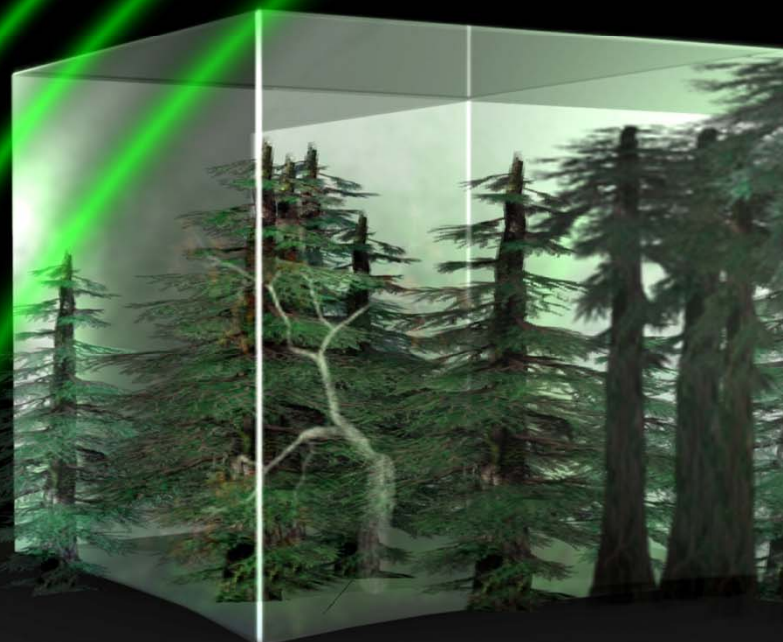


## → POLINSAR 2013

The 6th International Workshop on Science and Applications of SAR Polarimetry and Polarimetric Interferometry

### Status of the retrieval algorithms of the Biomass mission



*Thuy Le Toan, Lars Ulander, Kostas Papathanassiou, Fabio Rocca,  
Sassan Saatchi, Shaun Quegan, Klaus Scipal  
& the BIOMASS MAG*



# Biomass product requirements



## Forest biomass



## Forest height



## Disturbances

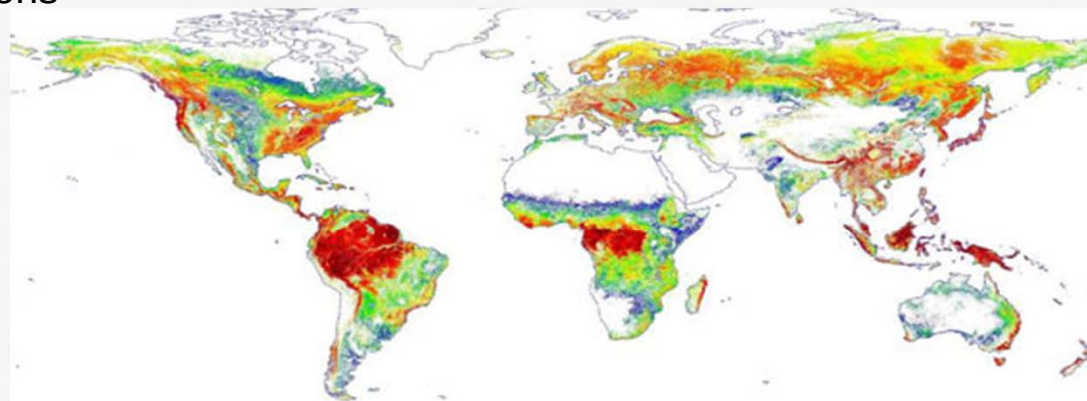


- 200 m resolution
- accuracy of 20%, or 10 t ha<sup>-1</sup> for biomass < 50 t ha<sup>-1</sup>
- 1 biomass map every 6 months
- global\* coverage of forested areas

- 200 m resolution
- biome dependent, ~20% for tree > 10 m
- 1 height map every 6 months
- global\* coverage of forested areas

- 50 m resolution
- 90% classification accuracy
- 1 map every 6 month
- global\* coverage of forested areas

\* global subject to SOTR restrictions





# Biomass product requirements



## Forest biomass



## Forest height



## Disturbances

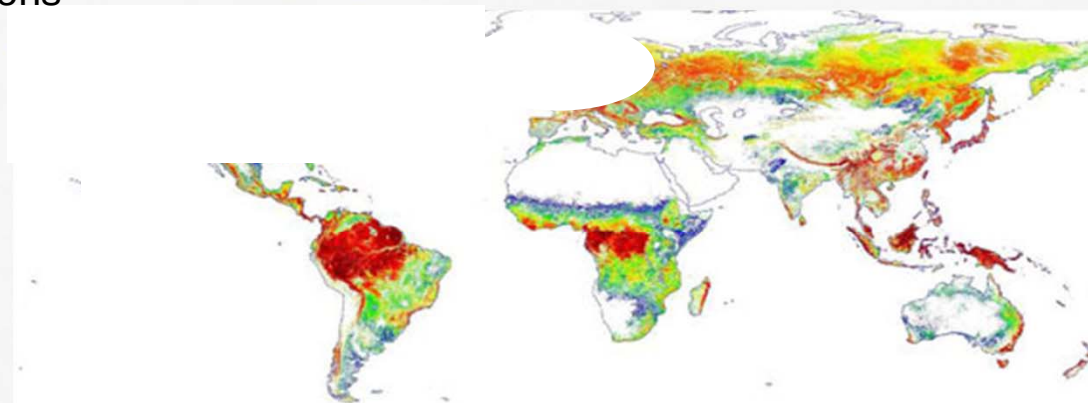


- 200 m resolution
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- 200 m resolution
- biome dependent, ~20% for tree > 10 m
- 1 height map every 6 months
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- 50 m resolution
- 90% classification accuracy
- 1 map every 6 month
- global\* coverage of forested areas

\* global subject to SOTR restrictions





# Forest biomass is a key component in the carbon cycle



1. Biomass is ~50% carbon
2. Forests hold 70–90% of Earth's above-ground biomass, with the majority of forest biomass located within the Tropics
3. Forest biomass is very poorly known and is a major source of uncertainty in carbon flux estimation.
4. Our objective is to measure biomass globally, and its change over time.



Biomass = dry weight of woody matter +  
leaves (tons/hectare)



1. The crucial information gap is in the tropics:
  - deforestation (~98% of the Land Use Change flux)
  - regrowth (~52% of the global biomass sink)
2. Biomass measurements are needed where the changes occur and at the scale of change: 1-4 hectares.
3. An accuracy of 20% at 4 hectares, comparable to ground-based observations.
4. Forest height to provide a further constraint on biomass estimates
5. Repeated measurements over multiple years to identify deforestation and growth



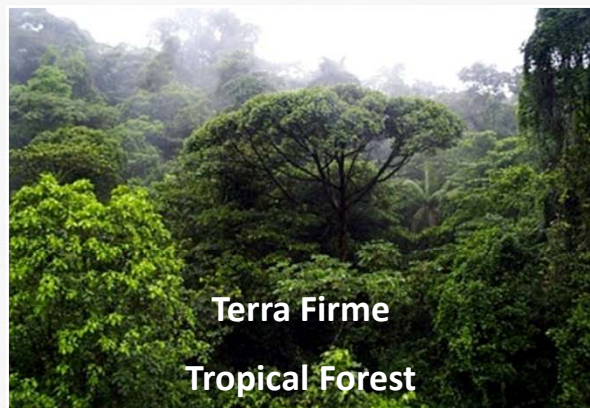
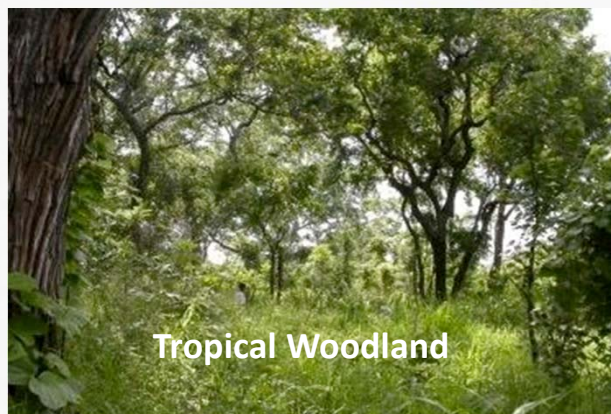
# Why are tropical data most uncertain and so important?



Tropical biomass  
= 360-680  
billion tons

Uncertain due to

1. biodiversity
2. poorly coordinated/ sparse measurements

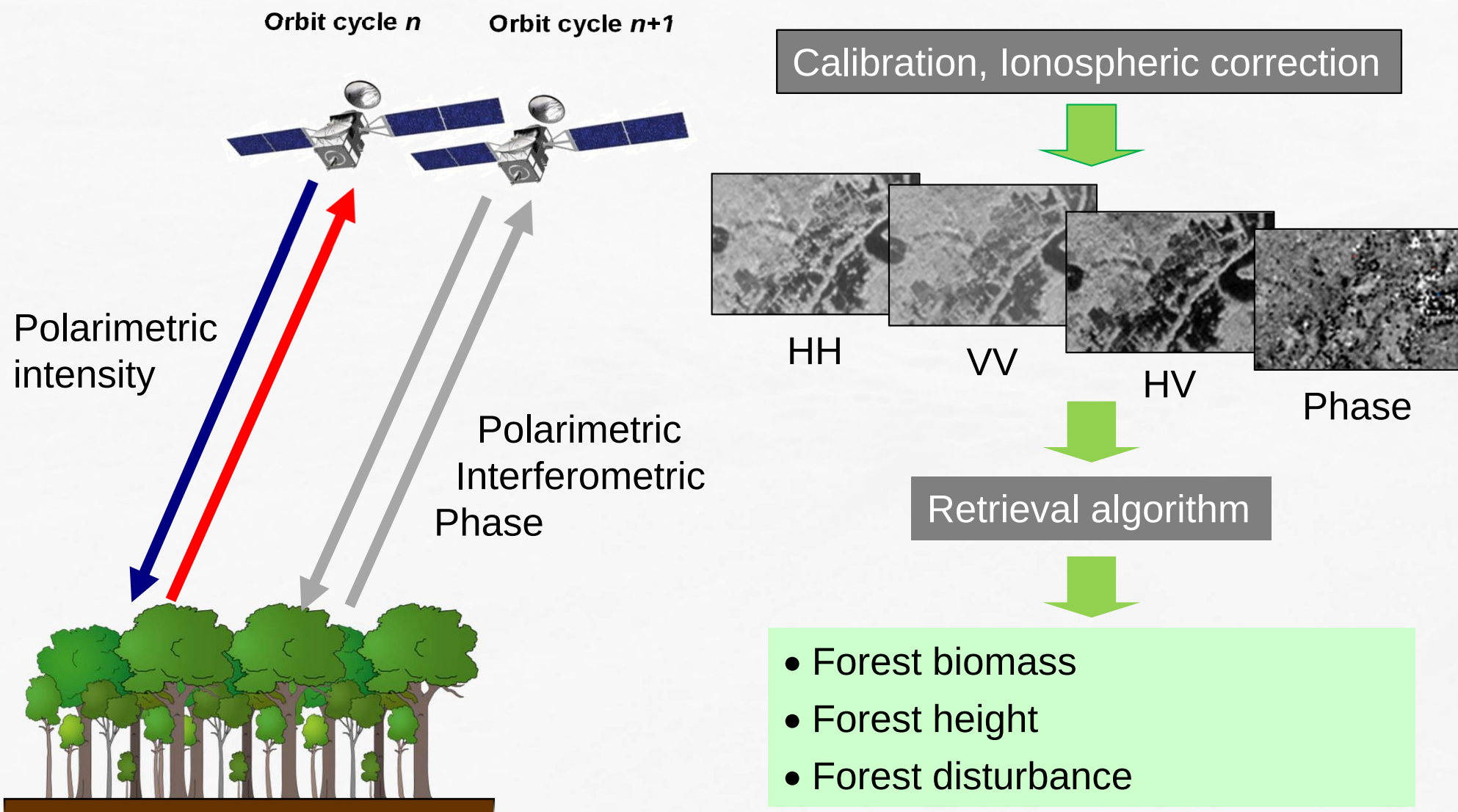


| C sink/source                       | 2000-2007<br>GtC/yr |
|-------------------------------------|---------------------|
| Boreal                              | 0.5±0.08            |
| Temperate                           | 0.72±0.08           |
| <b>Tropical<br/>Intact</b>          | <b>1.02±0.47</b>    |
| <b>Tropical<br/>regrowth</b>        | <b>1.72±0.54</b>    |
| <b>Tropical de-<br/>forestation</b> | <b>-2.82±0.45</b>   |
| <b>Tropical land<br/>use change</b> | <b>-1.10±0.70</b>   |

