInSAR Workshop '11], ...

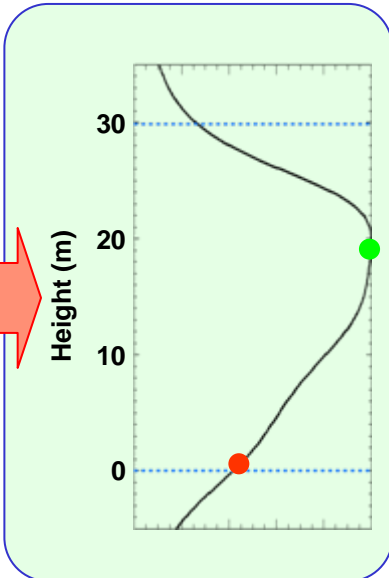
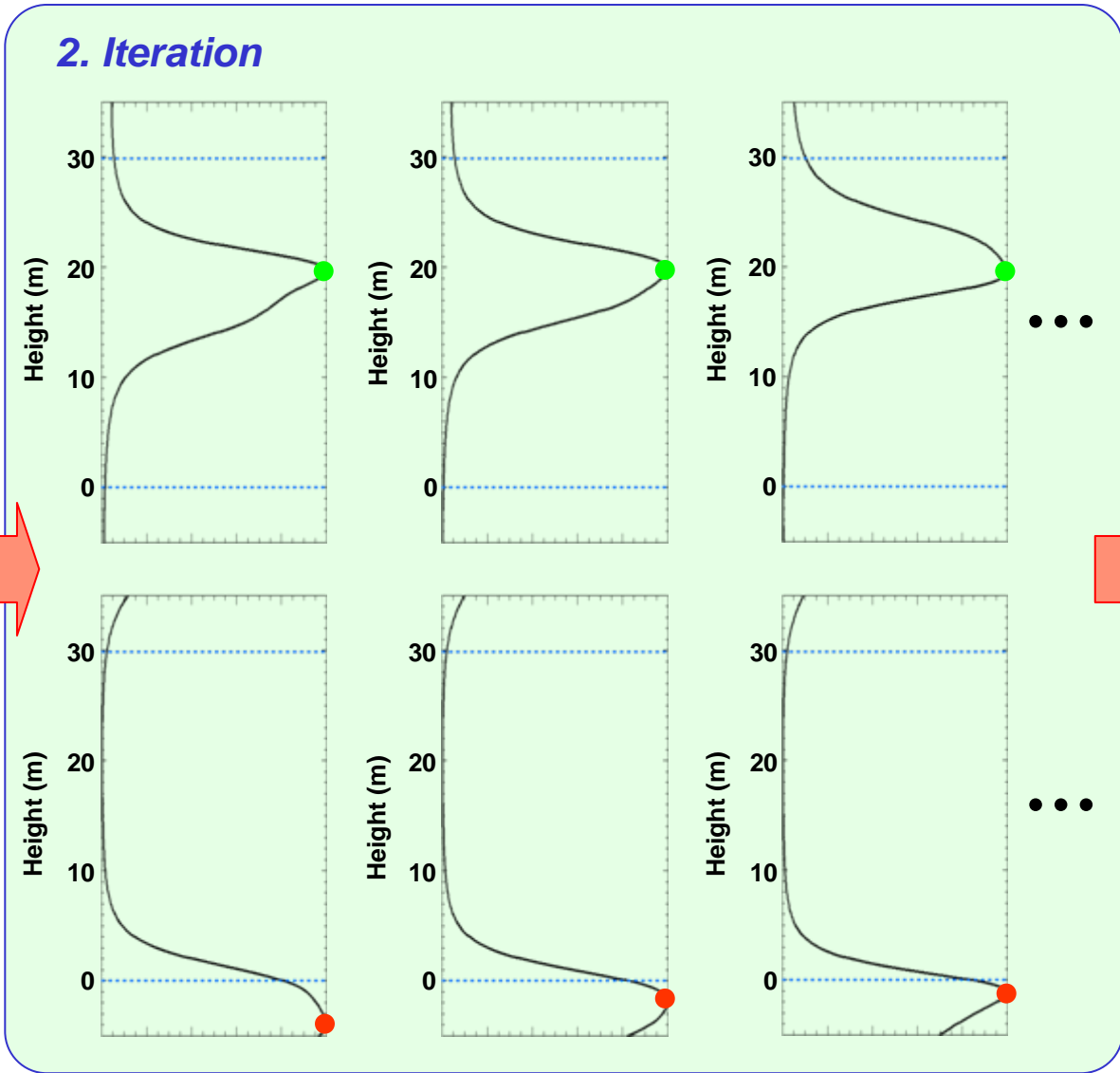
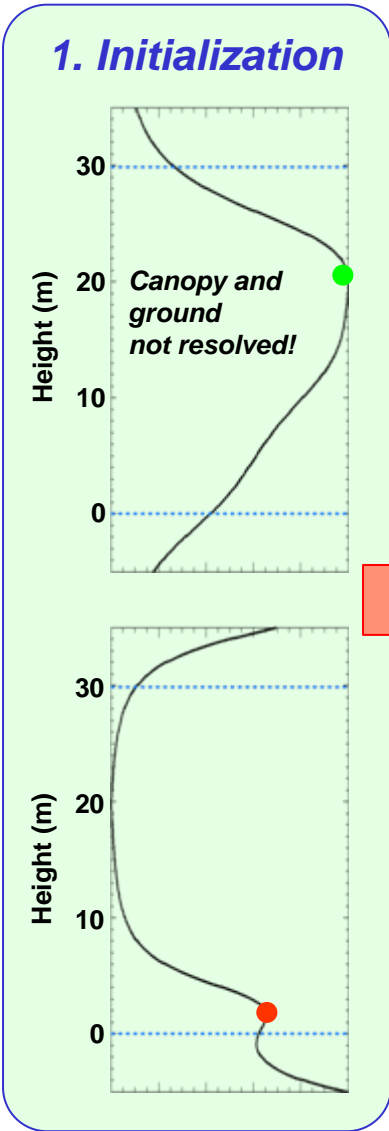
➤ ***SAR Tomography techniques mostly tested with P-band data***

