

Land aerosol retrieval algorithm update for the MERIS 4th reprocessing

(Algorithm Theoretical Basis Document)

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MERIS 4th reprocessing MERIS aerosol product over

land

| Issue | Date | Modified Items / Reason for Change |
|-------|------------|----------------------------------------------------------------------------------------------------|
| 0.1 | 26.06.2014 | First version issue 0.1 |
| 0.2 | 02.09.2014 | Add a second BRDF model without any reference to DDV, Add a preliminary validation. Rename it ATBD |
| 0.9 | 18.12.2014 | Describe first BRDF LUT processing procedure |
| 0.91 | 28.01.2015 | Describe Kernels integrals LUT and show and example of LARS BRDF computation from LUTS |
| 1.0 | 21.11.2016 | Remove outdated paragraphs, add validation results and annexes |
| | | |



MERIS 4th reprocessing MERIS aerosol product over land

Acronyms

| ARVI | Atmospheric Resistant Vegetation Index |
|---------|------------------------------------------------------|
| NDVI | Normalized Difference Vegetation Index |
| AOT | Aerosol Optical Thickness |
| ATBD | Algorithm Theoretical Basic Document |
| BRDF | Bidirectional Reflectance Distribution Function |
| BRF | Bidirectional Reflectance Function |
| CCI | Climate Change Initiative |
| DDV | Dense Dark Vegetation |
| ESA | European Space Agency |
| LARS | Land Aerosol Remote Sensing |
| LUT | Look Up Table |
| MERIS | MEdium Resolution Imaging Spectrometer |
| MEGS | MERIS Ground Segment |
| MODIS | Moderate Resolution Imaging Spectroradiometer |
| POLDER | POLarization and Directionality of Earth Reflectance |
| SAM | Standard Aerosol Model |
| TOA | Top Of Atmosphere |
| BOA | Bottom of Atmosphere |
| WSA | White Sky Albedo |
| BSA | Black Sky Albedo |
| CMG | Climate Modeling Grid |
| AERONET | Aerosol Robotic NETwork |



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1 introduction

This document describes several evolutions of the MERIS aerosol retrieval algorithm over land that are proposed to be included into the 4th MERIS reprocessing.

1.1 Reference Documents

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- [RD4] MERIS level 2 Detailed Processing Model v8.0b of 24/06/2011 (PO-TN-MEL-GS-0006), available at : <u>https://earth.esa.int/pub/ESA_DOC/ENVISAT/MERIS/MERIS-DPM-L2-</u> <u>i8r0B.pdf</u>
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- [RD9] Leroy, M., Bruniquel-Pinel, V., Hautecoeur, O., Bréon, F. M., Baret, F., (1998), Corrections atmosphériques des données MERIS/ENVISAT: caractérisations de la BRDF de surfaces "sombres", *European Space Agency final report*, 98 p.
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- [RD11] Maignan, F., F.-M. Bréon, and R. Lacaze, (2004), "Bidirectional reflectance of earth targets: Evaluation of analytical models using a large set of spaceborne measurements with emphasis on the hot spot", *Remote Sens. Environ.*, vol. **90**, no. 2, pp. 210–220.
- [RD12] Vermote, E., C.O. Justice and F.-M. Bréon, (2009), Towards a Generalized Approach for Correction of the BRDF Effect in MODIS Directional Reflectances , *IEEE Transactions on Geoscience and Remote Sensing*, Vol **47**, No 3, pp-898-908



- [RD13]Strahler, A.H., J.P. Muller and MODIS Science Team, (2009), "MODIS Albedo/BRDF product : ATBD v5.0", April 1999, available at : http://modis.gsfc.nasa.gov/data/atbd/atbd_mod09.pdf
- [RD14] Fraser, R.S. and Y.J. Kaufman, (1985) « The Relative Importance of Aerosol Scattering and Absorption in Remote Sensing »,*IEEE Transactions on Geoscience and Remote Sensing*, Vol.GE-23, Iss. 5, pp 625-633.

2 LARS Surface Reflectance

2.1 Status of the Land aerosol algorithm regarding surface reflectance estimation in the 3rd reprocessing

The concept of DDV is the basis of the aerosol retrieval strategy. The DDV is a vegetated dark target whose spectral properties depend on the biome type and season **[RD1]**. We have currently 11 biomes and 20 DDV models:

$$biome = g(lat, lon) \tag{2.1}$$

$$DDV = f(biome, month)$$
(2.2)

The initial DDV spectral BRDF is characterised at discrete angles from POLDER measurements **[RD9]**. They are fitted using Hapke's model **[RD3]** to enable computations in any direction and thus derived secondary LUT's such as ARVI thresholds and DDV -atmosphere coupling terms **[RD5]**, **[RD7]**

$$\{\rho_{DDV}^{ijk}, \theta_{s}^{i}, \theta_{v}^{j}, \varphi^{k} | i, j, k\} \xrightarrow{Hapke \ model} \rho_{DDV}(\theta_{s}, \theta_{v}, \varphi), a_{DDV} \xrightarrow{RTC} ARVI_{DDV}^{TOA}(\theta_{s}, \theta_{v}, \varphi)$$
(2.3)