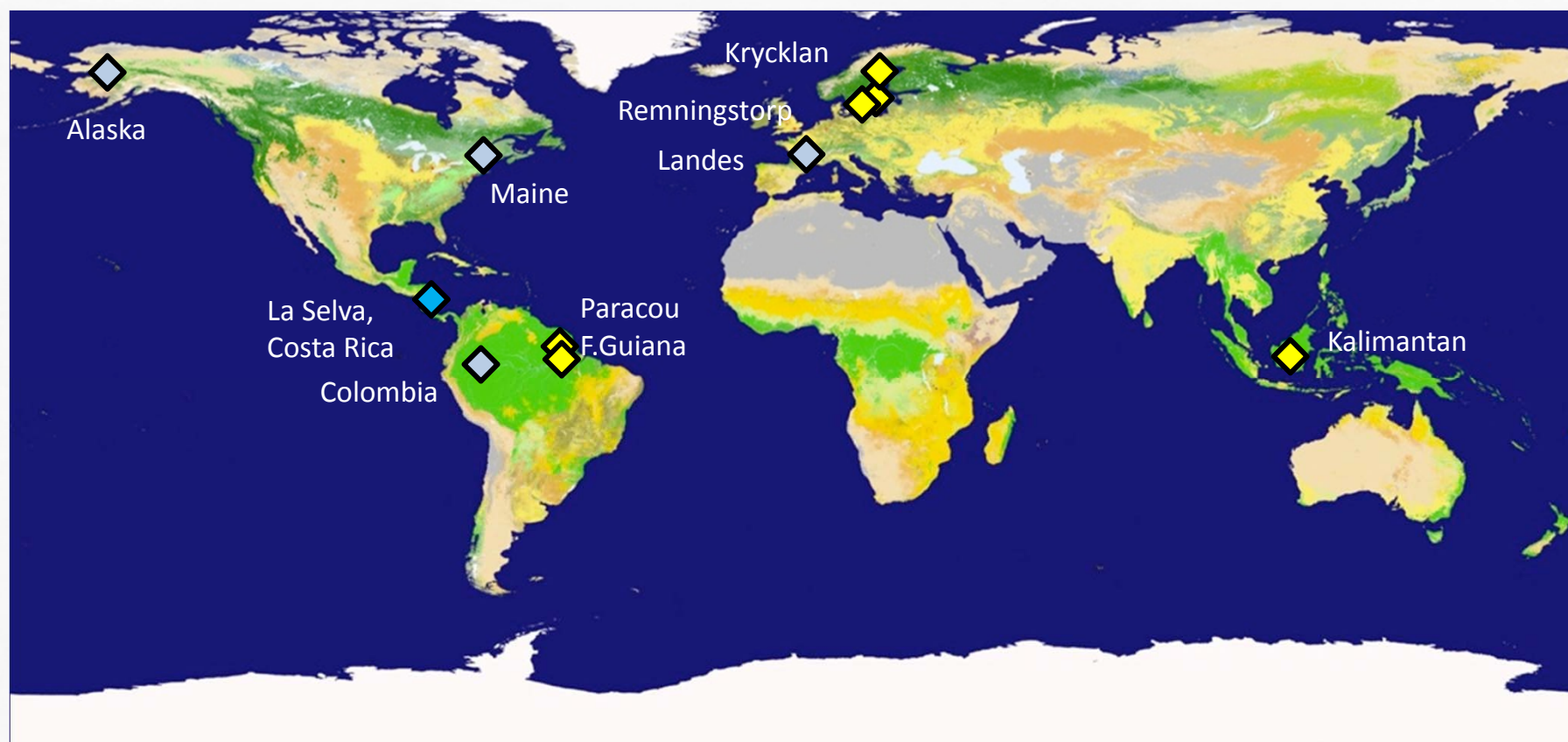


# Biomass

A single satellite providing continuous polarimetric interferometric P band SAR data over forested areas







Major recent campaigns:

- Kalimantan 2004 (Indrex)
- Remningstorp 2007 (BioSAR 1), 2010 (BioSAR 3)
- Krycklan 2008 (BioSAR 2)
- F. Guiana 2009 (TropiSAR), 2011-13 (TropiScat)

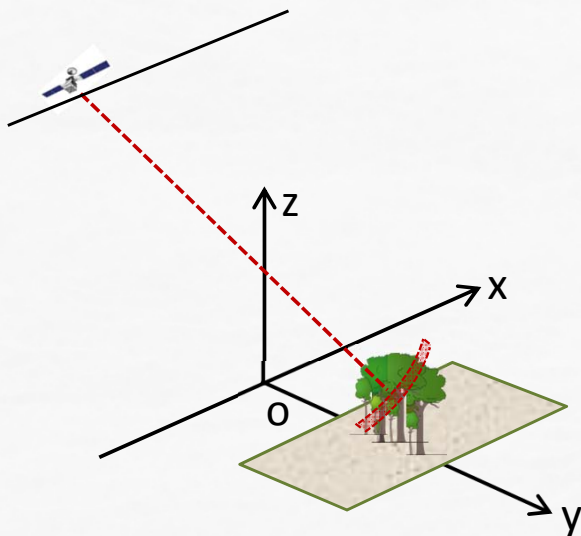


# Recent campaign datasets for method development

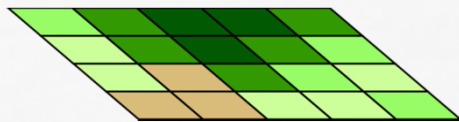
| Campaign                                    | Objectives                                                                                       | Test sites                              | Time                    | Forest conditions                                                                                                                                         |
|---------------------------------------------|--------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------|
| INDREX-2<br>(Hajnsek et al., 2008)          | Forest height retrieval in tropical forest and measurement of repeat-pass temporal decorrelation | Sungai-Wai & Mawas<br>Borneo, Indonesia | Nov 2004                | Tropical rain forest.<br>Sungai-Wai: lowland with biomass up to $600 \text{ t ha}^{-1}$ .<br>Mawas: peat swamp with biomass up to $200 \text{ t ha}^{-1}$ |
| TropiSAR<br>(Dubois-Fernandez et al., 2012) | Biomass estimation in tropical forest, multiday decorrelation                                    | Paracou & Nouragues<br>French Guiana    | Aug 2009                | Tropical rain forest with biomass up to $500 \text{ t ha}^{-1}$ , lowland and hilly terrain                                                               |
| Tropiscat<br>(Albinet et al., 2012)         | Ground-based high temporal resolution measurements to determine long-term temporal decorrelation | Paracou, French Guiana                  | Oct. 2011–<br>Oct. 2012 | Tropical rain forest with biomass up to $500 \text{ t ha}^{-1}$                                                                                           |
| BioSAR-1<br>(Hajnsek et al., 2008)          | Biomass estimation in hemi-boreal forest and measurement of multimonh temporal decorrelation     | Remningstorp,<br>southern Sweden        | Mar–May<br>2007         | Hemi-boreal forest, low topography with biomass up to $300 \text{ t ha}^{-1}$                                                                             |
| BioSAR-2<br>(Hajnsek et al., 2009)          | Topographic influence on biomass estimation in hilly boreal forests                              | Krycklan, northern<br>Sweden            | Oct 2008                | Boreal forest, hilly, with biomass up to $300 \text{ t ha}^{-1}$                                                                                          |
| BioSAR-3<br>(Ulander et al., 2011a)         | Forest change and multiyear coherence relative to BioSAR-1                                       | Remningstorp,<br>southern Sweden        | Sep 2010                | Hemi-boreal forest, low topography with biomass up to $400 \text{ t ha}^{-1}$ (includes additional high biomass stands compared to 2007 campaign)         |



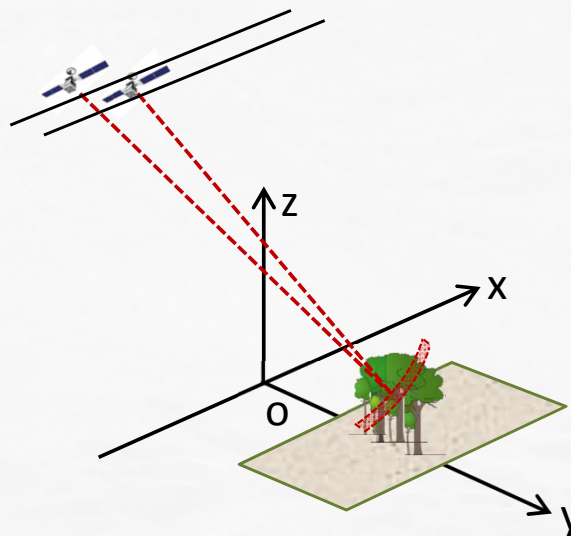
# A same sensor on a single satellite to deliver 3 independent information for biomass



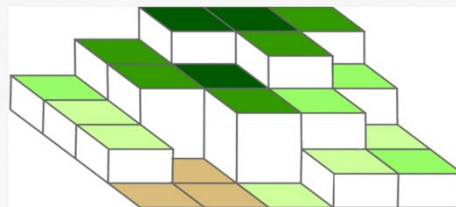
↓ Images



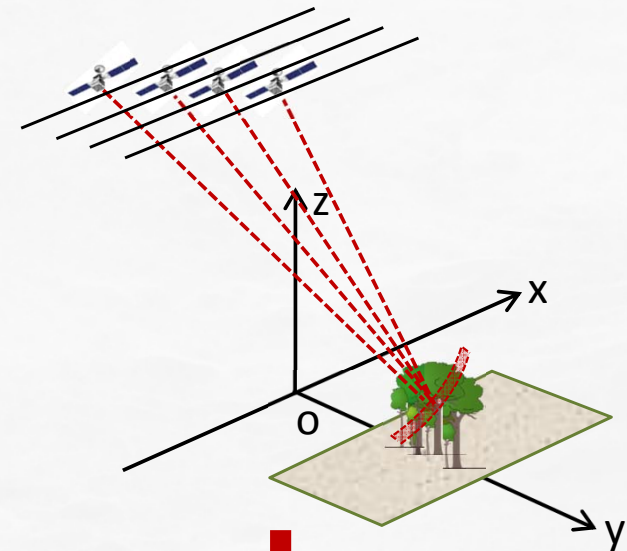
**PolSAR**  
(SAR Polarimetry)



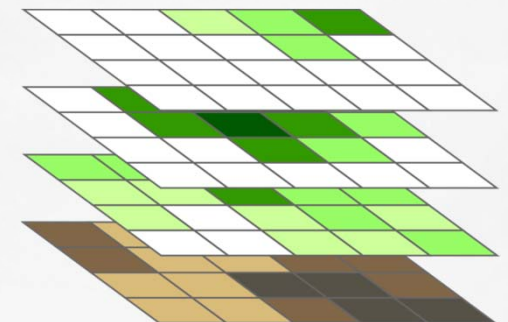
↓ Images +  
Height information



**Pol InSAR**  
(Polarimetric SAR Interferometry)



↓ Images +  
Vertical resolution



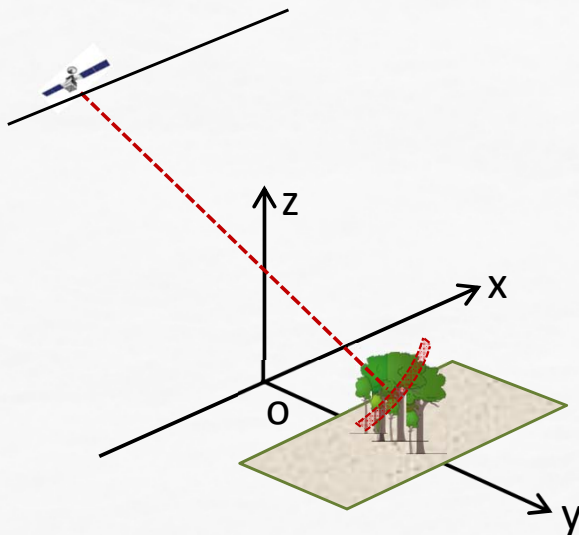
**Tomo SAR**  
(SAR Tomography)



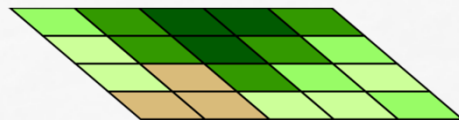
# A same sensor on a single satellite to deliver 3 independent information for biomass



