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→ 4th ADVANCED TRAINING COURSE IN LAND REMOTE SENSING

Optical: Basic Concepts

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Lecture D2T1b – 2 July 2013

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OPTICAL THEORY – BASIC CONCEPTS

- Radiation laws: definitions and nomenclature
- Sources of radiation in natural environment in the optical domain
- Illumination and observation geometries
- Interaction of radiation with matter in the optical domain
- Radiative transfer in the optical domain
- General solutions for the radiation transfer in the coupled Earth-surface and through the atmosphere
- Derivation of surface reflectance from measured satellite radiances
- Spectral information: signatures of natural objects
- Spatial information: uniformity, textures and scales
- Temporal information: land surface dynamics at multiple scales
- Information retrieval: from spectral indices to model inversion
- Overview of applications

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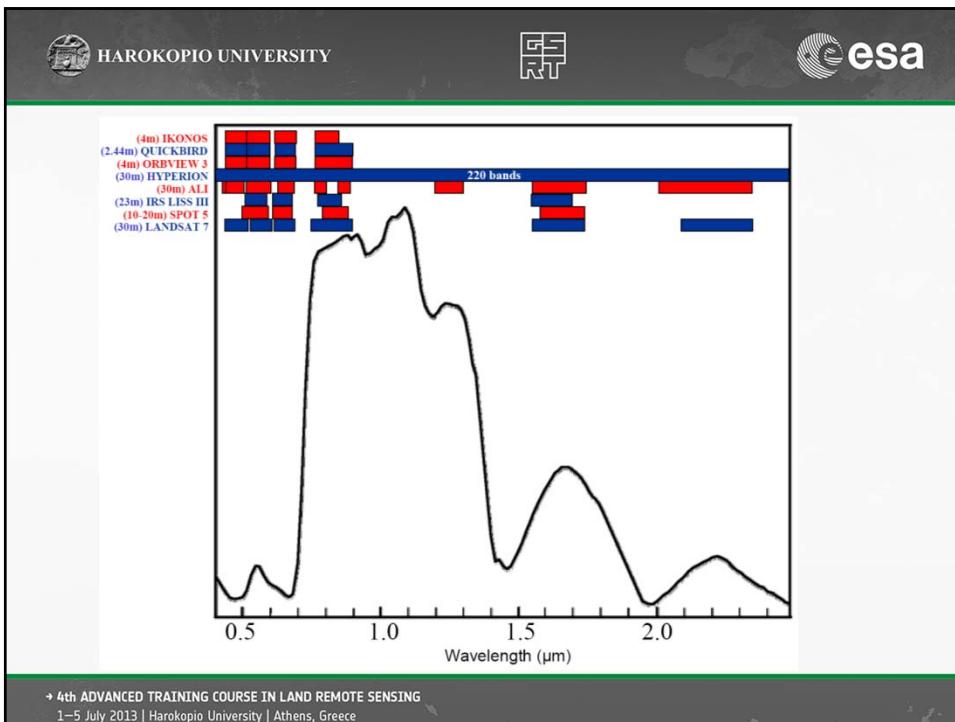
OPTICAL SYSTEMS

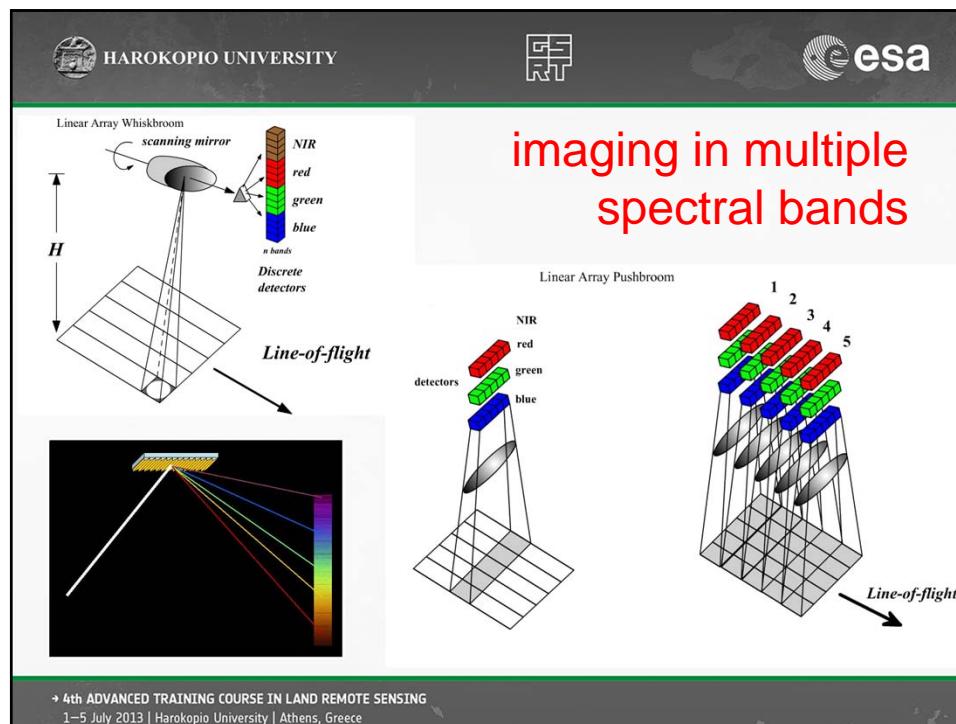
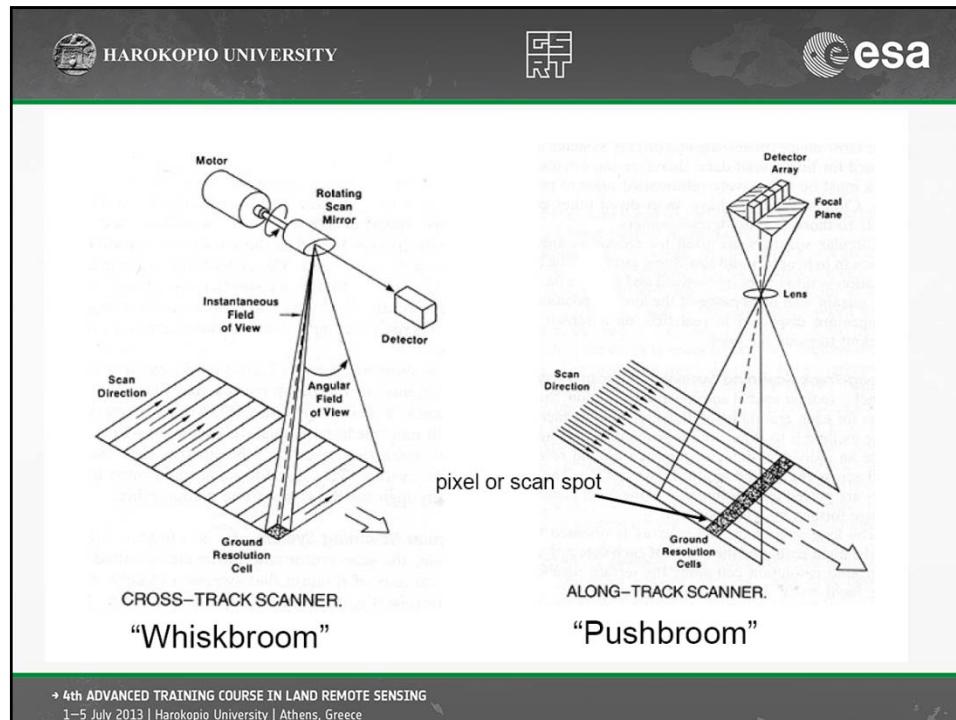
Spectral range:
400 - 2500 nm

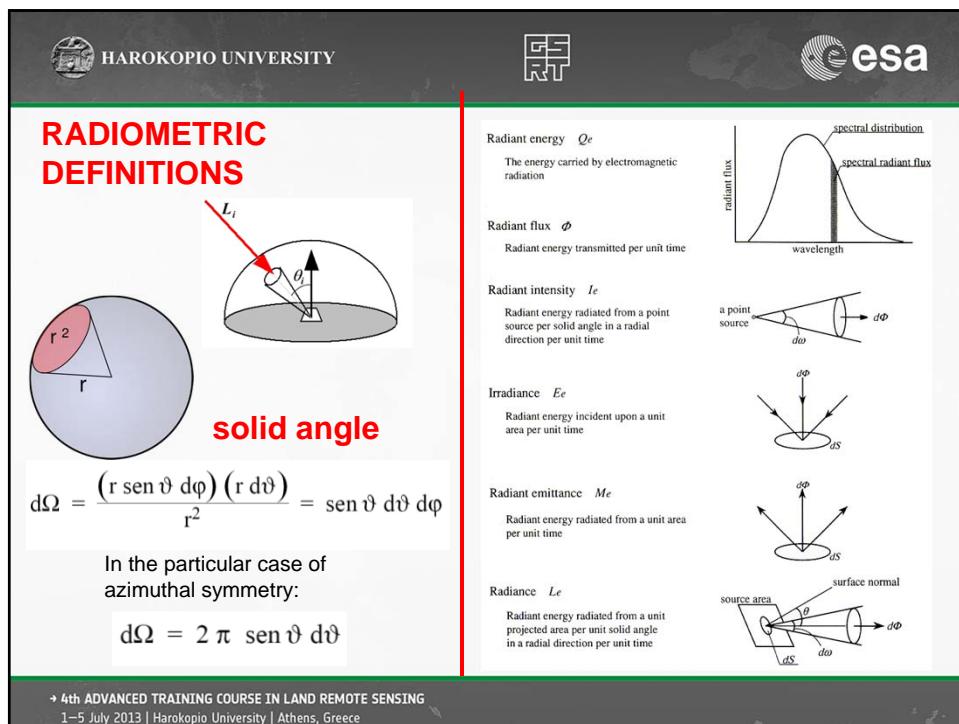
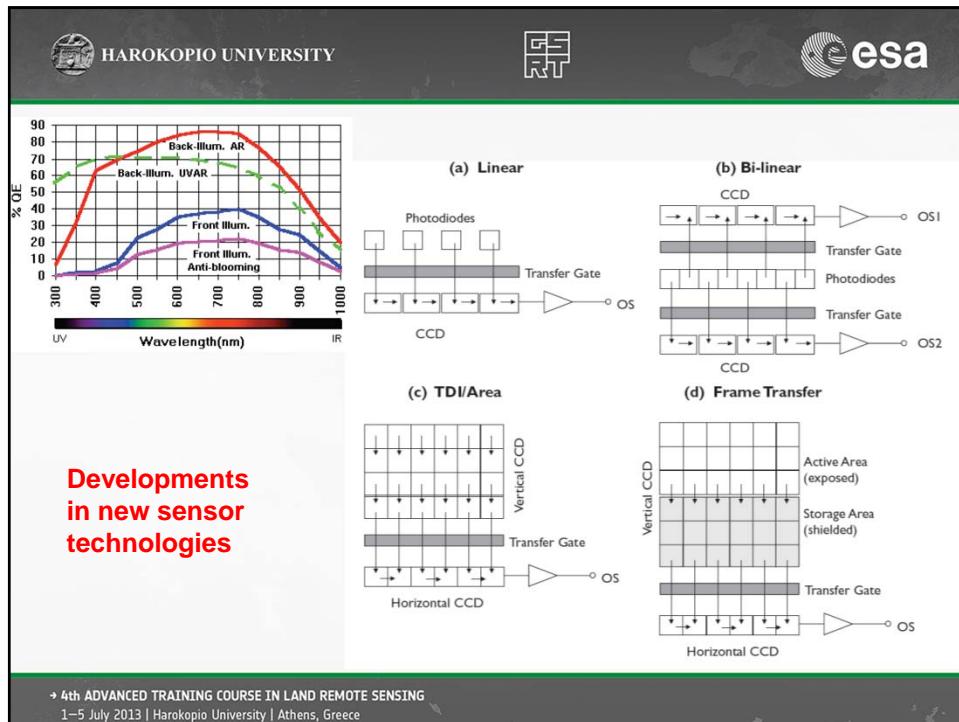
Multispectral	Superspectral	Hyperspectral	Ultraspectral
$1 \leq N \leq 10$	$10 \leq N \leq 100$	$100 \leq N \leq 1000$	$1000 \leq N \leq ?$
$\Delta\lambda \approx 100 \text{ nm}$	$\Delta\lambda \approx 50 \text{ nm}$	$\Delta\lambda \approx 10 \text{ nm}$	$\Delta\lambda \approx 1 \text{ nm}$

Panchromatic / Broadband:
one single (broad) band (albedo)

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QUANTITY	RADIOMETRIC	Term or quantity	Unit
FLUX	POWER (WATTS)	Energy content	
		radiant energy	joule (J)
		energy flow rate	J s ⁻¹ or watt (W)
FLUX/AREA	IRRADIANCE (WATTS/M²)	energy fluence	J m ⁻²
		energy fluence rate	W m ⁻²
FLUX/SOLID ANGLE	RADIANT INTENSITY (WATTS/STR)	Photon content	
		number of photons (quanta)	dimensionless
		Avogadro's number of photons	mol
FLUX/AREA/SOLID ANGLE	RADIANCE (WATTS/M²/STR)	photon flow rate	s ⁻¹ or mol s ⁻¹
		photon fluence	m ⁻² or mol m ⁻²
		photon fluence rate	m ⁻² s ⁻¹ or mol m ⁻² s ⁻¹

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RADIANCE

$$L = \frac{d^2\Phi}{d\Omega \ dS} = \frac{d^2\Phi}{d\Omega \ dA \ \cos\vartheta}$$

W m⁻² sr⁻¹

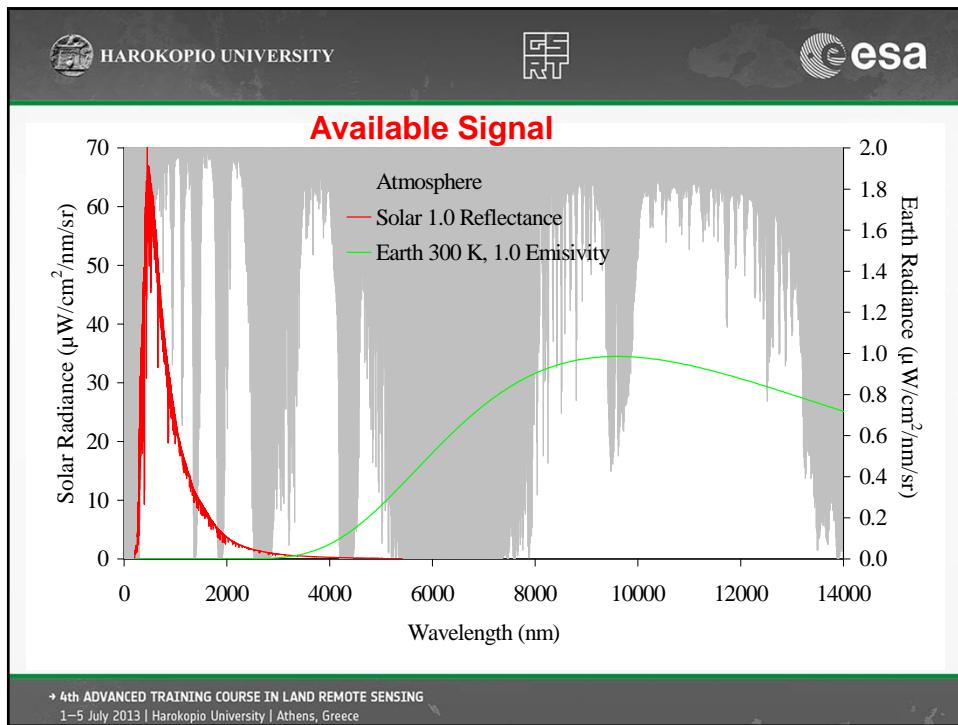
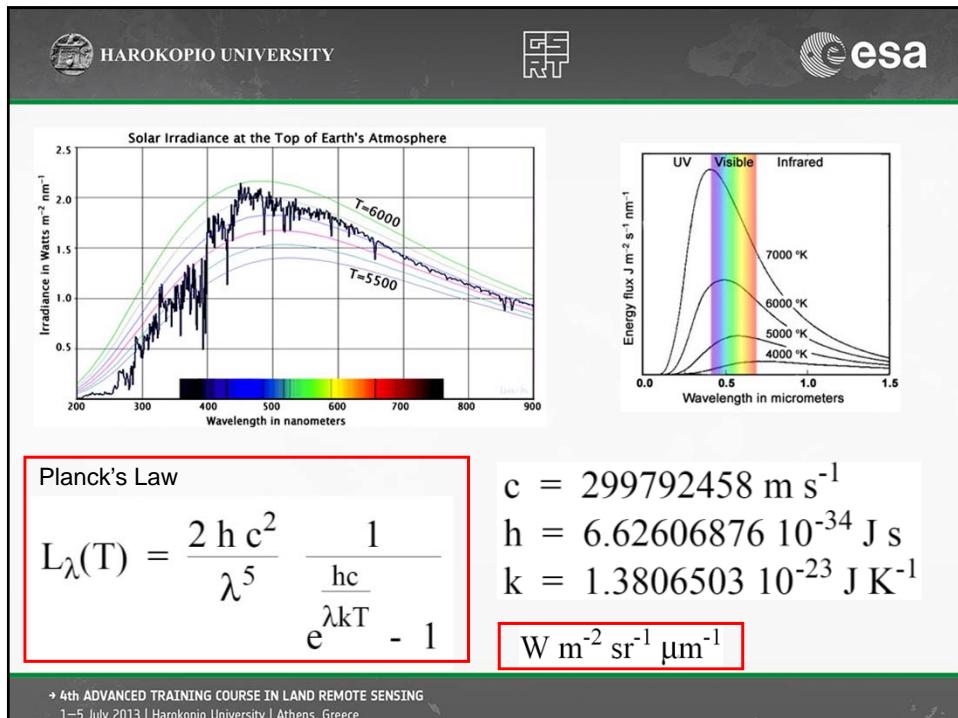
$$L = \frac{d^3E}{dt \ d\Omega \ dA \ \cos\vartheta}$$