- Metric accuracy achievable (demonstrated over different scenarios)

➤ ***Objective of this work: proposal and testing at L-band of an iterative ground topography estimation technique***

- Separation of the ground scattering component (~ compact in height) from the dominant one in the canopy
- At each iteration: estimate the height of one component after the cancellation of the other component (RELAX iteration) [Gini-Lombardini-et al., IEEE-TAES '02], [Li-Stoica, IEEE-TSP '98]
- Advantages: asymptotically statistically efficient with compact scatterers (e.g. the ground), 1D optimization at each iteration, no model is needed for the canopy
- Improvement w.r.t. classical RELAX: heights are estimated exploiting the ABF spectrum to take advantage of its higher sidelobe suppression capabilities w.r.t. the Fourier-based beamforming

How RELAX works: an example



The "Traunstein" dataset

Bürgerwald Traunstein

"Close to Nature"

Temperate managed forest

N. Spruce, E. Beech, White Fir

Height Range (H100): 10 - 40m

Biomass Range: 40 ~ 450 t/ha

Moderate Slopes

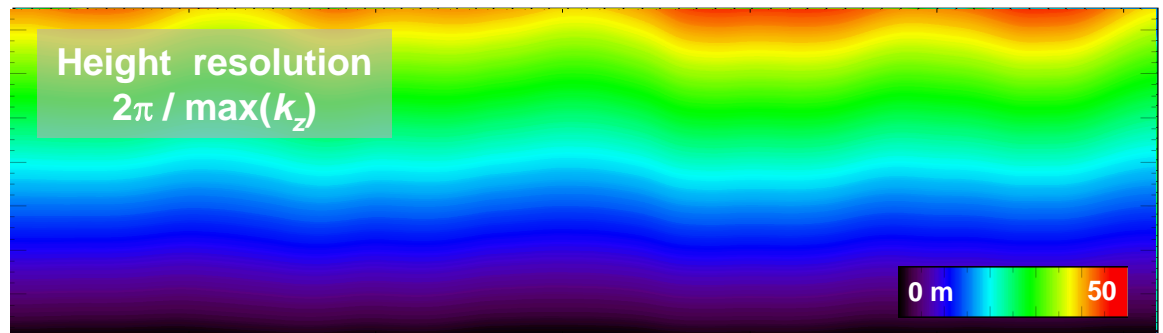
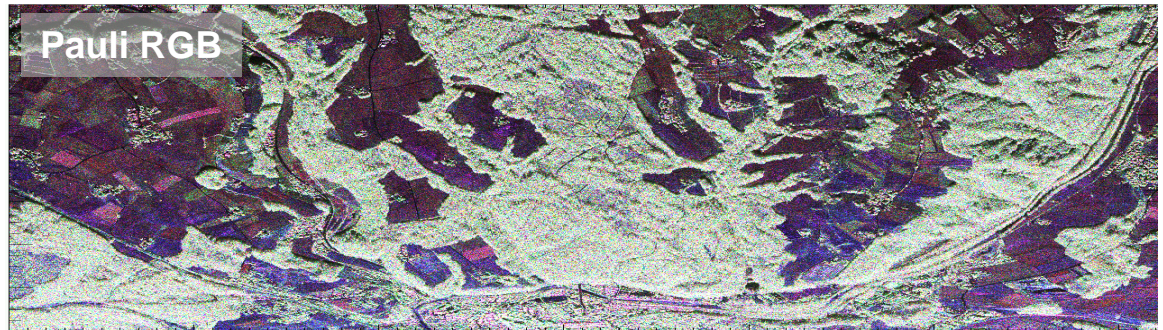