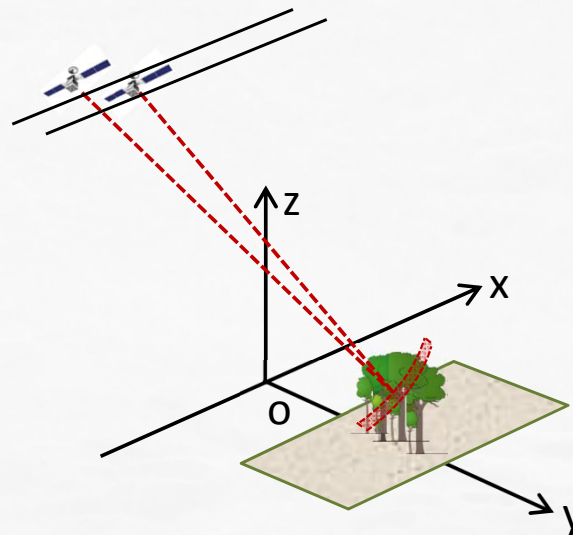
## Nominal phase



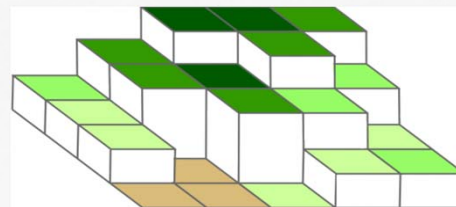
↓  
*Images*



**PolSAR**  
(SAR Polarimetry)

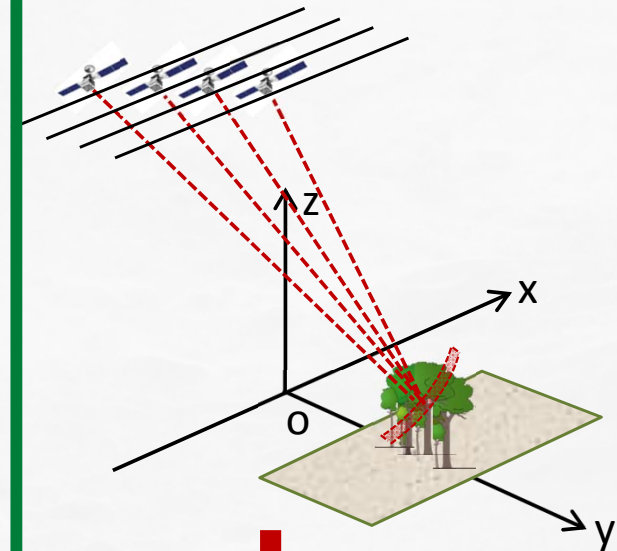


↓  
*Images +  
Height information*

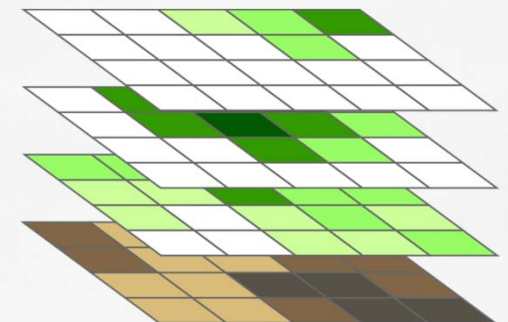


**Pol InSAR**  
(Polarimetric SAR Interferometry)

## Tomography phase



↓  
*Images +  
Vertical resolution*



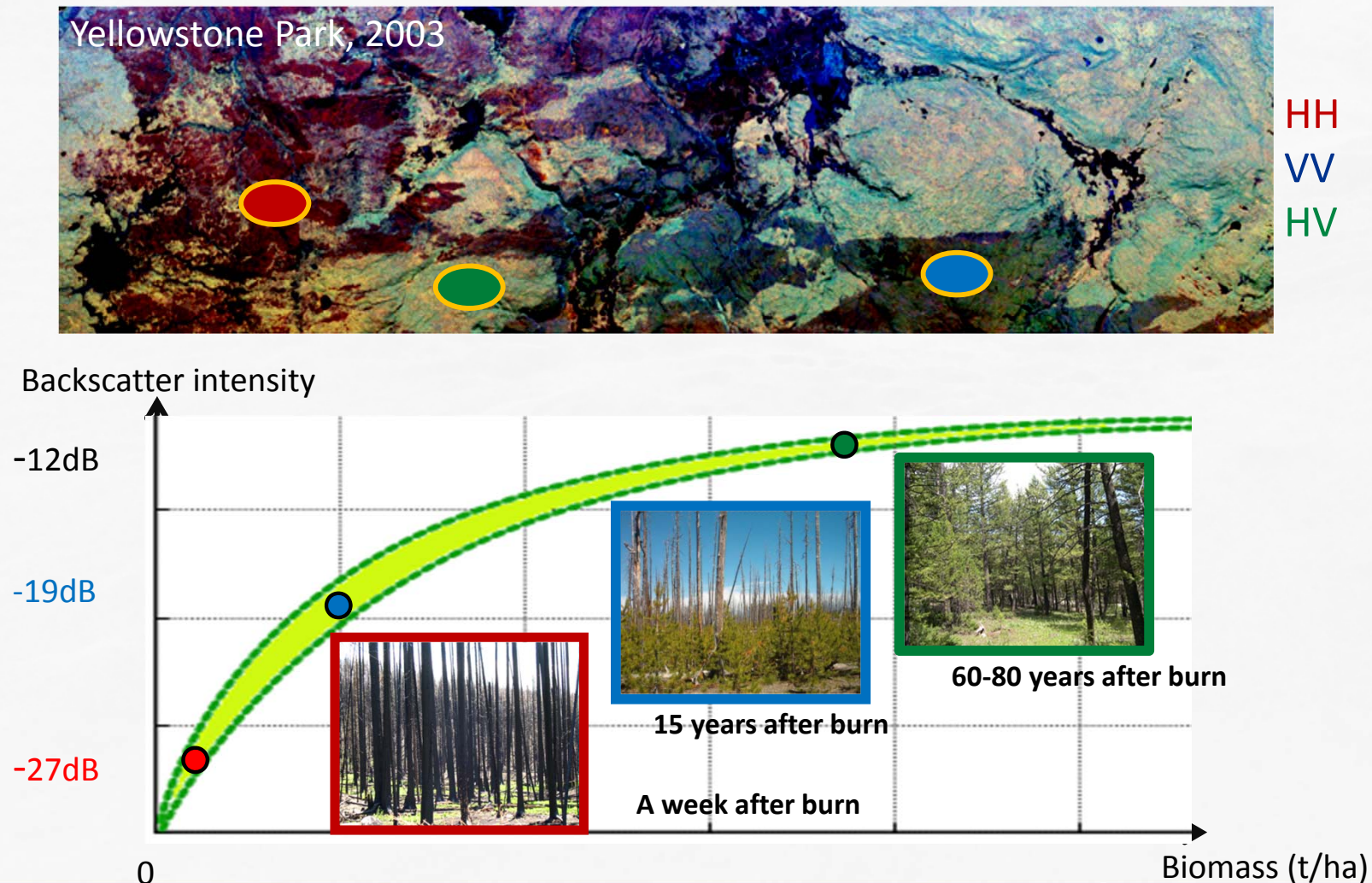
**Tomo SAR**  
(SAR Tomography)



# P-band SAR measures biomass and quantifies landscape dynamics

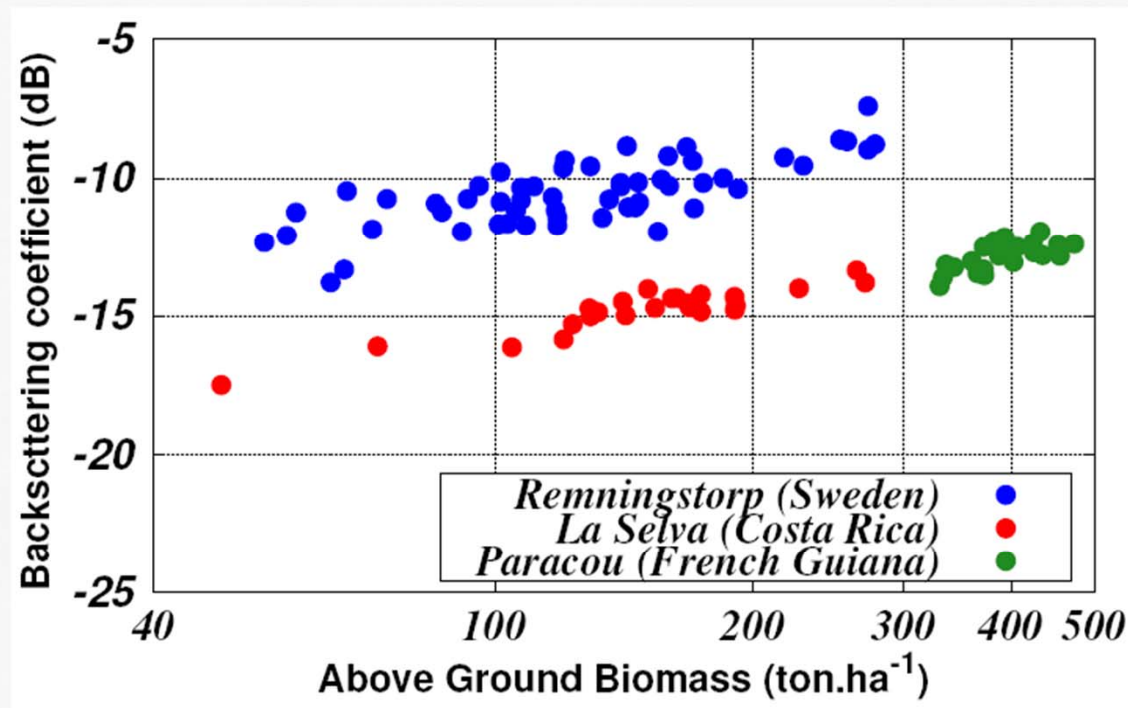


Large dynamic range as a function of biomass





- ❑ Similar relationship is found for all forests where we have data
- ❑ Differences in forests implies the need to understand why this happens and to devise inversion techniques and stratification / training approach to deal with them

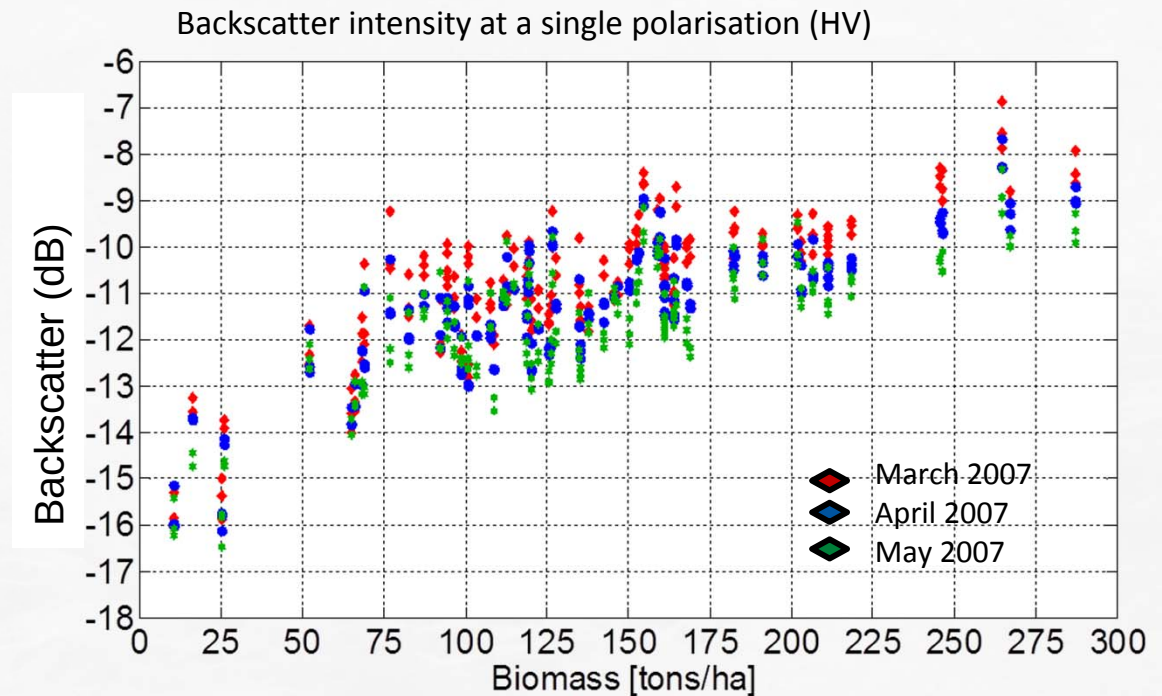
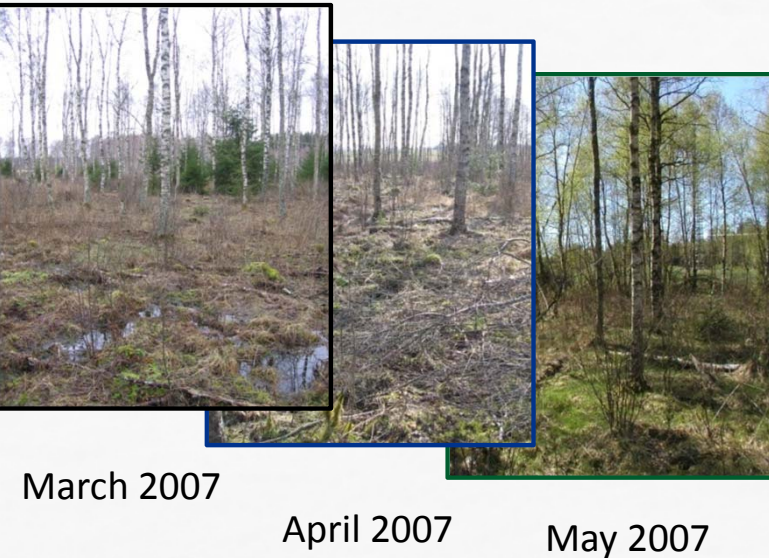




# Soil moisture and topography change the backscatter intensity in boreal forest



Remningstorp forest (Sweden)



All polarisations are used to reduce environment and topographic effects

$$\log B = a_0 + a_1 \log HV + a_2 \cdot \log(HH / VV) + a_3 \cdot \text{slope} \cdot \log(HH / VV)$$