In order to deal with brighter target, the concept of LARS was introduced in **[RD6]**. The BRDF of the LARS target is now a function of the difference between the observed ARVI and the ARVI of DDV, Δ ARVI, these quantities being taken at the TOA, for the particular observation geometry and for the current DDV model:

$$\Delta ARVI_{LARS}^{TOA}(\theta_s, \theta_v, \varphi) = ARVI_{LARS}^{TOA}(\theta_s, \theta_v, \varphi) - ARVI_{DDV}^{TOA}(\theta_s, \theta_v, \varphi)$$
(2.4)

After correcting the DDV BRDF by a scaling factor that depend on location and season to refine the initial only 20 values for DDV's albedo :

$$\rho_{DDV}^{norm}(\theta_{s}, \theta_{v}, \varphi, lat, lon, month) = \rho_{DDV}(\theta_{s}, \theta_{v}, \varphi) \cdot C^{norm}(lat, lon, month) , \qquad (2.5)$$

We apply a correction factor to the DDV BRDF to obtain the LARS BRDF. This correcting factor is a linear function of $\Delta ARVI$:



$$C_{LARS}^{ext}(\theta_{s},\theta_{v},\varphi,lat,lon,month) = .$$

$$\frac{\rho_{DDV}^{norm}(\theta_{s},\theta_{v},\varphi,lat,lon,month) + S^{ext}(lat,lon,month) \cdot \Delta ARVI^{TOA}(\theta_{s},\theta_{v},\varphi)}{\rho_{DDV}^{norm}(\theta_{s},\theta_{v},\varphi,lat,lon,month)}$$
(2.6)

$$\rho_{LARS}(\theta_s, \theta_v, \varphi, lat, lon, month) = .$$

$$\rho_{DDV}^{norm}(\theta_s, \theta_v, \varphi, lat, lon, month) + S^{ext}(lat, lon, month) \cdot \Delta ARVI^{TOA}(\theta_s, \theta_v, \varphi)$$
(2.7)

Although the LARS concept permits to obtain an aerosol product over land with a good cover without SWIR channels as for MODIS **[RD8]**, it was noticed during the 3rd reprocessing validation that the main limitation of the aerosol product, after cloud contamination issues, was the modelling of the LARS BRDF as described by Eq.2.7, whose shape is always similar to DDV's one.

2.2 State of the art for the correction of directional effects of surface reflectance

In **[RD10], [RD11]**, most of Earth's targets BRDF are well modelled by a kernel driven model:

$$\rho_{LARS}(\theta_s, \theta_v, \varphi) = k_0 + k_1 F_1(\theta_s, \theta_v, \varphi) + k_2 F_2(\theta_s, \theta_v, \varphi), \qquad (2.8)$$

where the F1 kernel is the Ross Thick kernel corrected for the hot spot feature and the F2 kernel is the Li Sparse Reciprocal one. Following **[RD12]**, one can reformulate this equation as follows:

$$\rho_{LARS}(\theta_s, \theta_v, \varphi) = k_0$$

$$[1+V(lat, lon, NDVI)F_1(\theta_s, \theta_v, \varphi) + R(lat, lon, NDVI)F_2(\theta_s, \theta_v, \varphi)]$$
(2.9)

This model is named VJB hereafter. The VJB model free parameters V (Volume scattering term within the canopy) and R (Surface scattering term related to surface roughness) are derived from Surface Reflectance time series coming from MODIS pluri annual CMG data (Climate Modeling Grid, regular grid 0.05° ~5600 m at equator, see for example Fig. 1). **They are linearly correlated with BOA NDVI** (see Fig. 2). This makes the link with the LARS concept. We can safely assume that they are also correlated with BOA and TOA ARVI. The only remaining unknown is k_0 (t).





Figure 1: from *[RD12]*, *R* and *V* parameters derived from MODIS time series at 865 nm at the scale of 5600 m over the period 2000-2004 for the highest NDVI class.



Figure 2 from *[RD12]*, Variations of *R* and *V* parameters as a function of NDVI for several land cover



2.3 New LARS BRDF Model

2.3.1 Model 1 : with DDV LUT's

Directional integration of the LARS BRDF is straightforward a kernel defined BRDF, one can derive LARS White Sky Albedo (**WSA**):

$$a_{LARS} = k_0 \cdot [1 + V(lat, lon, ARVI)A_1 + R(lat, lon, ARVI)A_2]$$
(2.10)

With scalars A_1 and A_2 being the WSA of each kernel.

