

# Cone metrics: a new tool for scatterometer intercalibration

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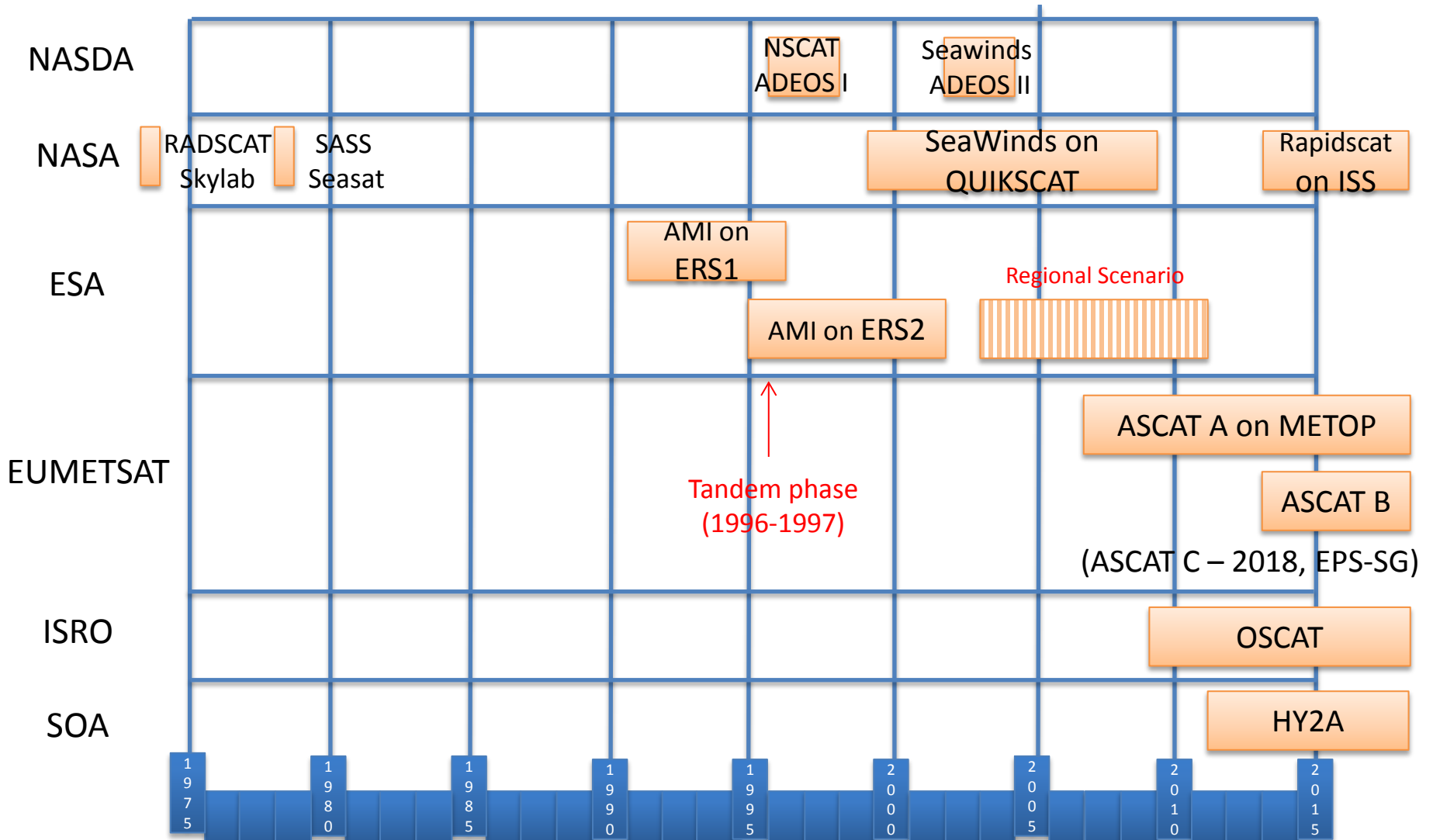
Scirocco PM#2

Frascati, 18-19<sup>th</sup> February 2016

# Outline

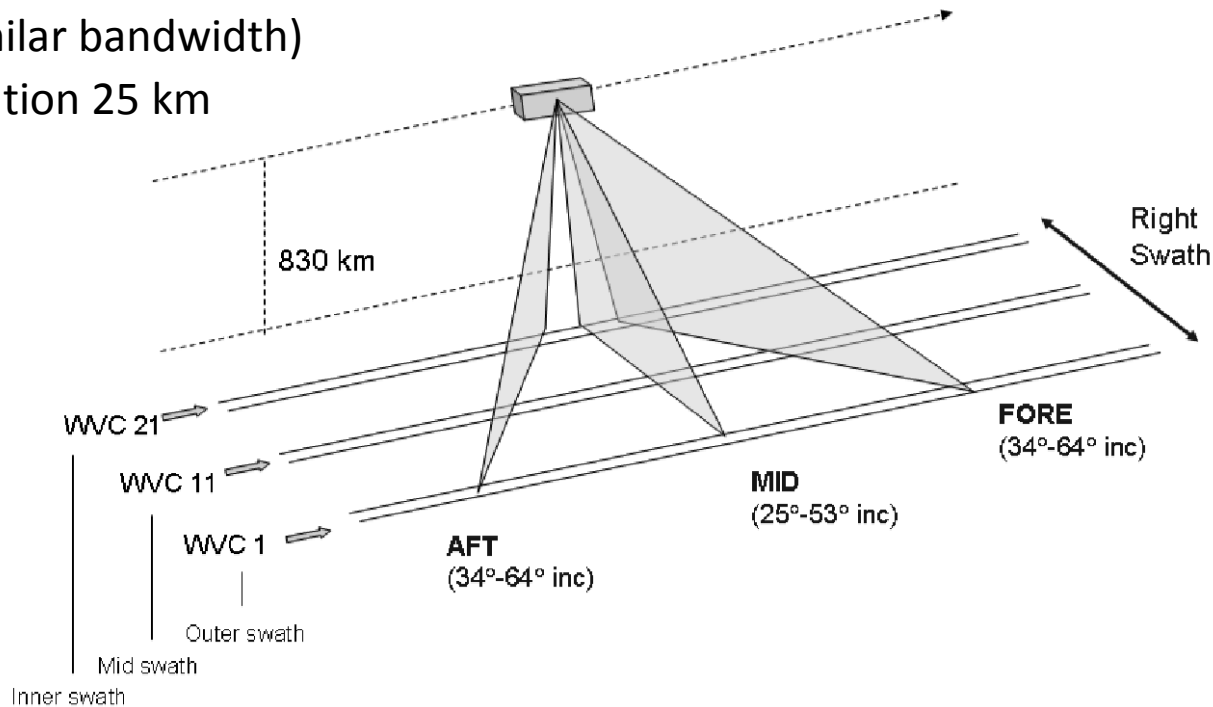
- Motivation:
  - Scatterometer CDR for ERS and ASCAT
- NWP ocean calibration (NOC)
  - Standard method
  - Limitations
- Calibration via cone metrics
  - Novel method
  - Application in the context of the reprocessing activities for ERS-1 and ERS-2 (SCIROCCO)

# Satellite scatterometers



# ERS & ASCAT

C-band VV (similar bandwidth)  
 Nominal resolution 25 km



Main differences:

- local time
- Incidence
- Noise

ERS1/ERS2

10:30 (DES) / 22:30 (ASC)

18-40 deg (M) / 27-58 deg (F/A)

ASCAT has better noise properties

ASCAT

9:30 (DES) / 21:30 (ASC)

25-53 deg (M) / 34-64 deg (F/A)

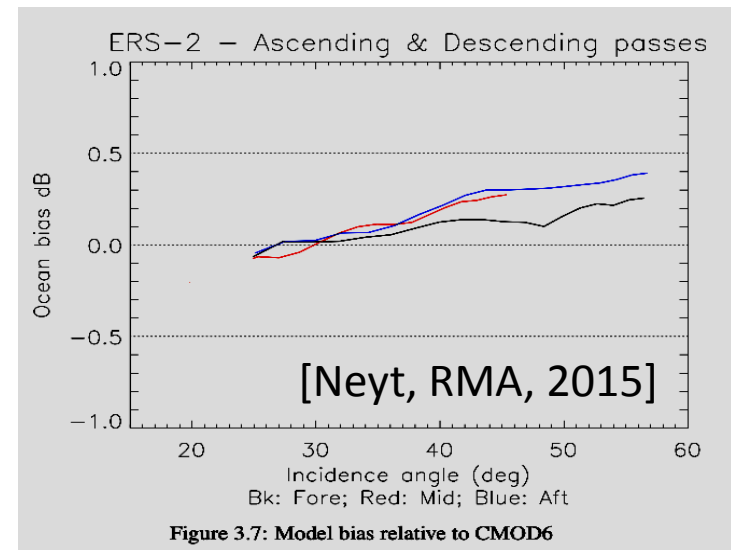
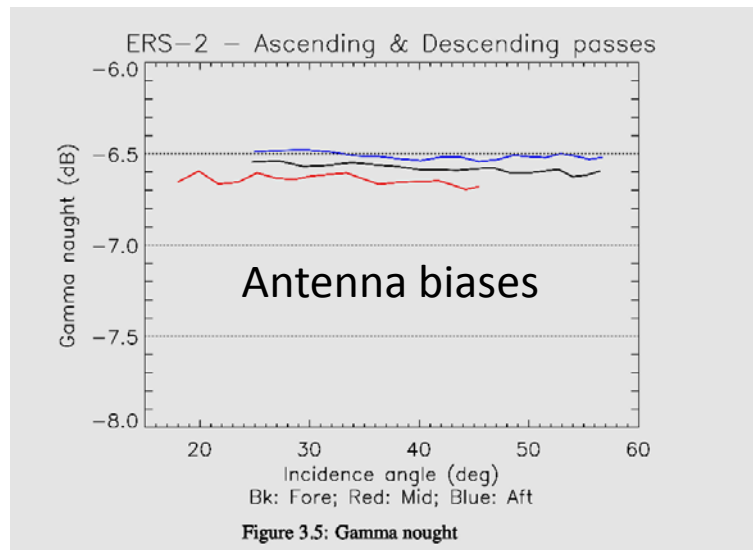
# Introduction

- SCIROCCO (ESA) inter-calibration efforts

Rain forest

(ERS2 tandem phase, 1997)

NOC

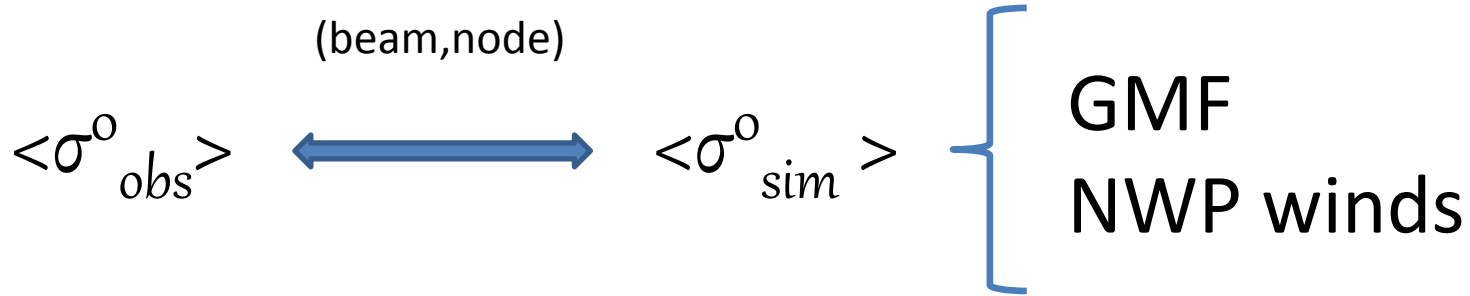


+ linearity and stability in backscatter: cone analyses

<http://scirocco.sp.serco.eu/>

GCOS WCRP ECV requirement: 0.5 m/s accuracy, 0.1 m/s stability per decade → 0.1 dB