↑  
Accounting for  
soil moisture variation

↑  
DEM

↑  
Accounting for  
topographic effect



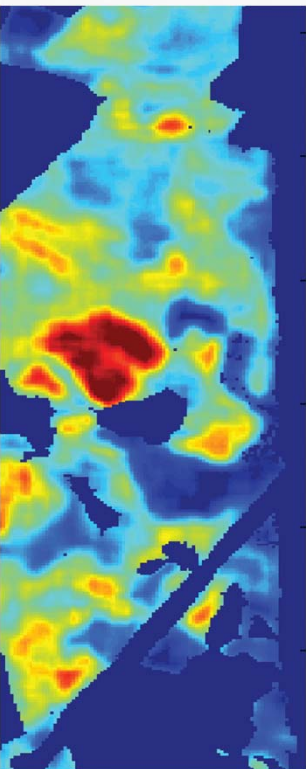
# Consistency of biomass estimates after correction of environment effects



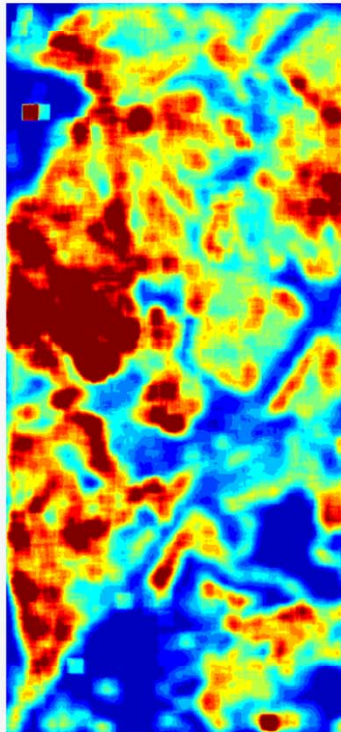
## Biomass map, Remningstorp, Sweden

*Training at Krycklan*

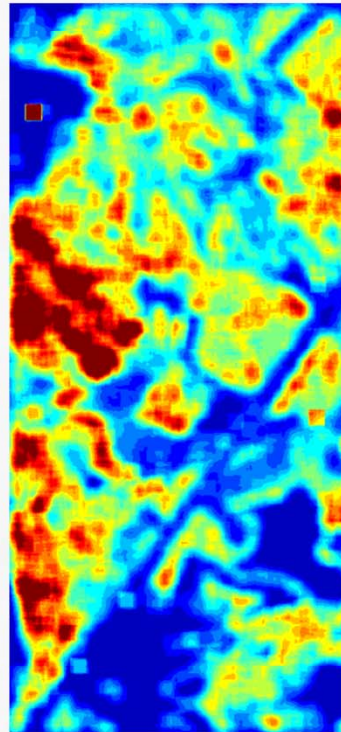
Inversion using  
single polarisation (HV)



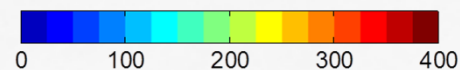
March



May



Biomass (ton/ha)





# Consistency of biomass estimates after correction of environment effects

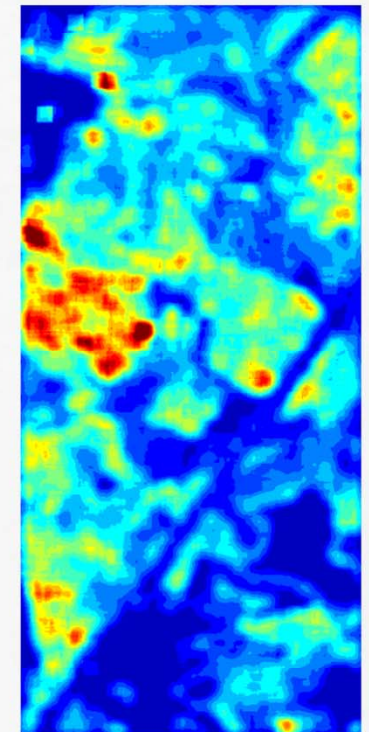
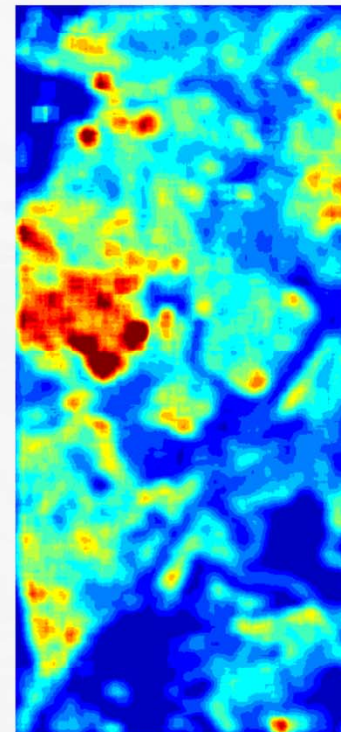
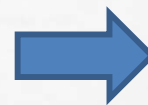
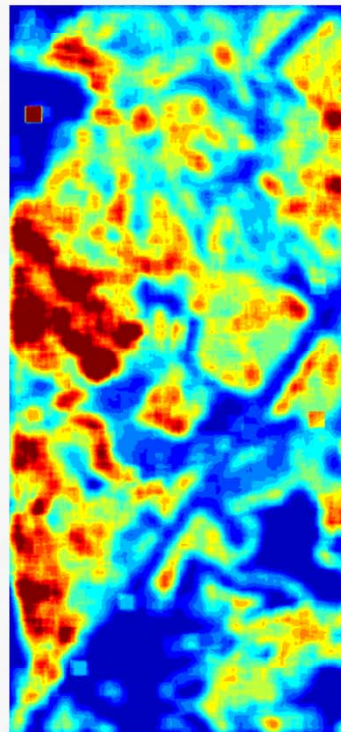
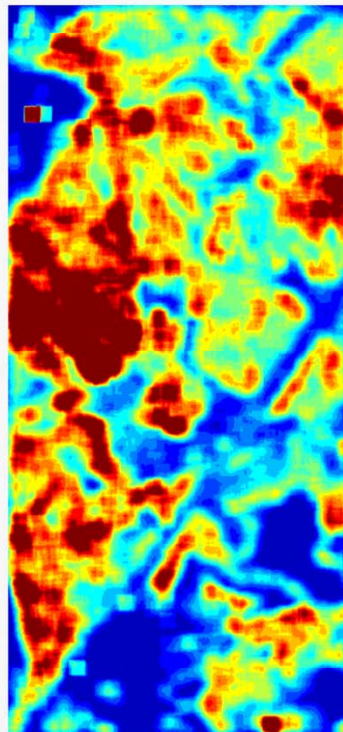
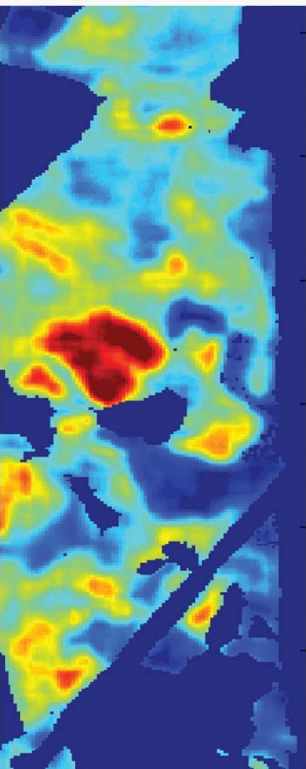


## Biomass map, Remningstorp, Sweden

*Training at Krycklan*

Inversion using  
single polarisation (HV)

Inversion using  
multiple polarisation and DEM

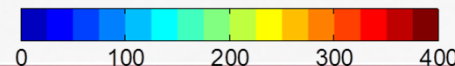


Reference

March

May

Biomass (ton/ha)



March

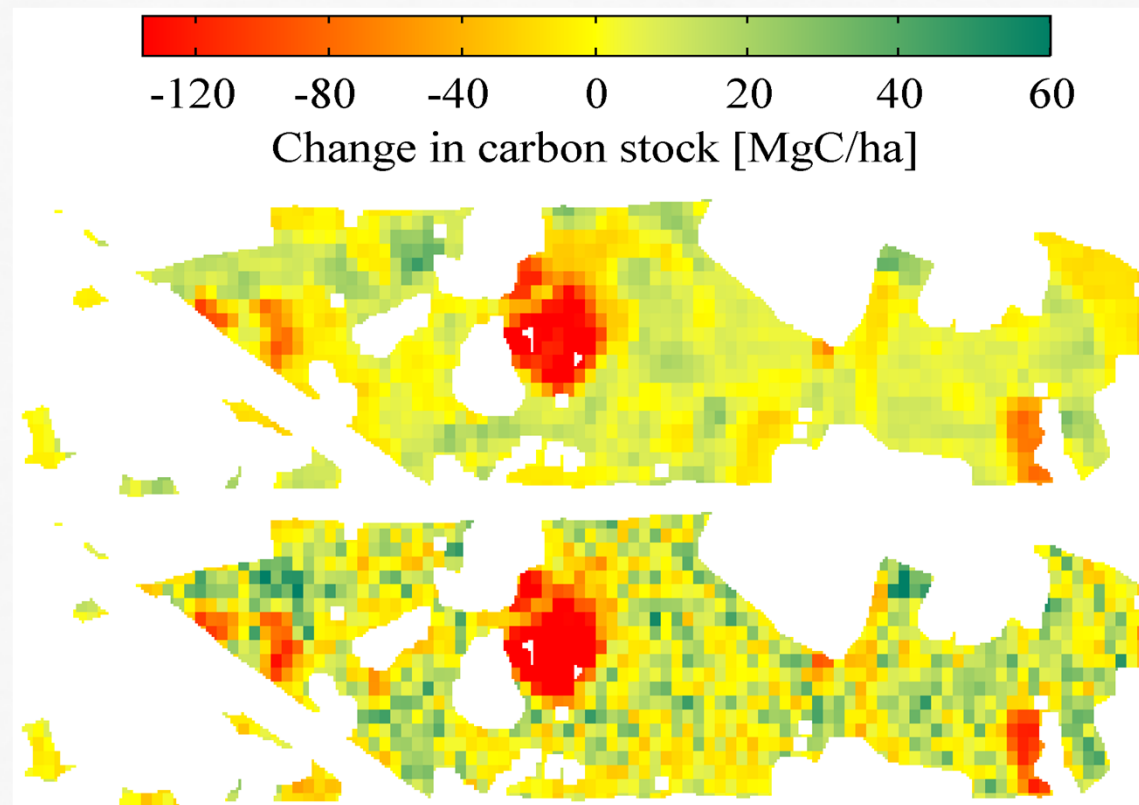
May



# Changes in carbon stock in boreal forest



Maps of change in carbon stock from spring 2007 (ESAR) to autumn 2010 (SETHI) at Remningstorp; resolution = 200 m



Lidar data

P-band PolSAR data

**Biomass will be able to detect a 10MgC/ha change during a 4- year period**

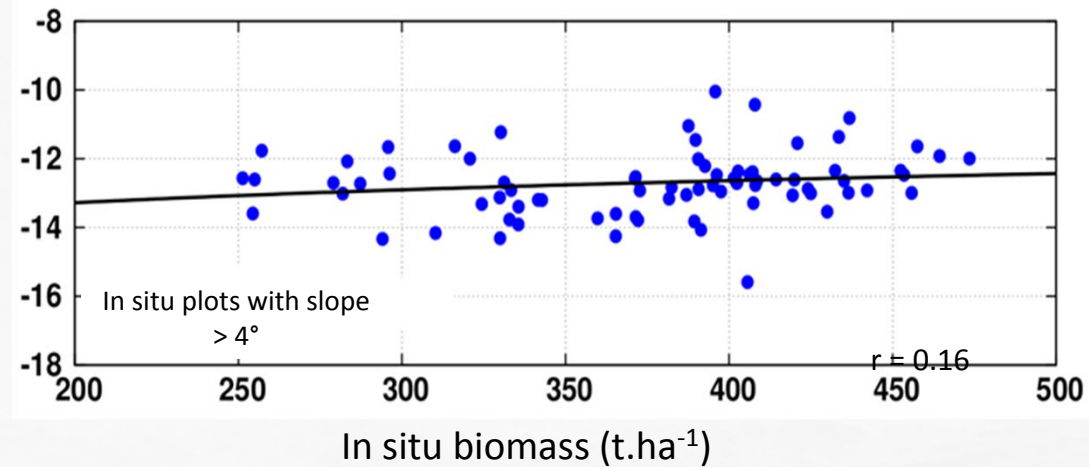


# In high biomass tropical forest, topographic effect is the disturbing factor to be removed



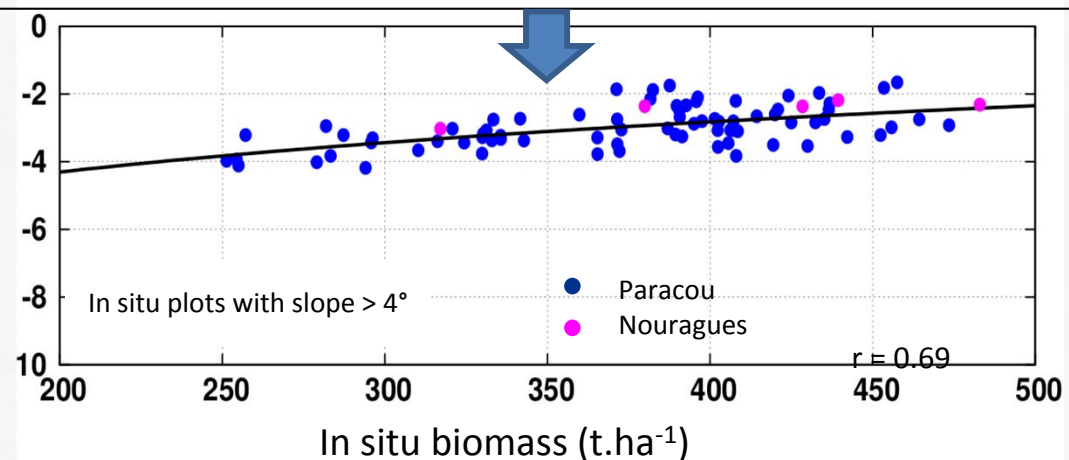
## Tropical forest French Guiana

Backscatter at single polarisation  
(HV in dB)