The above expression can be linked to the current definition of the LARS WSA :

$$a_{LARS}(lat, lon, month) = .$$

$$a_{DDV}^{norm}(lat, lon, month) + S^{ext}(lat, lon, month) \cdot \Delta ARVI^{TOA}(\theta_s, \theta_v, \varphi)$$
(2.11)

in order to derive the normalization term $k_0(t)$:

$$k_{0}(lat, lon, month, ARVI_{LARS}^{TOA}(\theta_{s}, \theta_{v}, \varphi)) = .$$

$$\frac{a_{DDV}^{norm}(lat, lon, month) + S^{ext}(lat, lon, month) \cdot \Delta ARVI_{LARS}^{TOA}(\theta_{s}, \theta_{v}, \varphi)}{1 + V(lat, lon, ARVI^{TOA}(\theta_{s}, \theta_{v}, \varphi))A_{1} + R(lat, lon, ARVI_{LARS}^{TOA}(\theta_{s}, \theta_{v}, \varphi))A_{2}}$$
(2.12)

We have now a LARS BRDF, Black Sky and White Sky Albedo characterization as a function of instantaneous TOA ARVI, lat, lon and month. It allows to perform all radiative transfer computations necessary for aerosol retrieval

2.3.1.1 Remarks:

After verification that this model recovers DDV for high ARVI, DDV BRDF LUT and DDV coupling terms with aerosols LUT become obsolete. Fig. 3 Shows one example of such fit. **However, DDV concept is old and corresponding LUT have never been updated. Therefore It is decided to get rid of any reference to DDV.**





Figure 3: Example of current LARS and kernel derived LARS BRDF

2.3.2 Model 2 : no more DDV

Once the directional coefficients R and V have been derived for a given location, it is possible to correct for the directional effects any instantaneous surface reflectance measurement and obtain a directionally normalized reflectance $\tilde{\rho}$ with a particular geometry being taken as a reference, for example $\tilde{\theta}_s = 45^\circ$, $\tilde{\theta}_v = 0^\circ$, $\tilde{\varphi} = 0^\circ$ as in [RD12]:

$$\tilde{\rho} = \rho(\theta_s, \theta_v, \varphi) \frac{1 + V F_1(45, 0, 0) + R F_2(45, 0, 0)}{1 + V F_1(\theta_s, \theta_v, \varphi) + R F_2(\theta_s, \theta_v, \varphi)}$$
(2.13)

Such a normalization is useful to reduce the noise in surface reflectance time series. An example is given in Fig. 4.





Figure 4: Time series of Surface Reflectance (MODIS bands 1 (670nm) 2 (865nm) and 3 (470nm) from the MOD09CMG products from 2003-2005) and ARVI over the Avignon site, before and after normalization to the geometry θ s=45° nadir view, using the R and V parameters previously retrieved.

We can now directly connect the absolute value of the ARVI and the value of $\tilde{\rho}$. This can be done at the same spatial resolution as for the R and V parameters. Typical LARS linear regression for 0.05x0.05° boxes for each month can be obtained and neither reference to DDV ARVI's threshold nor DDV's albedo is necessary anymore (see an example Fig. 5):

$$\widetilde{\rho_{LARS}} = \alpha_{\tilde{\rho}}(lat, lon, \lambda) \cdot ARVI_{LARS}^{TOA} + \beta_{\tilde{\rho}}(lat, lon, \lambda)$$
(2.14)

The LARS BRDF is therefore:

$$\rho_{LARS}(\theta_{s},\theta_{v},\varphi) = \widetilde{\rho_{LARS}} \frac{1 + V F_{1}(\theta_{s},\theta_{v},\varphi) + R F_{2}(\theta_{s},\theta_{v},\varphi)}{1 + V F_{1}(45,0,0) + R F_{2}(45,0,0)}$$
(2.15)





Figure 5: LARS linear regressions in MODIS band 1 (670nm) and 3 (470nm) derived from Normalized Surface Reflectance and ARVI, for each month and for the Avignon site.

2.3.2.1 Remarks

- If necessary one could study whether a coarser resolution than 0.05 $^\circ$ is still OK for the new LUT
- Dependence of R and V with ARVI can be certainly be linked theoretically to the dependence of LARS albedo with ARVI
- Deriving all BRDF parameters (Normalized reflectance and BRDF shape parameters R and V) from MERIS spectral surface reflectance time series could enhance consistency, but it requires some additional work. We tested this option with only MODIS data (see section 2.5)

2.4 Implementation of Model 2

2.4.1 Algorithm

For each pixel i,j with angles θ_s, θ_v, ϕ

New : Depending of latitude and longitude, obtain from nearest neighbor the linear regression parameters of the LARS BRDF shape factors R and V, and the reflectance for the normalization angle $\tilde{\rho} = \rho(\tilde{\theta}_s, 0, 0)$:



$$\alpha_R$$
, β_R , α_V , β_V , $\alpha_{\tilde{\rho}}$, $\beta_{\tilde{\rho}} = g(lat, lon, \lambda)$ On a regular grid whose size is 1°. (2.16)

New : Derive the LARS reflectance for the normalization angle

$$\widetilde{\rho_{LARS}} = \alpha_{\tilde{\rho}}(lat, lon, \lambda) \cdot ARVI_{LARS}^{TOA} + \beta_{\tilde{\rho}}(lat, lon, \lambda)$$
(2.17)

New : Compute R and V:

$$R, V = \alpha_{R,V}(lat, lon, \lambda) \cdot ARVI_{LARS}^{TOA} + \beta_{R,V}(lat, lon, \lambda)$$
(2.18)

Derive the normalization factor \mathbf{k}_0 :

$$k_0 = \frac{\widetilde{\rho_{LARS}}}{1 + V F_1(\widetilde{\theta_s}, 0, 0) + R F_2(\widetilde{\theta_s}, 0, 0)}$$
(2.19)