# NWP Ocean Calibration (NOC)



Averaging over all wind states:

$$\langle \dots \rangle = \int (\dots) N(v, \phi) dv d\phi \quad N_{true} \neq N_{nwp}$$

- Sensitivity to NWP wind direction errors  $\sim 0.1$  dB for MID beam
- Sensitivity to NWP wind speed errors  $\sim 0.1$  dB all beams
- Linear calibration offset assumption

 In line with absolute error from rain forest or transponder calibration  $\sim 0.1-0.2$  dB

# Cone analyses

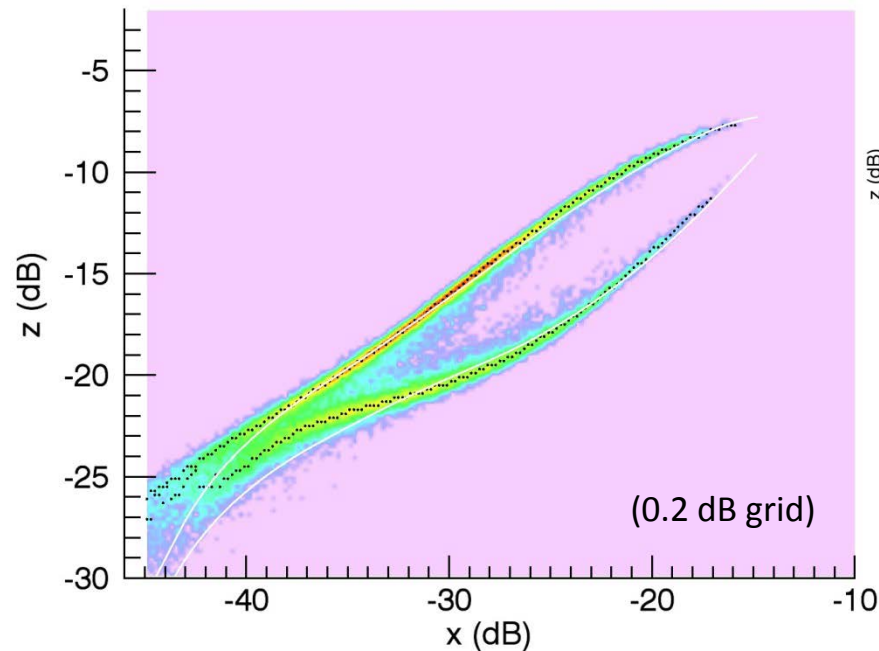
$\sigma^0_{obs}$



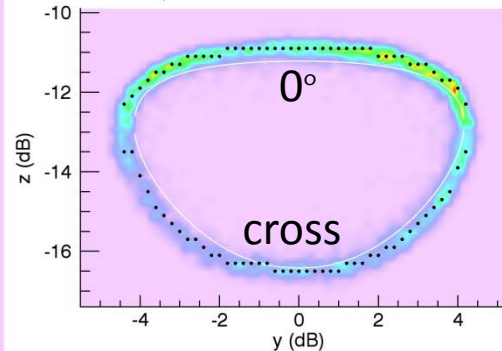
Histograms in  $\{x,y,z\}$  measurement space