*Correction for effects on both geometry and scattering mechanisms caused by topography. Requires full polarimetric data*

Backscatter after topographic  
correction using polarimetry  
(t0 in dB)





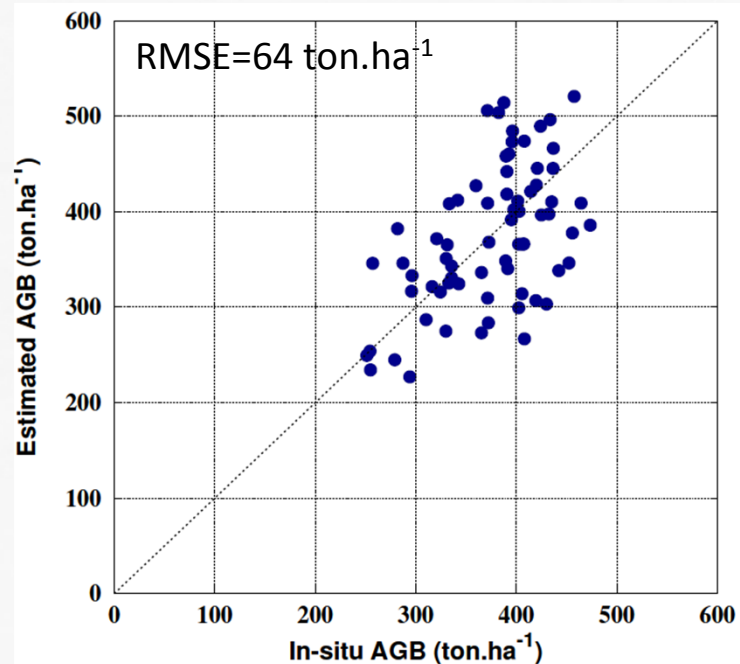
# Tropical forest: biomass map from PolSAR



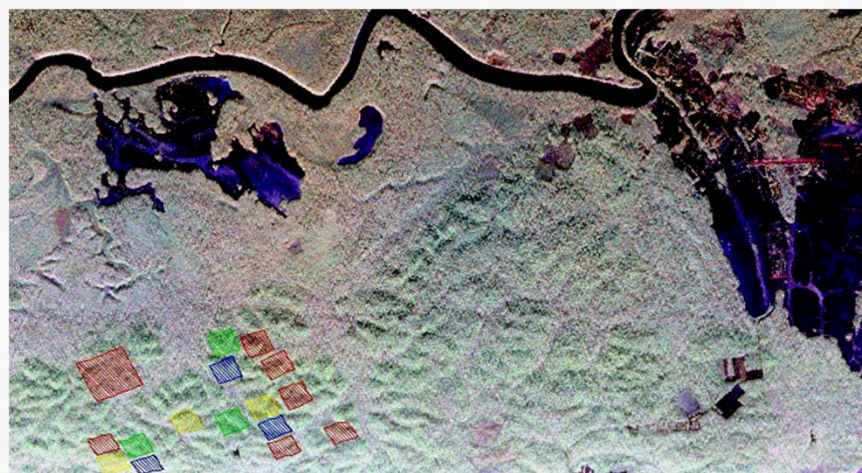
## Tropical forest, French Guiana



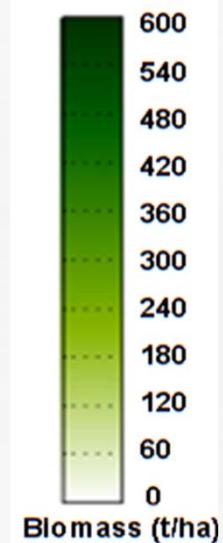
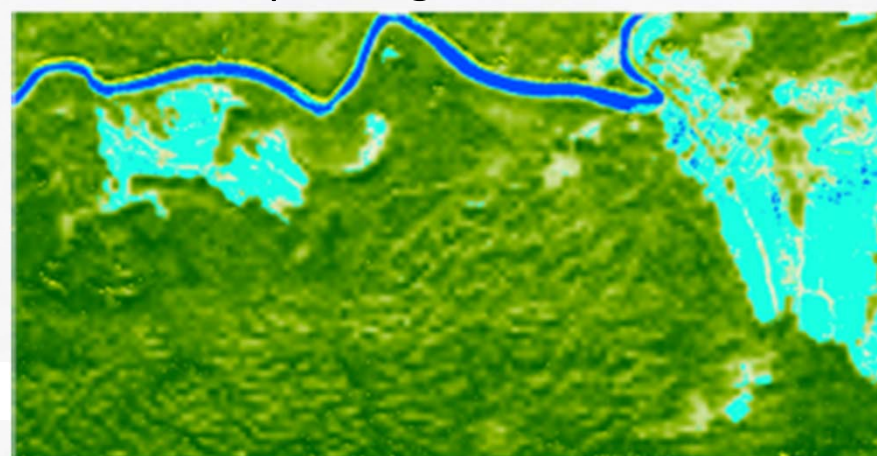
*Training at Nouragues*  
*Validated at Paracou*



## P-band SAR image (SETHI of ONERA)



## Biomass map using P-band PolSAR





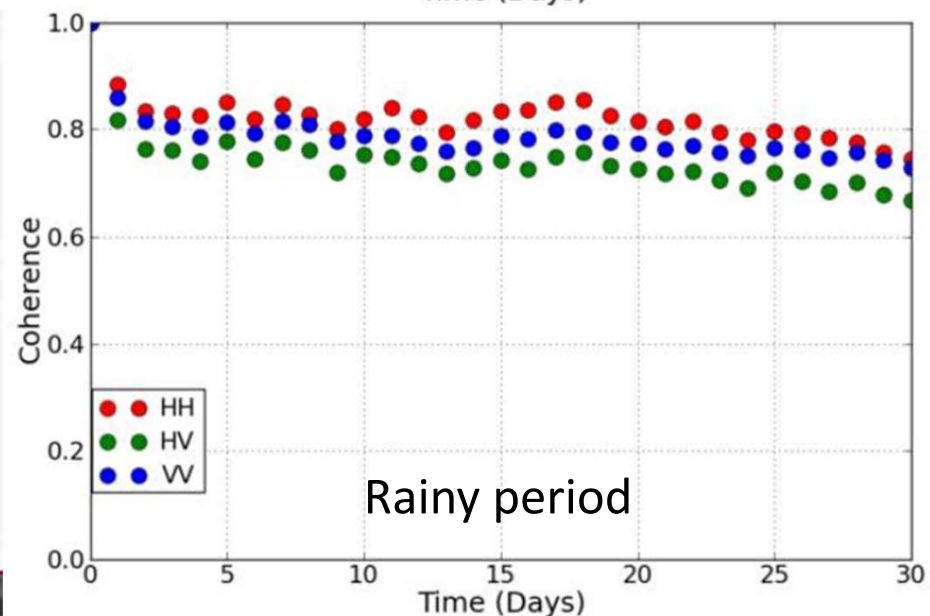
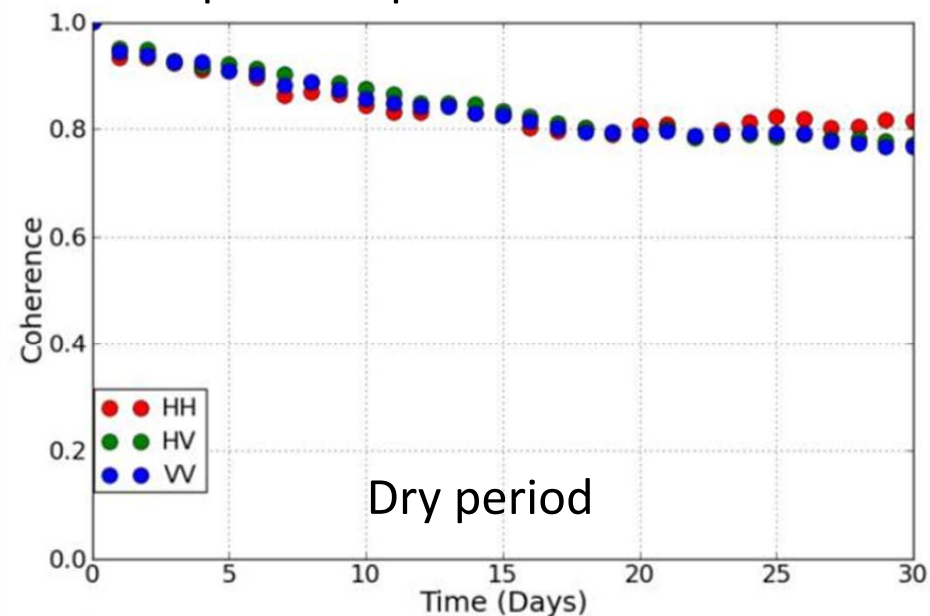
# Importance for Pol INSAR : coherence remains high (> 0.7 after 1 month)



- Highest coherence with time interval < 15 days
- Decreases with rain event



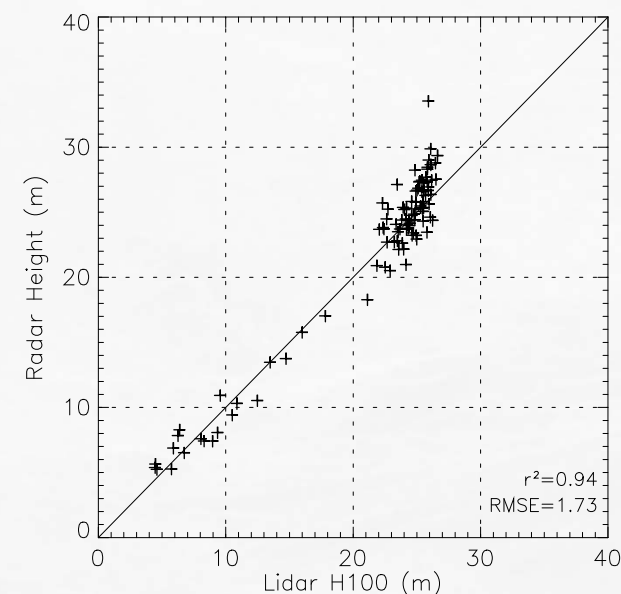
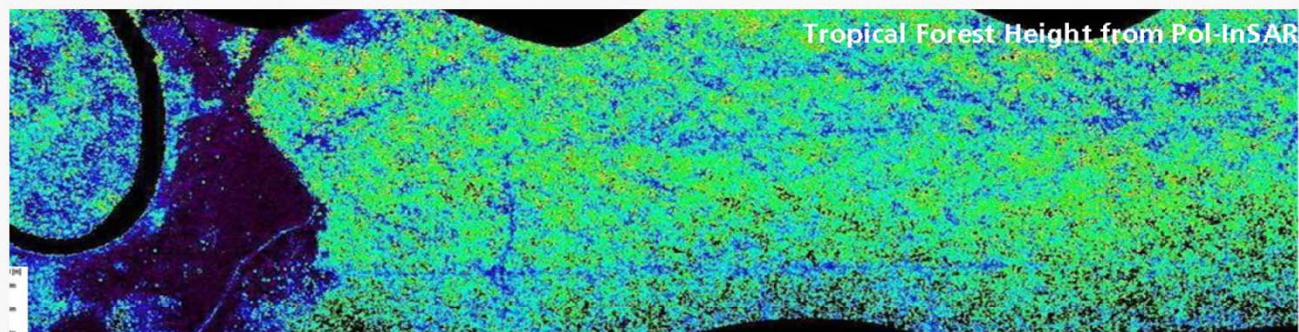
TropiScat experimental data at 6 am