DLR E-SAR dataset

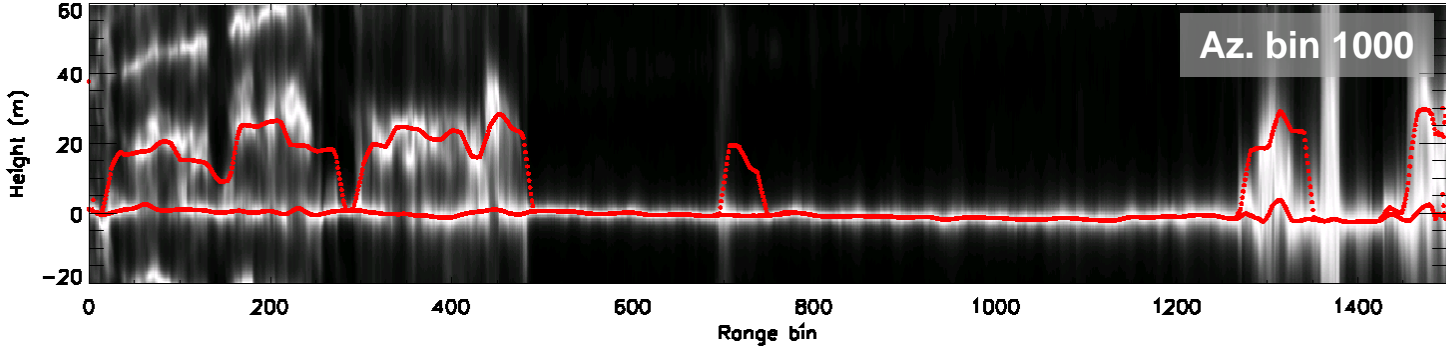
5 full-pol images (June 2008), L-band
~1 hour time span