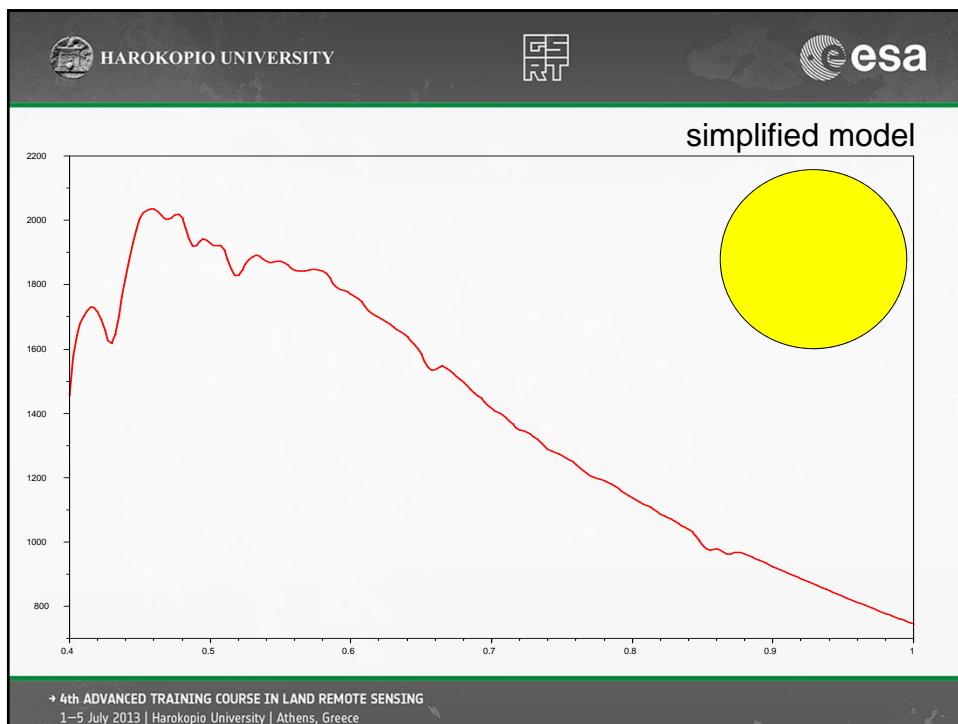
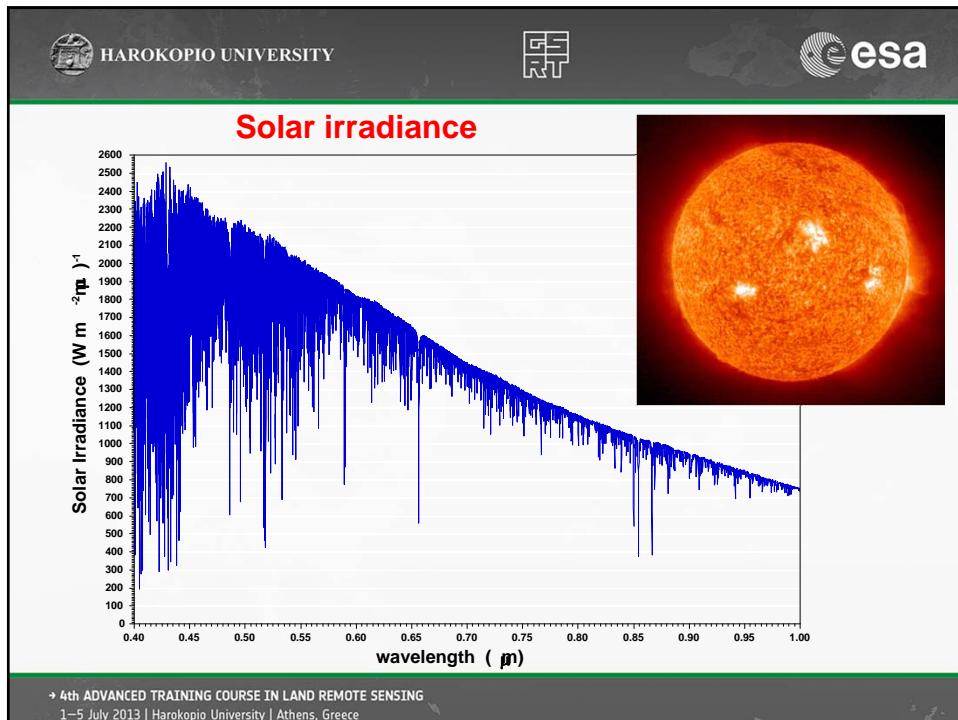
$$M = \int L \cos\vartheta \ d\Omega = \int_0^{2\pi} d\varphi \int_0^{\pi/2} d\vartheta \ L(\vartheta, \varphi) \sin\vartheta \cos\vartheta$$

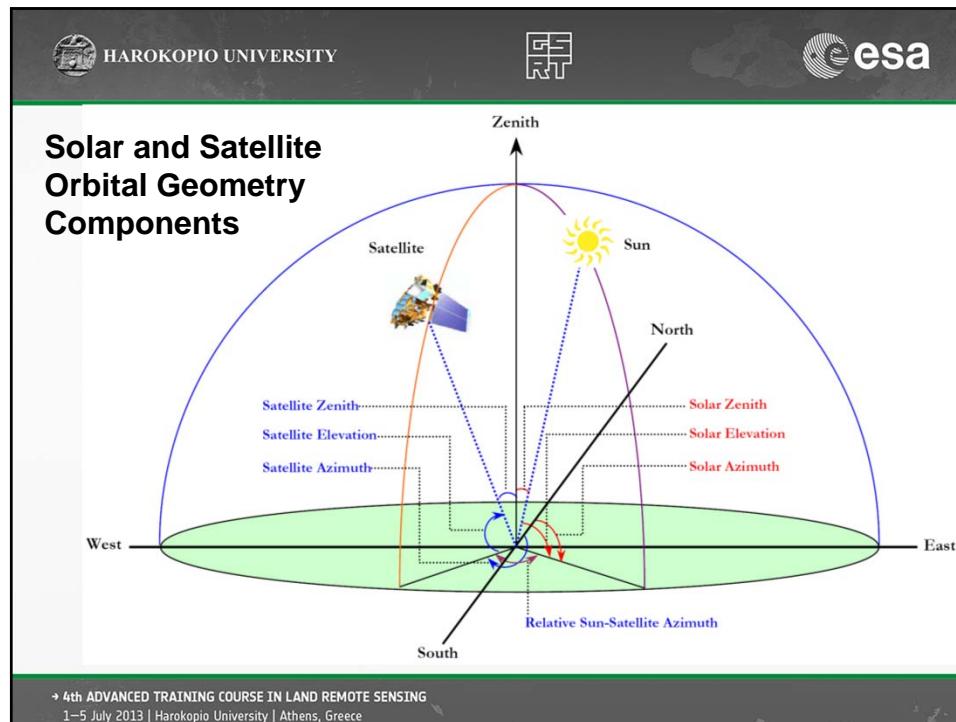
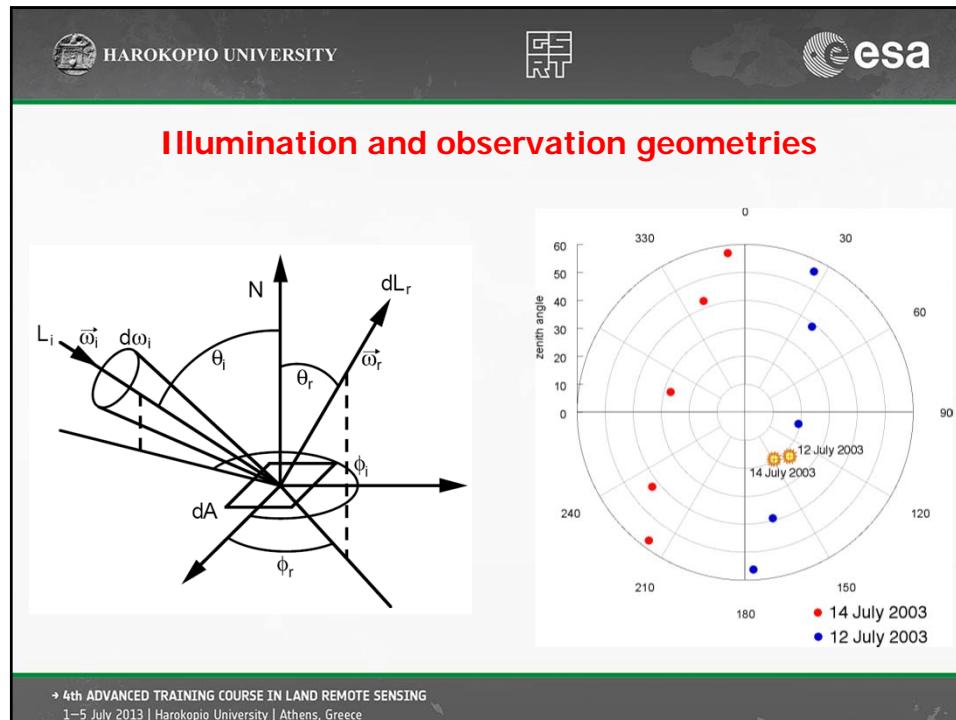
Lambertian case

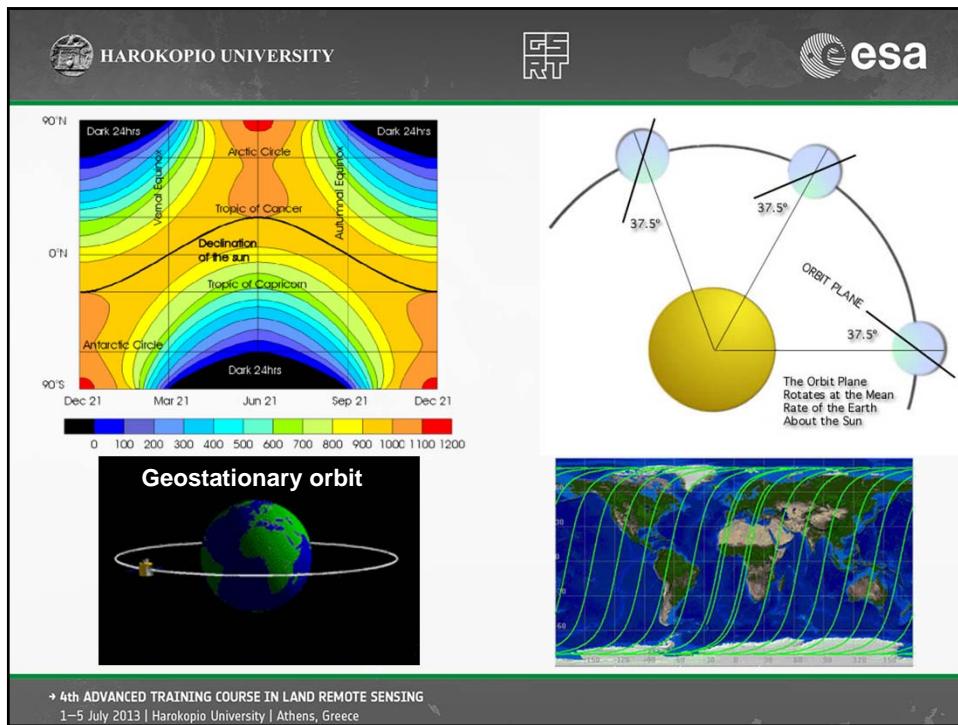
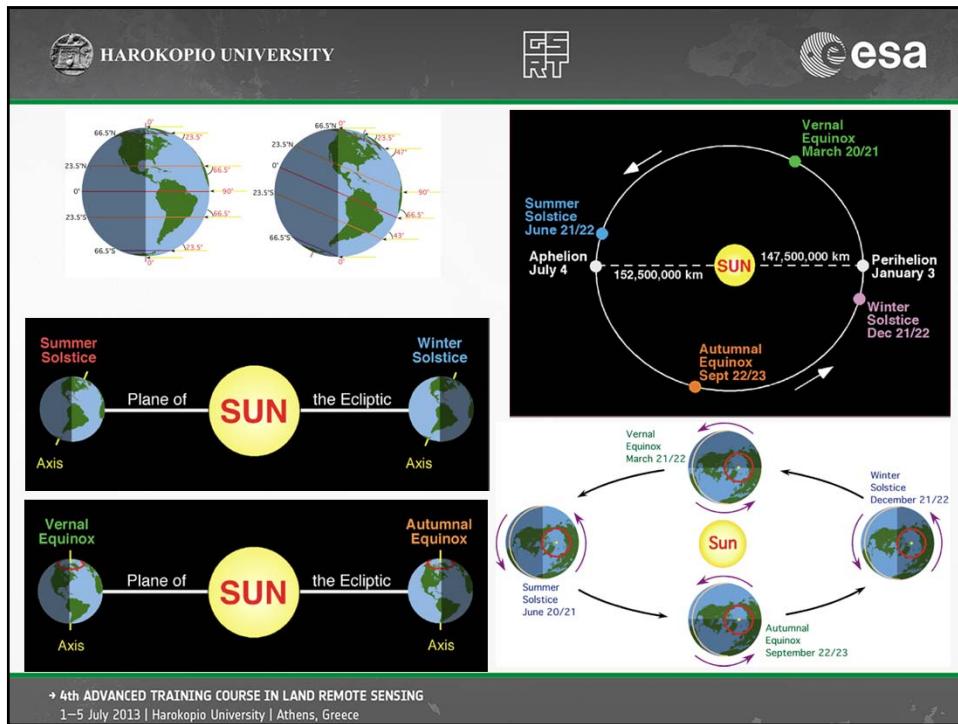
$$M = \pi L$$