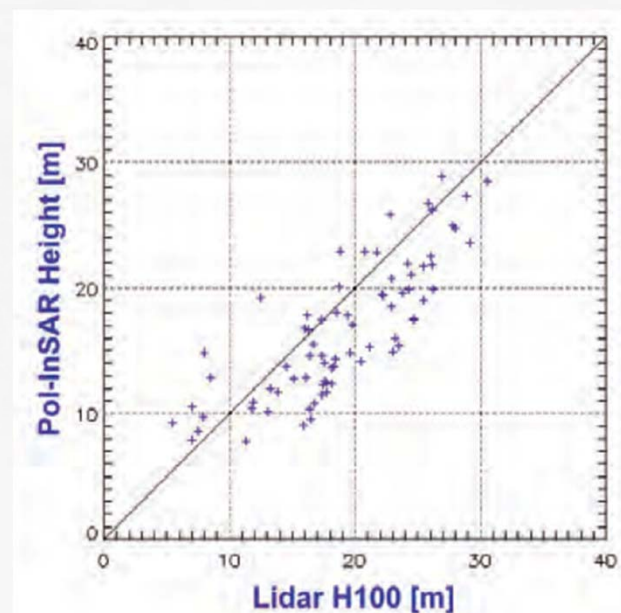
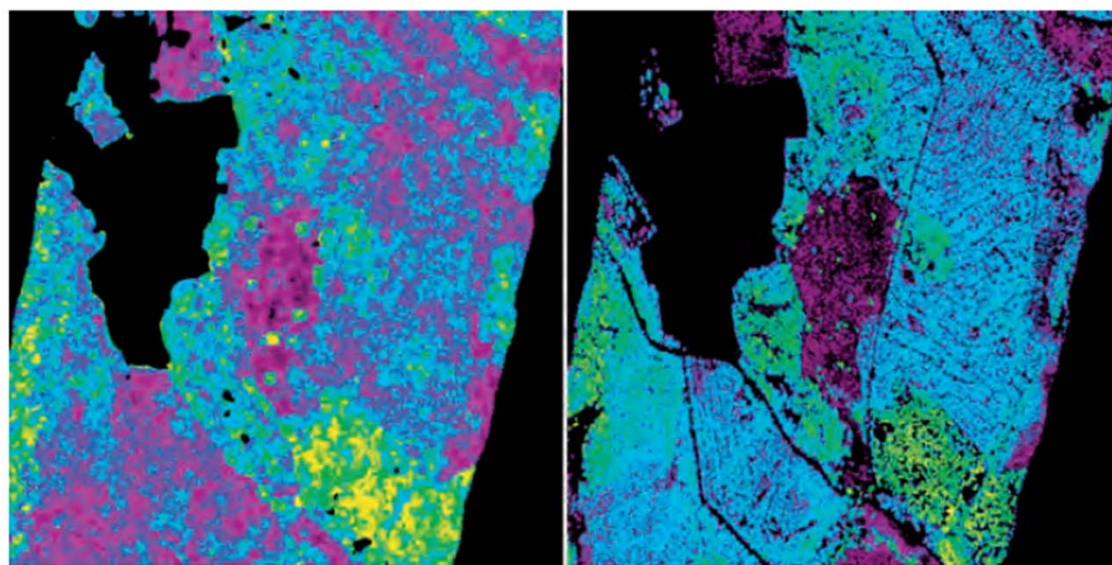


# Height from Pol InSAR at tropical and boreal sites

## Tropical forest, Kalimantan, Indonesia



## Boreal forest, Remningstorp, Sweden



Height map from Pol InSAR

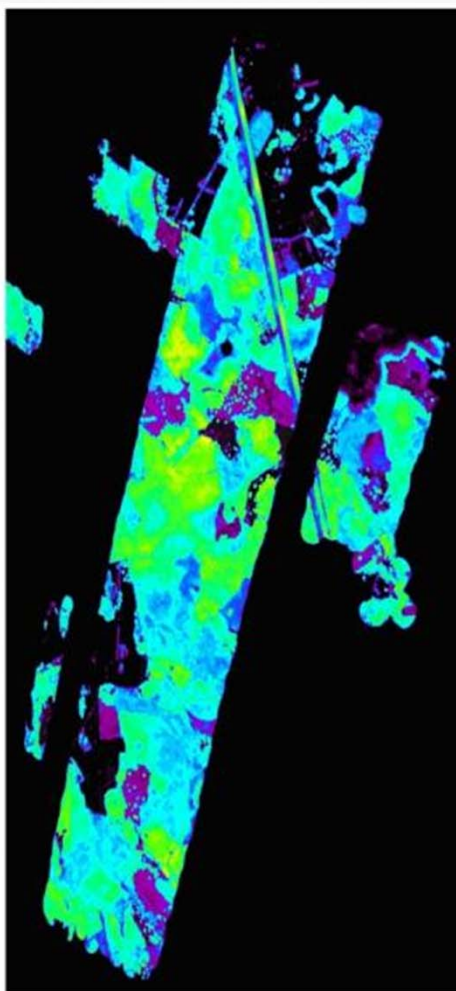
Height map from Lidar



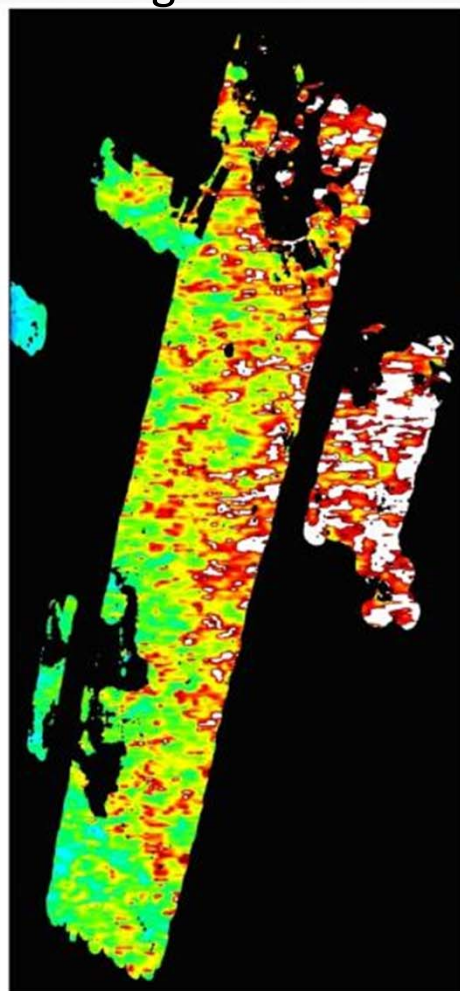
# Using dual baseline PolInSAR gives accurate height maps in boreal forest



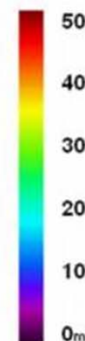
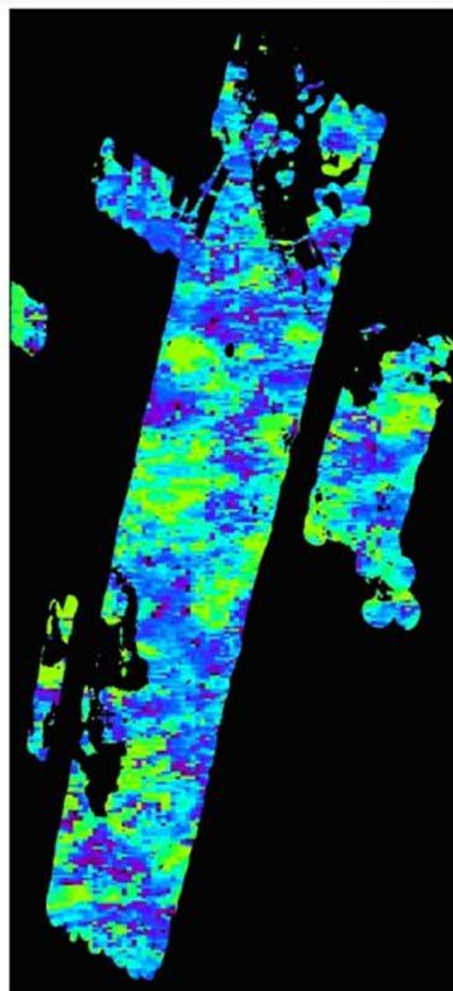
Reference



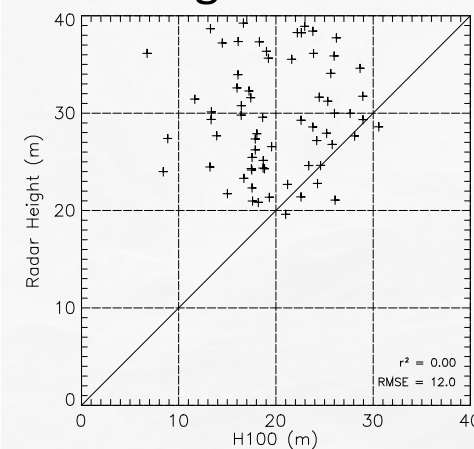
Single baseline



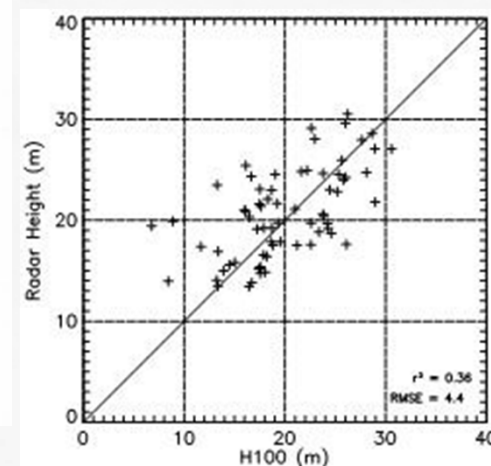
Dual baseline



Single baseline



Dual baseline

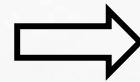




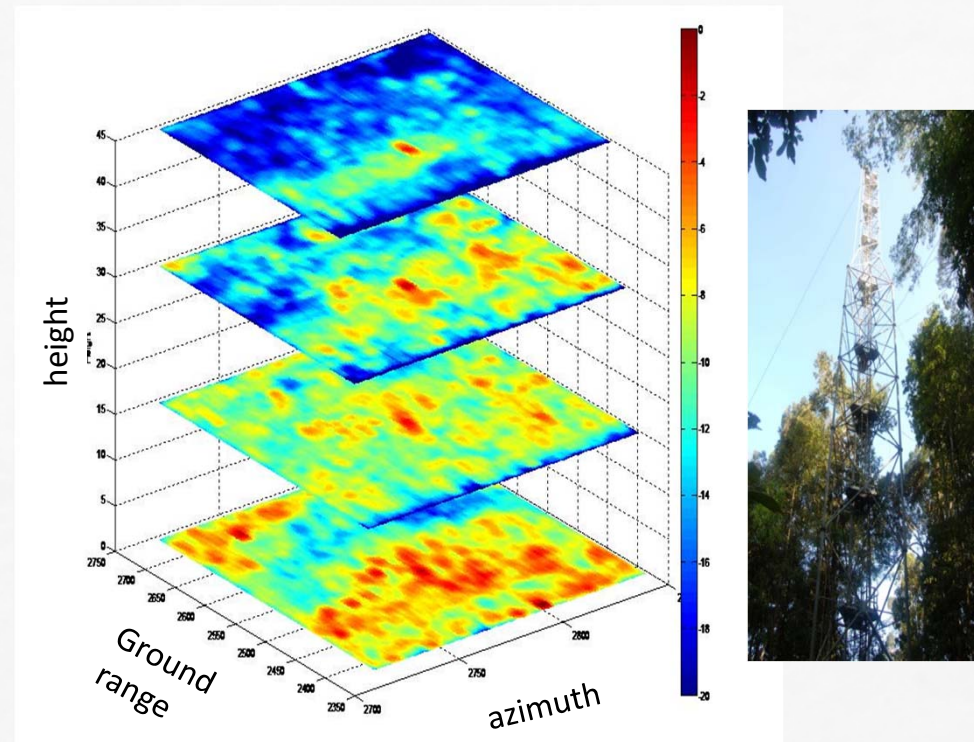
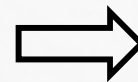
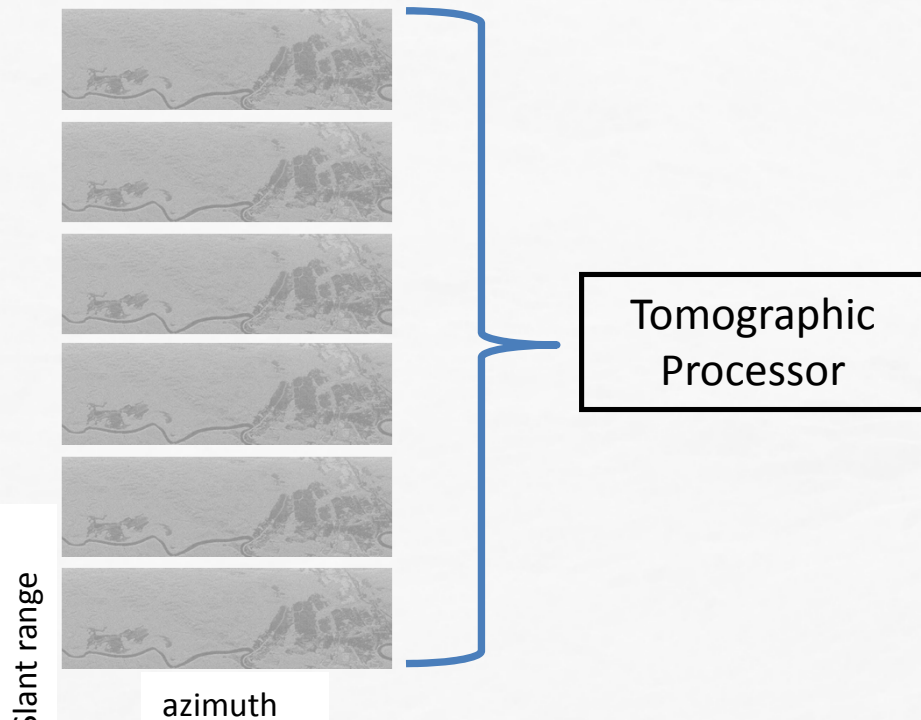
# SAR tomography for sounding forest canopy