The ground BRF is :

$$\rho_g = \rho_{LARS}(\theta_s, \theta_v, \varphi) = k_0 \cdot [1 + V F_1(\theta_s, \theta_v, \varphi) + R F_2(\theta_s, \theta_v, \varphi)]$$
(2.20)

and the ground Rayleigh coupling terms:

$$\overline{\rho_g^r}(\theta) = k_0 \cdot [1 + V \overline{F_1^r}(\theta) + R \overline{F_2^r}(\theta)], \qquad (2.21)$$

with :

$$\overline{F}_{1,2}^{r}(\theta) = \frac{1}{\pi} \int_{0}^{1} \int_{0}^{2\pi} \mu F_{1,2}(\mu, \theta, \varphi) d\mu d\varphi$$
(2.22)

And the ground aerosol coupling terms:

$$\overline{\rho_g^a}(\theta_s, \theta_v, \varphi, iaer) = k_0 \cdot [1 + V \overline{F_1^a}(\theta_s, \theta_v, \varphi, iaer) + R \overline{F_2^a}(\theta_s, \theta_v, \varphi, iaer)]$$
(2.23)

with :

$$\overline{F_{1,2}}^{\star a}(\theta_s,\theta_v,\varphi) = \frac{\int_0^1 \int_0^{2\pi} \mu p_a(\theta_s,\mu,\varphi) F_{1,2}(\mu,\theta_v,\varphi'-\varphi) d\mu d\varphi'}{\int_0^1 \int_0^{2\pi} \mu p_a(\theta_s,\mu,\varphi') d\mu d\varphi'}$$
(2.24)

The ground WSA is derived from both kernel's WSA, A₁ and A₂:



$$a_{q} = k_{0} \cdot [1 + VA_{1} + RA_{2}] \tag{2.25}$$

$$A_{1,2} = \frac{2}{\pi} \int_{0}^{1} \int_{0}^{2\pi} \int_{0}^{1} \mu_{s} \mu F_{1,2}(\mu_{s},\mu,\varphi) d\mu_{s} d\mu d\varphi \qquad (2.26)$$

2.4.2 LUT's

2.4.2.1 Description

The new table is the VJB model parameters α_R , β_R , α_V , β_V is derived at the scale of **0.5**° from the MODIS surface reflectance MOD09CMG collection 5 product for the period 2003-2007. Indeed no band shifting is required as directional behaviour of the surface reflectance doesn't vary sharply with wavelength. The MODIS products were filtered to remove SHADOW, CLOUD, CIRRUS, ADJACENT_TO_CLOUD, SNOW and FIRE classified pixels.

 α_{β} , $\beta_{\bar{\rho}}$ are the slope and intercept of the LARS reflectance monthly linear regression, for a normalization angle $\bar{\theta}_s$ of 45° are derived from the same dataset and the same spatial resolution. It could have been derived from MERIS surface reflectance time series, but at the time of the current release (18.12.2014), no such dataset was available.

| Dataset Nan | 10 | Variables | type | size |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|------------------------------------------|---------|-----------------------------------------|
| ALBE | | $lpha_{	ilde{ ho}}$, $eta_{	ilde{ ho}}$ | float32 | (NLAT, NLON, NCOEF, NLAMBDA, NMONTH) |
| BRDF | | $\alpha_R, \beta_R, \alpha_V, \beta_V$ | float32 | (NLAT, NLON, NCOEF, NPARAM, NLAMBDA) |
| QC | | Quality Control index | int | (NLAT, NLON, NMONTH) |
| NLAT = 360 NLON = 720 NCOEF= 2 (linear fit, first the slope then the intercept) NPARAM= 2 (first V then R) NLAMBDA= 2 (first MERIS B7 then B2) NMONTH= 12 | | | | |

| NetCDF4 | BRDF | LUT | descr | iption |
|---------|-------|-----|-------|--------|
| | DIUDI | 101 | acoci | Puon |

the QC index is an internal indicator of the quality of the fits, built from a series of tests:

- Max. Rmse of the normalized LARS reflectance fit in the blue TOL_BLUE:0.01
- Max. Rmse of the normalized LARS reflectance fit in the red TOL_RED :0.01
- Max. Rmse of the R,V fit TOL_RV : 0.1
- Minimum number of points for a fit NPTS_MIN : 5



- Minimum amplitude of ARVI for a fit DARVI_MIN : 0.15
- Minimum ARVI for the upper limit of the ARVI amplitude ARVI_MIN: 0

It is complemented by other LUT's corresponding to the coupling terms between ground and atmosphere **[RD3][RD7]**. They are built from the angle integration of BRDF kernels F_1 and F_2 (Eqs. 2.22 and 2.24) and are replacing old LUT's robar_rg_LUT and robar_ag_LUT (LUT no 320 and 321, see **[RD5]**) (smooth functions of θ ; a 3 order polynomial is sufficient see **[RD13]**, pp15-16). We do not plan to change dramatically the format of these coupling terms LUT's. The only difference is that we replace the dimension corresponding to 20 DDV models by 2 different kernels.