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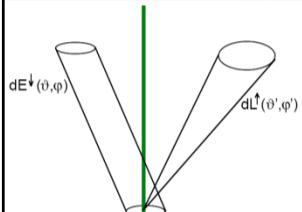






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Bidirectional Reflectance Distribution Function, BRDF



$$f(\vartheta, \phi, \vartheta', \phi') = \frac{dL^{\uparrow}(\vartheta', \phi')}{dE^{\downarrow}(\vartheta, \phi)}$$

$\boxed{\text{sr}^{-1}}$

$$f(\vartheta, \phi, \vartheta', \phi') = \frac{dL^{\uparrow}(\vartheta', \phi')}{L^{\downarrow}(\vartheta, \phi) \cos \vartheta \sin \vartheta d\vartheta d\phi} = \frac{dL^{\uparrow}(\vartheta', \phi')}{L^{\downarrow}(\vartheta, \phi) \cos \vartheta d\Omega}$$

- Very difficult to measure experimentally
- Basic tool in computer graphics

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Conical Reflectance

$$dp(\vartheta, \phi, \vartheta', \phi') = \frac{dL^{\uparrow}(\vartheta', \phi') \cos \vartheta' d\Omega'}{L^{\downarrow}(\vartheta, \phi) \cos \vartheta d\Omega}$$

$$dp(\vartheta, \phi, \vartheta', \phi') = f(\vartheta, \phi, \vartheta', \phi') \cos \vartheta' d\Omega'$$

Hemispherical Reflectance

$$\rho = \frac{d\Phi_{\text{hemisf}}^{\uparrow}}{d\Phi_{\text{hemisf}}^{\downarrow}} = \frac{M dS}{E dS} = \frac{\pi L dS}{E dS} = \frac{\pi L}{E}$$

Bidirectional Reflectance Factor

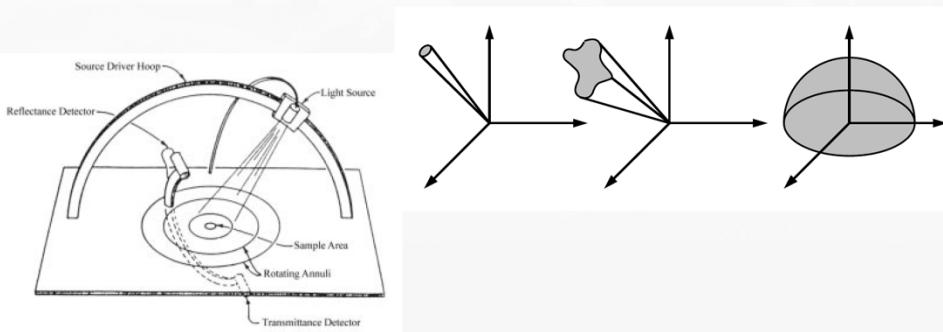
$$R(\vartheta, \phi, \vartheta', \phi') = \frac{dL_t^{\uparrow}}{dL_p^{\uparrow}}$$

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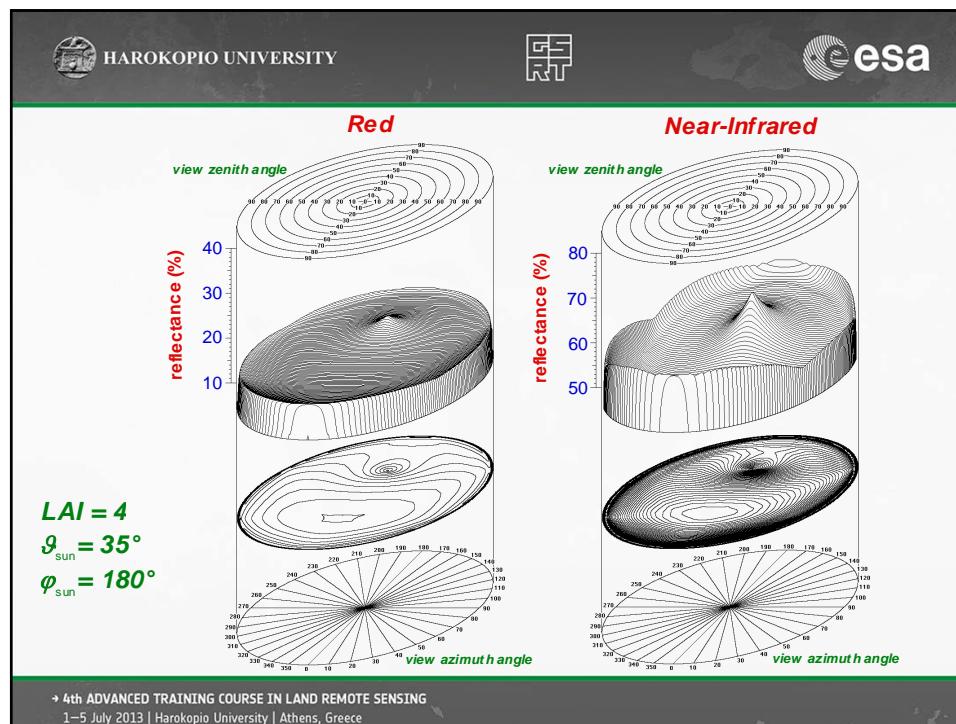
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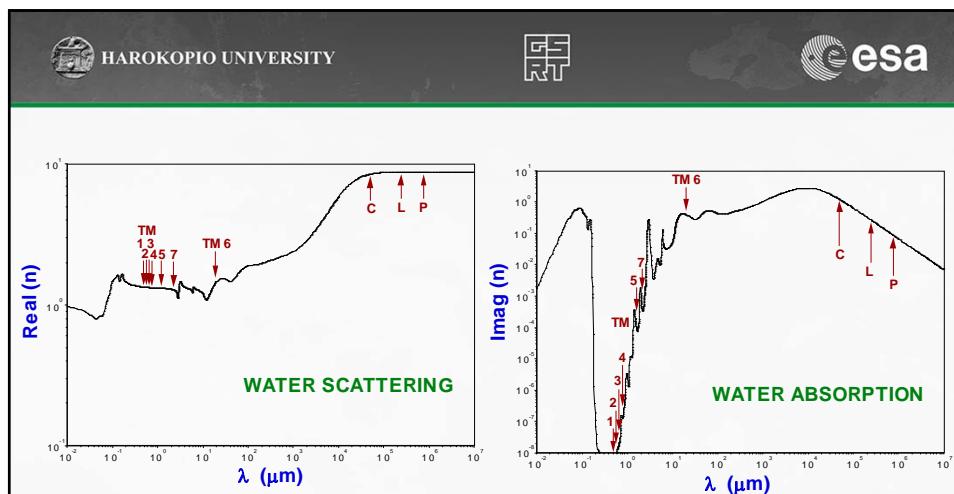
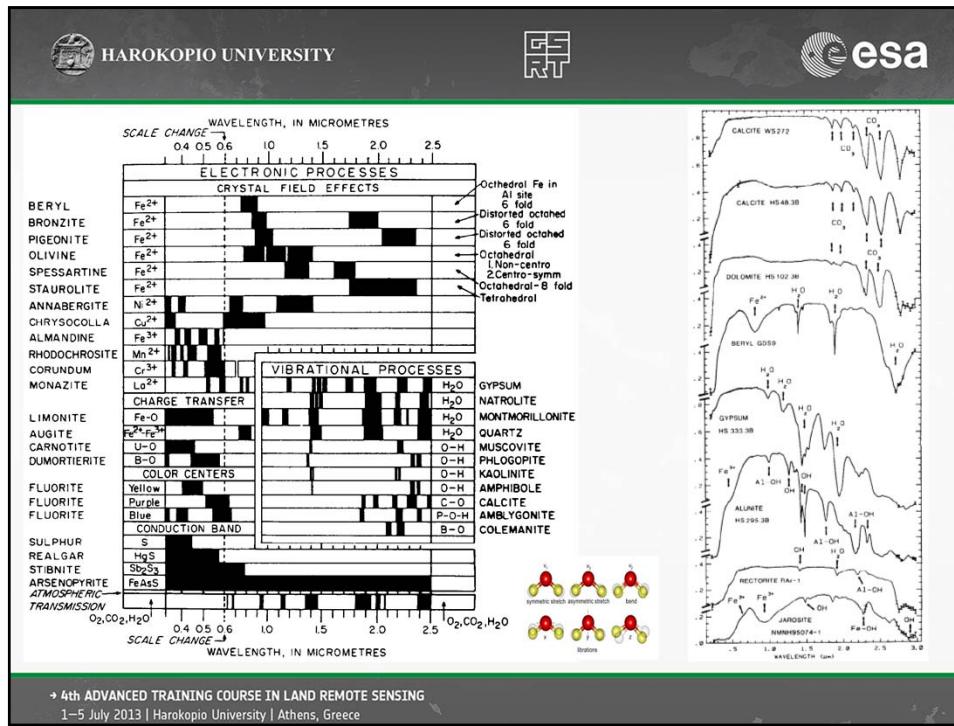
nine types of reflectance measurements

Reflected	Incident		
	directional	conical	hemispherical
directional	bidirectional	conical-directional	hemispherical-directional
conical	directional-conical	biconical	hemispherical-conical
hemispherical	directional-hemispherical	conical-hemispherical	bihemispherical



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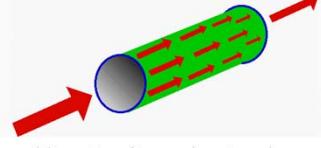


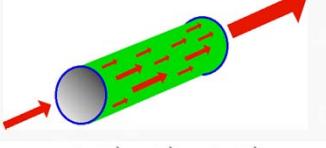


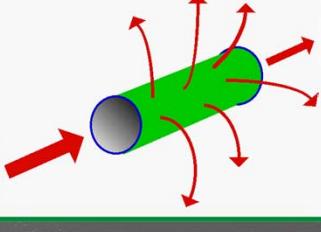
Optical properties of elementary constituents determine the spectral reflectance of land elements

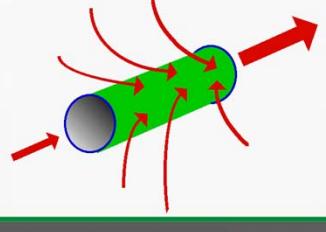
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Radiative transfer in the optical domain

$$- \beta_a^{\text{ext}}(\vec{r}, \vec{\Omega}) \vec{I}(\vec{r}, \vec{\Omega}) dS$$


$$+ \beta_a^{\text{int}}(\vec{r}, \vec{\Omega}) \vec{J}_a(\vec{r}, \vec{\Omega}) dS$$


$$- \hat{\beta}_s(\vec{r}, \vec{\Omega}) \cdot \vec{I}(\vec{r}, \vec{\Omega}) dS$$


$$+ \beta_s(\vec{r}, \vec{\Omega}) \vec{J}_s(\vec{r}, \vec{\Omega}) dS$$


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General 5D ([3+2]D) vector radiative transfer equation

$$d\vec{I}(\vec{r}, \vec{\Omega}) = - \beta_a^{\text{ext}}(\vec{r}, \vec{\Omega}) \vec{I}(\vec{r}, \vec{\Omega}) dS - \hat{\beta}_s(\vec{r}, \vec{\Omega}) \cdot \vec{I}(\vec{r}, \vec{\Omega}) dS +$$

$$+ \beta_a^{\text{int}}(\vec{r}, \vec{\Omega}) \vec{J}_a(\vec{r}, \vec{\Omega}) dS + \beta_s(\vec{r}, \vec{\Omega}) \vec{J}_s(\vec{r}, \vec{\Omega}) dS$$

$$\vec{J}_s(\vec{r}, \vec{\Omega}) = \frac{1}{4\pi} \int_{4\pi} d\vec{\Omega}' \left[\hat{\Psi}_s(\vec{r}, \vec{\Omega}, \vec{\Omega}') \cdot \vec{I}(\vec{r}, \vec{\Omega}') \right]$$

$$\hat{\beta}_s(\vec{r}, \vec{\Omega}) = \frac{1}{4\pi} \int_{4\pi} d\vec{\Omega}' \hat{\Psi}_s(\vec{r}, \vec{\Omega}, \vec{\Omega}')$$

... this is most times too complex to be used in practice...

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$$\frac{\partial}{\partial s} \equiv (\vec{\Omega} \cdot \vec{\nabla})$$

$$\begin{aligned} \frac{1}{\beta_e(\vec{r}, \vec{\Omega})} \frac{\partial}{\partial s} \vec{I}(\vec{r}, \vec{\Omega}) &= - \vec{I}(\vec{r}, \vec{\Omega}) + \\ &+ \frac{\omega_0(\vec{r}, \vec{\Omega})}{4\pi} \int_{4\pi} d\vec{\Omega}' \left[\vec{P}(\vec{r}, \vec{\Omega}, \vec{\Omega}') \cdot \vec{I}(\vec{r}, \vec{\Omega}') \right] + \\ &+ \vec{J}(\vec{r}, \vec{\Omega}) \end{aligned}$$

$$d\tau \equiv - \beta_e(\vec{r}, \vec{\Omega}) dz$$

$$d\tau = - \beta_e(\vec{r}, \vec{\Omega}) \cos \vartheta ds$$

$$ds = \frac{dz}{\cos \vartheta}$$

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Signal modelling by multiple contributions

$$\rho_{sat} = \rho_{atm} +$$

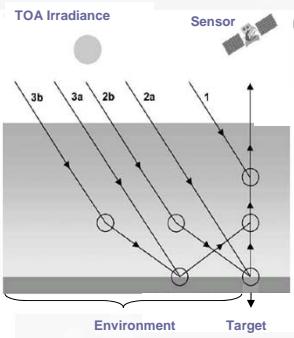
$$+ T^{\downarrow} \rho_s T^{\uparrow} +$$

$$+ T^{\downarrow} \rho_s S \rho_s T^{\uparrow} +$$

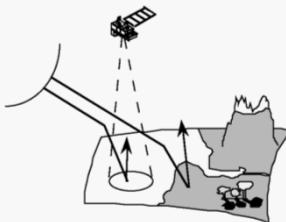
$$+ T^{\downarrow} \rho_s S \rho_s S \rho_s T^{\uparrow} +$$

$$+ T^{\downarrow} \rho_s S \rho_s S \rho_s S \rho_s T^{\uparrow} +$$

$$+ T^{\downarrow} \rho_s S \rho_s S \rho_s S \rho_s S \rho_s T^{\uparrow} +$$

$$+ \dots$$


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$$\begin{aligned}
 \rho_{\text{sat}} &= \rho_{\text{atm}} + \\
 &+ T^{\downarrow} \rho_s T^{\uparrow} + \\
 &+ T^{\downarrow} (\rho_s)^2 S T^{\uparrow} + \\
 &+ T^{\downarrow} (\rho_s)^3 S^2 T^{\uparrow} + \\
 &+ T^{\downarrow} (\rho_s)^4 S^3 T^{\uparrow} + \\
 &+ T^{\downarrow} (\rho_s)^5 S^4 T^{\uparrow} + \\
 &+ \dots = \\
 &= \rho_{\text{atm}} + T^{\downarrow} [\rho_s + S(\rho_s)^2 + S^2(\rho_s)^3 + S^3(\rho_s)^4 + S^4(\rho_s)^5 + \dots] T^{\uparrow} = \\
 &= \rho_{\text{atm}} + T^{\downarrow} \rho_s [1 + S\rho_s + S^2(\rho_s)^2 + S^3(\rho_s)^3 + S^4(\rho_s)^4 + \dots] T^{\uparrow} = \\
 &= \rho_{\text{atm}} + T^{\downarrow} \rho_s \left[\sum_{n=0}^{\infty} (S\rho_s)^n \right] T^{\uparrow} = \\
 &= \rho_{\text{atm}} + T^{\downarrow} \rho_s \left[\frac{1}{1 - S\rho_s} \right] T^{\uparrow}
 \end{aligned}$$