From **multi baseline** SAR images



generation of **multi layer** SAR images

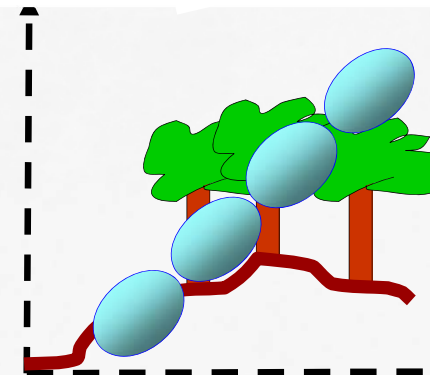


Slant range

azimuth

SAR resolution  
cell

SAR Tomography  
resolution cell



→ POLINSAR 2013

28 January - 1 February 2013 | ESA-ESRIN | Frascati (Rome), Italy

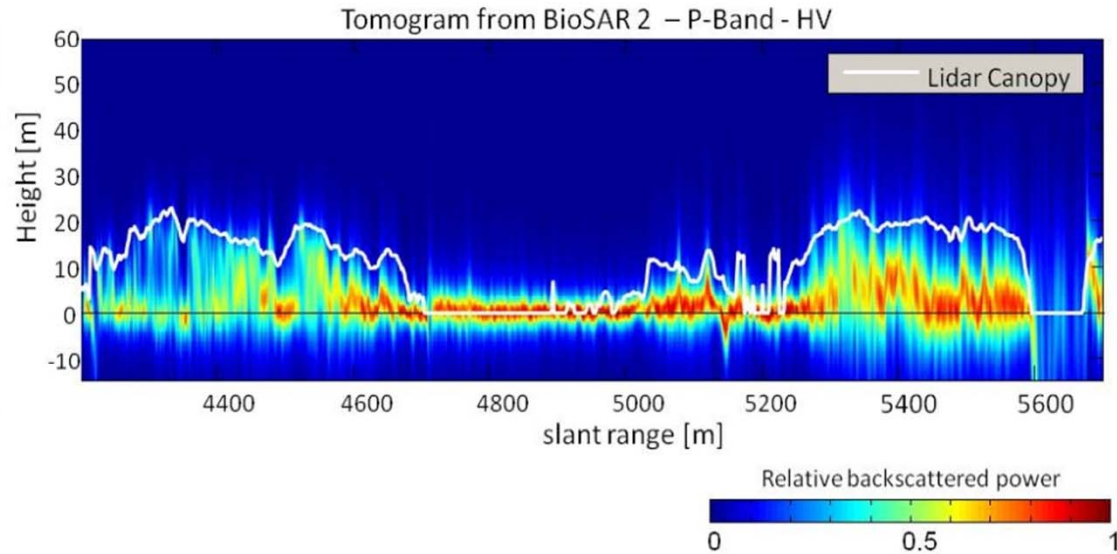
European Space Agency



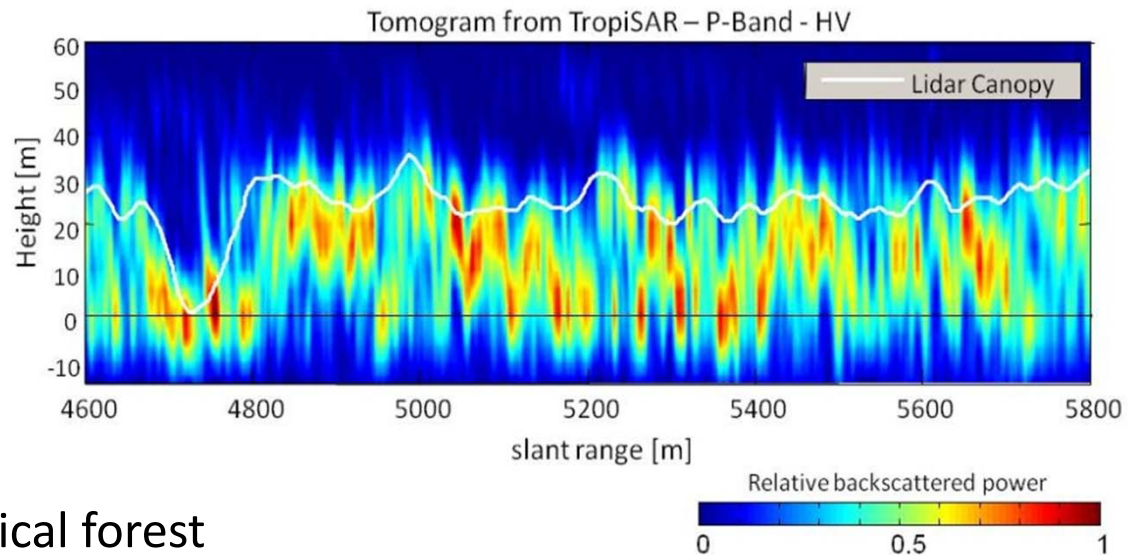
# Using tomography to understand the P-band SAR signal over forests



Boreal forest



Tropical forest



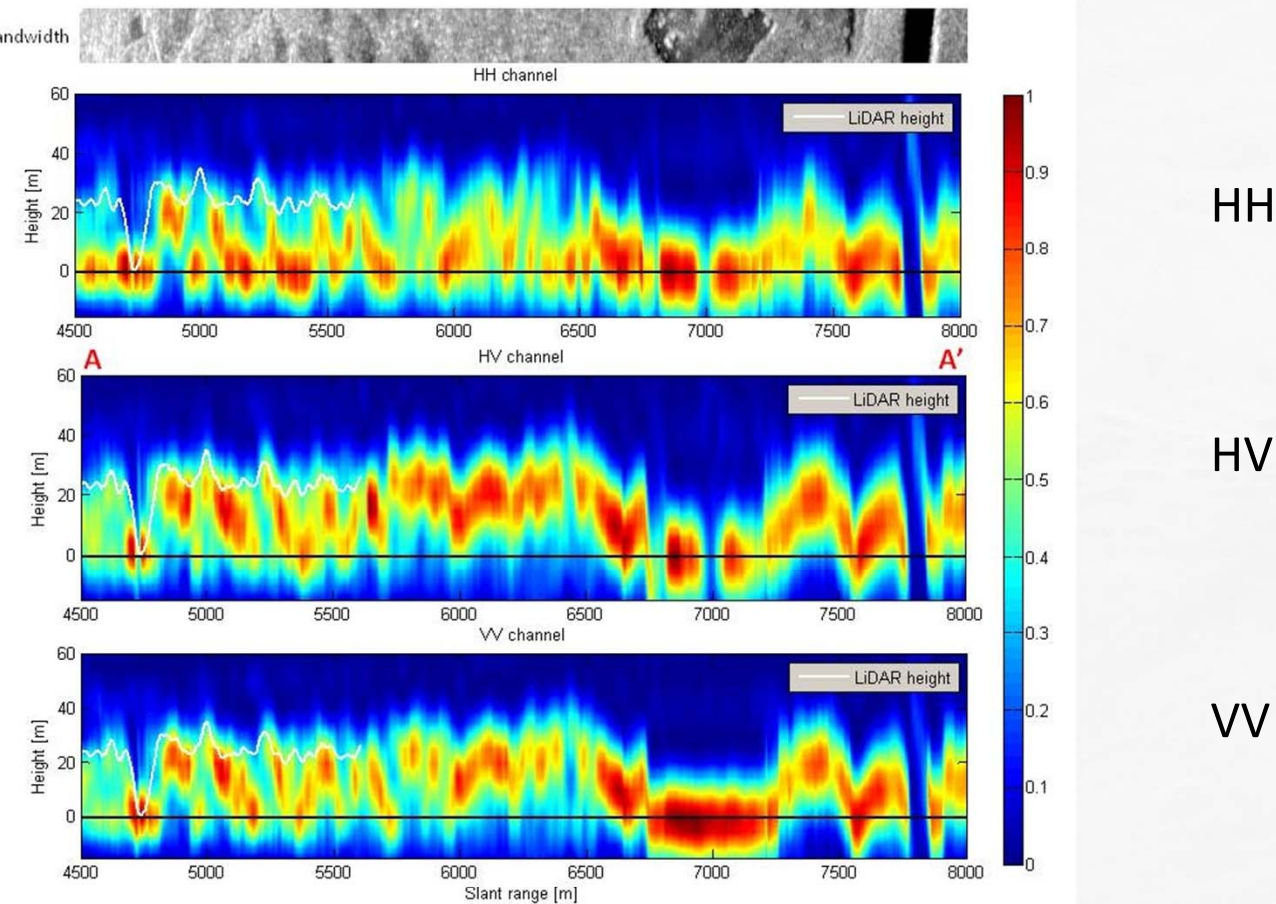


# Using tomography to understand the P-band SAR signal over forests



125 MHz

Forest marsh river



Paracou forest, French Guiana



# Using tomography to understand the P-band SAR signal over forests



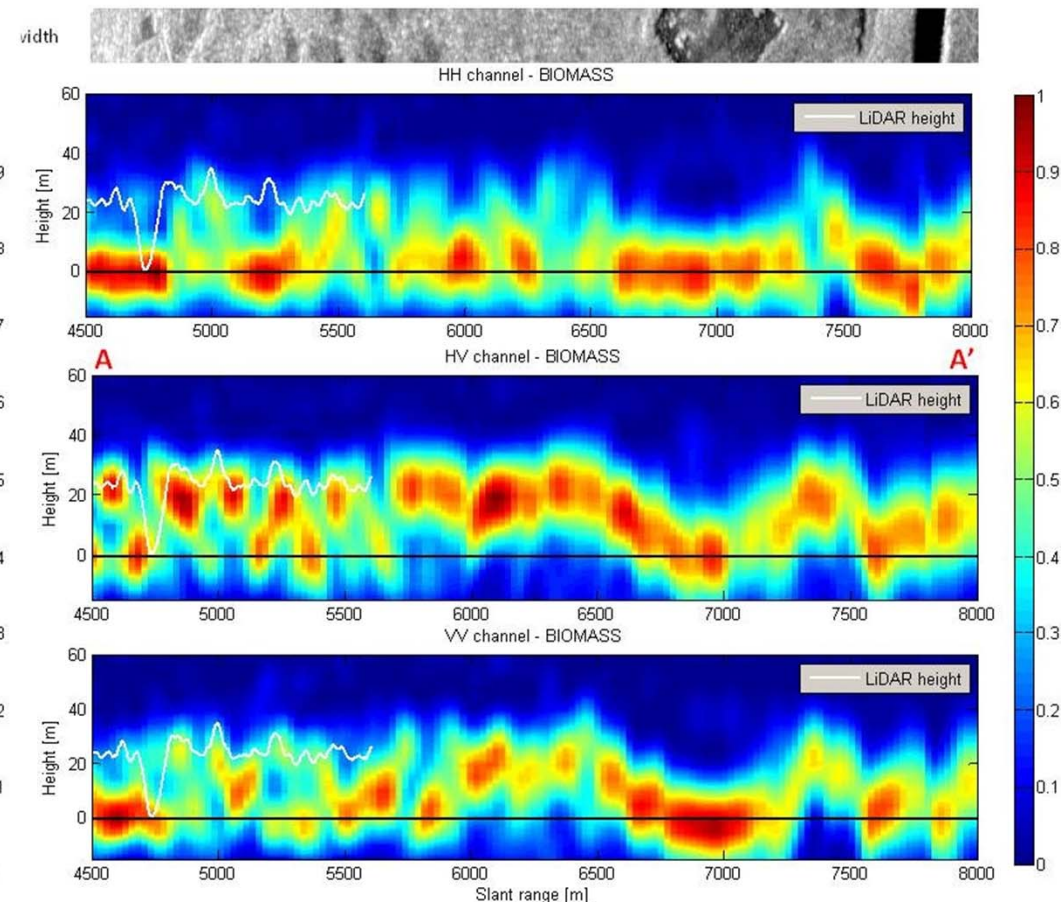
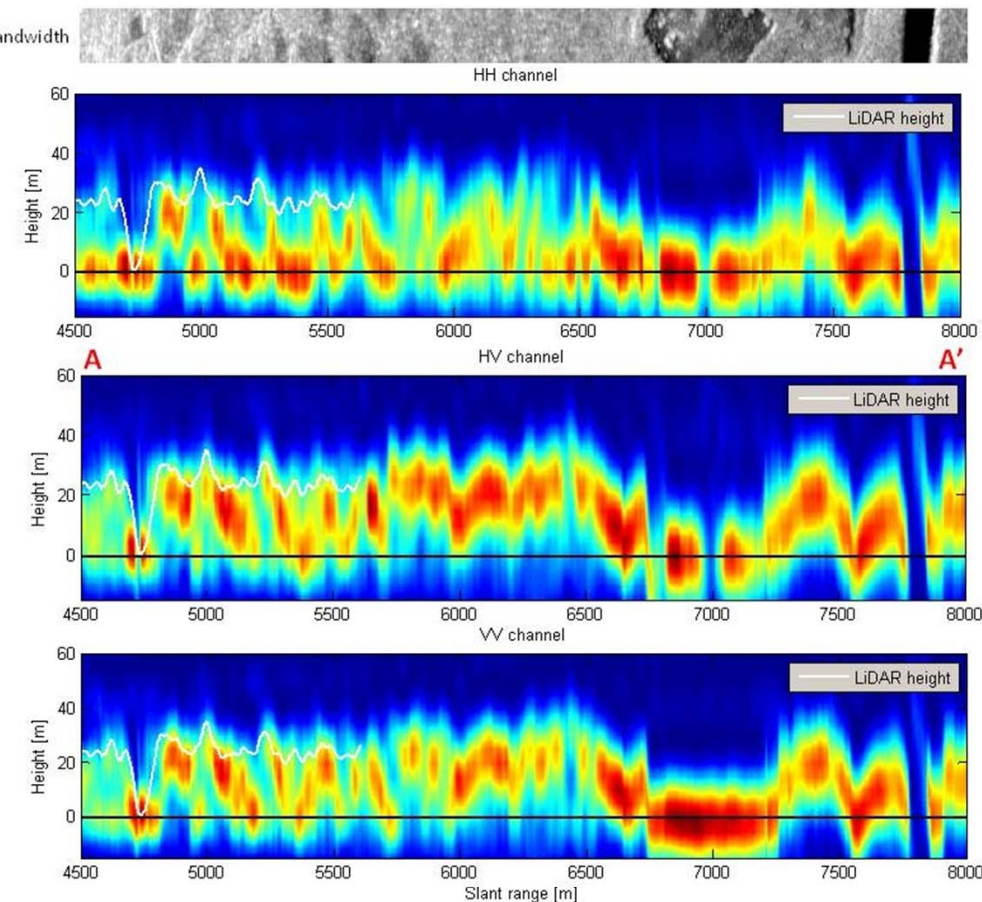
125 MHz