The complexity of the new surface reflectance model is reduced compared to the current one.

| Dataset Name | Variables | type | size |
|--------------|---------------------------------------------------------|---------|--------------------------------|
| FRbar | $\overline{F_{1,2}}^r(\theta)$ | float32 | (NTHETA, NPARAM) |
| FAbar | $\overline{F_{1,2}}^{a}(\theta_{s},\theta_{v},\varphi)$ | float32 | (NTHETA, NTHETA, NPHI, NPARAM) |
| A1 | A_1 | float32 | Scalar, val= +9.51090E-02 |
| A2 | A ₂ | float32 | Scalar, val= -1.37720E+00 |

Kernels integrals LUT description

NTHETA = 90 (from 89° to 0° by step of 1°) NPHI = 19 (from 0° to 180° by step of 10°) NPARAM = 2 (first F1 then F2)

2.4.2.2 Example

We give hereafter an example for a European zone. Figure 6 shows the ALBE extraction for the X=79 and Y=393 geographical grid point. The regression in July for an ARVI of 0.5 gives the corresponding values: $\tilde{\rho}(665)=0,0565, \tilde{\rho}(443)=0,0290$

On Figure 7, the regressions of the BRDF gives the following values V(665)=1.3, V(443)=1.2, R(665)=0.22, R(443)=0.23

These LARS BRDF parameters yield the following LARS BRDF and coupling terms in Figure 8 for the principal plane and a SZA of 45°





Figure 7: BRDF extraction for band 1 (670nm), band 2(865 nm) and 3 (470nm) derived from Normalized Surface Reflectance and ARVI, for the zone european zone of Fig 6



Figure 8: BRDF, Black sky albedo and White sky albedo of LARS

More spatialized examples are given in Annex 6.

2.5 Verification

We used the MODIS surface reflectance product MOD09CMG for all AERONET sites, for the period 2003-2005 at the native spatial resolution (0.05°) to compute the kernel based LARS BRDF model parameters (without DDV). Then we analyzed the AEROLAND database acquired over the AERONET sites, i.e. MERIS data coming from MEG8.1 over 10x10 RR super pixels for several months in 2008, and computed the following reflectances at 670 and 443 nm :

- current LARS reflectance
- kernel based LARS reflectance
- MERIS surface reflectance after aerosol correction using the AOT coming from AERONET and a continental aerosol model.

We applied also a filter on the MERIS AOT spatial standard deviation (<0.1) in order to reject cloud contaminated super pixels.

An example is given in Fig.9 for the Avignon site. Other examples are given in Annex 5



Figure 9: Surface reflectance at 665 nm and 443 nm derived from MERIS atmospherically corrected TOA reflectance using Aeronet aerosol information arounf the Avignon (43N,4E) site. It is compared to LARS predicted surface reflectance in the 3rd RP ("Current") and 4th RP ("Kernel"). We give also AOT retrieved from MEGS8.1 and Aeronet AOT.



3 Aerosol Model selection

3.1 Introduction

For the first processing, bands 1, 2 and 7 were used to retrieve the aerosol model. Because of the high Rayleigh contribution in band 1, this spectral band is no longer used. Since the third processing, the aerosol product retrieval is based on the use of bands 2 and 7 in order to retrieve the two aerosol parameters: the aerosol optical thickness (AOT) in one reference wavelength (550 nm) and the Angström coefficient α . Nevertheless, the algorithm was not changed. It is the objective of section 3.2 to indicate how to simplify the algorithm and to make it faster.

An accurate determination of α relies on the good knowledge of the LARS reflectance mainly in B7 where the vegetation is not as dark as in B2 (see section 2). A default value of α is used to derive the AOT. This aerosol model corresponds to $\alpha = 1$ and to non absorbing aerosol with a refractive index of 1.44. The aerosol climatology as provided by AERONET can propose a better choice of the default aerosol model as explained in section 3.3.

A better description of the surface reflectance of the LARS pixels, as foreseen, should improve the determination of α . We propose in section 3.4 how to take advantage of this.

3.2 Simplify the algorithm

3.2.1 3rd Reprocessing

We just described the section of the DPM **[RD4]** to be changed. It corresponds to:

(i) Select band set (DPM 9-18)

Select bands 2 & 7

(ii) Retrieval of the MERIS TOA reflectance (after gaseous absorption: Rho_ng) in selected b (DPM 9-20) b=2 &7

Loop on 26 aerosol types (ia=1 to 26)

Retrieval of the AOT for the 26 aerosol types AOT(B2,ia)

(iii) Determination of α in b=7. (to introduce)

If 2 bands, then compute directly $\boldsymbol{\alpha}$

If 3 bands, then linear regression to compute $\boldsymbol{\alpha}$

3.2.2 Modifications for 4th Reprocessing

We always select two bands b=2 and 7.

(a) In a loop on 26 aerosol types (ia=1 to 26); the 26 AOT's are determined in B2 as before. Then we use B7.