For every node and every x section

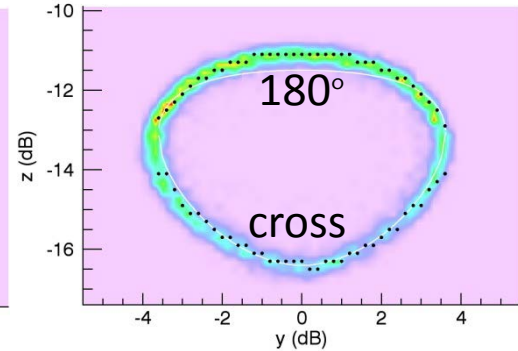
Upwind WVC = 10



Upwind WVC = 10 Cut x = -22.9000



Downwind WVC = 10 Cut ix = 110



Split upwind/downwind branches

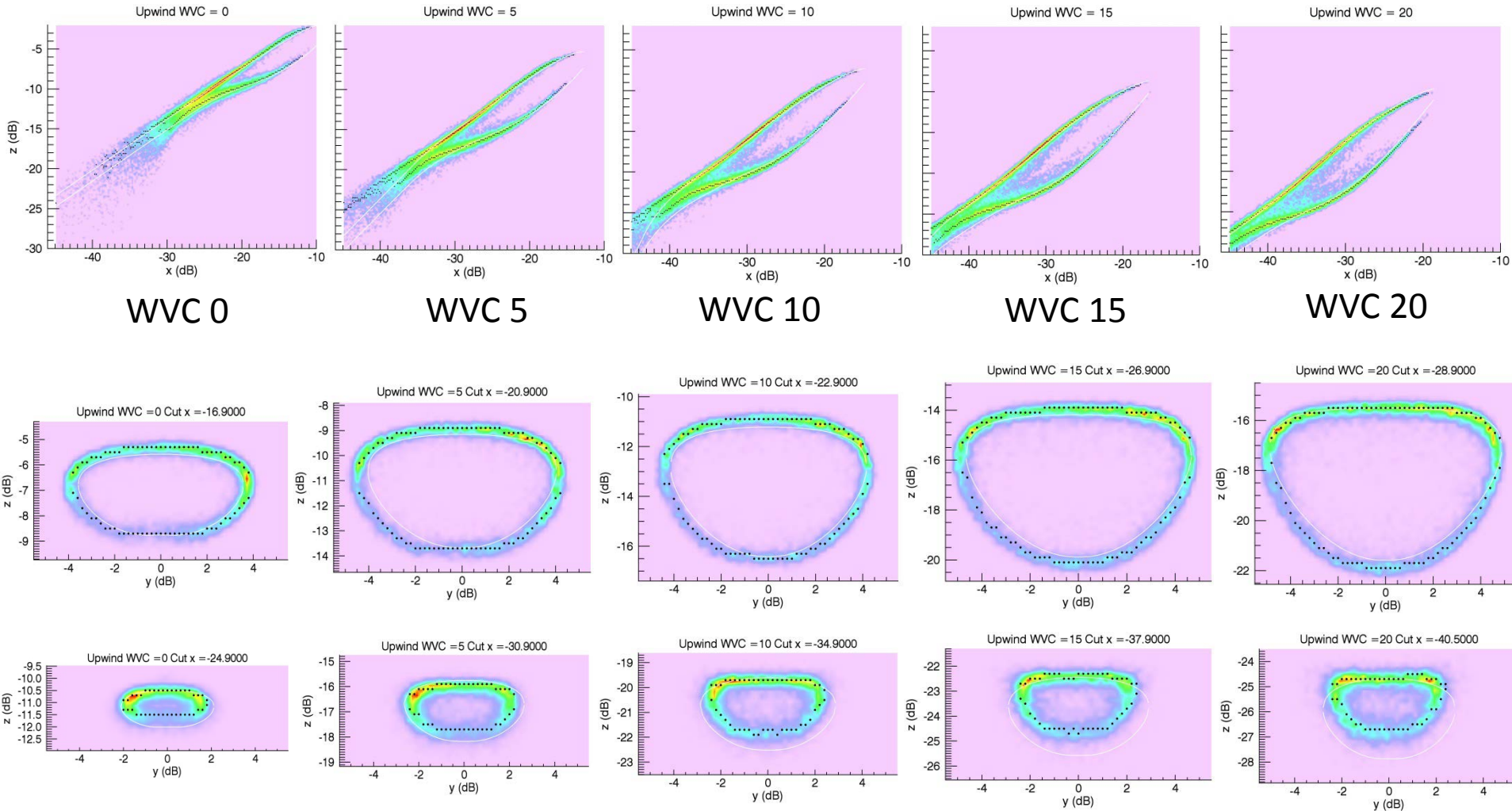
Find maximum density surfaces

Reference period (Oct'07- Sep'08) CMOD6



- Tracking changes in maximum density surface
- Independent of wind PDF

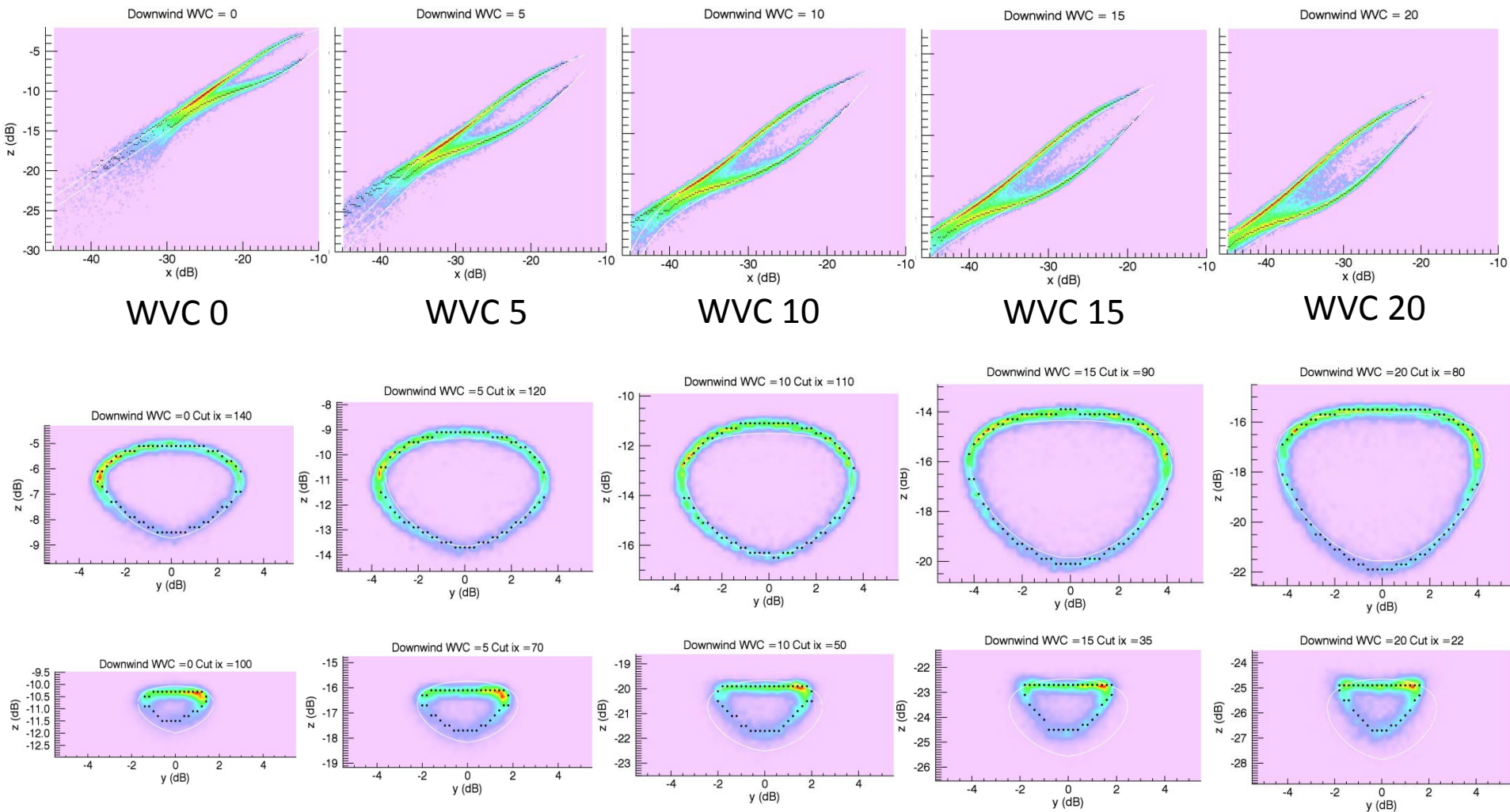
# ASCAT A (REF 25 km) UPWIND



Max density surfaces invariably well defined above 5 m/s



# ASCAT A (REF 25 km) DOWNWIND

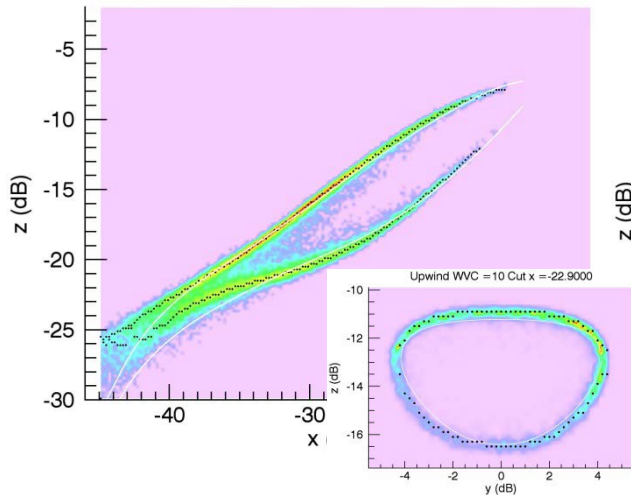


Max density surfaces invariably well defined above 5 m/s

# Comparing different records

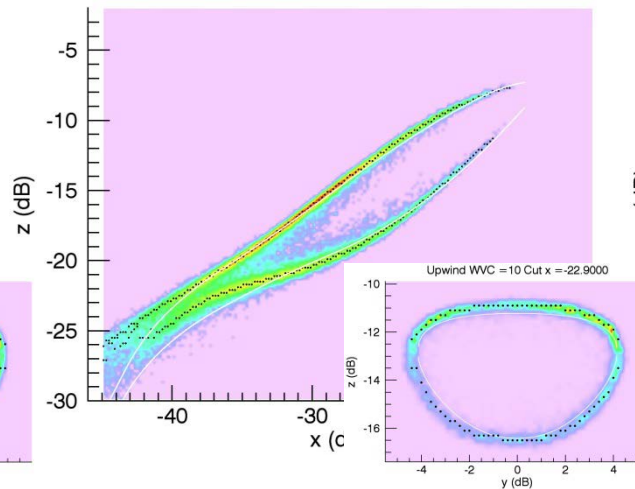
ASCAT A 2014 (25km)

Upwind WWC = 10



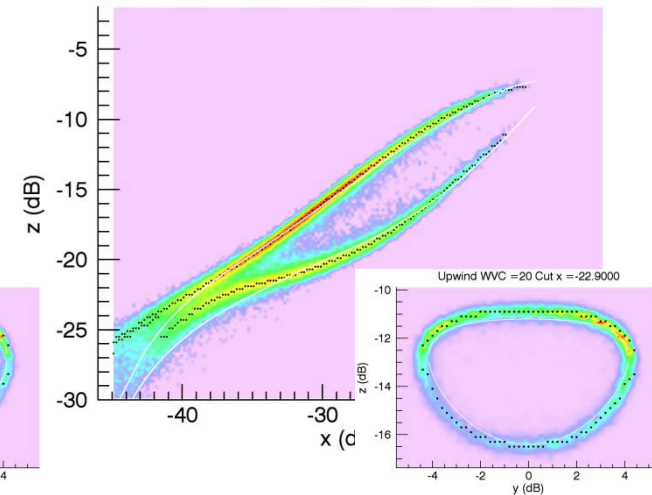
ASCAT A REF (25km)

Upwind WWC = 10



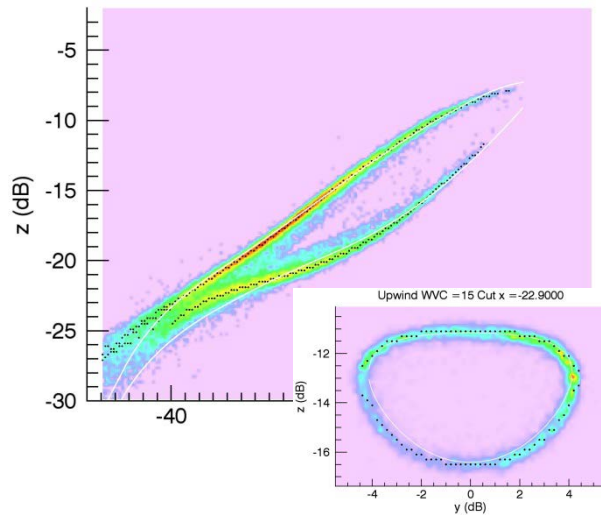
ASCAT A REF (12km)

Upwind WWC = 20



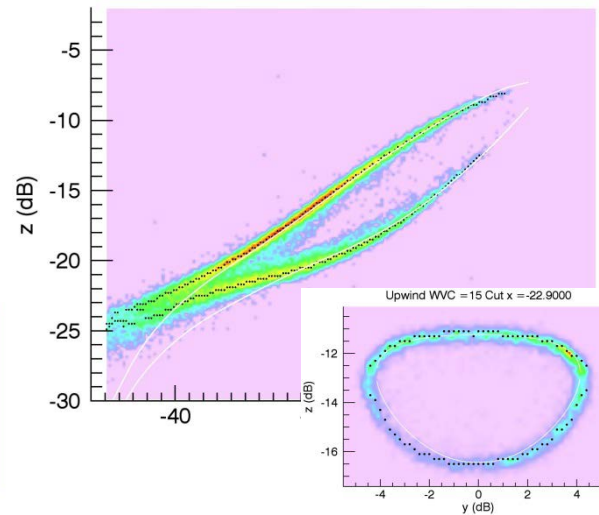
ERS1 KNMI 1995 (25km)

Upwind WWC = 15



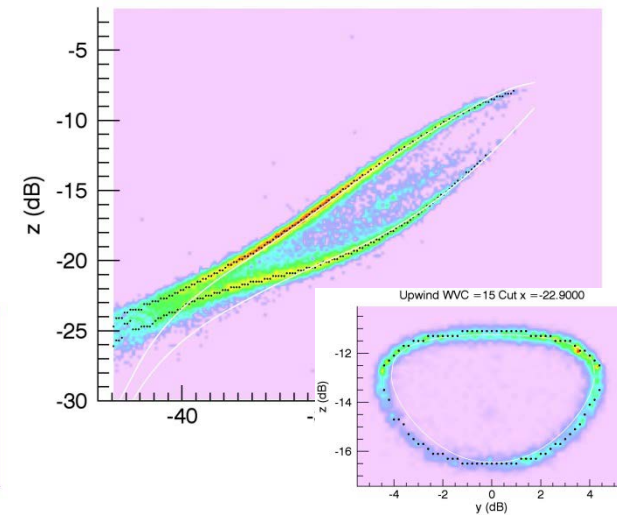
ERS2 KNMI 1997 (25km)

Upwind WWC = 15



ERS2 ASPS 1997 (25km)

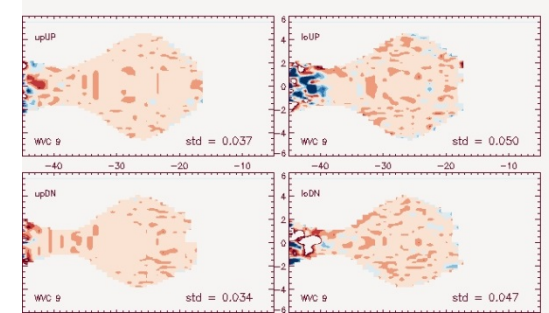
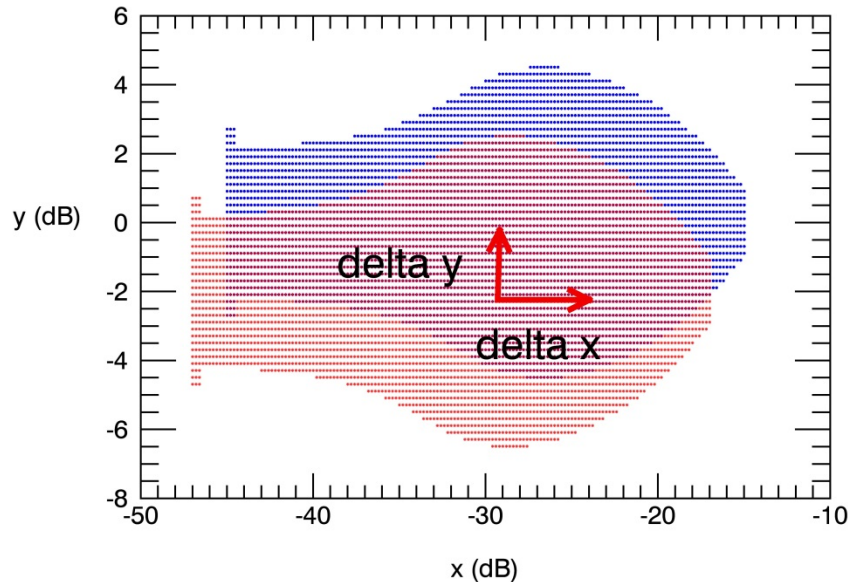
Upwind WWC = 15



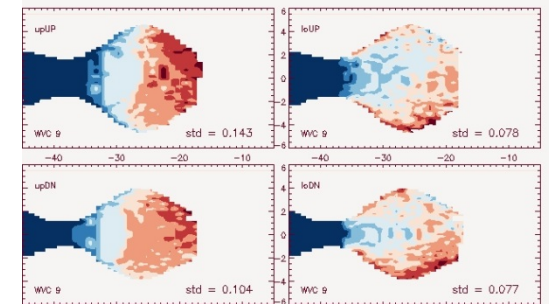
# Antenna beam offsets

ASCAT to ASCAT

1) Minimize the STD of the cone difference  $\{\Delta x, \Delta y, \Delta z\}$



residuals:  $Z(x + \Delta x, y + \Delta y) - Z_0(x, y)$



ASCAT to ERS2

2) Translate into constant beam offsets:

$$\{\Delta x, \Delta y, \Delta z\} \longrightarrow \begin{aligned} \delta\sigma_{fore}^0 &= (\Delta x + \Delta y)/\sqrt{2} \\ \delta\sigma_{aft}^0 &= (\Delta x - \Delta y)/\sqrt{2} \\ \delta\sigma_{mid}^0 &= \Delta z \end{aligned}$$

Linear calibration offsets: comparable to NOC

- **Cone shifts** translate into constant beam offsets
- **Residuals** inform about more complex calibration relations (non-linearity)

# Sensitivity of cone shifts to wind PDF, instrument noise and observation geometry (simulation based)

Build a clean cone using GMF with flat wind PDF and mean observation angles:

- change measurement noise (12 km  $K_p$ )
- change input wind PDF (climatology)
- add realistic distribution of incidence and azimuth angles (std  $\sim 0.1-0.2^\circ$ )

## CONCLUSIONS:

- Cone offsets are not sensitive to measurement noise
- Cone offsets are not sensitive to wind PDF
- Cone offsets are not sensitive to geometric variability
- Cone offsets are sensitive to changes in incidence angle ( $\sim 0.1$  dB per  $0.1^\circ$ )