6 MHz

Forest

marsh

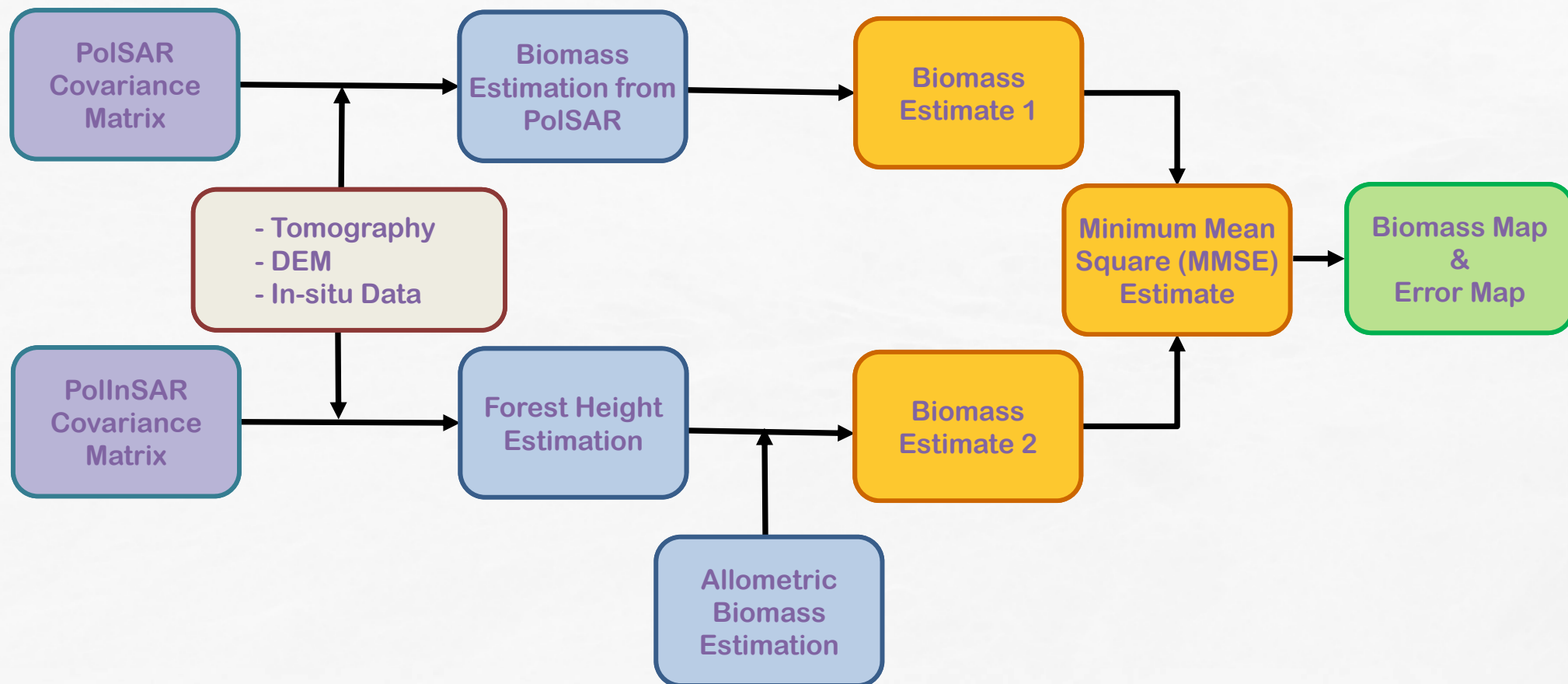
river



Paracou forest, French Guiana



# Combined approaches for biomass estimation





# Combined approaches for tropical forests



Resolution 150 m

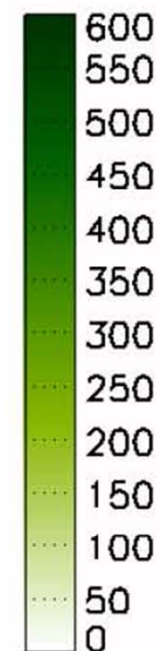
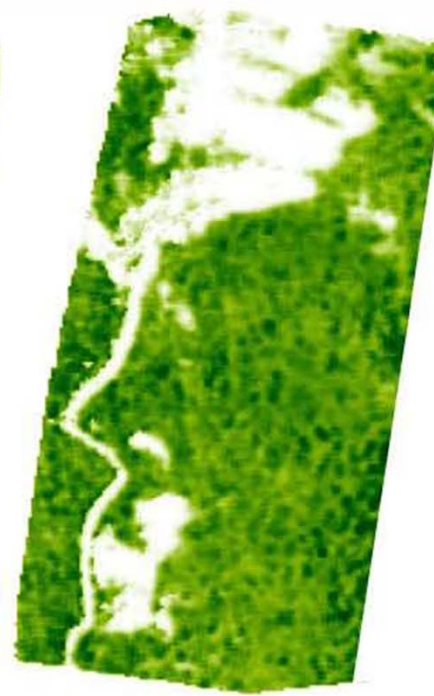
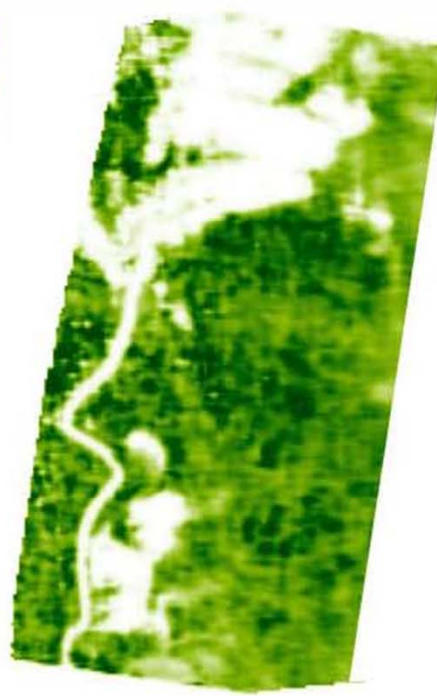
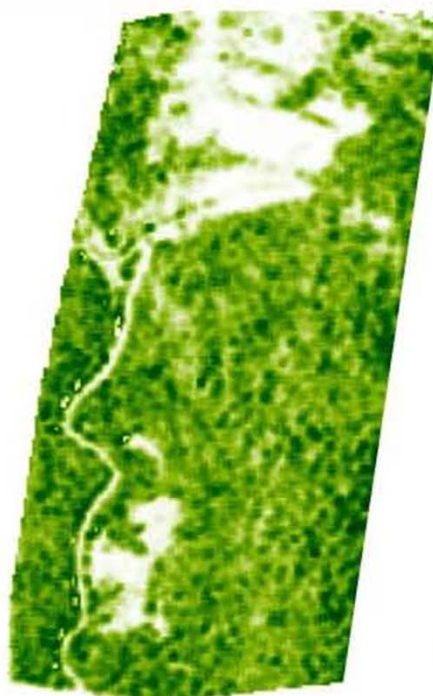
Resolution 50 m

**PolSAR**

**PolInSAR**

**PolSAR+PolInSAR**

**Tomography**



AGB(t/ha)

Using interpolated  
DEM from SRTM



# Combined approaches for tropical forests

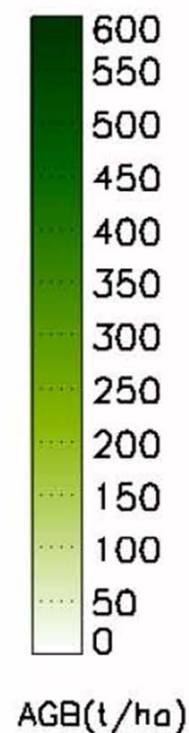
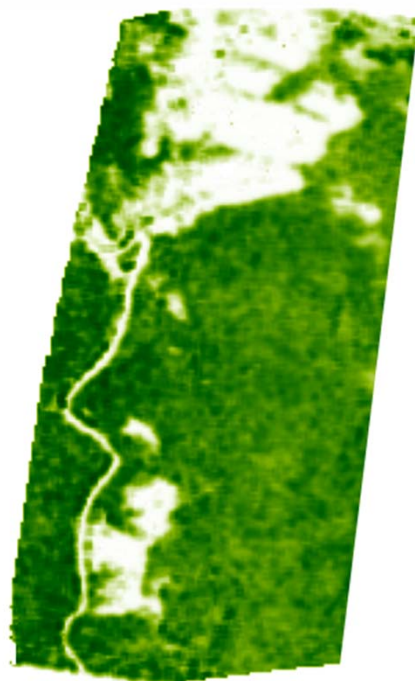
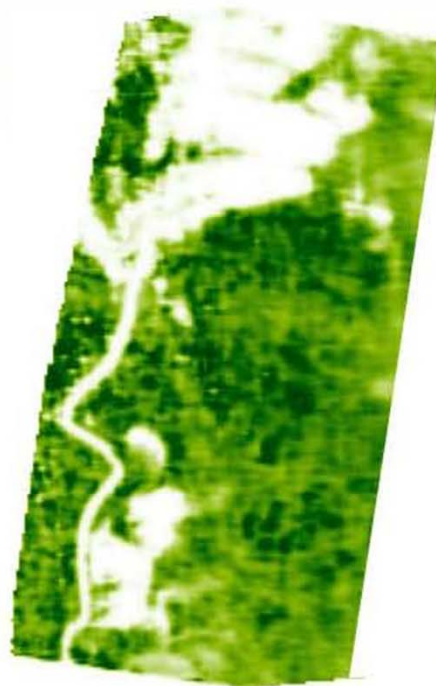
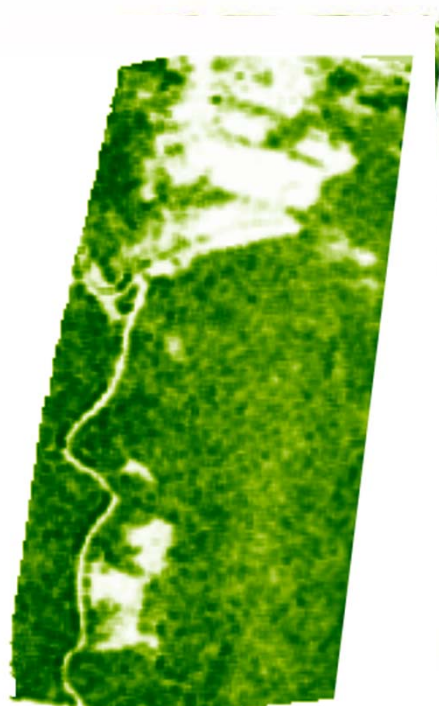


PolSAR

PolInSAR

PolSAR +  
PolInSAR

Tomography



AGB(t/ha)

Using a 30 m DEM

RMSE < 20%

RMSE ~10%

(Root Mean Square Error RMSE using reference plots)



The BIOMASS measurement concept has been demonstrated at different forest ecosystems, including wet tropical forests

- Three approaches have been used to reach biomass estimates: PolSAR, Pol InSAR and TomoSAR. Combination of them to improve estimates has been shown.
- Biomass is technically mature and feasible to meet science requirements
- If selected in May 2013, it will open the field for more new and innovative ways to use SAR data by a large community

*(PolInSAR 2015!)*

**To conclude:**

Explore a new face of Earth and pioneer the first P-band radar in space: choose BIOMASS !