Baselines: -15 , -5 , 5 , 10 m
wrt master acquisition

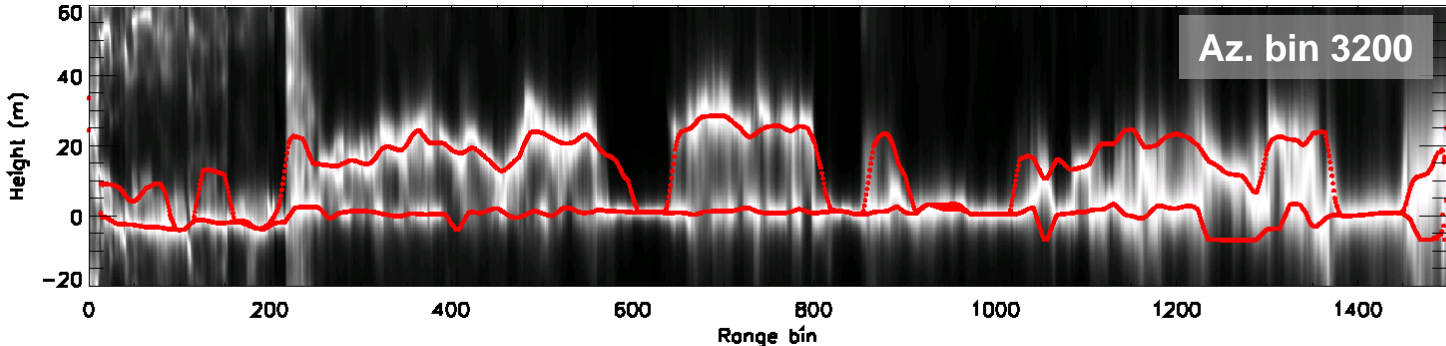
LIDAR DTM/forest height available



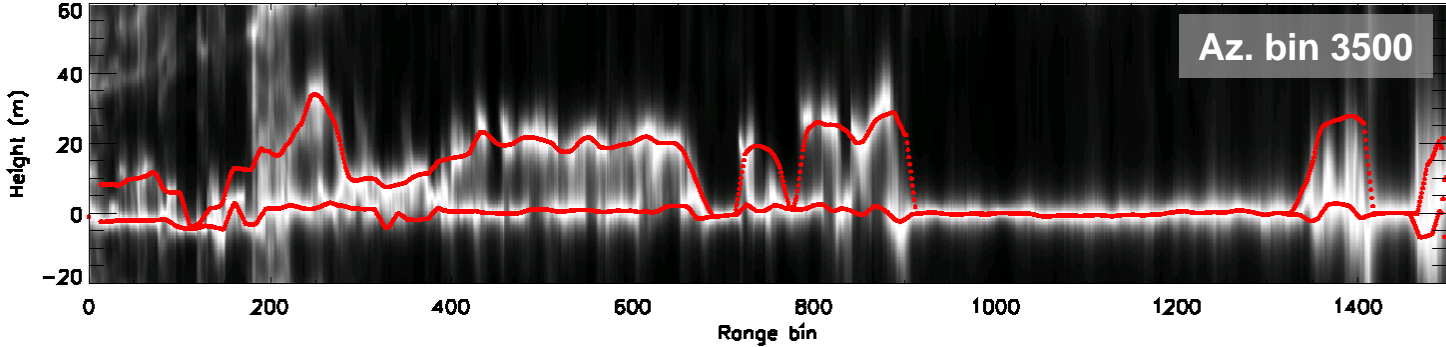
Real data results: Tomographic slices (HH)



	<i>RELAX</i>
Bias (m)	0.10
Std (m)	0.87



	<i>RELAX</i>
Bias (m)	0.36
Std (m)	2.25



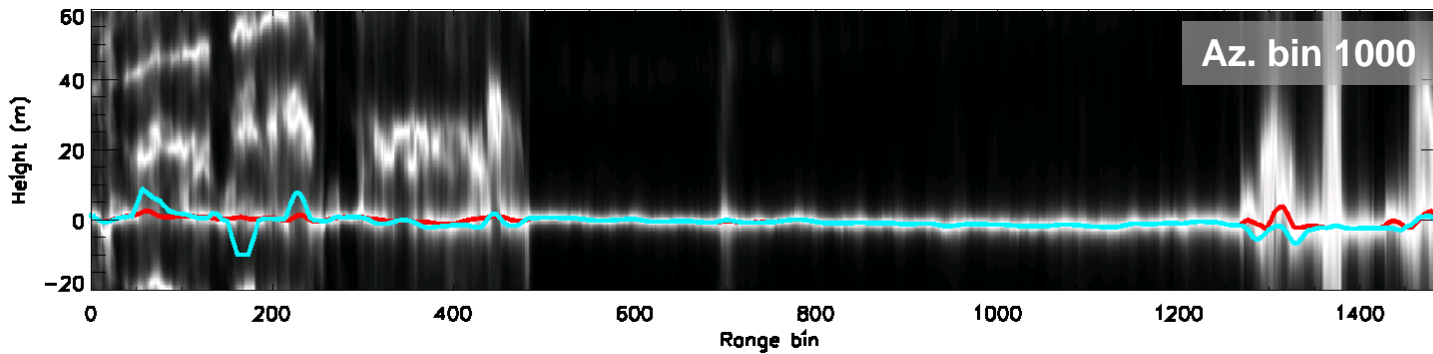
	<i>RELAX</i>
Bias (m)	0.18
Std (m)	1.06

— RELAX estimates of the ground height and canopy centroid height superimposed to the ABF tomographic slices