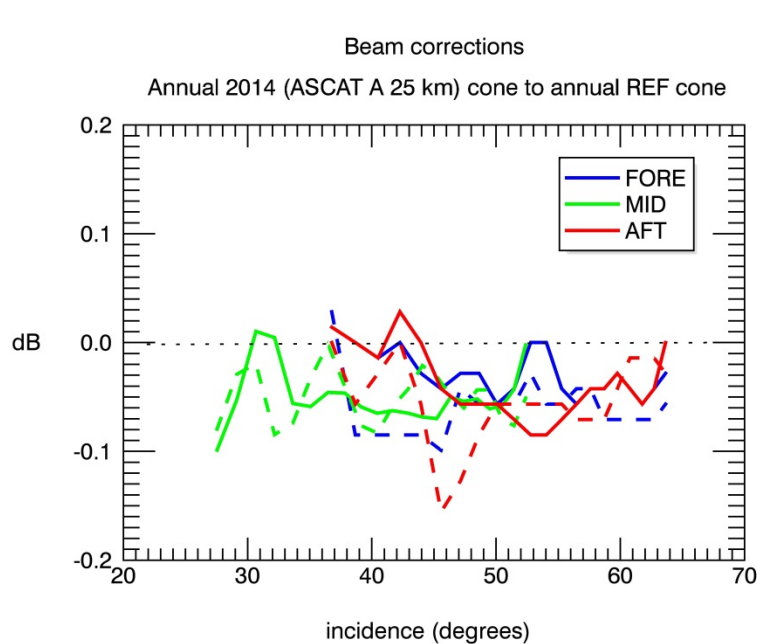
→ **expected accuracy of 0.01-0.02 dB**

deviations from nominal  
geometry must be taken  
into account

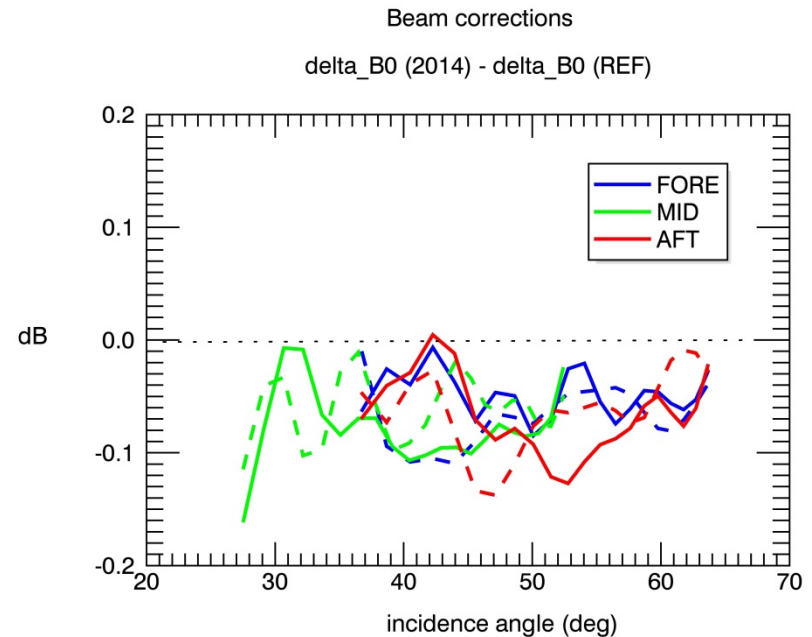
# Interannual stability

To see how the max density surface moves from year to year...

- ASCAT A 2014 (25 km) to ASCAT A REF (25 km)



Cone metrics



NOC

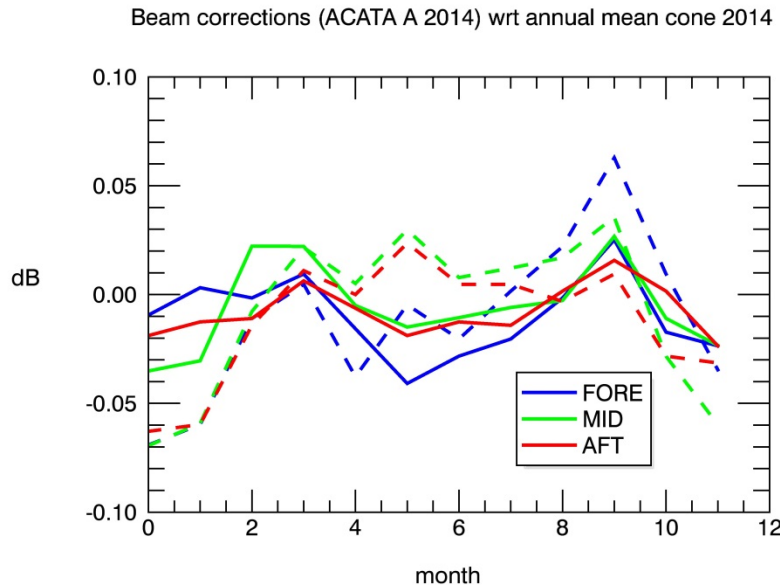


NOC or cone metrics may be used to monitor backscatter stability over ASCAT period

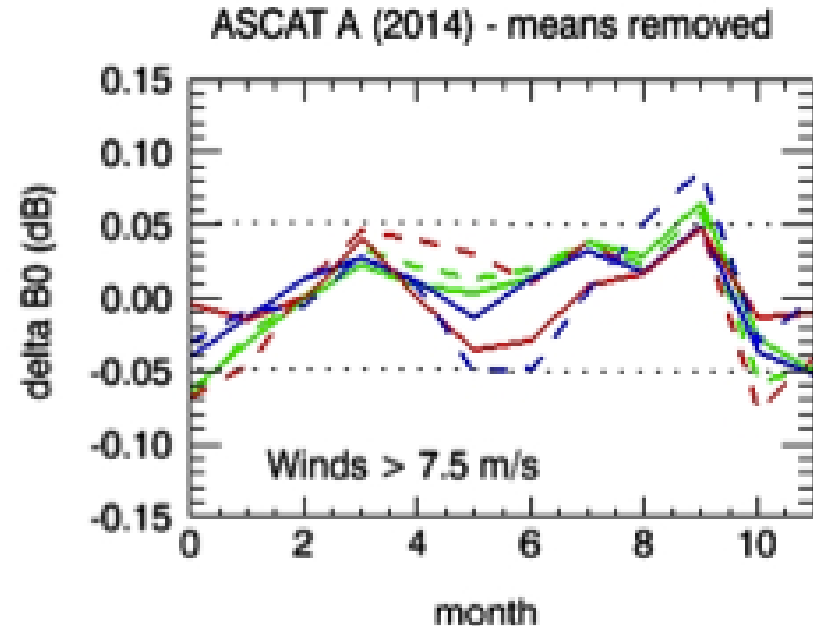
# Seasonal stability

- The maximum density surface moves with the seasons...

## Cone metrics



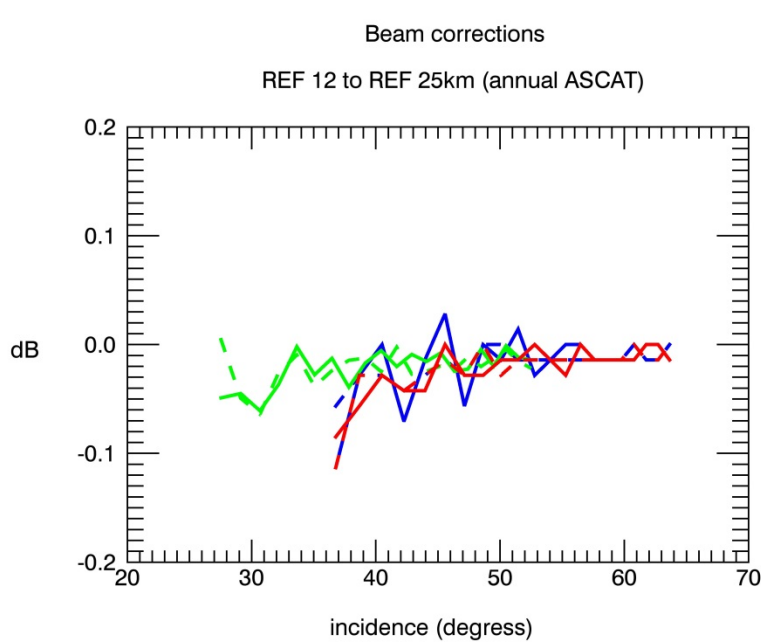
## NOC



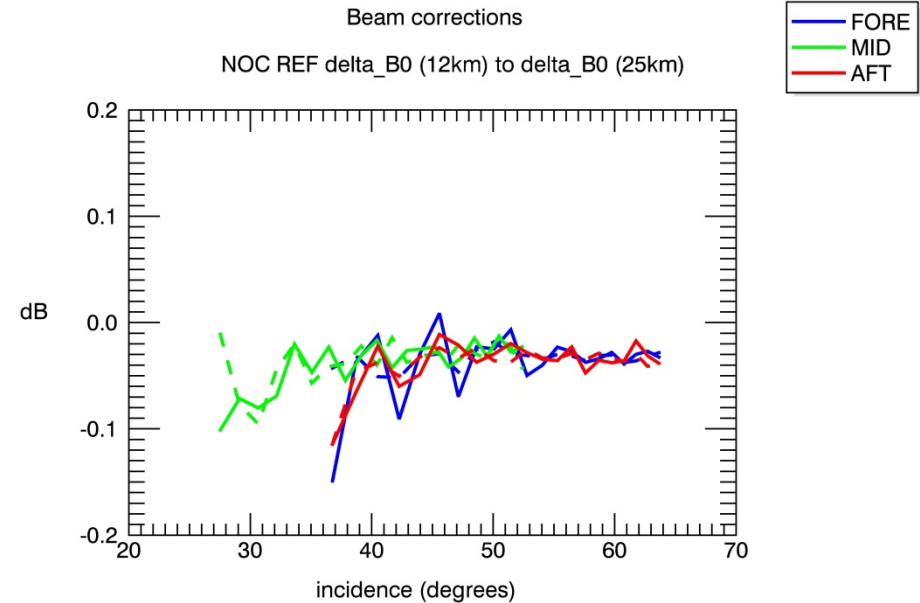
Where does this variability come from? ... not a definite answer yet

# Spatial resolution

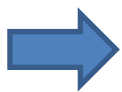
- ASCAT A REF (12 km) to ASCAT A REF (25 km)



Cone metrics



NOC

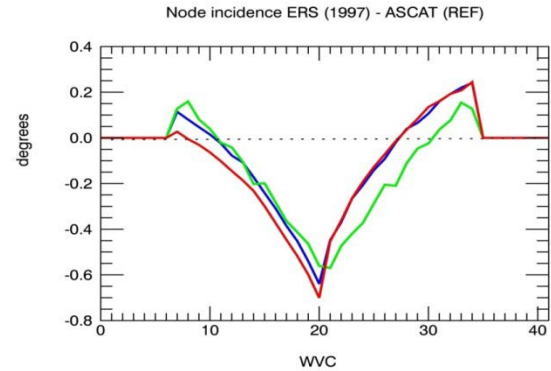


Where does the  
difference come from?

... different calibration tables  
for 12km and 25km processors?