**This approximate solution
is very useful in practice !!!**


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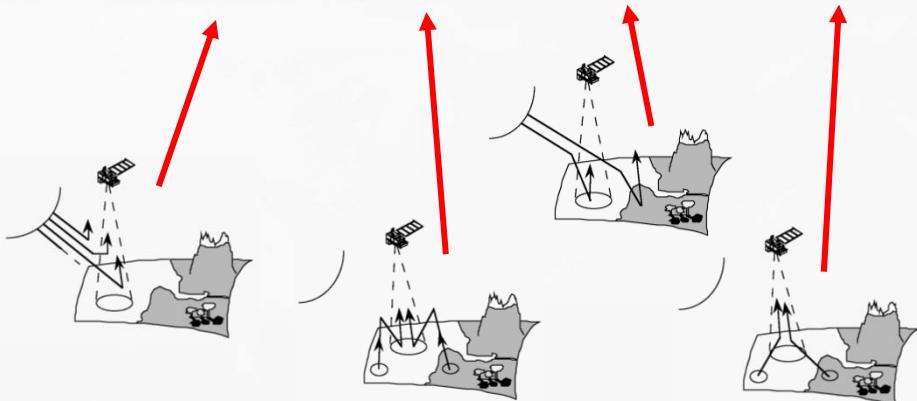

$$\rho_{\text{sat}} = \rho_{\text{atm}} + T_{\text{dir}}^{\downarrow} \hat{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s T_{\text{dir}}^{\uparrow}$$

$$\begin{aligned}
 \rho_{\text{sat}} &= \rho_{\text{atm}} + \\
 &+ T_{\text{dir}}^{\downarrow} \hat{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s T_{\text{dir}}^{\uparrow} + \\
 &+ T_{\text{dir}}^{\downarrow} \overline{\rho}_s S \hat{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s S \overline{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s S \hat{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s S \overline{\rho}_s T_{\text{dir}}^{\uparrow} + \\
 &+ T_{\text{dir}}^{\downarrow} \overline{\rho}_s S \overline{\rho}_s S \hat{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s S \overline{\rho}_s S \overline{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s S \overline{\rho}_s S \overline{\rho}_s T_{\text{dir}}^{\uparrow} + \\
 &+ T_{\text{dir}}^{\downarrow} \overline{\rho}_s S \overline{\rho}_s S \overline{\rho}_s S \hat{\rho}_s T_{\text{dir}}^{\uparrow} + T_{\text{dir}}^{\downarrow} \overline{\rho}_s S \overline{\rho}_s S \overline{\rho}_s S \overline{\rho}_s T_{\text{dir}}^{\uparrow} + \\
 &+ \dots =
 \end{aligned}$$


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SATELLITE SIGNAL MODELLING

$$\rho'(\theta_s, \theta_v, \phi_v) = t_g(\theta_s, \theta_v) \{ \rho_a(\theta_s, \theta_v, \phi_v) + \frac{T(\theta_s)}{I - \langle \rho(M) \rangle S} [\rho_c(M) e^{-\tau/\mu_v} + \langle \rho(M) \rangle t_d(\theta_v)] \}$$


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Pre-processing steps:

- Radiometric calibration
- Noise removal
- Cloud screening
- Geometric correction
- Atmospheric correction
- Data integration

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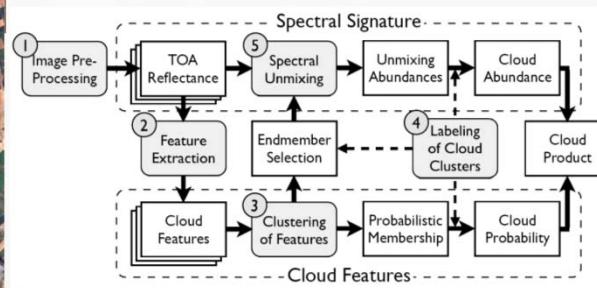
RADIOMETRIC CALIBRATION

- Pre-launch radiometric calibration to traceable standard (accepted reference)
 - Post launch calibration campaigns to maintain/monitoring in flight calibration (vicarious)
 - On-board calibration (both radiometric and spectral)

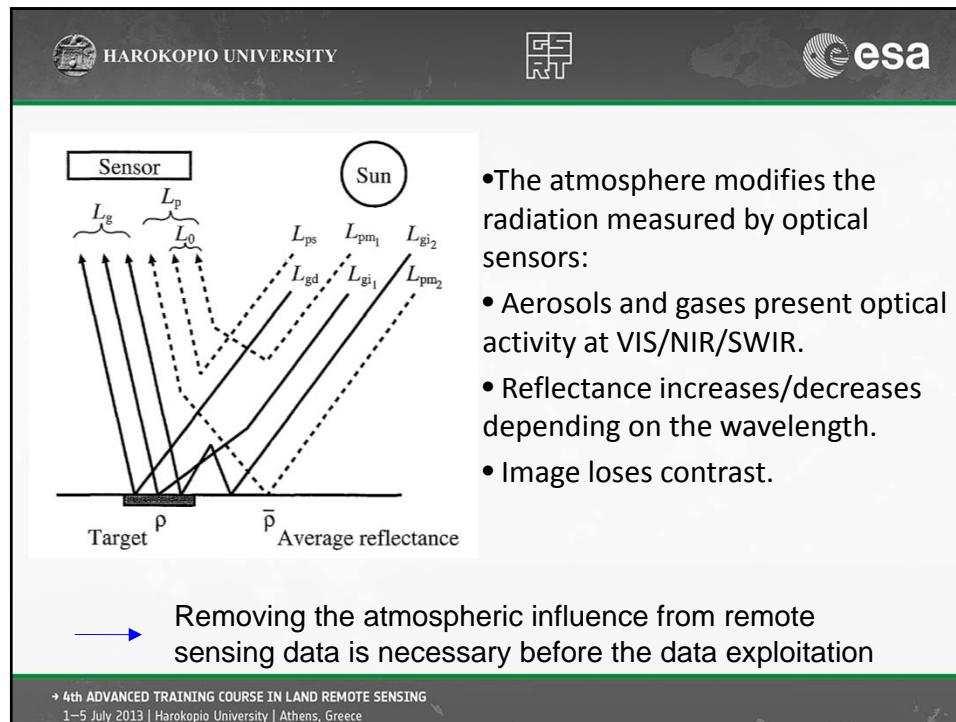
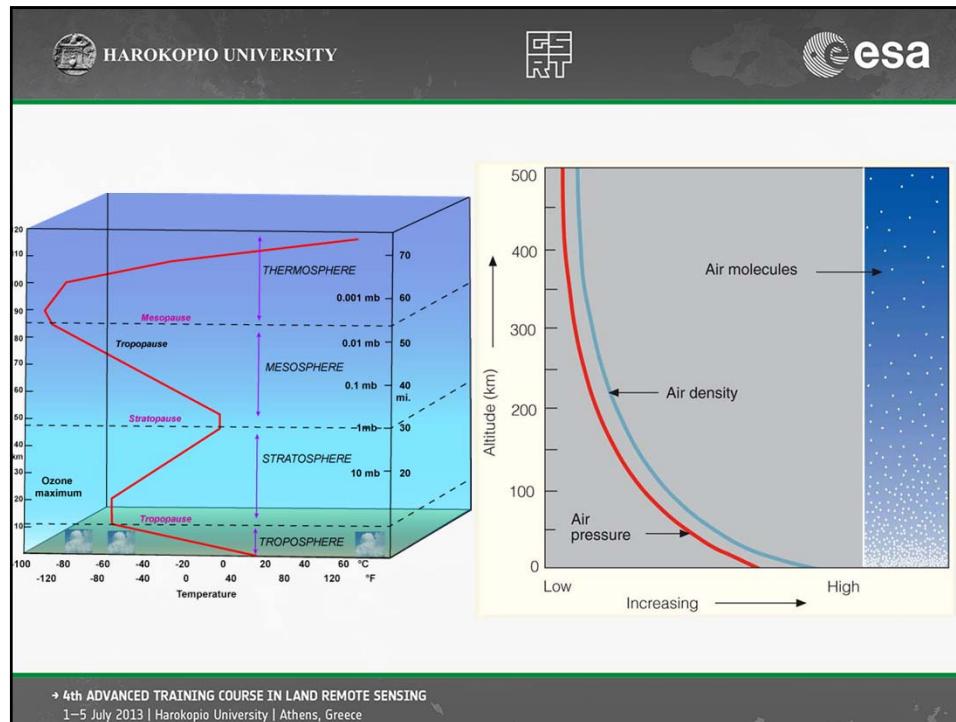
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CLOUD SCREENING

- Very dependent on the available spectral information
 - Many different algorithms (from simple thresholds up to sophisticate techniques)



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Surface reflectance retrieval

- TOA radiance modeled assuming Lambertian reflectance for the target:

$$L_{\text{TOA}} = L_0 + \frac{1}{\pi} \frac{\rho_s (E_{\text{dir}} \mu_{\text{il}} + E_{\text{dif}}) T_{\uparrow}}{1 - S \rho_s}$$

- Analytically invertible to retrieve ρ_s .

$$\rho_s = \frac{L_{\text{TOA}} - L_0}{[(E_{\text{dir}} \mu_{\text{il}} + E_{\text{dif}}) \frac{T_{\uparrow}}{\pi}] + S[L_{\text{TOA}} - L_0]}$$

- Removal of adjacency effects

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INVERSION OF SURFACE REFLECTANCE

Flat Lambertian areas:

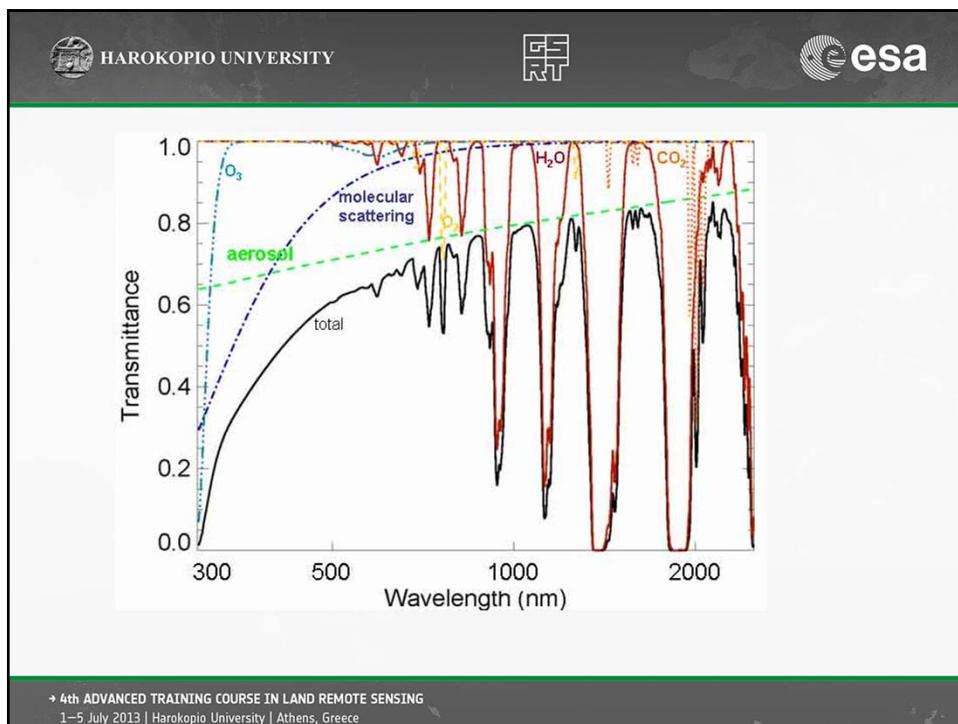
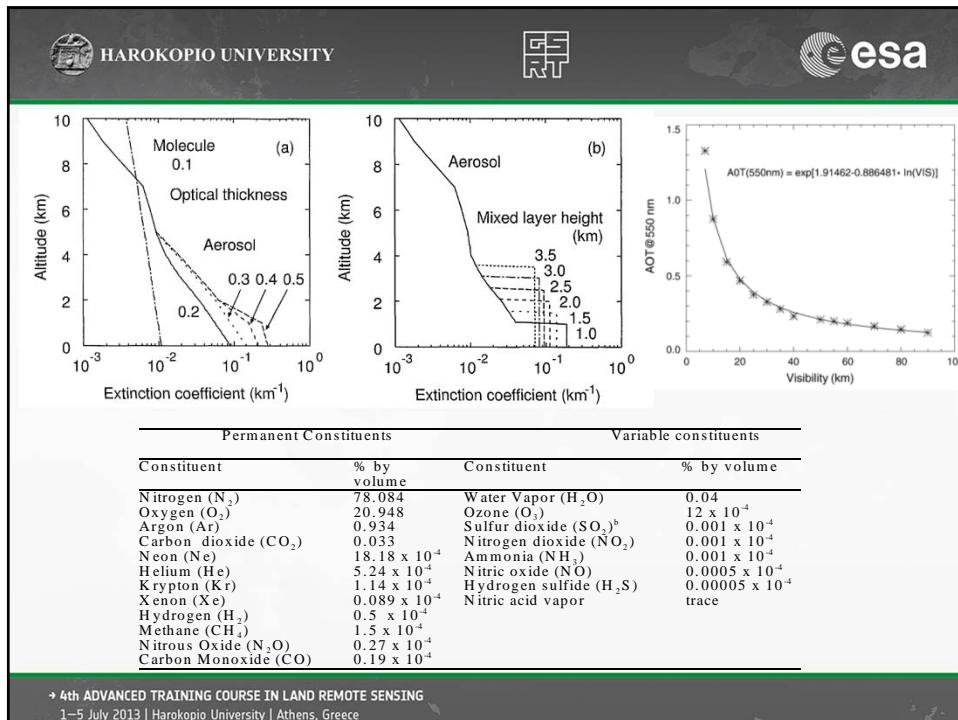
$$\rho' = A + \frac{B \rho_c + C \langle \rho \rangle}{1 - S \langle \rho \rangle}$$

$$\rho_c = \frac{\left(\frac{\rho' - A}{B} \right) - \frac{C}{B + C} \left(\frac{\langle \rho' \rangle - A}{B} \right)}{1 + S \frac{B}{B + C} \left(\frac{\langle \rho' \rangle - A}{B} \right)}$$

Non-Lambertian areas with topographic structure:

- no analytic inversion under approximations
- decoupling 'effective' reflectances and 'effective' geometric terms required for environment
- multistep numerical procedure required for inversion
- multiple reflection terms only significant for high reflectance surroundings