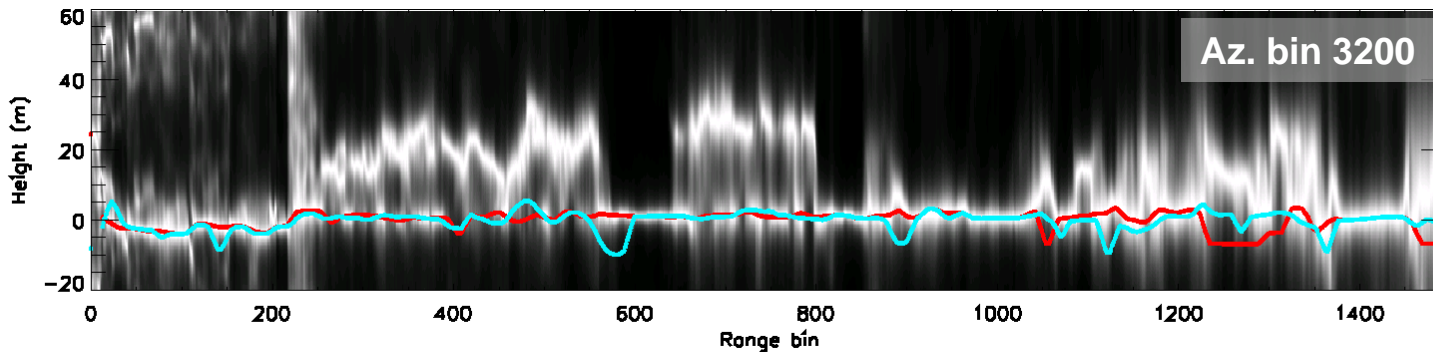
HH polarisation

Note: For better visualization, the LIDAR DTM has been compensated in the data

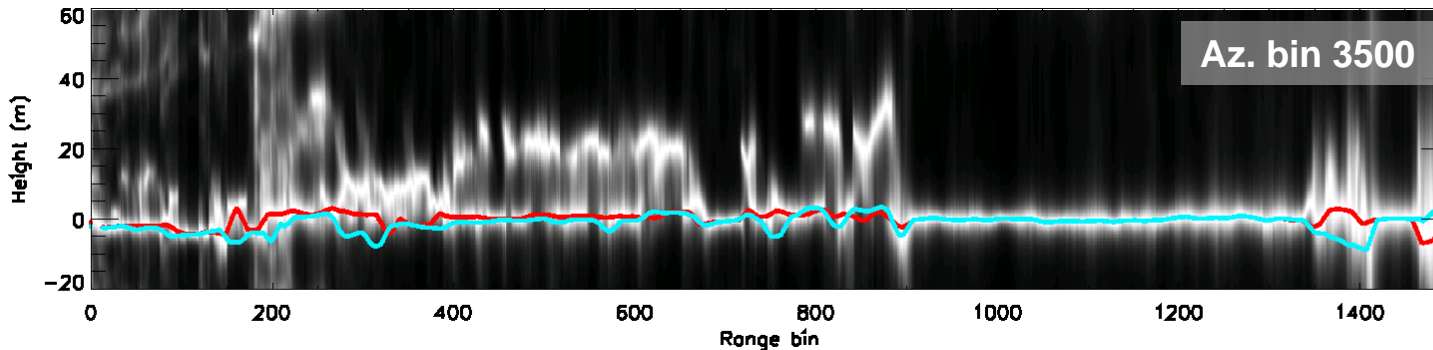
Comparison with Maximum Likelihood (ML) estimation