# Relating ERS to ASCAT

- ASCAT and ERS node incidences differ slightly → apply a geometric correction:



$$\vec{\sigma}^0 = \vec{\sigma}^0 + \vec{\sigma}_{sim}^0(\vec{v}_{scat}, \theta_0, \phi_0) - \vec{\sigma}_{sim}^0(\vec{v}_{scat}, \theta, \phi)$$

New  
cone

Old  
cone

————— geocorrection —————

The “geometry correction” is based on CMOD6 and collocated scatterometer winds, using the initial input geometry from ASCAT and the final input geometry from the corresponding ERS node

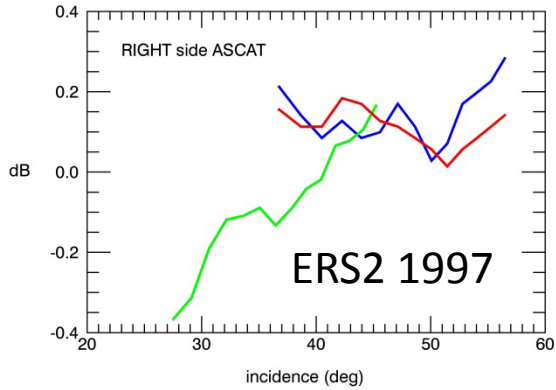
→ moves the measurement cloud to some new location dictated by the change in geometry



# NOC and Cones differ on ERS period

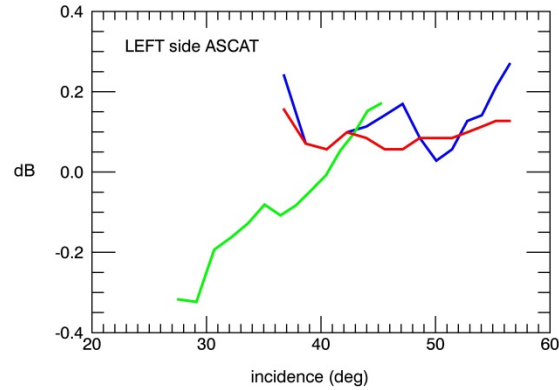
ERS2 KNMI 1997 to ASCAT REF

cone metrics test w/ geocorrections



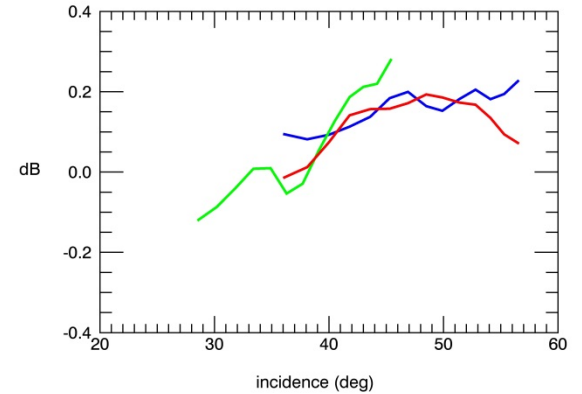
ERS2 KNMI 1997 to ASCAT REF

cone metrics test w/ geocorrections



NOC offsets

ERS2 KNMI 1997 wrt CMOD6

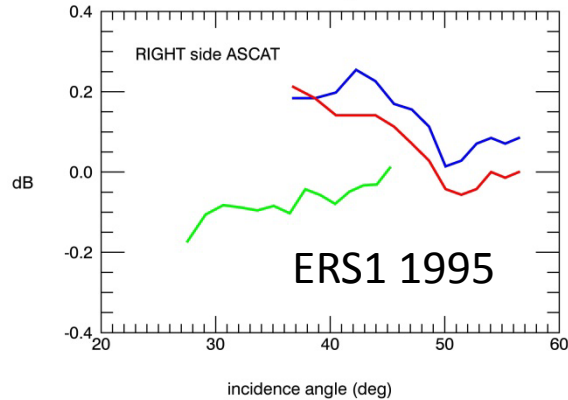


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## Cone metrics

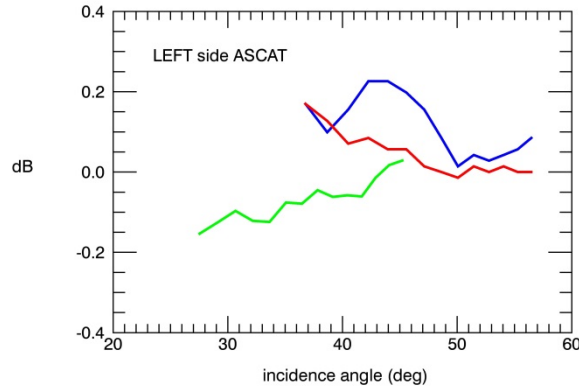
ERS1 KNMI 1995 to ASCAT REF

cone metrics test w/ geocorrections



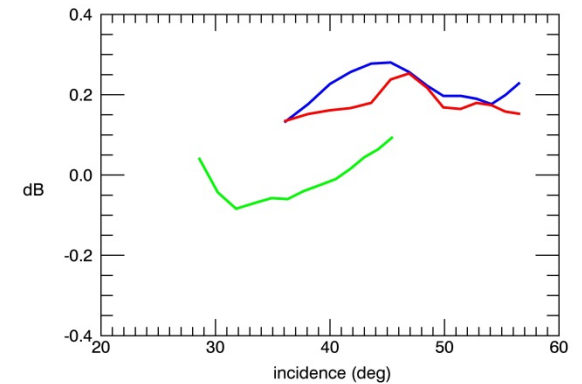
ERS1 KNMI 1995 to ASCAT REF

cone metrics test w/ geocorrections



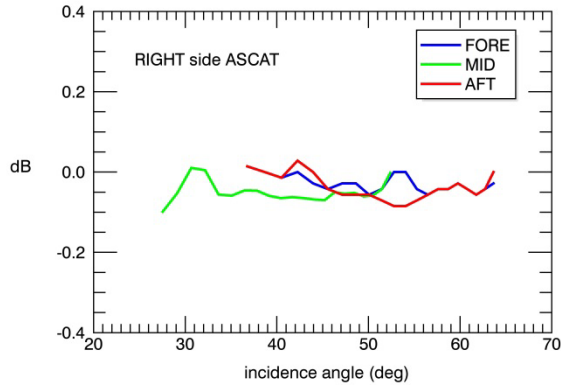
NOC offsets

ERS1 KNMI 1995 wrt CMOD6 (on ERA interim)



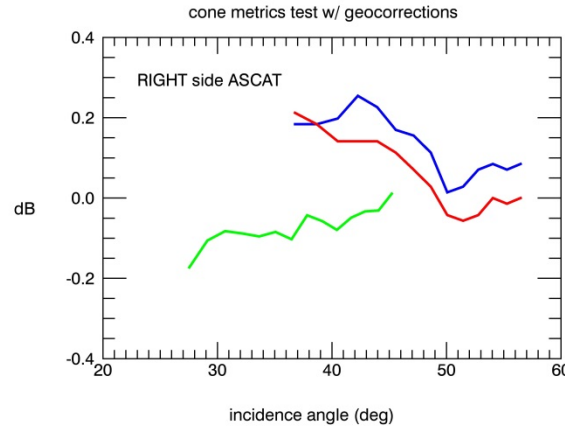
# Relating ERS to ASCAT reference

ASCAT 2014 to ASCAT REF



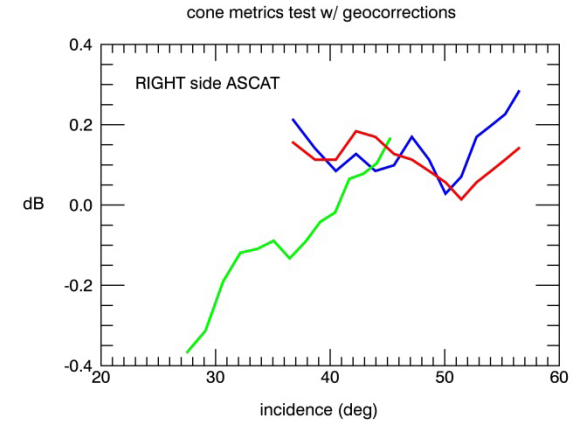
ASCAT 2014

ERS1 KNMI 1995 to ASCAT REF



ERS1 1995

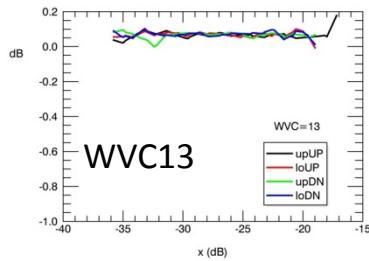
ERS2 KNMI 1997 to ASCAT REF



ERS2 1997

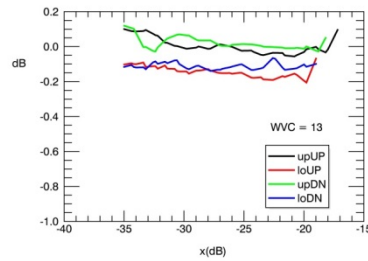
RESIDUALS

<Residuals|x> between ASCAT cones



WVC13

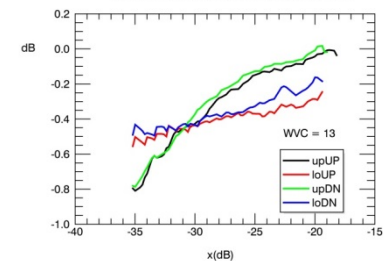
<Residuals|x> between ASCAT and ERS1 cones



WVC = 13

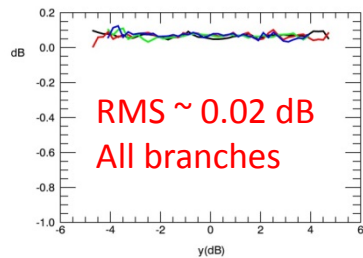
Branch split  
and  
structure

<Residuals|x> between ASCAT and ERS2 cones



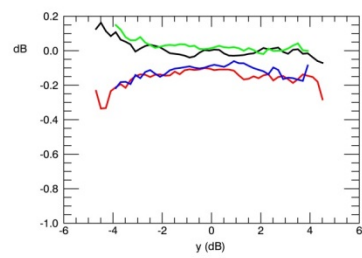
WVC = 13

<Residuals|y> between ASCAT cones



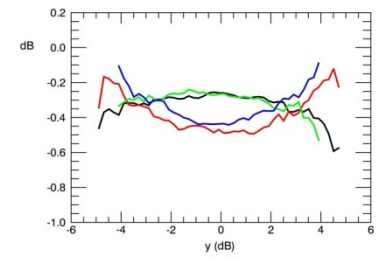
RMS ~ 0.02 dB  
All branches

<Residuals|y> between ASCAT and ERS1 cones



y (dB)

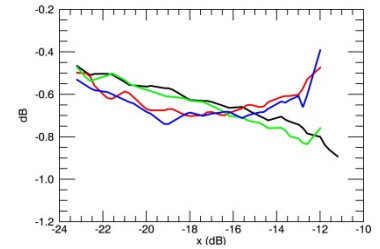
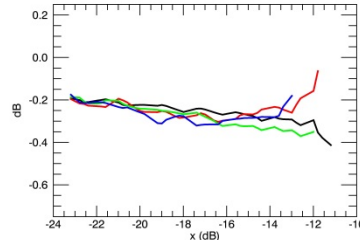
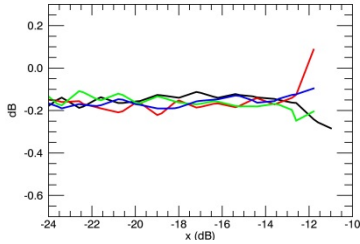
<Residuals|y> between ASCAT and ERS2 cones



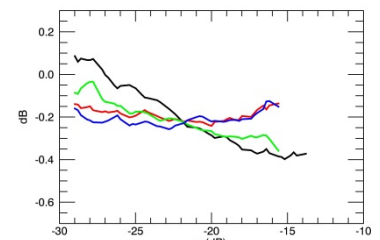
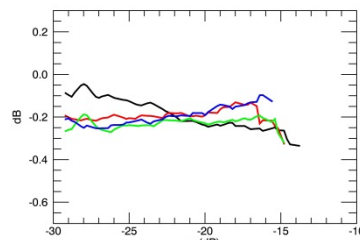
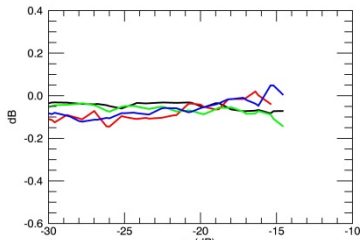
y (dB)