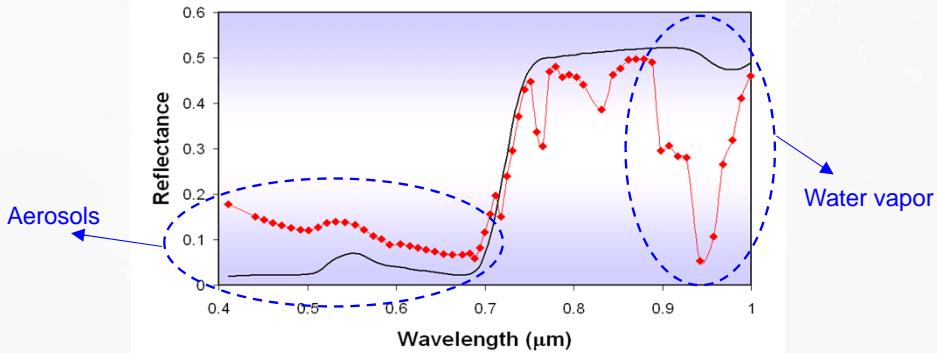
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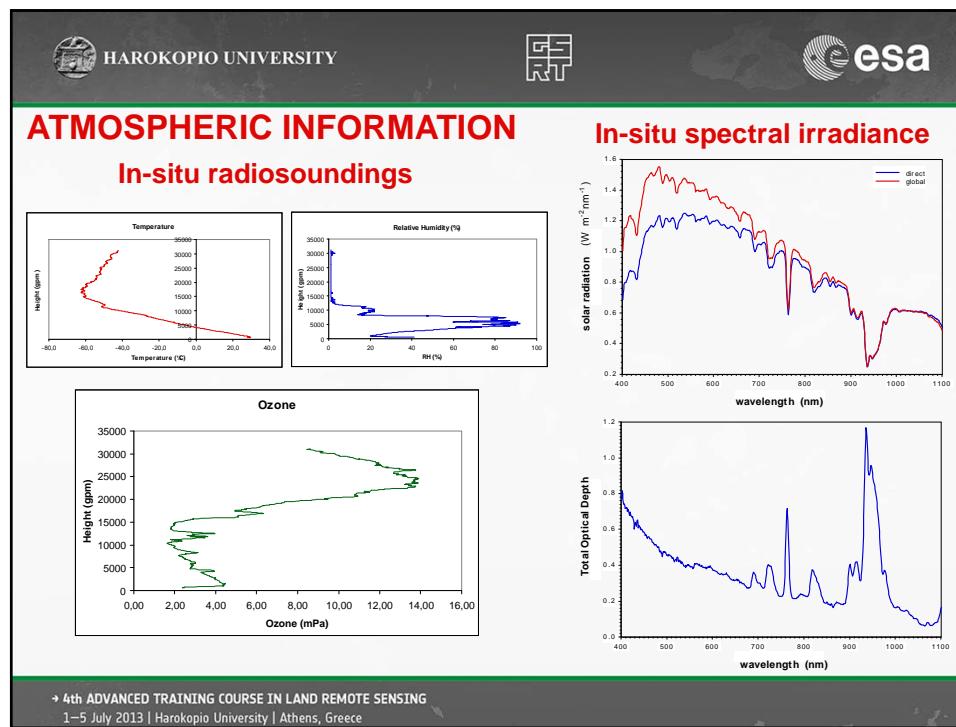
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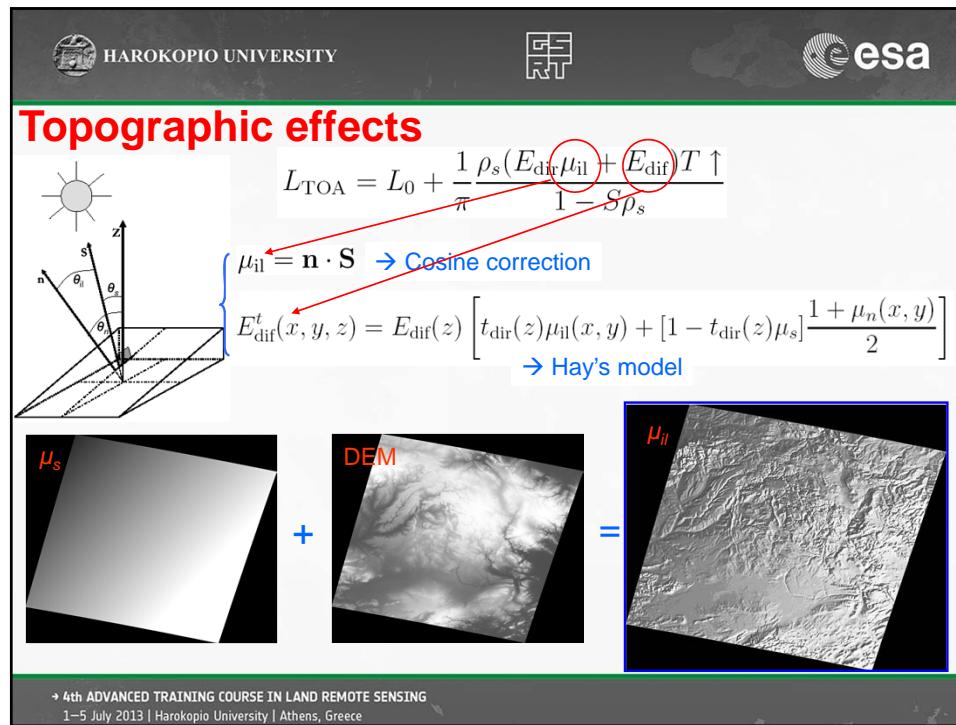

Surface reflectance retrieval

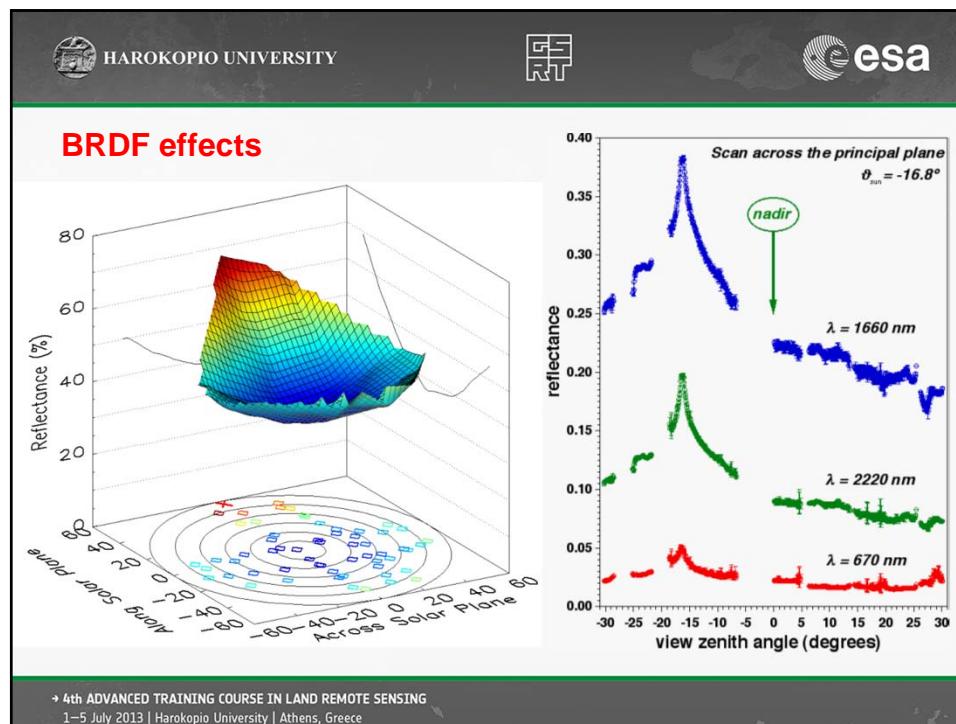
Atmospheric correction: Removal of the atmospheric effects from the measured at-sensor radiance, leading to the derivation of surface reflectance images.

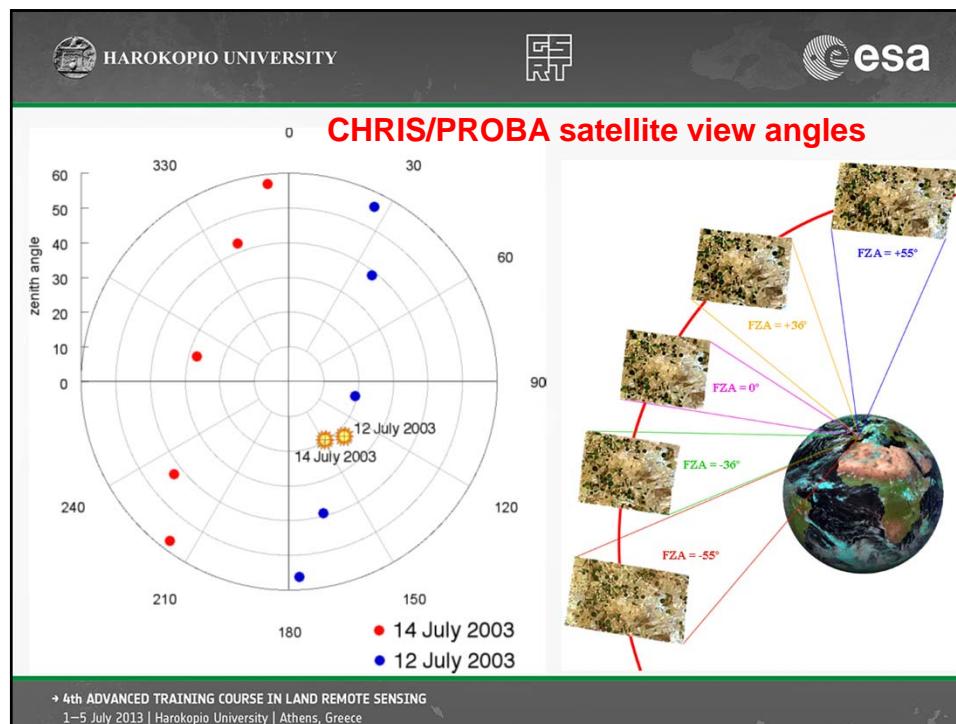
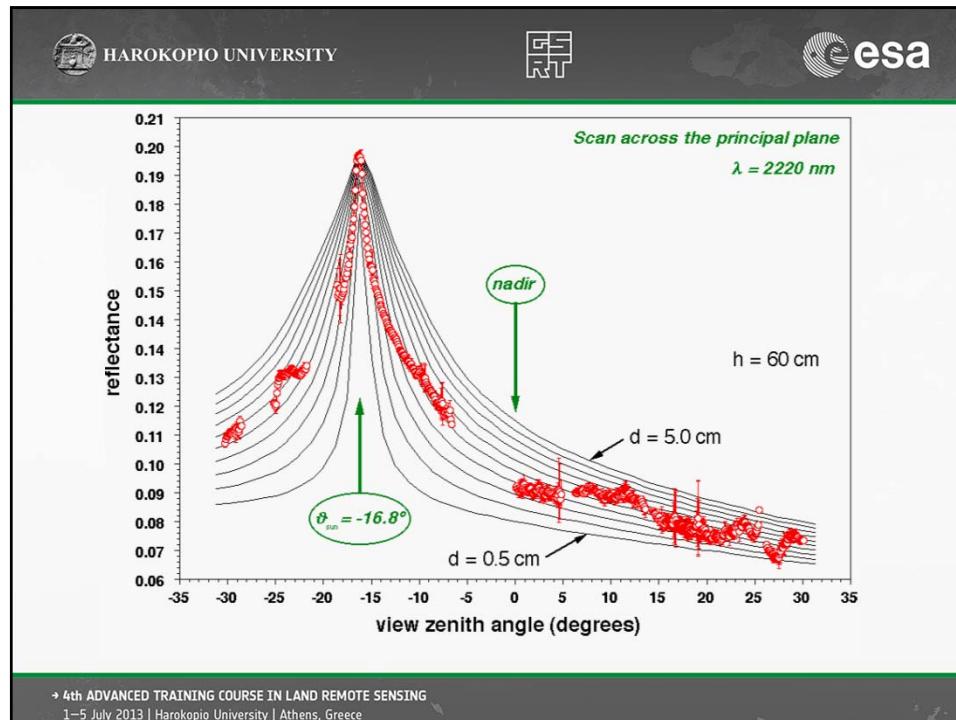


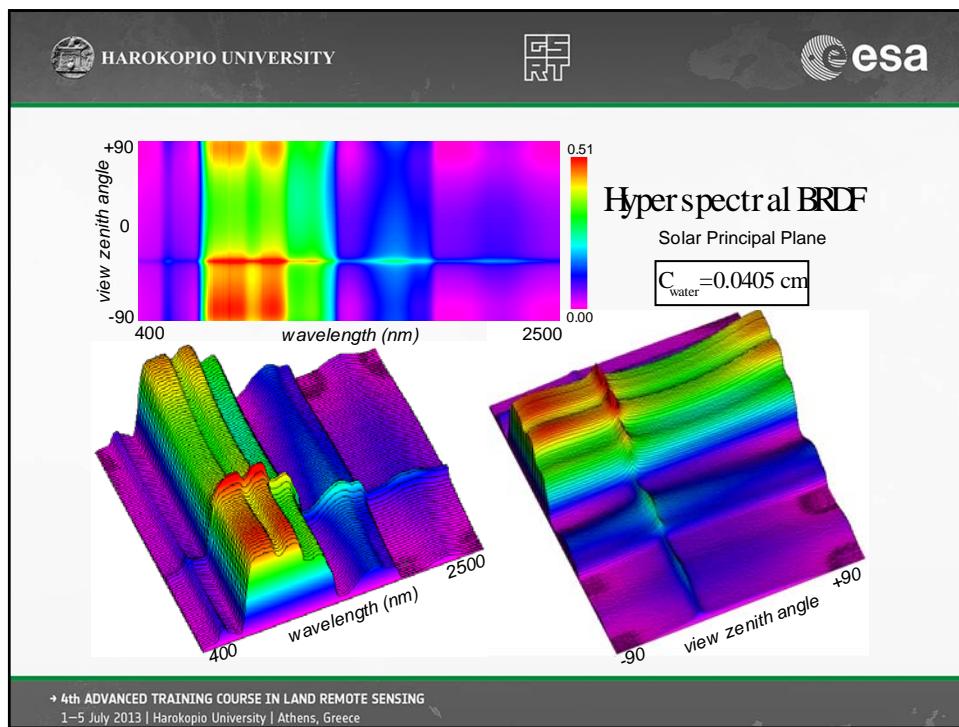
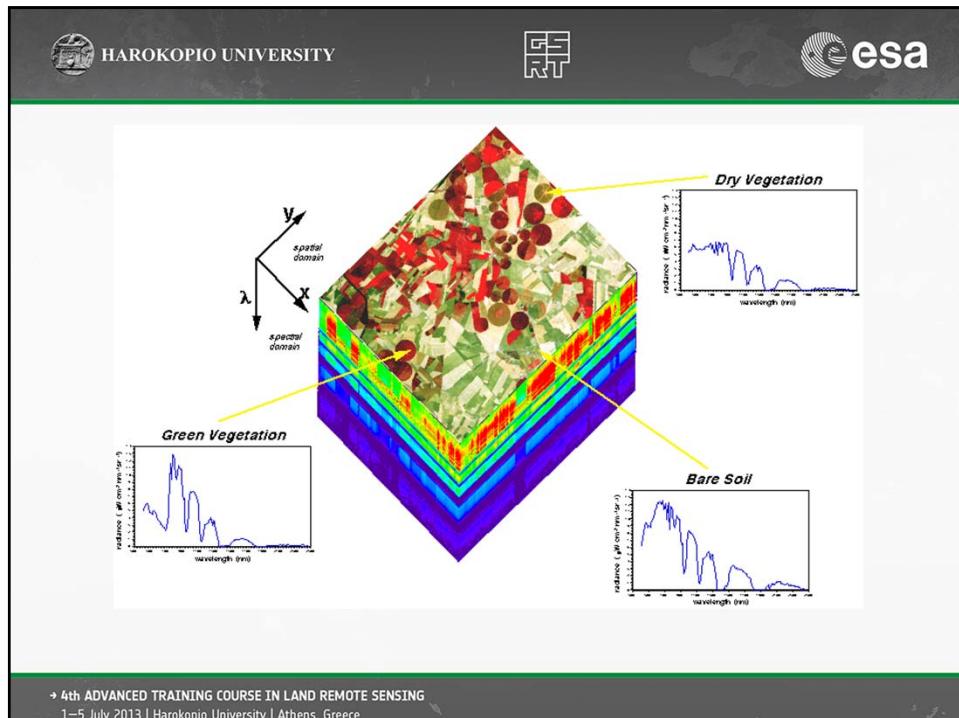
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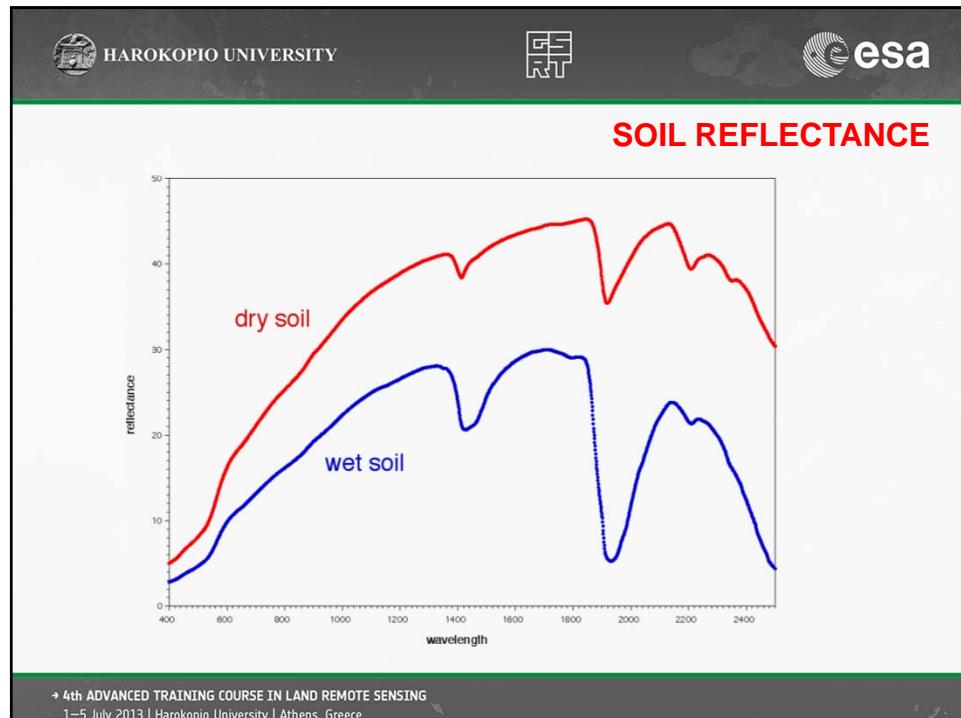