	<i>RELAX</i>	<i>ML</i>
<i>Bias (m)</i>	0.10	0.8
<i>Std (m)</i>	0.87	1.15



	<i>RELAX</i>	<i>ML</i>
<i>Bias (m)</i>	0.36	0.1
<i>Std (m)</i>	2.25	2.51

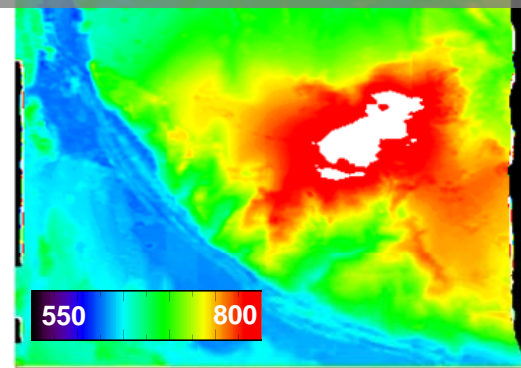
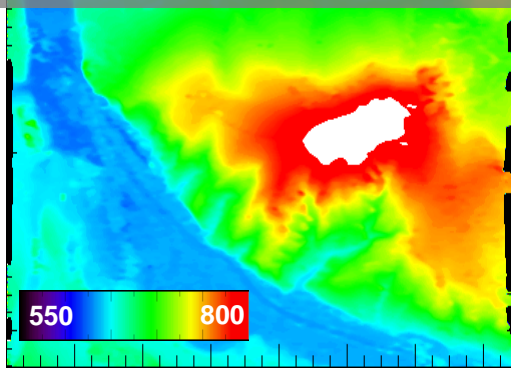
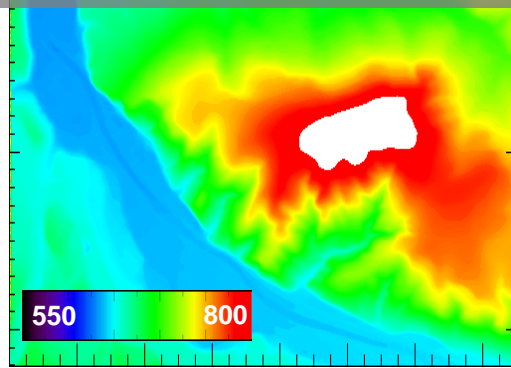
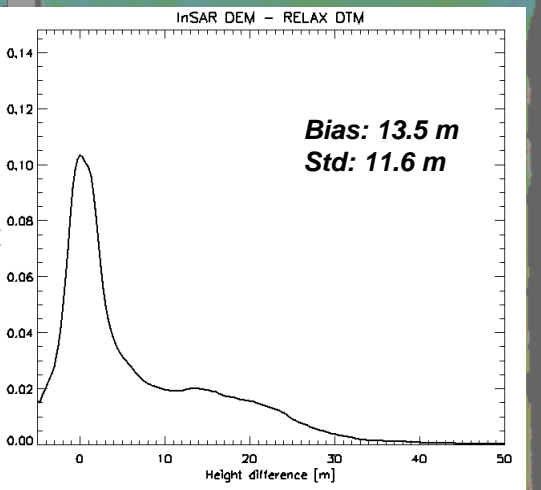
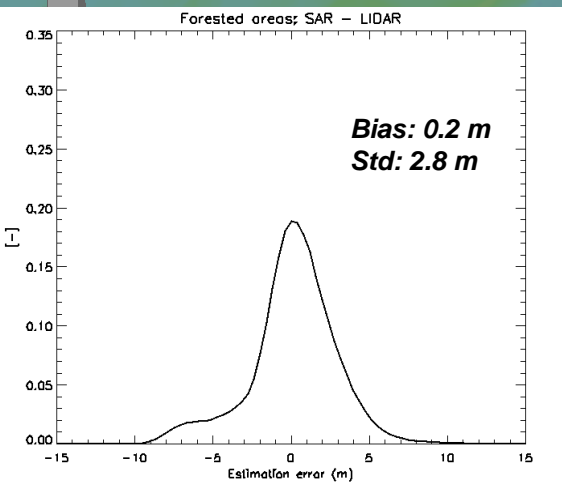
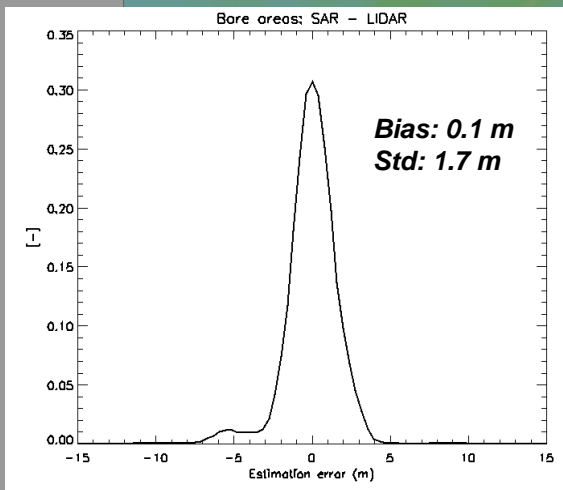
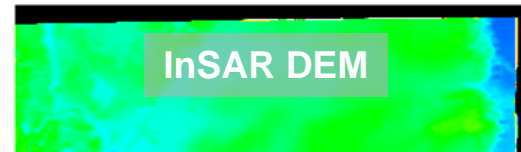
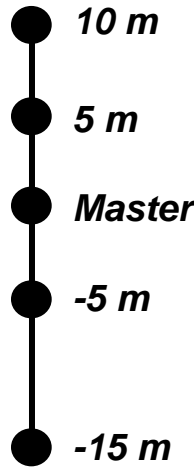


	<i>RELAX</i>	<i>ML</i>
<i>Bias (m)</i>	0.18	-0.61
<i>Std (m)</i>	1.06	1.78

HH polarisation

— RELAX ground height
— Maximum Likelihood (RVOG) ground height [Göransson-Ottersten, IEEE-TSP '99]

Estimated DTM (1/2)