# Analyzing residuals to ASCAT REF

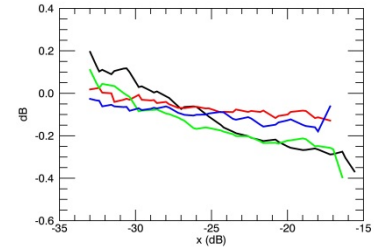
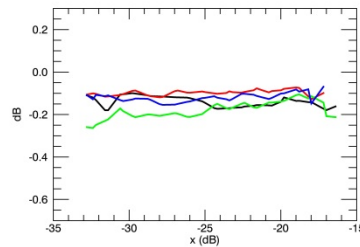
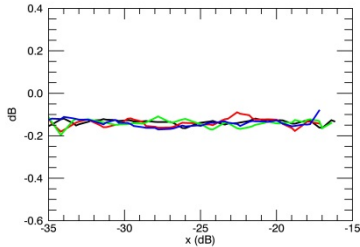
WVC0



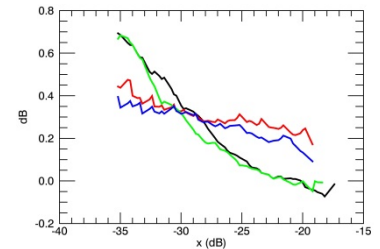
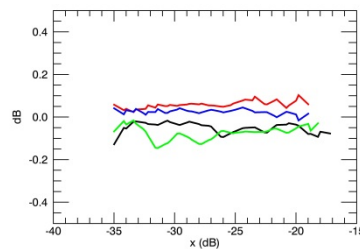
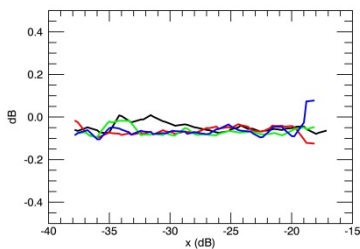
WVC4



WVC8



WVC12



— upUP  
— loUP  
— upDN  
— loDN

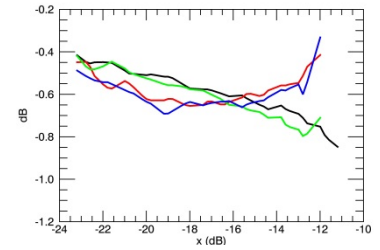
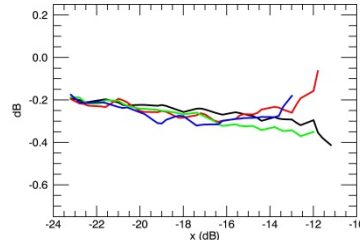
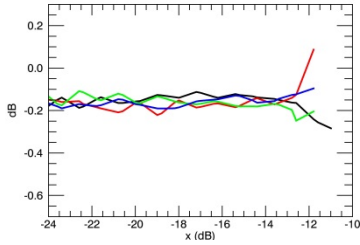
ASCAT 2014

ERS1 1995

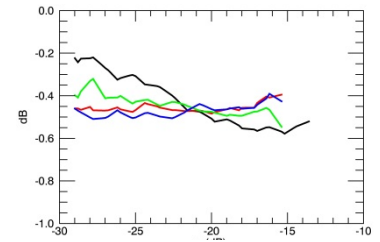
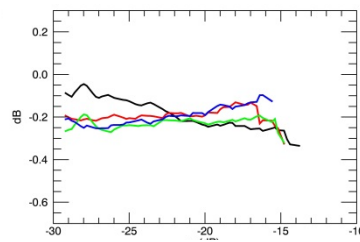
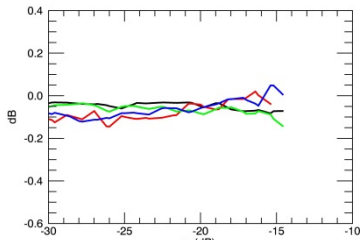
ERS2 1997

# Analyzing residuals to ASCAT REF

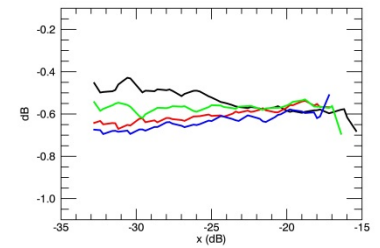
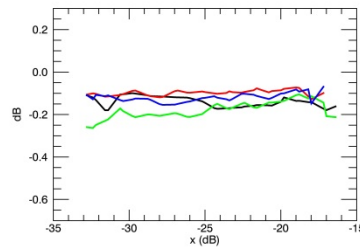
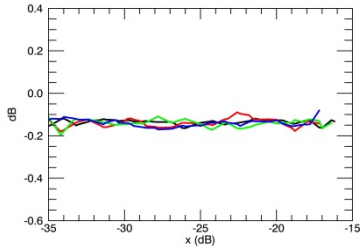
WVC0



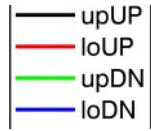
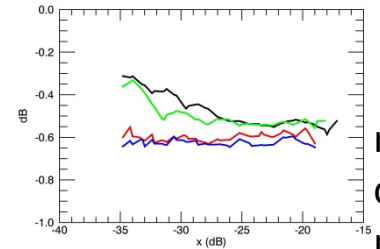
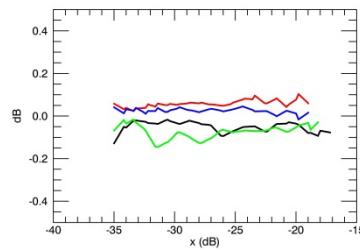
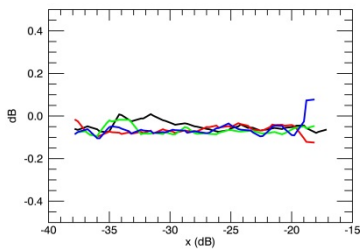
WVC4



WVC8



WVC12



nonlinear  
correction  
midbeam

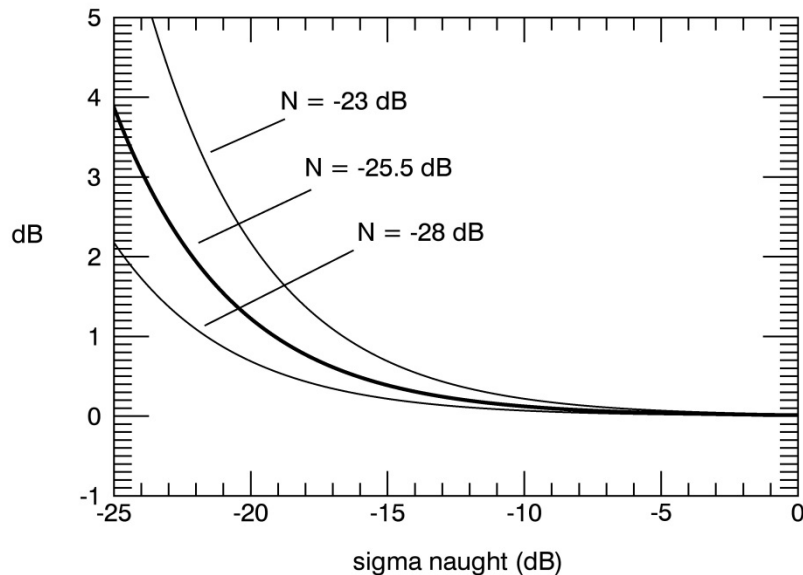
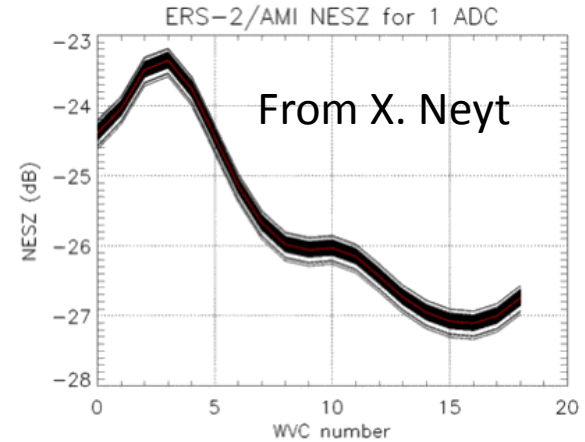
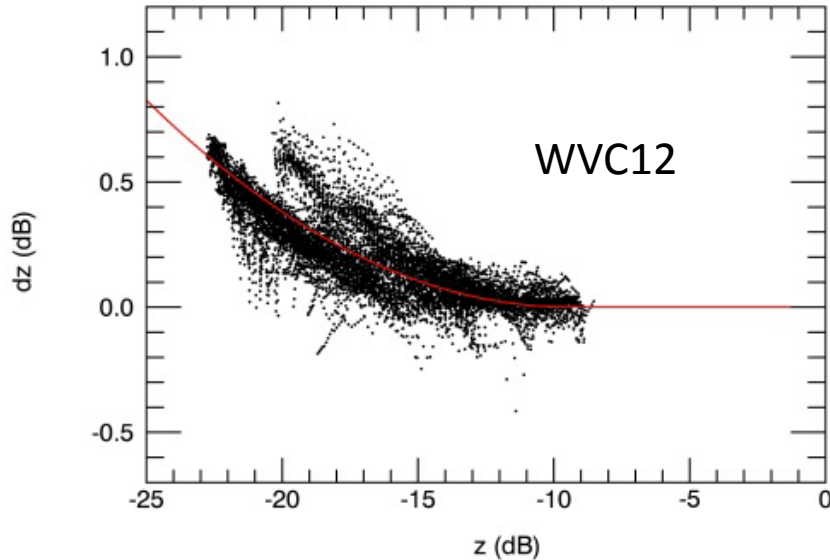
ASCAT 2014

ERS1 1995

ERS2 1997

# Nonlinear correction midbeam ERS2

Nonlinear calibration curve (WVC 12)



- Nonlinearity in ERS2 may be related to problems with **noise subtraction** in mid beam

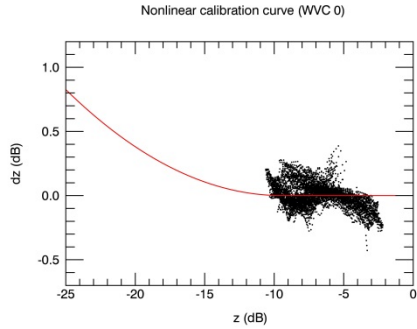
$$\Delta\sigma_{dB}^0 = \frac{10}{\ln 10} 10^{(NESZ_{dB} - \sigma_{dB}^0)/10}$$

- Structure after non-linear correction indicates problems in **fore and aft** beams as well