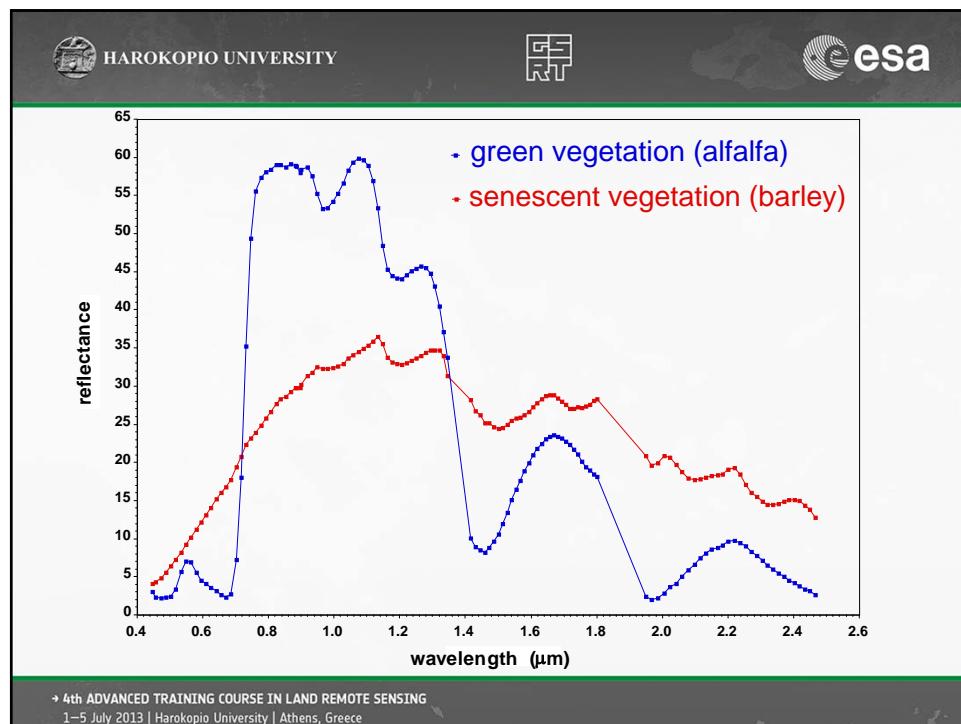




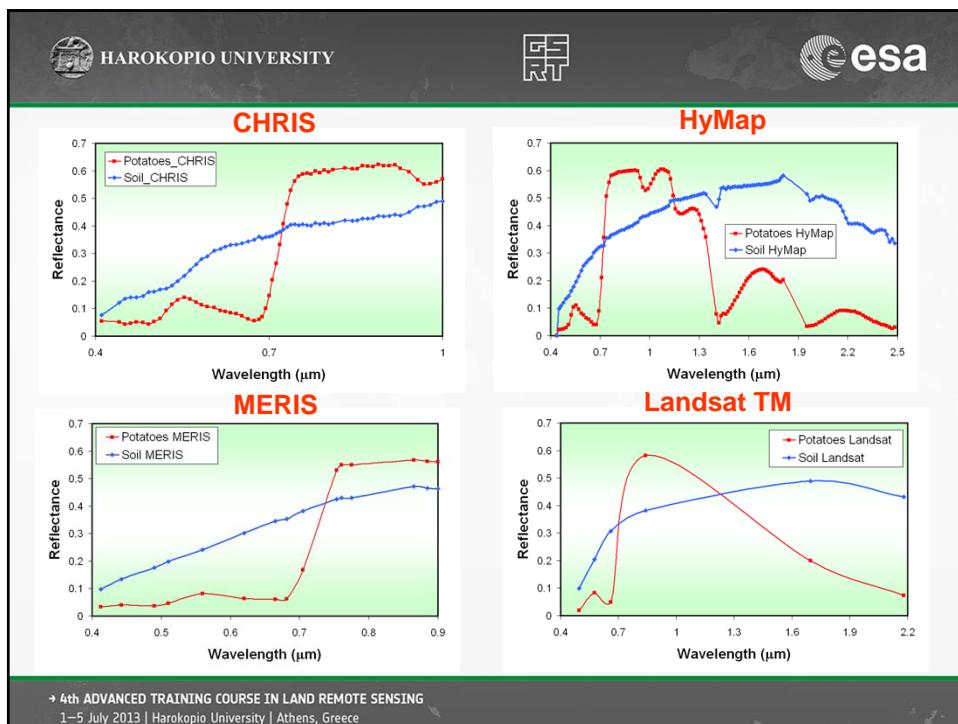
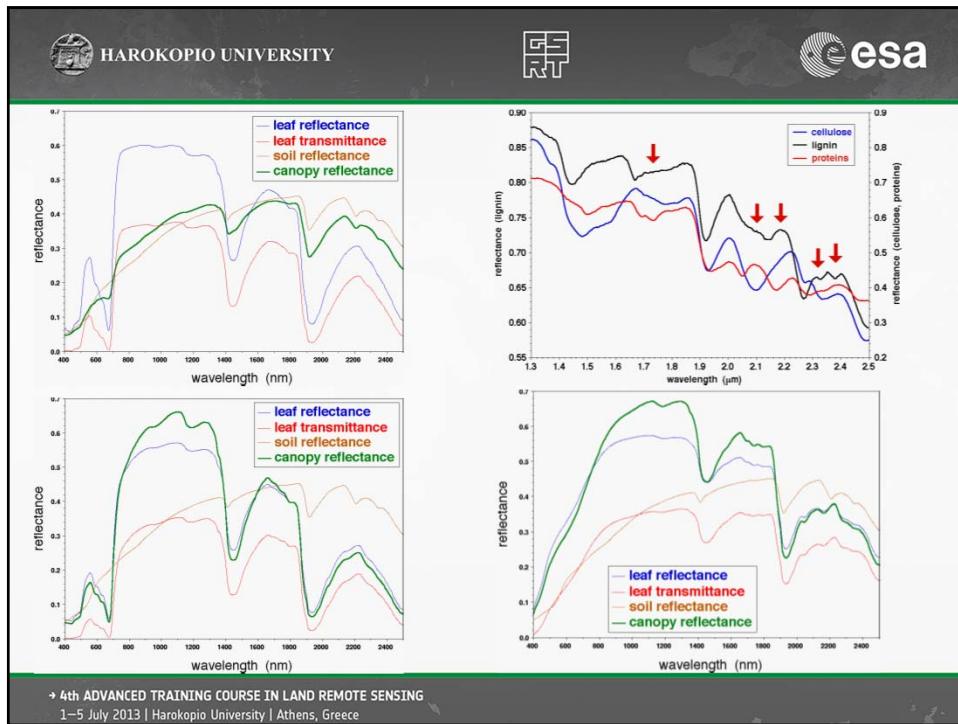


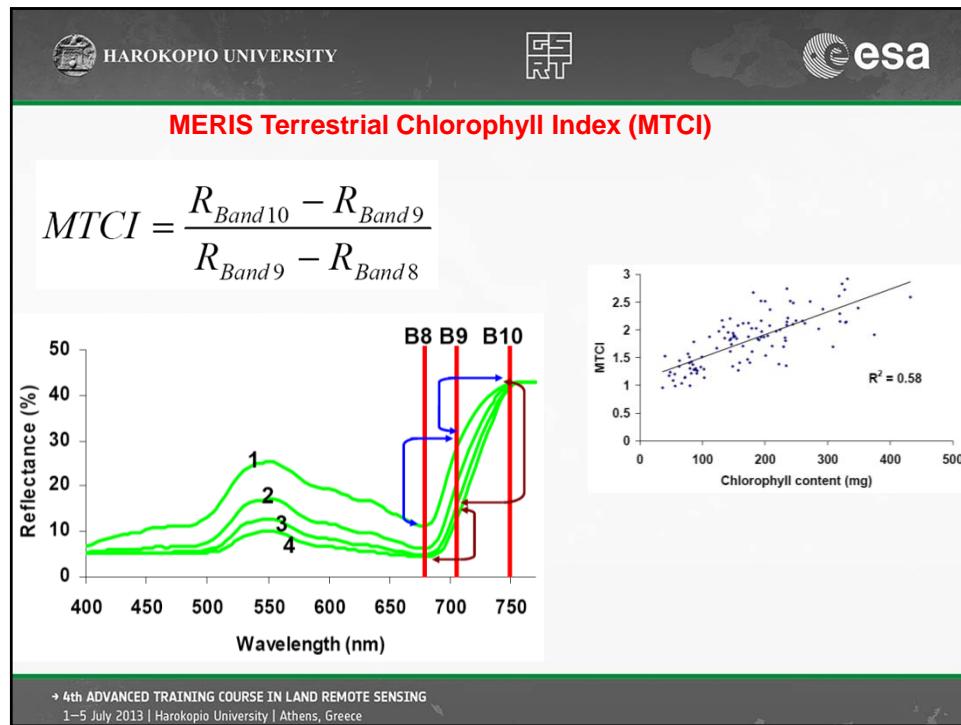
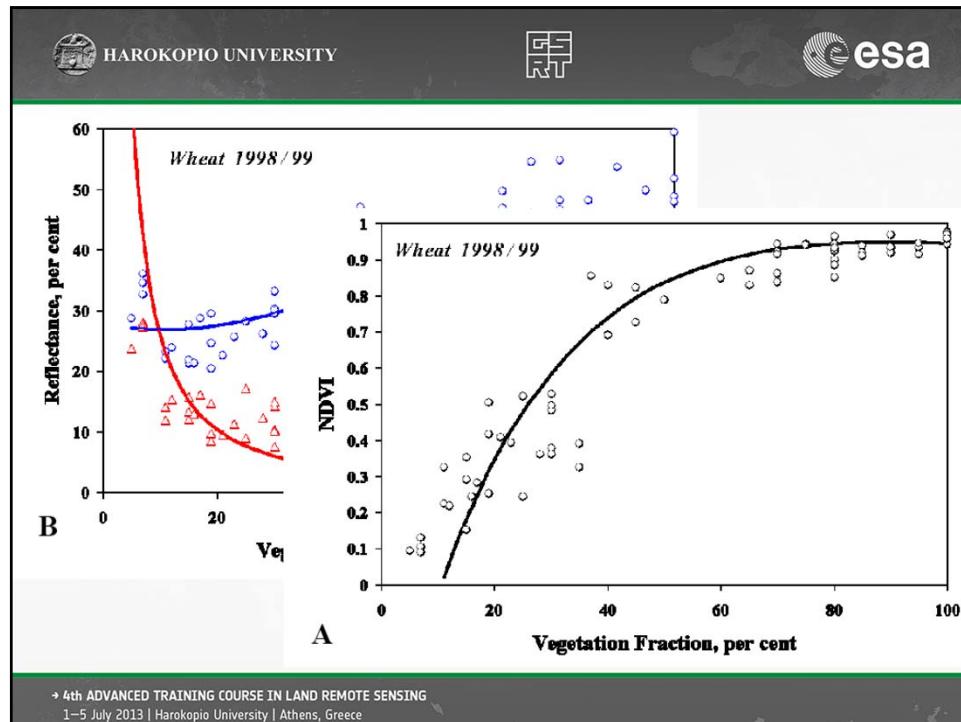