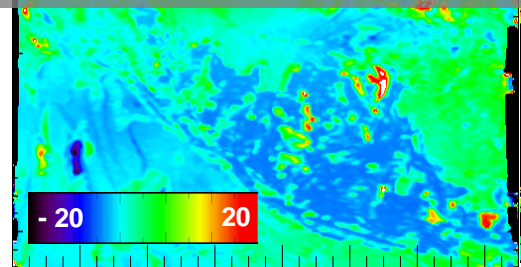
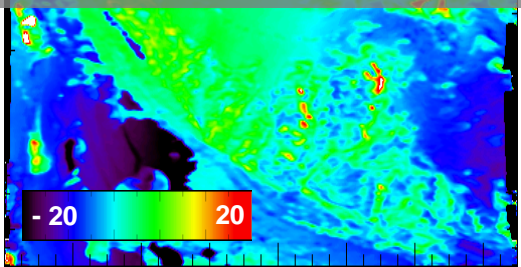
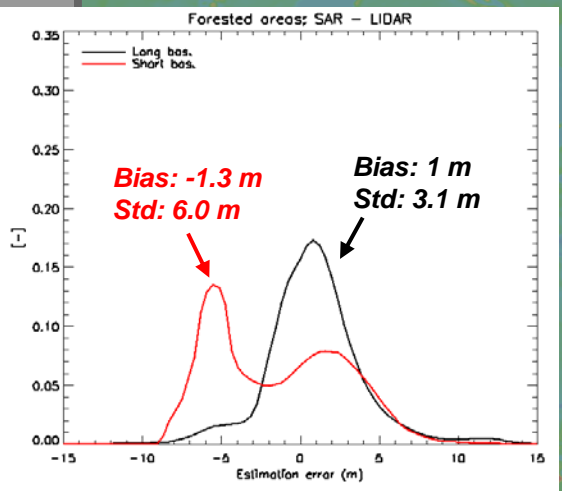
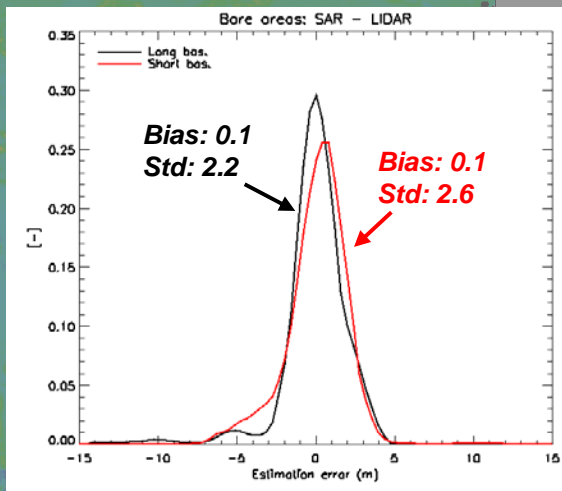
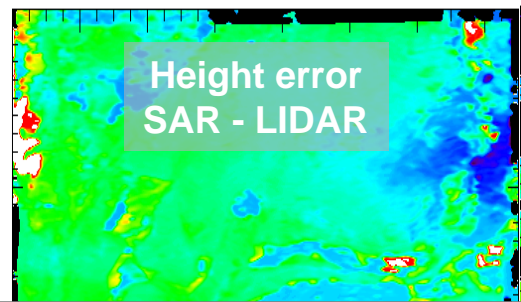
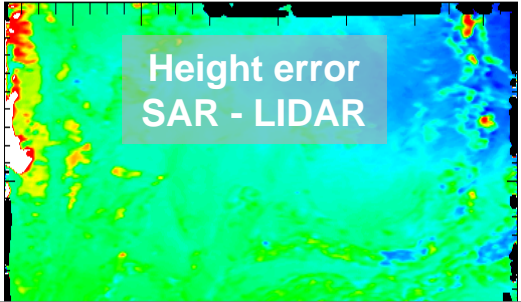
Slant range →

HH polarisation

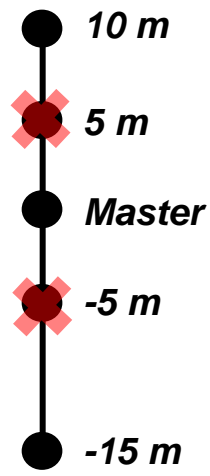
Estimated DTM (2/2)