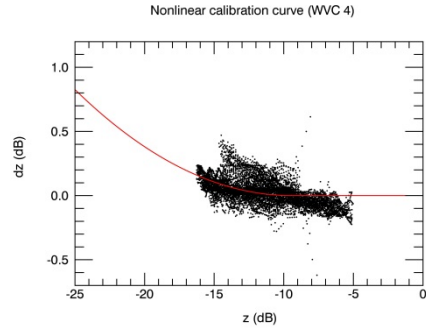
↑  
Branch separation

# Correcting nonlinearity in ERS2

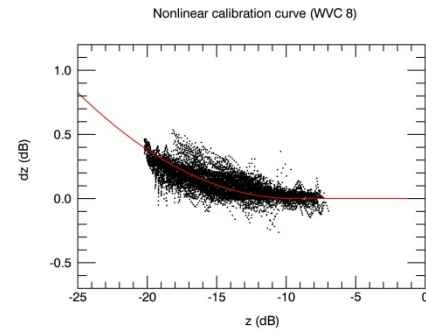
## WVC0



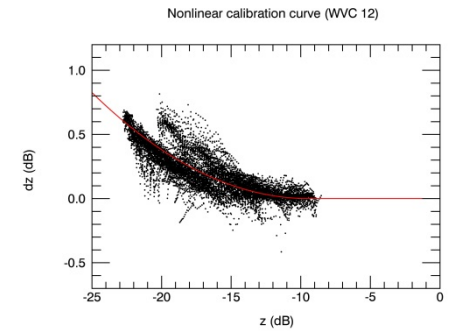
## WVC4



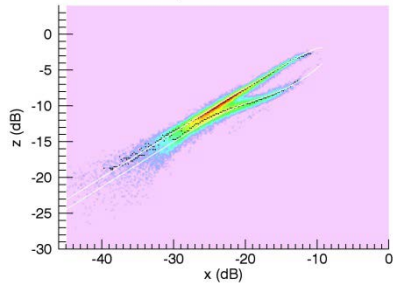
## WVC8



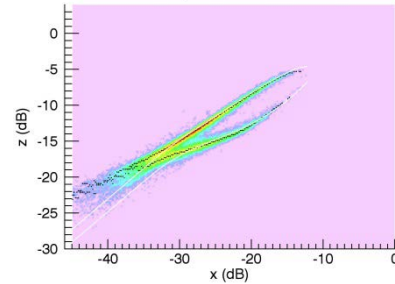
## WVC12



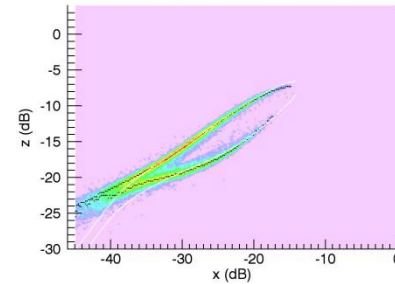
## Upwind WVC = 5



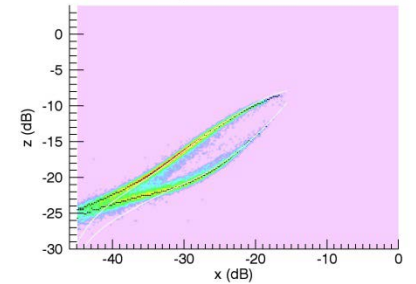
## Upwind WVC = 9



## Upwind WVC = 13



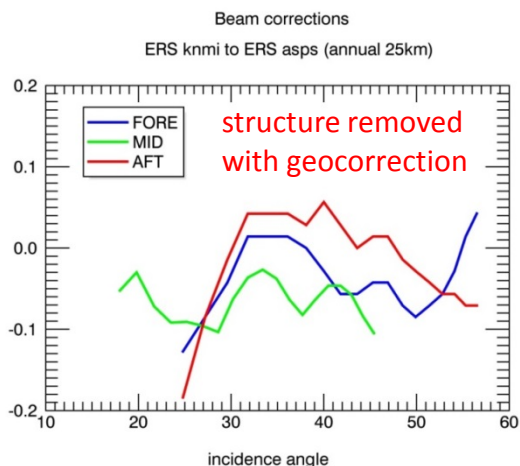
## Upwind WVC = 17



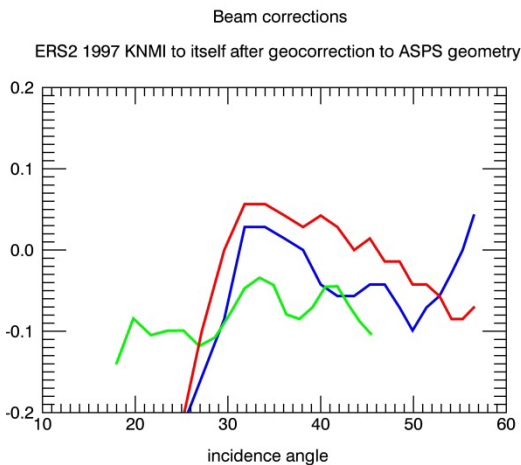
# Introduce ERS2 data

- ERS2 KNMI 1997 to ERS2 ASPS 1997 (25 km)

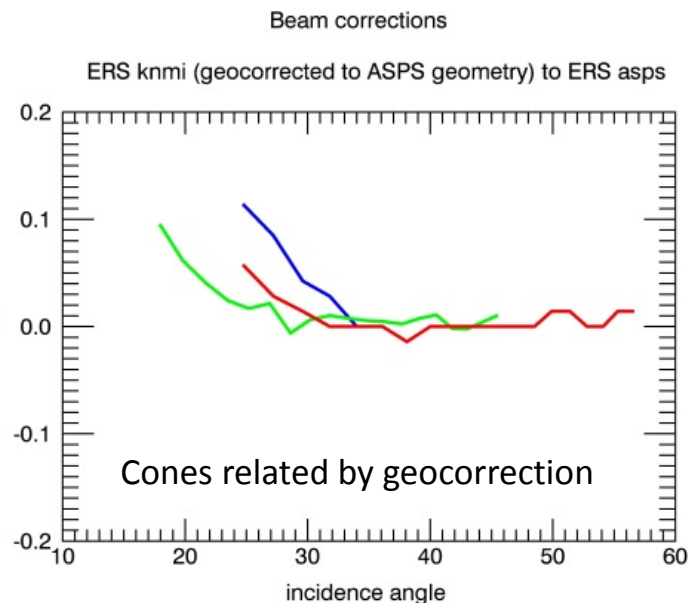
KNMI to ASPS cone offsets:



Raw cone shifts



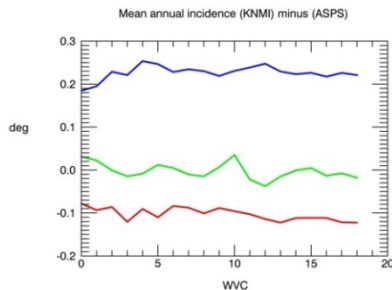
Geocorrection



Cone shifts

Must apply a correction to account for changes in observation geometry

From old ERS (KNMI) to new ERS (ASPS) geometry

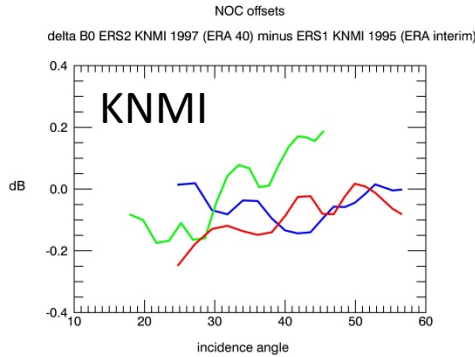


ASPS cone is mostly identical to older KNMI cone shifted by change in observation angles

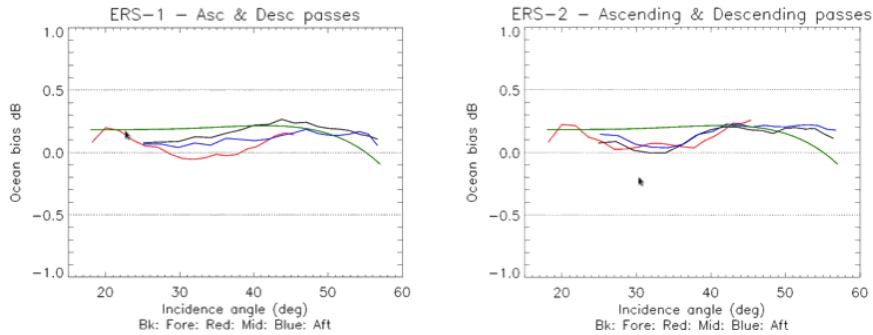


# ERS1 to ERS2 cornucopia

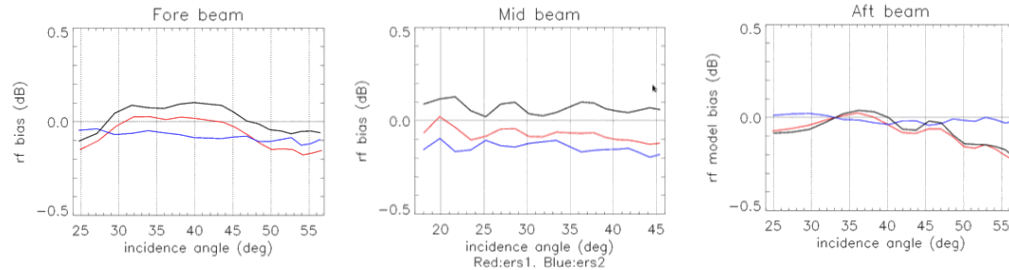
From  
NOC  
point of  
view:



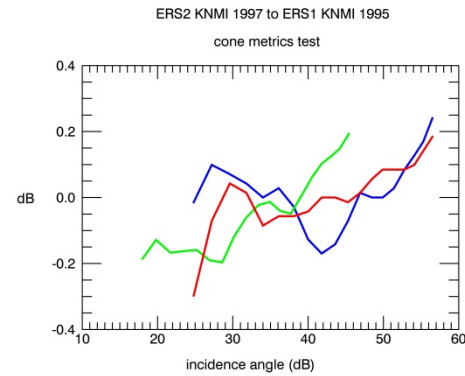
## RMA on ERA interim (ERS1 from Ifremer)



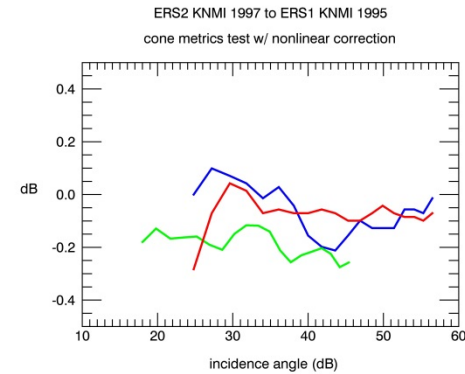
## From rain forest (ERS1 - ERS2):



From cone metrics:



ERS2 1997 KNMI  
To  
ERS1 1995 KNMI  
On ERA 40



Same as above  
With nonlinear  
Correction on  
ERS2 midbeam

Did not take geometry change into account



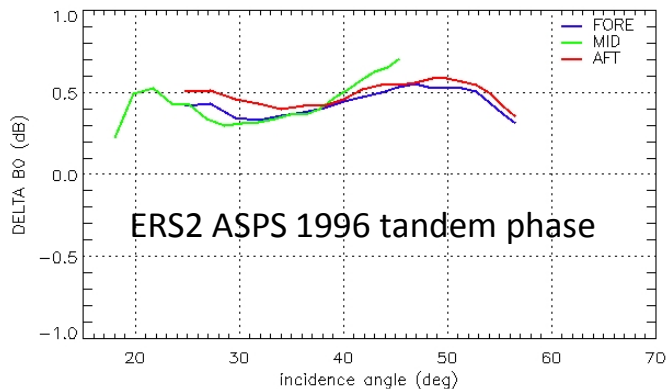
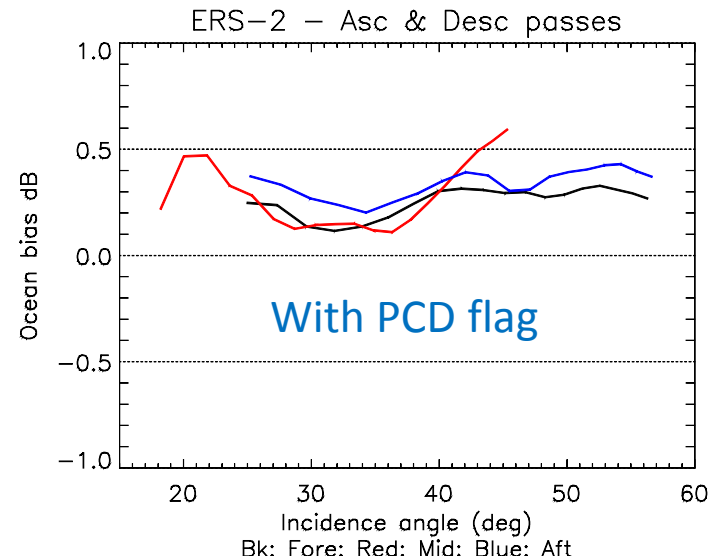
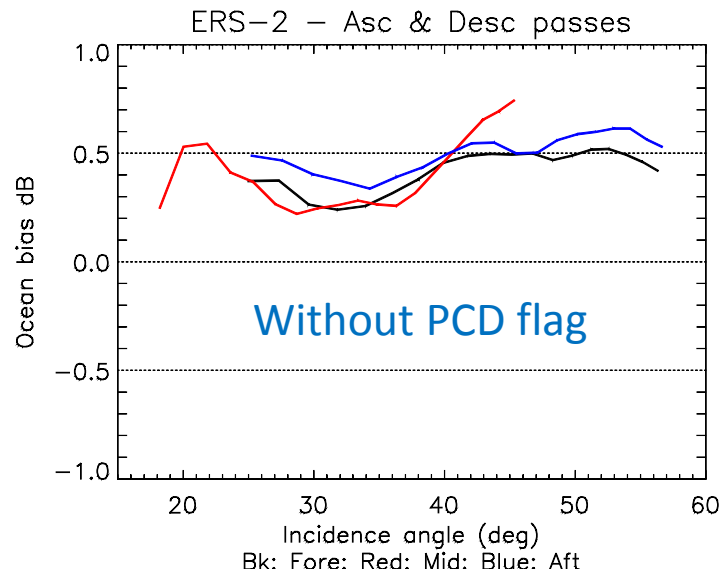
# Conclusions