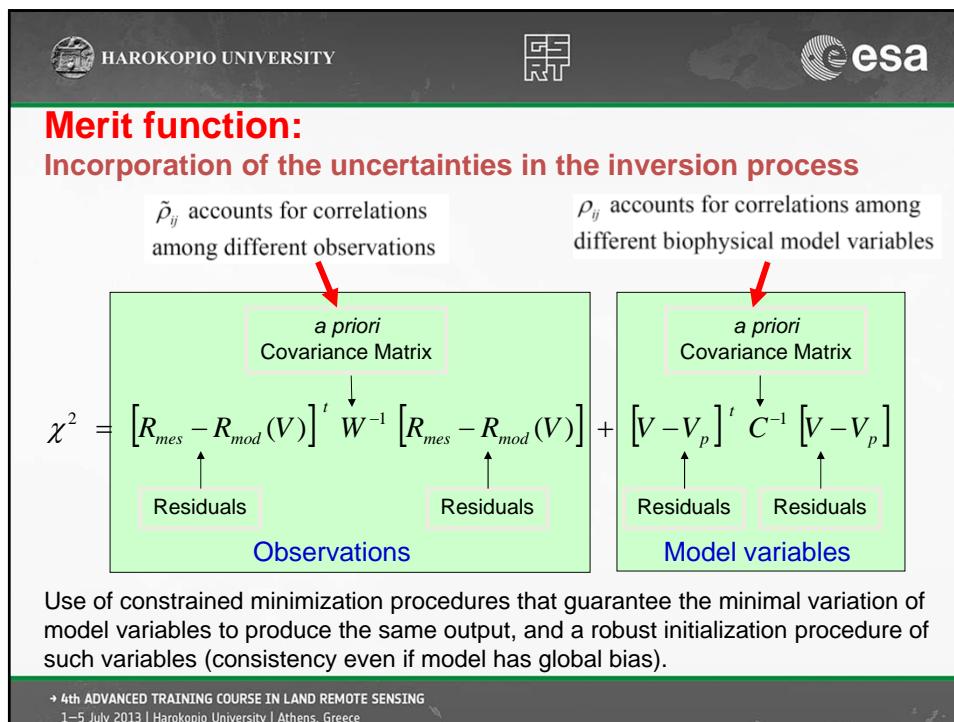
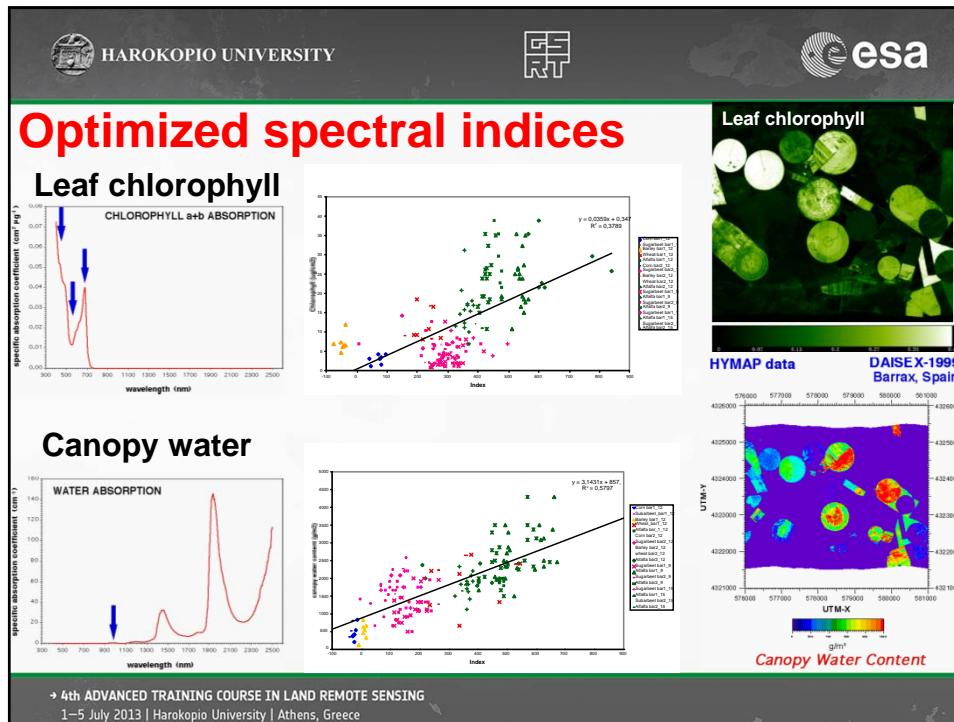
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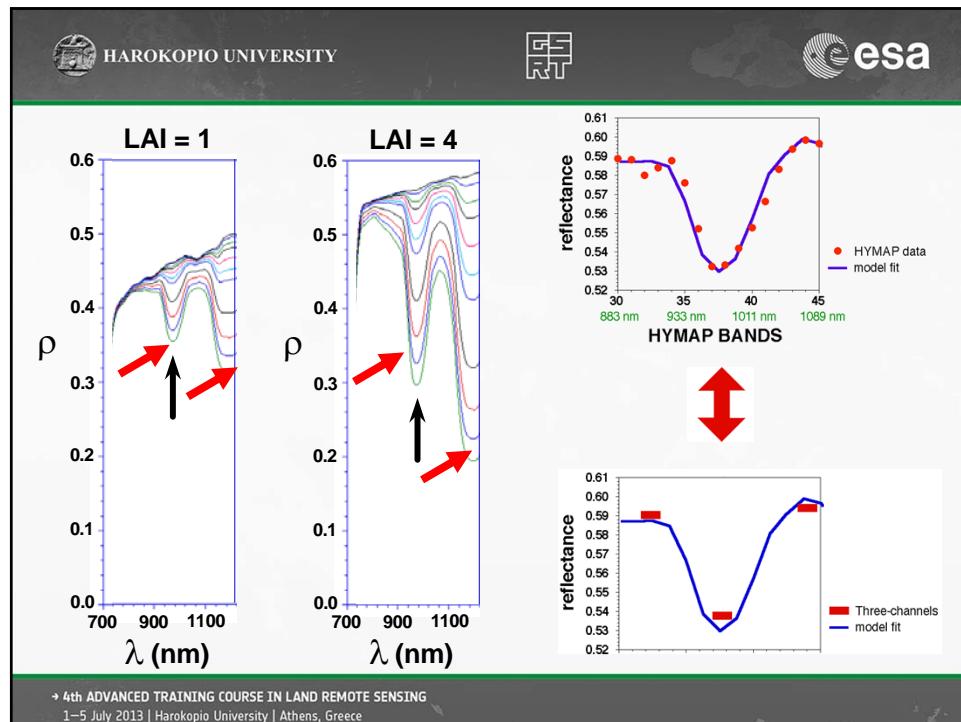
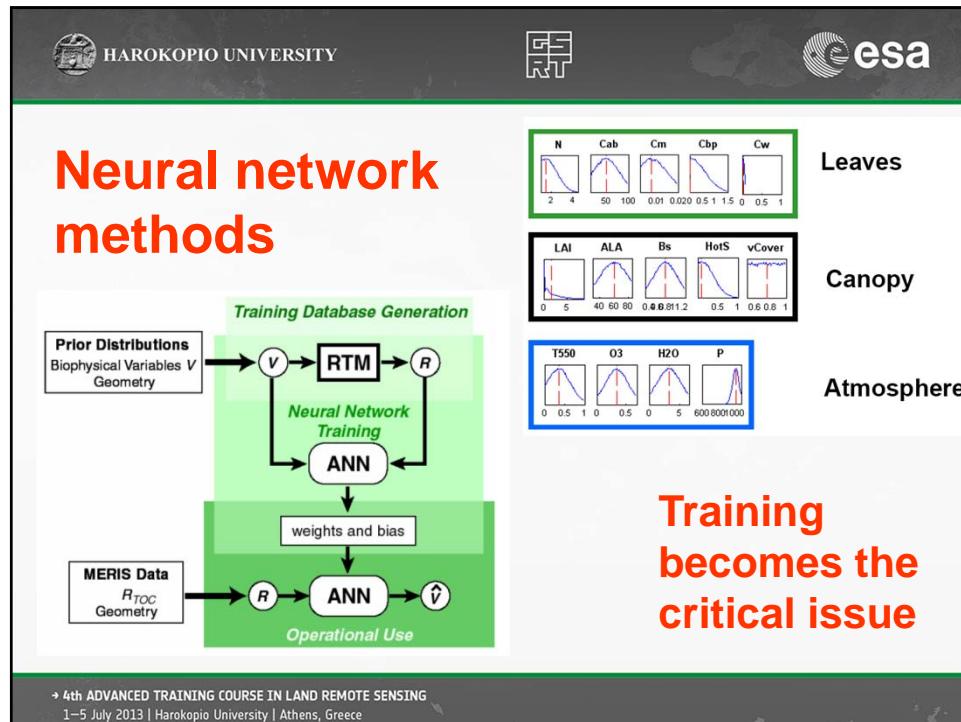


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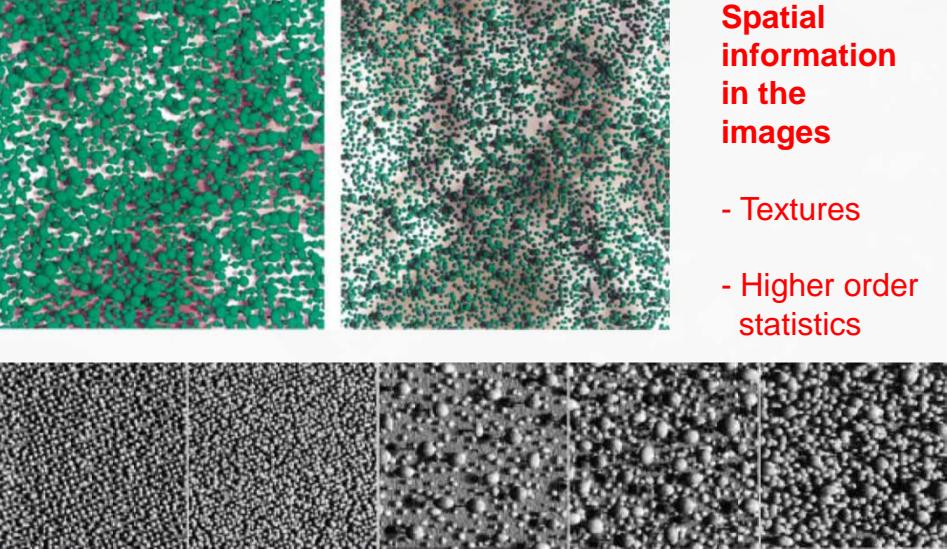
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Spatial information in the images

- Textures
- Higher order statistics



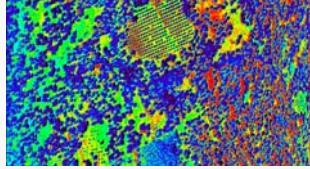
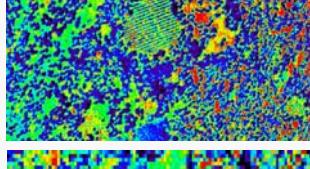
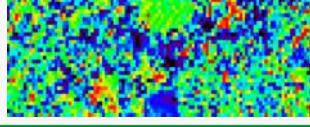
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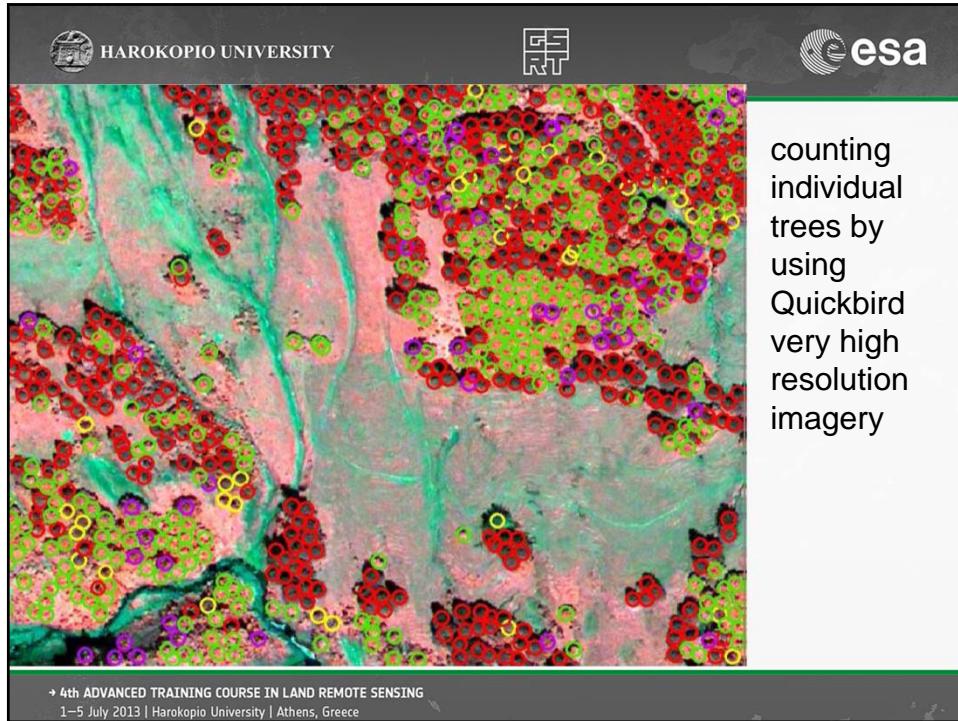
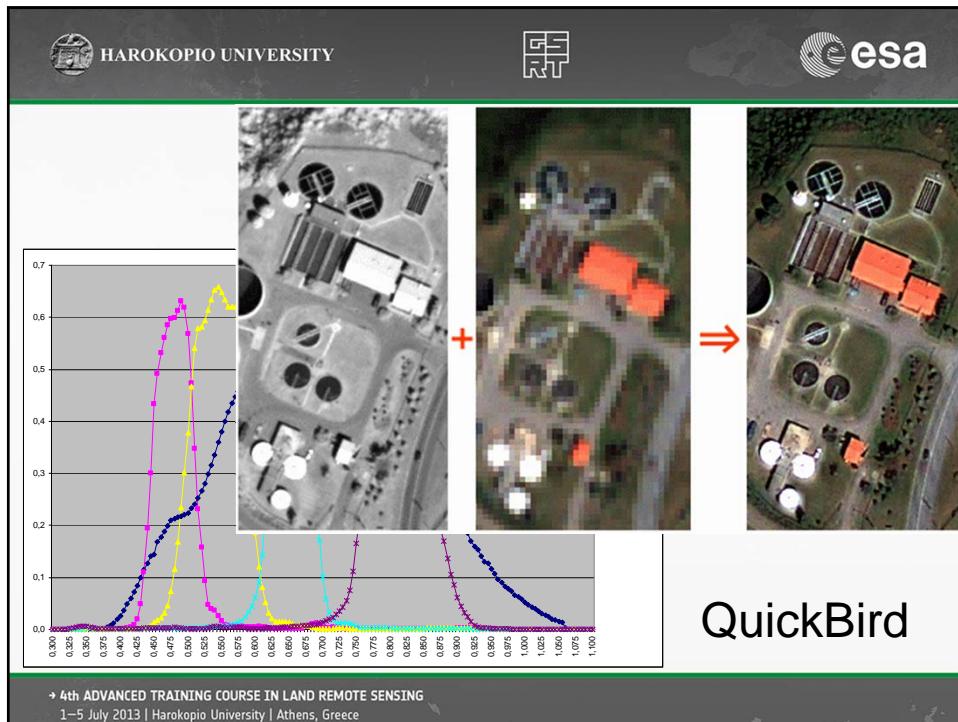


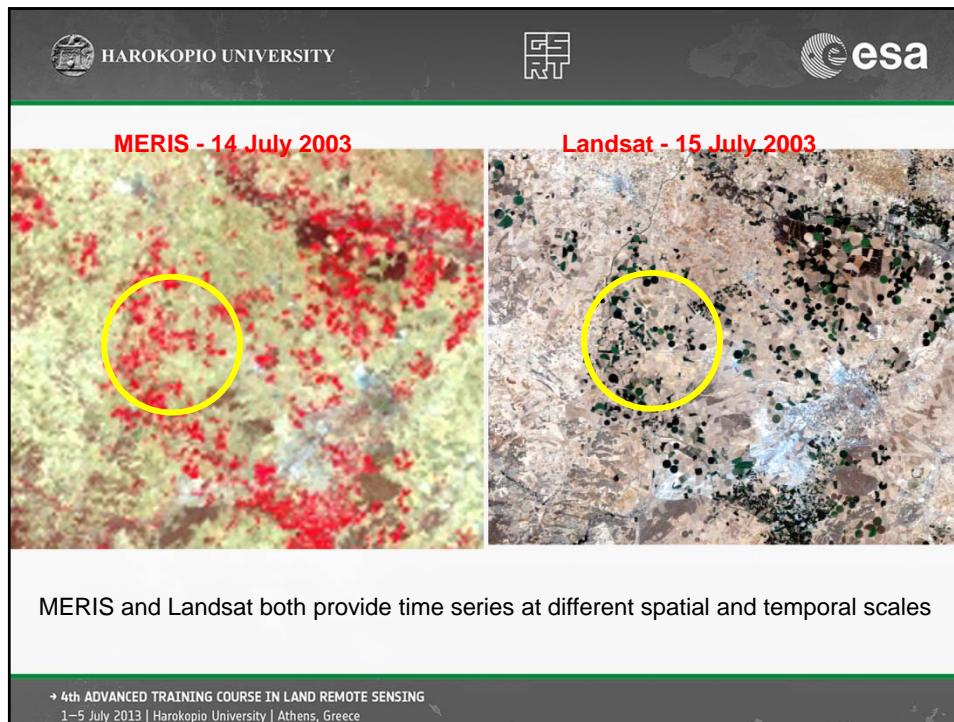
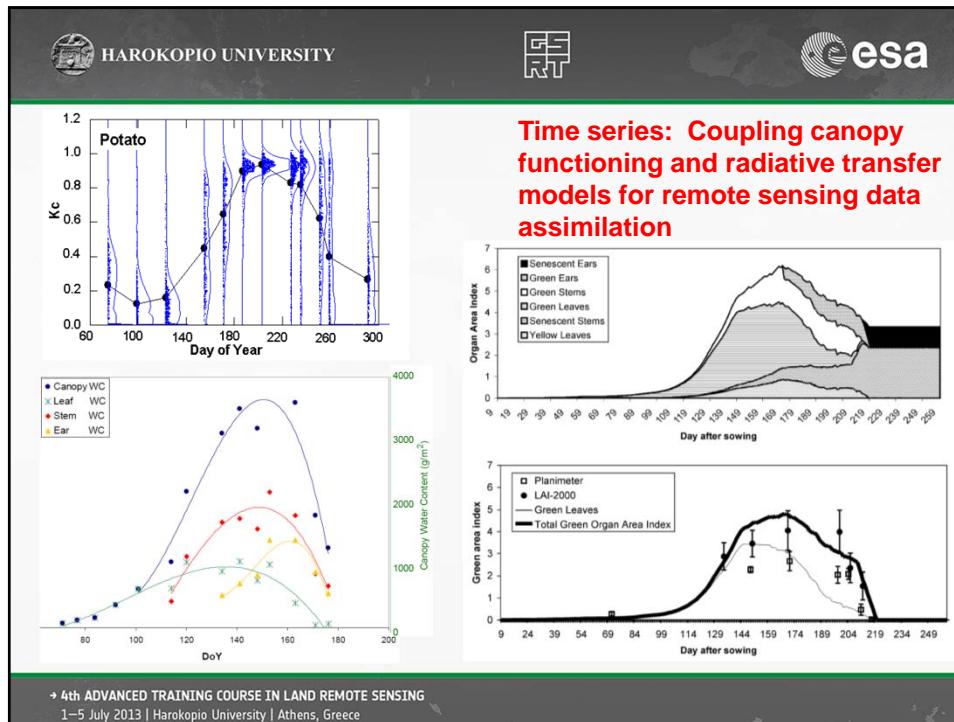