(b) First we compute the TOA for the N=26 aerosols, with:

(i) convert AOT_550(ia) in AOT_B7 ((2.6.17.4-4))

(ii)compute the Rho_ag (TOA after gaseous correction) in B7: Rho_ag(ia) (2.6.17.3-50)

(c) We select the aerosol type allowing retrieving Rho_ng. The predicted TOA in B7, Rho_ag, should decrease with ia. Indeed, in the red, the TOA signal for targets with albedo



lower than 0.2 (this is always the case for LARS) increases monotonically with AOT for not too absorbing aerosol types ($\omega o > 0.8$) **[RD14]** and thus, for a given AOT at 550 the signal varies monotonically with the Junge exponent.

Moreover, if we believe that we mostly have small aerosols over land then we decrease from ia=26 to 1 to stop when Rho_ag (ia)>=Rho_ng and do the linear interpolations when the convergence exists. We have:

(i) if Rho_ag (ia=26)>Rho_ng; we do loop on ia and abandon the α retrieval. Flag and default values as for MEGS8.1

(ii) when Rho_ag (ia)>=Rho_ng, we stop the ia loop and retain iat=ia

(v) If the condition Rho_ag (ia)<=Rho_ng is not reached for ia=0, flag and default values as for MEGS8.1

(d) Interpolation to get α

We do a linear interpolation to compute α between 0.1*iat and 0.1*(iat-1)

 $\alpha = 0.1*(iat-1+(Rho_ng-Rho_ag(iat))/(Rho_ag(iat)-Rho_ag(iat-1)))$

3.3 Default aerosol type selection

3.3.1 3rd Reprocessing

The aerosol remote sensing over land is challenging. Most of the LARS algorithms provide only one aerosol parameter: AOT_550 based on the selected aerosol type. For MERIS, the determination of the AOT is done for the June model with α =1 (ia=16): *DPM* 9.20 (2.6.17.3-18)

The selection of the aerosol family (here through three values of the refractive index) was done with the Aerclim_LUT[lat,lon,month,k] with: lat : 0,180 by 1deg, lon : 360 by 1 deg: *DPM* 9.12. By default, k=2 (refractive index=1.44) *DPM* 9.12 (2.6.17.1-1)

3.3.2 Modifications for 4th Reprocessing

A climatology of the default aerosol model is a plus with as possible option:

(i) Based on the fact that k is everywhere and always equal to 2, the Aerclim_LUT is no longer used. We use the Aerclim_LUT to define the default aerosol model with k=1 to 26. AERONET and the monthly statistic provide the ingredients of this LUT.

(ii) Aerclim_LUT is used to define the default aerosol model iac to compute the AOT_440 and then the AOT_550.

3.3.3 Generation of the Aerclim_LUT

3.3.3.1 LUT from MODIS Level 3 data

We used MODIS Aqua Land Aerosol Monthly L3 product on th CMG grid $(1x1^{\circ})$, MYD08_M3 collection 6.

The dataset chosen is 'Deep_Blue_Angstrom_Exponent_Land_Mean_Mean', and is averaged from year 2003 to 2012.

Key references:



Hsu, N. C., S. C. Tsay, M. D. King, and J. R. Herman (2004), Aerosol properties over bright-reflecting source regions, IEEE Trans. Geosci. Remote Sens., 42, 557–569

Hsu, N. C., S. C. Tsay, M. D. King, and J. R. Herman (2006), Deep blue retrievals of Asian aerosol properties during ACE-Asia, IEEE Trans. Geosci. Remote Sens., 44, 3180–3195

Hsu, N. C., M.-J. Jeong, C. Bettenhausen, A. M. Sayer, R. Hansell, C. S. Seftor, J. Huang, and S.-C. Tsay (2013), Enhanced Deep Blue aerosol retrieval algorithm: The second generation, J. Geophys. Res. Atmos., 118, 9296–9315, doi:10.1002/jgrd.50712

Sayer, A. M., N. C. Hsu, C. Bettenhausen, and M.-J. Jeong (2013), Validation and uncertainty estimates for MODIS Collection 6 "Deep Blue" aerosol data, J. Geophys. Res. Atmos., 118, 7864–7872, doi:10.1002/jgrd.50600

Links:

MODIS Atmospheres website: modis-atmos.gsfc.nasa.gov

NASA LAADS (data distribution) website: ladsweb.nascom.nasa.gov

MODIS Collection 6 on the NASA LAADS ftp server: ladsweb.nascom.nasa.gov/allData/6/

Procedure

- Do Monthly means for the perdiod 2003-2012
- Do yearly means for backup in case of lacking monthly means.
- Fill remaining gaps of the backup with avreage value of 1.5
- Rely Ansgtrom exponent with model number
 - there is 78 models with Angstrom coefficient from 0 to 2.5 by step of 0.1, for three refractives indices 1.33, 1.44, 1.55. We keep only n=1.44

3.3.3.2 LUT Description

AeroClim LUT description

| Dataset | Nan | 1e | Variables | type | size |
|---------|-----|-----|-------------|------|----------------------|
| Aer. Mo | del | # | Model index | int | (NLAT, NLON, NMONTH) |
| NLAT | = | 180 | | | |
| NLON | = | 360 | | | |
| NMONTH | = | 12 | | | |





Figure 10: New Aerosol Climatology LUT (Aerosol model index as a function of location and month) and exampleq for Amazonian and European areas

3.4 Additional AOT at 550nm in the L2 product

3.4.1 Motivation

The introduction of a new LARS BDRF may potentially improve the retrieval of α . Therefore, it is suitable to propose the AOT_550_alpha as given by the retrieved aerosol type. The user will then have the choice between this AOT_550_alpha and the present



AOT_550. The recommendation is to use AOT_550_alpha if:

(i) AOT_550_alpha >0.05: for small aerosol abundances, the determination of α is inaccurate.