- Solid steps towards ERS/ASCAT intercalibration using a reference cone from the ASCAT period
  - cone metrics validated by NOC over ASCAT record
- Connecting to ERS period is complicated by:
  - Changes in observation geometry (geocorrection)
  - Structured residuals in need for interpretation (beam calibration curves)
    - Non linear correction in ERS2 mid beam
    - Linear correction in ERS1 & ERS2 fore/aft beams
- TO DO:
  - Resolve beam calibration curves for ERS1 and ERS2
  - Reference cone conditioning

# Backup slides

# Last interaction with RMA

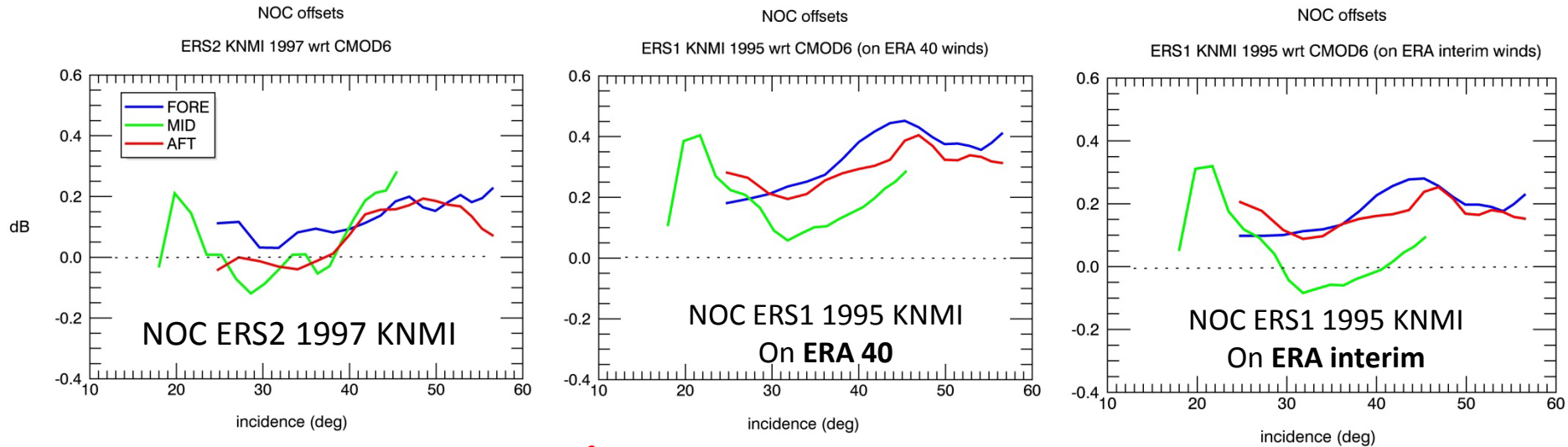
- Aligning ERS2 tandem phase results with NOC



**Sensitivity to QC settings:**  
- Low wind screening should not be applied. Exacerbates NOC errors

To CMOD5n, ERA40 winds (=ASPS winds)

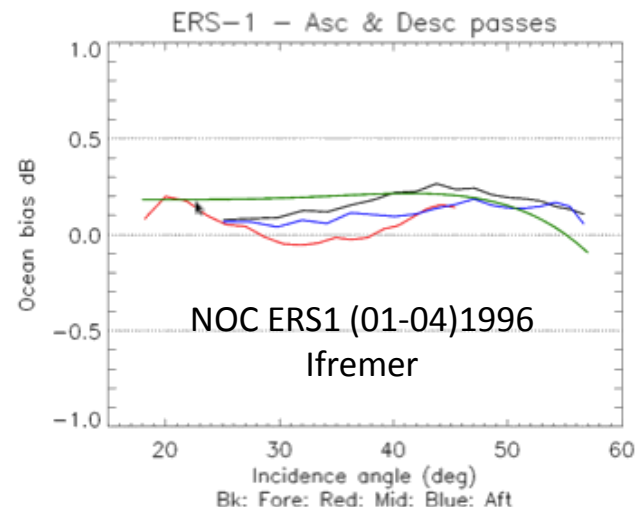
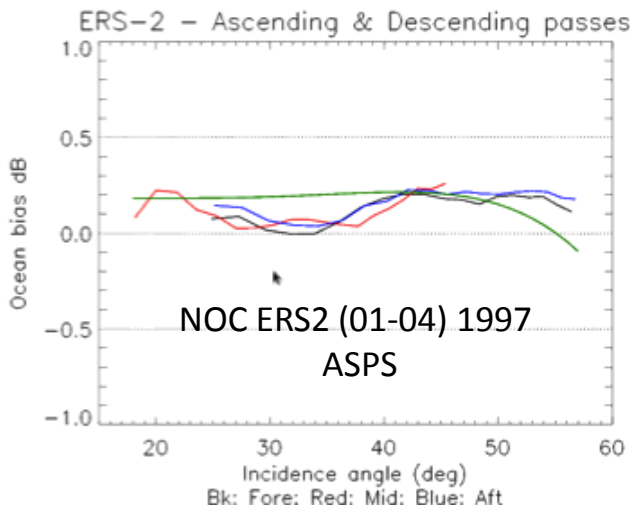
# Sensitivity to reference winds: NOC on ERS



passing from ERA40 to ERA interim is necessary

→ Homogeneity in background reference NWP wind is crucial. ERA 40 seems inadequate.

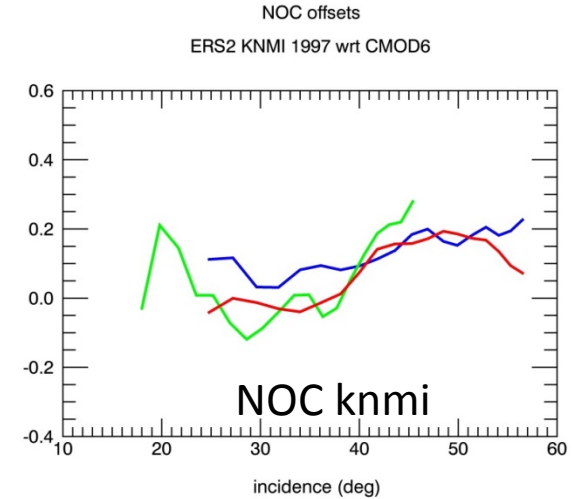
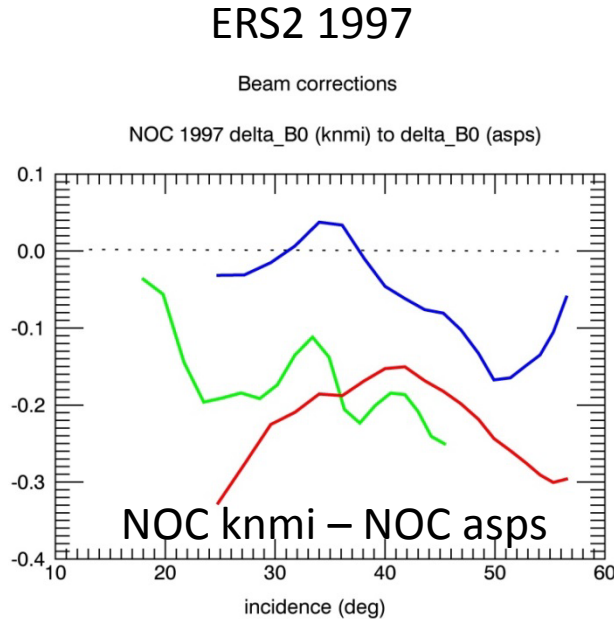
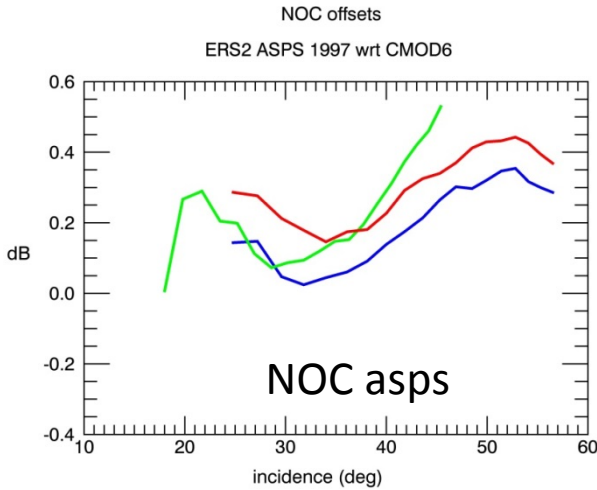
Aim: replicate RMA NOC results (CMOD6 and ERA interim)



They are different records and may mix seasonality, and nwp errors etc...

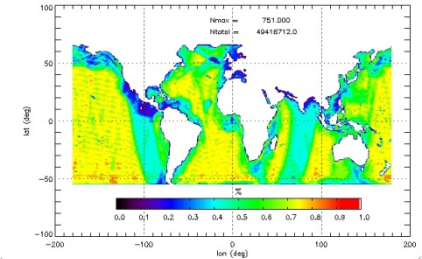
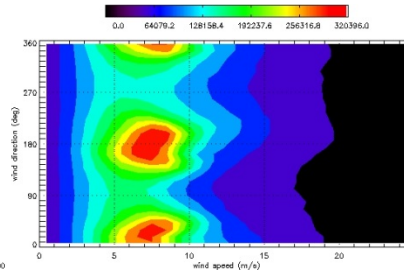
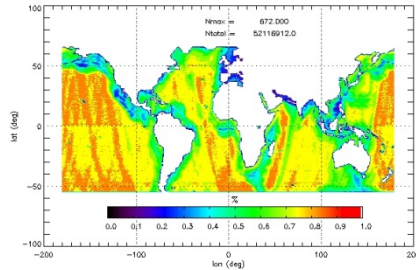
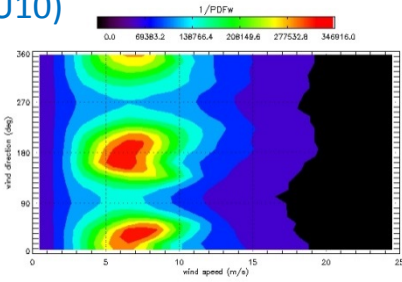
# NOC on ASPS and KNMI

Reference winds are ERA40



Did we make a mistake in calculating the NWP winds: bias in ASPS file assumed to be selected wind (U10N) minus model wind (U10)

KNMI winds higher than asps..., the reference cone is elevated, and the NOC bias more negative for KNMI than ASPS



The asps mean NWP wind is smaller than the knmi mean NWP wind → larger difference in asps case... but then the F/A beams are switched !!