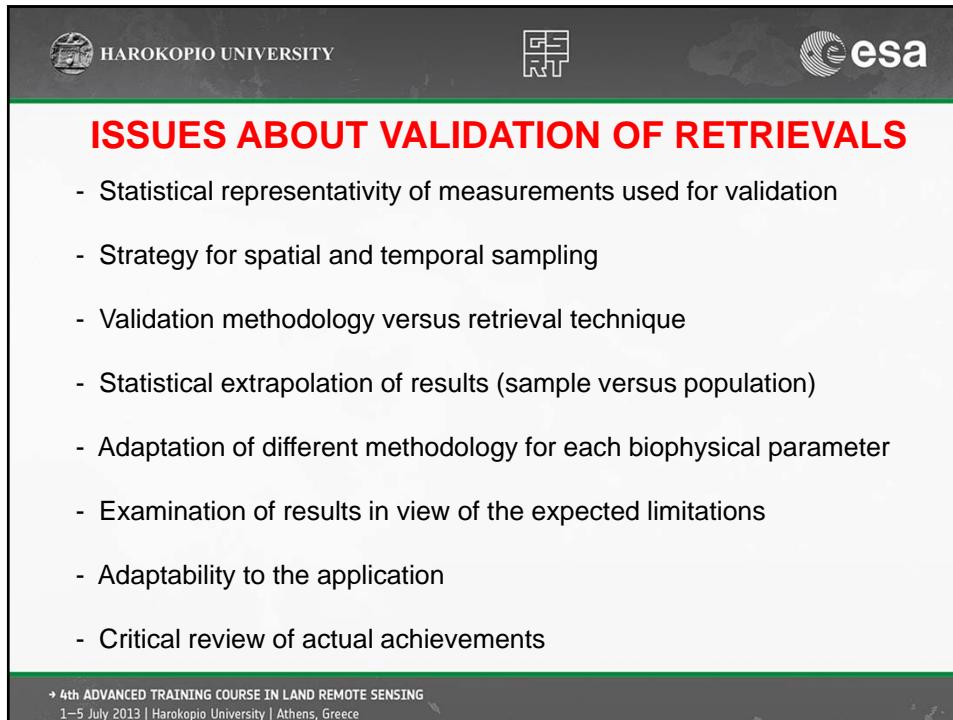
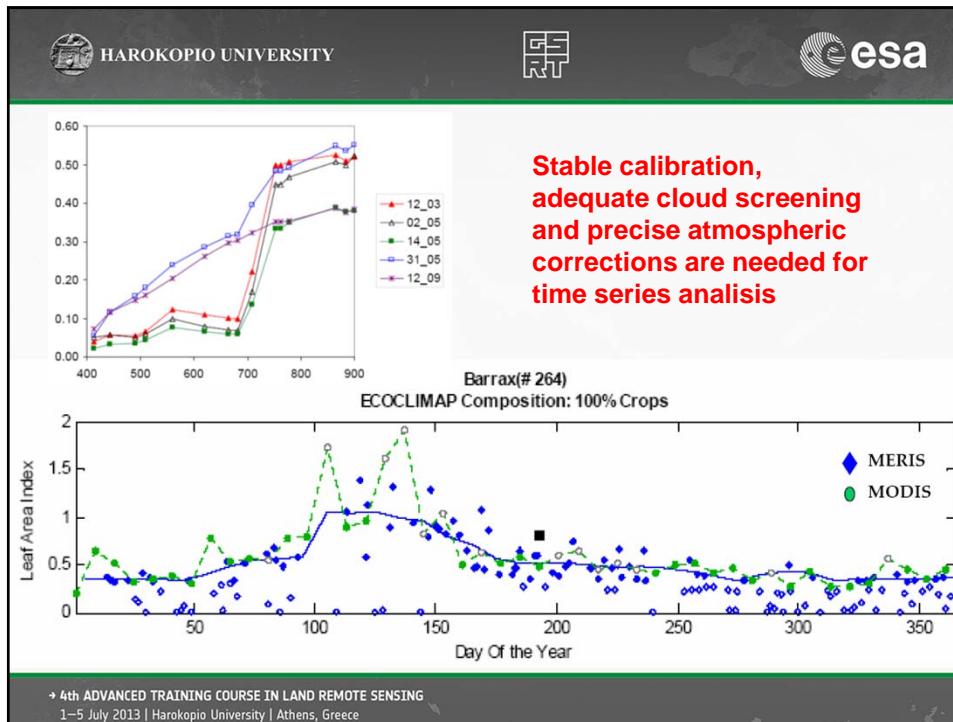
Multiresolution data

VIS/NIR/SWIR Colour Composite	Thermal data
	 1.25 m
	 3.75 m
	 12.0 m

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Usage of the derived information:

- Tendency: from proxies to quantitative information
- Multi-resolution spatial inputs and time series
- **First approach:** Land cover mapping, classification and tables of biophysical variables assigned to each class
- **Second approach:** Retrievals of biophysical variables as direct inputs to models
- **Third approach:** direct assimilation of radiances/reflectances into models

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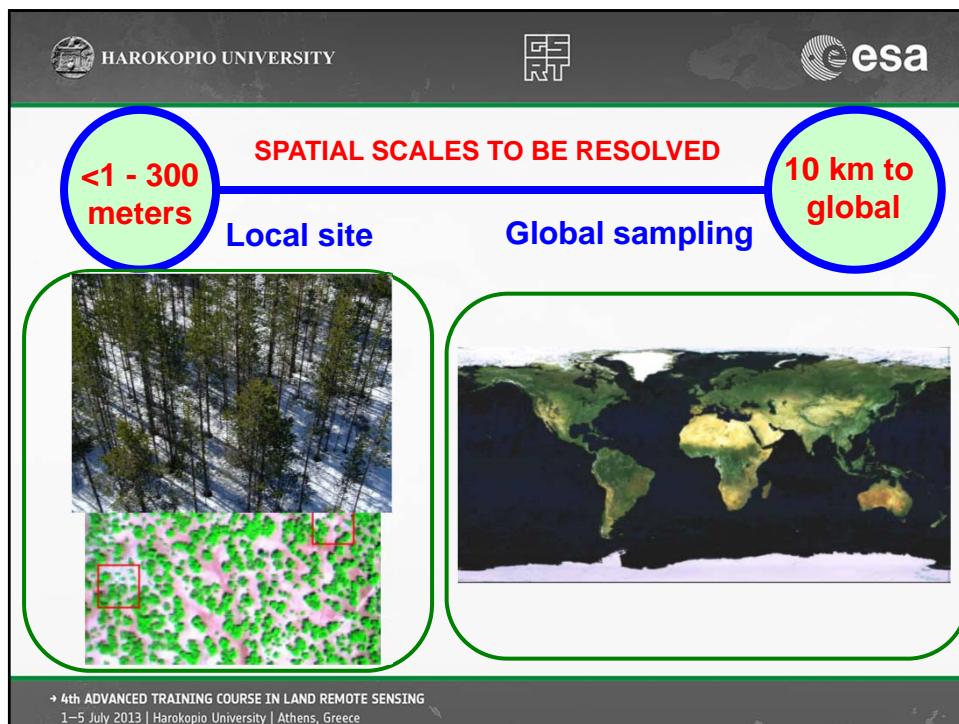
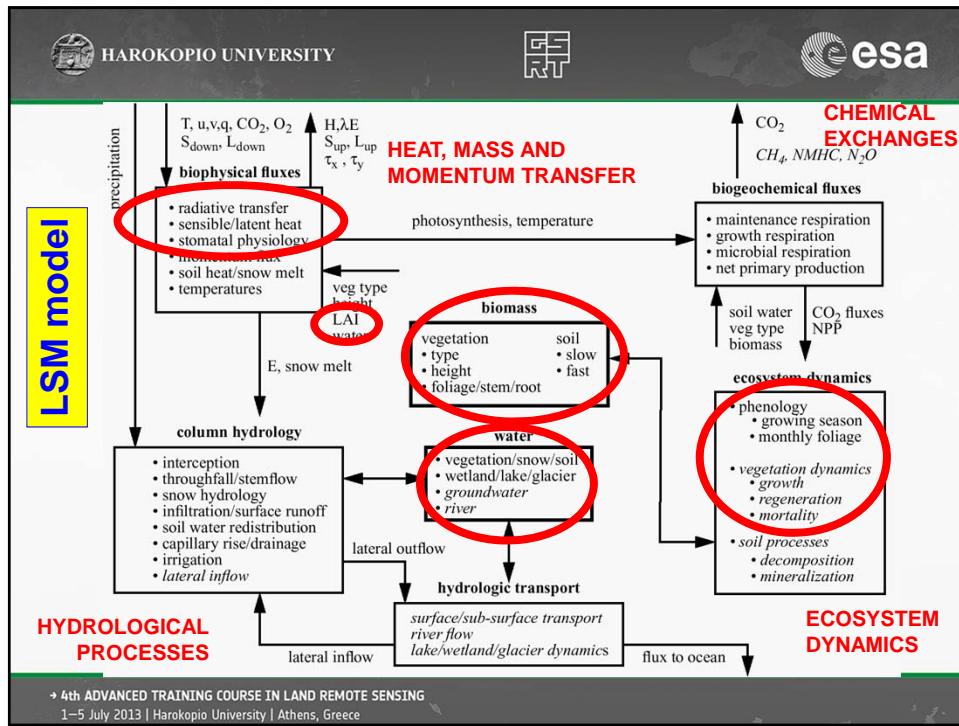


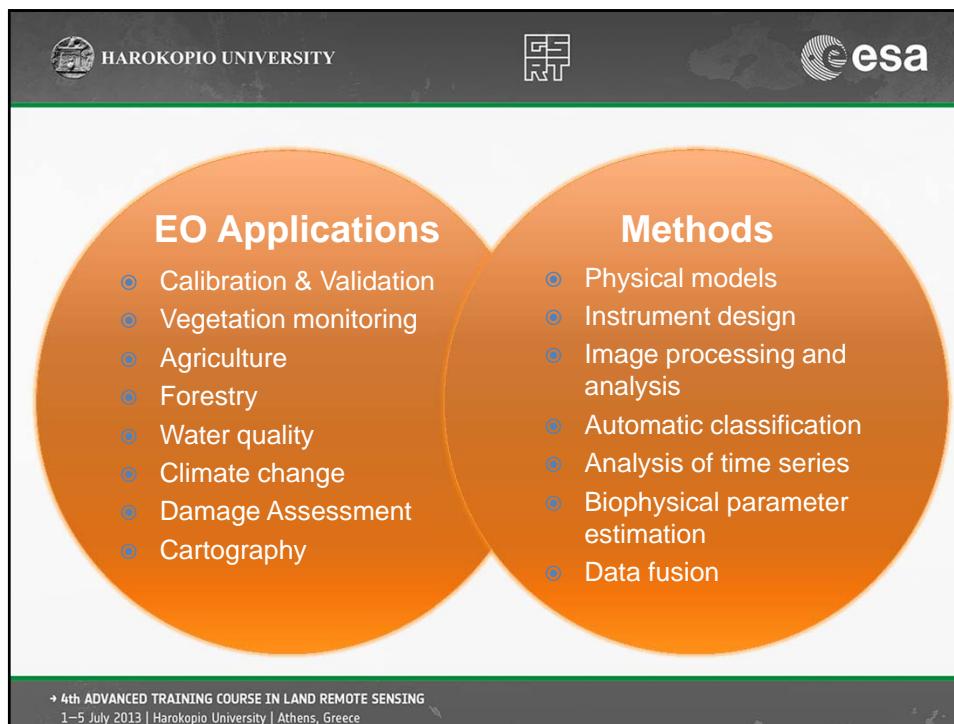
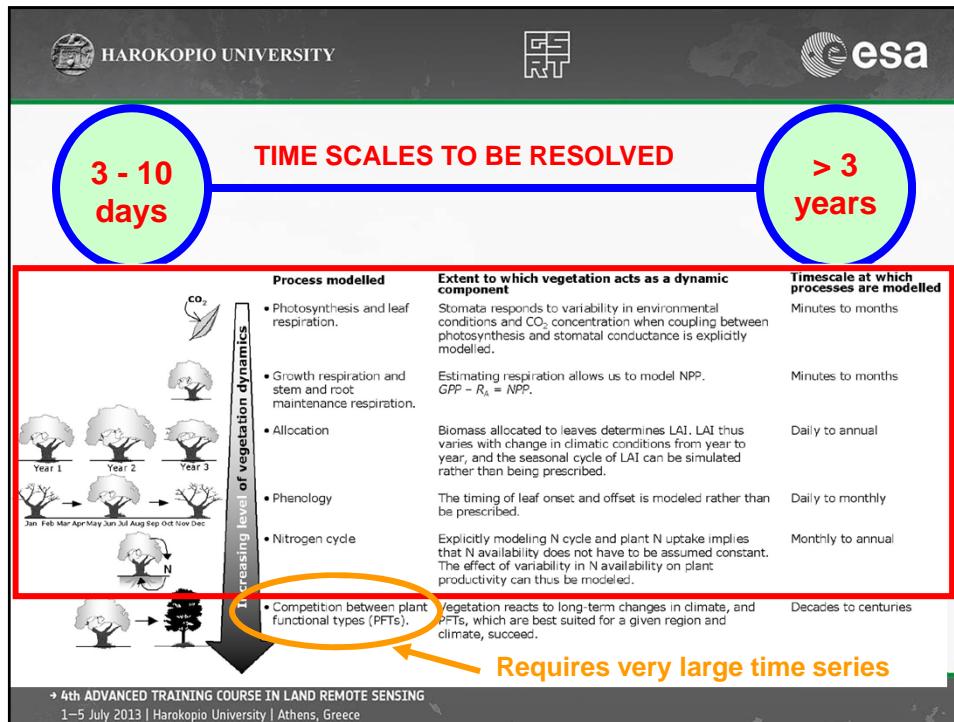


REMOTE SENSING OF LAND SURFACE PROCESSES

- Mapping Applications
 - cartography
 - thematic mapping
- Monitoring Applications
 - ecosystems dynamics
 - natural hazards (fires, floods, desertification)
- Research about Land Surface Processes
 - heat and mass exchange at Land/Atmosphere interface
 - photosynthesis and net primary production
 - hydrologic processes
 - Land/Atmosphere exchange of biochemicals

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NEW GENERATION OF SENSORS

- Well calibrated (more suitable for multitemporal studies)
- Increased spatial resolution (0.5 m PAN now available)
- Increased spatial coverage (global mapping in high spatial resolution (as ESA GMES/Sentinel-2))
- New type of information (i.e., vegetation fluorescence)
- Time series: gap filling using multi-sensor data, better temporal resolution with high spatial resolution
- Integration of multi-resolution data with diverse spectral information in common temporal databases

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The near future




GMES / Sentinels

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