(ii) In a window of 8RRx8RR pixels (It corresponds approximately to the MODIS resolution of the daily aerosol product), we can rely on the mean value of α and therefore average the AOT_550 for the pixels at one r.m.s. in α .

The two above recommendations are relevant to generate a daily MERIS L3 aerosol product. More over the AOT_550_alpha and the AOT_550 can be used to convert all the AOT_555 in AOT_550_alpha _mean; the value for the mean α .

3.4.2 Modifications for 4th Reprocessing

AOT_550 is known for aerosol type iat and (iat-1) that is for α equal 0.1*iat and 0.1*(iat-1). AOT_550_alpha is know by linear interpolation between 0.1*iat and 0.1*(iat-1) on AOT_550(iat) and AOT_550(iat-1).

AOT_550_alpha Is included in the L2 product after AOT_550_after



4 Validation

4.1 Validation against AERONET data

ODESA with MEGS 8.1 (3rd RP) and MEGS 9.1 (4th RP) were parametrized in order to process L1B MERIS data in CSV_MERMAID mode with AERONET extractions for several sites and the whole MERIS archive. The extraction was done for 11x11 RR pixels boxes and the following parameters were extacted:

[A442, A442 err, ALPHA_L, ALTITUDE, AOPT_L, BRIGHT, CLOUD, COASTLINE, COSMETIC, DELTA_AZIMUTH, DETECTOR, DUPLICATED, GLINT_RISK, INVALID, L2FLAGS_L, LAND, LAND_OCEAN, LAT, LON, L_PCD_14, L_PCD_15, L_PCD_16, L_PCD_17, L_PCD_18, L_PCD_19, L_PCD_1_13, OZONE_ECMWF, PRESS_ECMWF, Q, RHO_GROUND_1, RHO_GROUND_2, RHO_GROUND_3, RHO_TOA_01, RHO_TOA_02, RHO_TOA_03, RHO_TOA_04, RHO_TOA_05, RHO_TOA_06, RHO_TOA_07, RHO_TOA_08, RHO_TOA_09, RHO_TOA_10, RHO_TOA_11, RHO_TOA_12, RHO_TOA_13, RHO_TOA_14, RHO_TOA_15, RH_ECMWF_01, RH_ECMWF_02, RH_ECMWF_03, RH_ECMWF_04, RH_ECMWF_05, RH_ECMWF_06, RH_ECMWF_07, RH_ECMWF_08, RH_ECMWF_09, RH_ECMWF_10, RH_ECMWF_11, RH_ECMWF_12, RH_ECMWF_13, RH_ECMWF_14, RH_ECMWF_15, RH_ECMWF_16, RH_ECMWF_17, RH_ECMWF_18, RH_ECMWF_19, RH_ECMWF_20, SCATT_ANGLE, SUN_AZIMUTH, SUN_ZENITH, SUSPECT, T442, T442_err, TAU_AER_L_02, TAU_AER_L_05, TAU_AER_L_07, T_ECMWF_01, T_ECMWF_02, T_ECMWF_10, T_ECMWF_04, T_ECMWF_05, T_ECMWF_06, T_ECMWF_07, T_ECMWF_08, T_ECMWF_09, T_ECMWF_10, T_ECMWF_11, T_ECMWF_12, T_ECMWF_03, T_ECMWF_14, RT_ECMWF_05, T_ECMWF_06, T_ECMWF_14, T_ECMWF_15, T_ECMWF_16, T_ECMWF_17, T_ECMWF_18, T_ECMWF_19, T_ECMWF_20, VIEW_AZIMUTH, VIEW_ZENITH, WATER, WINDM, WINDU, WINDV, WV_ECMWF, thetas_IS]

the **CLOUD** mask was extended using the morphological operator **dilate** with a width of **10** pixels after tests with ACRI and the experienced gained during the CCI Aerosol project for which this value was used for the 3rd reprocessing aerosol product in order to minimize the cloud contamination. Within a 11x11 box, the mean and standard deviation of the Aerosol optical thicknesses and Angtsrom coefficient were computed. The matchups whose **standard deviation** of AOT exceeded **0.1** were discarded from the regression analysis. We didn't apply any other selection criteria like for example the new "Q" field for "Quality" that is related to the darkness of the surface through the ARVI (Q=0 meaning a low ARVI, bright target, and Q>=8, is Dense Dark Vegetation), or the "QC" field in the LARS look up tables.