Long baselines

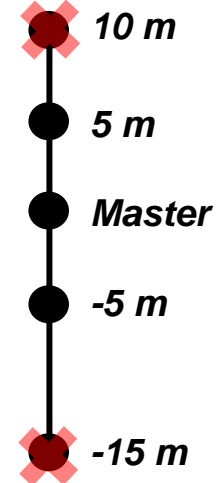
Short baselines



Long baselines



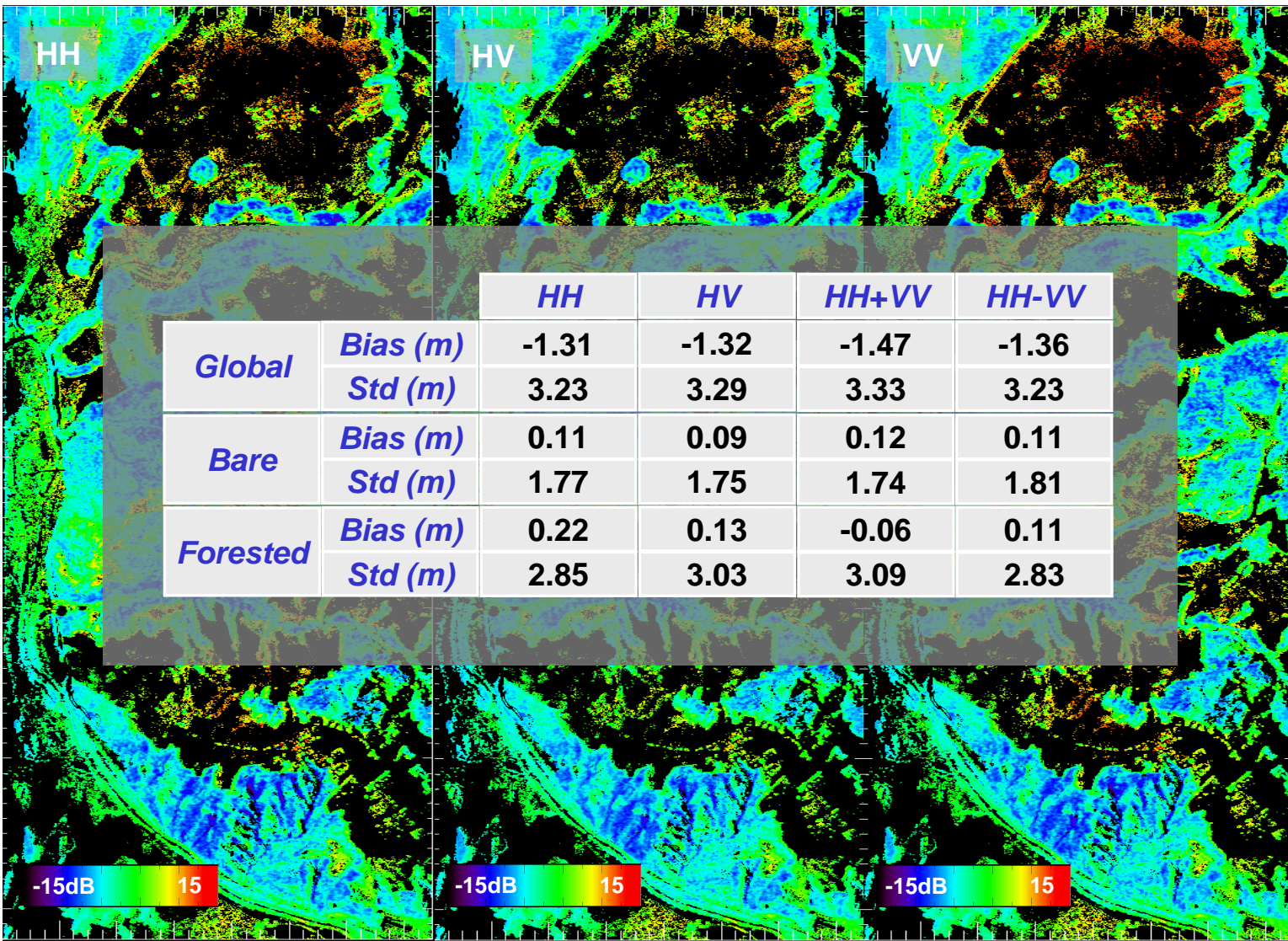
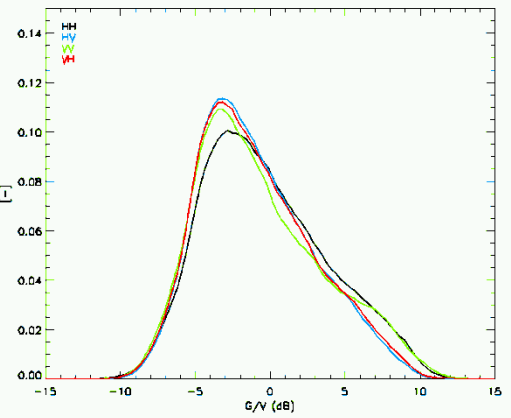
Short baselines



HH polarisation

Influence of polarisation

*MB estimated
Ground-to-Volume
power ratio*



Full baseline set

Slant range →

➤ *In this work:*

- A simple (yet effective) RELAX-based estimator of the ground height has been proposed and tested with L-band real data.
- The proposed method has been shown to reach a metric estimation precision and to reduce the vegetation bias with a realistic small number of acquisitions.

➤ *Perspectives:*

- Tests with airborne datasets acquired over other forests.
- Improvement of the proposed method in order to better handle the polarization diversity.
- ... temporal decorrelation (must be afforded sooner or later!)

➤ *Further on this topic (and more):*

- “Theoretical Performance Bounds on the Estimation of Forest Structure Parameters From Multibaseline SAR data”, by M. Pardini, F. Lombardini & K. Papathanassiou (Poster session, today)



*Thank you!
...Questions?*

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