An example of the regression analysis is given in Figure 11, for the GSFC Aeronet site. In all plots the **AERONET** data are in the **x** axis where different **MEGS** outputs are given in the **y** axis:

- **TAU_AER_L_02**: Aerosol Optical Thickness at 442 nm derived jointly with the Aerosol Ansgtröm Coefficient, this is a new experimental output of MEG9.1.
- **T442** : Aerosol Optical Thickness at 442 nm derived using the new Aerosol Ansgtröm Coefficient climatology LUT. this is the standard output of MEG9.1
- T442 (old AerClim) : Aerosol Optical Thickness at 442 nm derived using the "old" (3rd RP) Aerosol Ansgtröm Coefficient climatology LUT. this is a test output of MEG9.1
- A442: Aerosol Angstrom Coefficient. this is the standard output of MEG9.1
- ALPHA: Aerosol Angstrom Coefficient. this is the standard output of MEG8.1
- AOPT : Aerosol Optical Thickness at 442 nm. this is a standard output of MEG8.1



MERIS 4th reprocessing

MERIS aerosol product over land

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The following regression parameters are given for each plot:

- R2: correlation coefficient
- N: number of points
- bias: mean(Y-X)
- reserr: residual error, std(Y-X)
- RMS: root mean square error : sum(((X-Y)/X)**2)/N



Figure 11: Matchups between 3rd and 4th RP products and Aeronet products for the GSFC site and the whole MERIS archive, error bars in thy xis correspond to the spatial standard deviation in 11x11 RR pixels boxes around the Aeronet site

The same analysis for the sites: 'AltaFloresta', 'Banizoumbou', 'Beijing', 'Dakar', 'Evora', 'GSFC', 'Ispra', 'Kanpur', 'Lille' is given in Annex 8

4.2 Validation against MODIS Monthly L3 products

For 4 months of 2008, (March, June, September and December), we compare the 3rd and 4th RP monthly L3 AOT with the MODIS monthly MYD08_M3 products, Collection 6.

Among the numerous MODIS output quantities we selected the 'Aerosol_Optical_Depth_Land_Mean_Mean'. MERIS and MODIS AOT's are given for 550 nm. MERIS products is also shown for ocean whereas MODIS is computed only for land.

MERIS Level 3 products was computed with compositng rules given in Annex 9



The main selection rule is to exlude the morphologically dilated (by 10 pixels) (CLOUD OR CLOUD_AMBIGUOUS) mask.





Figure 12: Monthly L3 products from 3rd and 4th RP along with MODIS MYD_08_M3 AOT at 550 nm for the month of March 2008





Figure 13: Regression between Monthly L3 products from 3rd and 4th RP along with MODIS MYD_08_M3 AOT at 550 nm for the month of March 2008



5 Annex : Verification of LARS surface reflectance model around Aeronet sites





Figure 14: Same as Fig. 9 but for Barcelona (41N,2E)



Figure 15: Same as Fig.9 but for Missoula (46N,117W)



Figure 16: Same as Fig.9 but for Mongu (15S,23E)



Figure 17: Same as Fig. 9 but for Noto (37N,137E)





Figure 18: Same as Fig.9 but for GSFC (38N,76W)



6 Annex: Examples of LARS BRDF





Figure 19: BRDF parameters of LARS from the Look up Tables computed for target of ARVI=0.5 and the month of July. The last map shows the quality index computed during the LUT making. The nominal quality index is 0. Negative values mean no data and values above zero mean degraded quality.



MERIS 4th reprocessing MERIS aerosol product over land

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Figure 20: Same as Figure 19 but for 443 nm



7 Annex: Validation of AOT against MODIS L3 data and comparison between 3rd and 4th RP



























8 Annex : Matchups against AERONET data





























land

9 Annex : Compositing rules for the MERIS Level 3 4th RP

<u>1) T442</u>

Aerosol optical thickness over land at 442 nm with Aerosol model from the climatology

CO_DO_LAND and not (LP_PC_{T}442_FAIL and **not F_T442** or CLOUD_AMB_HAZE_enlarged**X1**) and Q > **X2 and Note 4RP**

<u>2) A443</u>

Aerosol Angström Coefficient

CO_DO_LAND and not (LP_PC_{T/A}442_FAIL and **not F_T442** and **not F_A442** or CLOUD_AMB_HAZE_enlargedX1) and Q > X2 and Note 4RP

<u>3) T443_ALPHA</u>

Aerosol optical thickness over land at 442 nm with Aerosol model retrieved from the measurement

CO_DO_LAND and not (LP_PC_{T/A}442_FAIL and **not F_T442 and not F_A442** or CLOUD_AMB_HAZE_enlarged**X1**) **and Q > X2 and Note 4RP**

Note 4RP

Apply a standard deviation filter on product P in (T442/A442/T442_ALPHA) on a 9x9 box: discard the pixel if it is not in

[mean - max(2*stddev, **scale**), mean + max(2*stddev, **scale**)], with mean and stddev computed using all P valid pixels. The term max(2*stddev, **scale**) allows to handle homogeneous areas (we don't want discard all pixels if stddev is very small).

On the remaining pixels average and compute stdev again in 9x9 boxes. Discard all pixels in the box if stdev> X3

Free parameters

X1 : 10 pixels X2 : 0 X3 : (0.1, 0.5, 0.1) for P in (T443/A443/T443_ALPHA) scale : (0.02, 0.1,0.02) for P in (T443/A443/T443_ALPHA)